# INVITED REVIEW – Future Cardiology

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3	Obesity, Body Composition and Cardiorespiratory Fitness in Heart Failure with
4	Preserved Ejection Fraction
5	Running title: Body Composition and HFpEF
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# **34 CONFLICT OF INTEREST**

- 35 Carl J Lavie, MD has lectured for the Coca Cola Company (but on exercise, fitness, and
- 36 obesity and not on their products) and he is the author of the book The Obesity
- 37 Paradox.

# 38 Abstract

39	Obesity is defined as an excess body fat that impairs health and is associated with
40	increased risk of heart failure (HF), particularly HF with preserved ejection fraction
41	(HFpEF), evolving into a 'HFpEF obesity phenotype'. The interplay between obesity and
42	cardiorespiratory fitness (CRF), primary clinical parameters in HF, requires further
43	exploration. The contribution of body composition compartments in the development
44	and progress of HF has been the object of numerous studies. Here we focus on how fat
45	mass and lean tissues affect CRF, with emphasis on their effects on peak oxygen
46	consumption. Moreover, while several studies have focused on characterization of body
47	composition compartments, here we describe also recent findings related to abnormal
48	and/or dysfunctional lean mass, especially in HFpEF.
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50	

- 51 **Key words**: body composition; heart failure with preserved ejection fraction;
- 52 cardiorespiratory fitness; lean mass; skeletal muscle mass; fat mass

# 53 INTRODUCTION

54	Excess and unwanted body mass, characterized as either being overweight or
55	obese, has dramatically increased in the last decades on a global scale [1]. In fact, the
56	incidence and prevalence of obesity has afforded this level of excess body mass
57	"epidemic" status[2–4]. Despite new therapeutic strategies and intense research, the
58	current status and future projections of excess body mass on a global population level is
59	disconcerting[5]. This is of particular concern since obesity and overweight are
60	associated with increased risk of several chronic diseases such us cancer, diabetes
61	mellitus (DM) and cardiovascular disease; the risk of heart failure (HF) is particularly
62	high[6].
63	The relationship between obesity and HF is intriguing; on the one hand obesity is
64	considered an independent risk factor for HF[6,7], and, on the other hand, obese
65	patients portend toward better prognosis compared to the leaner counterparts when
66	obesity and HF co-exist[8,9]. This paradoxical relationship has been defined as the
67	'obesity paradox'[10–12].
68	The World Health Organization defines obesity as 'abnormal or excessive body
69	fat accumulation that presents a risk to health'[1]. However, obesity is usually diagnosed
70	using the body mass index (BMI; calculated as weight in kilograms divided by height in
71	meters squared) at the threshold of $\geq$ 30 kg/m <sup>2</sup> . The use of BMI, particularly at an
72	individual level, has been highly criticized since it does not differentiate between body

73 composition compartments (i.e., fat mass, fat-free mass and lean mass), which have

been suggested to be more informative with respect to an individual's health status[13].

76	Obesity is also highly associated with a specific form of HF, that being the clinical
77	syndrome of HF in the presence of a preserved left ventricular ejection fraction,
78	commonly known as HF with preserved ejection fraction (HFpEF)[14–16].
79	HFpEF accounts for approximately half of all HF patients[17]. It is a very
80	heterogeneous clinical syndrome and up to 85% of HFpEF patients are obese[18,19].
81	The striking relationship between obesity and HFpEF has received intense scrutiny in
82	recent years, with a 'HFpEF obesity phenotype' being proposed[20,21].
83	Furthermore, HFpEF and obesity are both characterized by significant
84	impairment in cardiorespiratory fitness (CRF) or exercise intolerance[14,22–27]. The
85	gold-standard measurement of CRF is through cardiopulmonary exercise testing (CPX),
86	allowing for the measurement of several variables with high clinical value[24]. Peak
87	oxygen consumption (VO $_2$ ) during maximal symptom-limited CPX is the most objective
88	measurement of aerobic exercise capacity[24,28]. Moreover, the assessment of
89	ventilatory efficiency through a panel of variables, specifically: 1) the minute
90	ventilation/carbon dioxide production (VE/VCO <sub>2</sub> ) slope; 2) exercise oscillatory
91	ventilation (EOV); and 3) the partial pressure of end-tidal $CO_2$ ( $P_{ET}CO_2$ ) at rest and during
92	exercise, have been shown to portend highly significant prognostic and diagnostic
93	information[28–30]. Combining key variables obtaining from CPX provides a
94	comprehensive three-dimensional assessment of patients with HF.

95 CRF is highly influenced by the amount and quality of the fat and the non-fat
96 body composition components in both physiologic and pathologic states, including HF.
97 In this review, we will describe the importance of body composition and the
98 relationship between body composition components and CRF, with a major focus on
99 HFpEF.

100

## 101 CARDIORESPIRATORY FITNESS AND HEART FAILURE

102 CRF is one of the best predictors of mortality in healthy subjects [31], but more

103 importantly in subjects diagnosed with various diseases, particularly HF [24,28,32,33].

104 The assessment of peak VO<sub>2</sub>, which measures the amount of oxygen being consumed at

105 peak exercise, is particularly relevant[34] and is influenced by cardiac[14] and non-

106 cardiac factors[22,35].

Peak VO<sub>2</sub> is usually defined by the Fick equation as the product of cardiac output
(CO) and arteriovenous oxygen difference [C(a-v)O<sub>2</sub>] that highly depends on hemoglobin
concentrations at peak exercise:

110  $Peak VO_2 = CO_{max} \times [C(a-v)O_2]_{max}$ 

where CO is the product of heart rate (HR) and stroke volume (SV). VO<sub>2</sub> is measured in liters of oxygen per minute (L•min<sup>-1</sup>), but is usually reported in milliliter of oxygen per kilogram of body weight per minute (mL•kg<sup>-1</sup>•min<sup>-1</sup>), allowing to compare peak VO<sub>2</sub> and aerobic capacity amongst individuals with different body masses in both males and females as well as across the lifespan[36,37]. However, in subjects with very high body masses (i.e., obesity), the use of peak VO<sub>2</sub> relative to total body weight has been 117 suggested to underestimate CRF[25]. These results have precipitated the proposal of 118 adjusting peak  $VO_2$  for body composition compartments[38,39] to overcome these 119 limitations and for a more accurate risk stratification. Peak VO<sub>2</sub> can also be reported as 120 percentage of predicted value using age- and gender-specific equations or within a sex-121 and age-specific percentile [40-42]. The percent of predicted peak VO<sub>2</sub> has been 122 proposed to be a better expression of CRF compared to the actual peak VO<sub>2</sub> measured 123 by CPX, reported either in absolute value or relative to body weight[40]. 124 Due to strong dependence of peak  $VO_2$  on maximal effort as well as body weight, 125 other measures of CRF, such as the VE/VCO<sub>2</sub> slope and EOV, should be used to provide a 126 more accurate and comprehensive depiction of CRF[43]. Both the VE/VCO<sub>2</sub> slope and 127 EOV, key measures of ventilatory efficiency are potent prognostic markers in HF. 128 However, although the relationship between body weight and the  $VE/VCO_2$  slope 129 has not been as thoroughly investigated as much as peak VO<sub>2</sub>, the VE/VCO<sub>2</sub> slope may 130 be confounded by hyperventilation in obese or severely obese individuals. This would 131 likely result in lower VE at rest and at peak exercise resulting in a lower VE/VCO<sub>2</sub> slope. 132 Nevertheless, peak VO<sub>2</sub> and the VE/VCO<sub>2</sub> slope are commonly used 133 concomitantly, since the combination of the two improves prognosis evaluation even 134 further compared to their use alone. Both are a major predictor of mortality in HF, and 135 are commonly used as endpoint in HF clinical trials to assess the efficacy of 136 pharmacologic and non-pharmacologic therapeutic strategies. 137

### **BODY COMPOSITION MODELS**

Body composition describes the quality of tissues of the body, more specifically dividing the total body mass into different compartments such as body fat and lean tissues[44]. The assessment of body composition overcomes several limitations of the use of body weight or BMI alone. In fact, body weight and/or BMI do not differentiate between fat and non-fat tissues, often leading to nutritional status misclassification[45– 47].

Different body composition models[48] and different predictive equations[49] for the estimation of body composition have been proposed in the last century. A broad review of the reference methods for body composition models has been previously published[49].

149 Briefly, the simplest and most commonly used body composition model is the 150 two-compartment model in which body weight is divided into fat mass (FM) and fat-free 151 mass (FFM) (Figure 1)[50]. The two-compartment model, however, assumes that the 152 FFM portion of the body has a stable and constant composition. That is not necessarily 153 true, especially in some specific physiologic (i.e., pregnancy) or pathologic conditions 154 (i.e., decompensated HF, severe obesity). More advanced body composition assessment 155 techniques have improved the two-compartment model (Figure 1)[49]. For instance, at 156 a molecular level, FFM contains total body water, total body protein, soft mineral 157 tissues, carbohydrates and bone. At a tissue level, FFM can be further divided in lean 158 mass (LM) and bone content[49]. Skeletal muscle mass (SMM) is the major component 159 of LM. Due to the complexity and challenges of measuring SMM in clinical and research 160 practice, LM is often considered the best surrogate for SMM.

FFM, SMM and LM are often used interchangeably in the literature, however, it
is important to remember that they describe different body composition compartments
with specific advantages and limitations. For instance, the mere assessment of FFM, or
LM in some circumstances, are highly dependent of the volume status of the subject.
Therefore, in physiologic and pathologic condition in which fluid status is abnormal (i.e.,
decompensated HF), the assessment of FFM or LM as surrogate of SMM can be
inaccurate.

168 The measurement of FM and SMM or their surrogates become extremely 169 important for the assessment of nutritional status of individuals and for the definition of 170 body composition phenotypes, which has been suggested to play a crucial role in HF 171 (Figure 2)[10,51]. If on the hand the excess FM defines obesity and increased 172 cardiometabolic risk[1], reduction of SMM, especially if appendicular, associated with 173 poor functionality, defines a condition called sarcopenia[52,53]. Sarcopenia has also 174 been associated with metabolic and cardiovascular abnormalities recently recognized in 175 HF [54]. Subjects presenting with both an excess FM and a reduced SMM or LM are 176 classified as having sarcopenic obesity [55], with a heightened metabolic and 177 cardiovascular risk that is accentuated compared to obesity or sarcopenia alone[56]. Of 178 note, sarcopenia differs from cachexia mainly because cachexia is characterized by 179 concomitant loss of both FM and LM, while sarcopenic subjects are usually 180 characterized by a preserved (sarcopenia alone) or increased (sarcopenic obesity) 181 amount of FM in association with reduced LM or SMM[57].

182 The major problem of the use of body composition and the definition of body 183 composition phenotypes is, to date, the absence of a universal threshold for FM and LM 184 for the definitions of both obesity and sarcopenia. However, population-based 185 equations that include both FM and LM assessment have been proposed[58-60]; their 186 use should be highly encouraged for a more accurate nutritional risk stratification and 187 possibly to develop more targeted therapeutics. 188 Moreover, while in the past exercise intolerance was thought to be exclusively 189 the result of impaired cardiac function, the role of peripheral pathophysiologic 190 manifestations has been shown to be a major contributor to reduced CRF[61]. As such, 191 understanding how body composition components affect CRF is becoming extremely

192 important.

193

#### 194 LEAN MASS AND CARDIORESPIRATORY FITNESS

195The typical obese subject presents with an increase FM following the World196Health Organization definition[1], but also an absolute increase of FFM, including LM197and SMM[62]. Higher amounts of SMM are associated with more favorable functional198performance in healthy subjects and in a number of chronic diseases, however, over a199certain amount, like in obese subjects, it may induce hemodynamic and structural200changes of the heart and ultimately HF (Figure 3)[10].201SMM is a much higher blood flow-demanding tissue compared to FM[63]. This

202 continuous increase in blood flow determines an increase in central blood volume,

203 responsible for the increase of SV and ultimately CO, as seen in obese

204 subjects [19,64,65]. This sustained increase of CO due to increased pre-load can lead to 205 left ventricle dilation at first, and then to compensatory hypertrophic mechanisms[18]. 206 This increase in SMM has been suggested to be one of the potential mechanisms of 207 obesity-induced HF. 208 However, the increase in SMM can be also protective once HF is diagnosed. HF, 209 which is characterized by reduced or insufficient CO to fulfill the needs of the body[66], 210 may benefit by the sustained SMM-induced CO increase, potentially explaining, at least 211 in part, the obesity paradox in HF[10,65]. 212 In regard of the role of LM in CRF, a higher amount of LM, especially 213 appendicular LM, has been associated with higher peak VO<sub>2</sub> in non-cachectic 214 patients[67]. As a result, the increase of LM has been proposed as therapeutic strategy 215 in HF patients [10,68], a patient population where peak VO<sub>2</sub> is oftentimes significantly 216 depressed and overall CRF highly compromised[24,28]. 217 It has also been proposed that a FFM-adjusted peak VO<sub>2</sub> (i.e., lean peak VO<sub>2</sub>) is a 218 better assessment of CRF than peak VO<sub>2</sub> relative to total body weight, especially in 219 obese patients with HF in which high total body mass could induce to significant 220 underestimation of peak VO<sub>2</sub>. Indeed, the use of a peak VO<sub>2</sub>  $\leq$  19 mL•kg<sub>lean mass</sub><sup>-1</sup>•min<sup>-1</sup> 221 has been shown to be superior to a peak  $VO_2 \le 14 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$  in estimating prognosis 222 in transplant-free survival patients[69]. A cut-off of 14 mL•kg<sup>-1</sup>•min<sup>-1</sup> was previously 223 established based on a study in a small cohort of HF with reduced EF (HFrEF), showing 224 that values of peak VO<sub>2</sub> below this threshold were associated with a lower one-year 225 survival compared to transplanted patients[34].

Despite normal or relatively normal CO, peak VO<sub>2</sub> is often reduced in patients with HFpEF[61]. Based on the Fick equation, this has been suggested to be the result of impaired oxygen diffusion capacity and structural abnormalities at the skeletal muscle level. Patients with HF, in fact, present with dysfunctional SMM[35,70], therefore the measure of the amount of SMM may not be sufficient if not paralleled by an assessment of its functionality.

232 From a physiologic perspective, the heart pumps blood into the body and when 233 muscles receive the delivered  $O_2$ , their mitochondria will extract that  $O_2$  and generate 234 ATP to support muscle contraction. HF patients present with a significantly lower 235 mitochondrial functionality at a skeletal muscle level [71], and better mitochondrial 236 oxidative capacities have been associated with higher peak VO<sub>2</sub> in HFpEF[72]. The 237 concept that patients with HF present a dysfunctional SMM has been reported in a 238 number of investigations over the years, but mostly in patients with HFrEF. 239 In addition, older patients with HFpEF were recently found to have a lower peak 240 skeletal muscle-specific VO<sub>2</sub> compared to relatively healthy subjects, suggesting an 241 impairment of muscle composition and functionality [73]. Moreover, HFpEF patients 242 have lower expression of key oxidative mitochondrial enzymes[72]. 243 Composition and structure of skeletal muscle may also play a major role in 244 impaired CRF. A recent elegant magnetic resonance imaging study has shown that 245 infiltration of fat in the thigh muscle was, in fact, the strongest predictor of peak VO<sub>2</sub> 246 (Figure 4)[74]. The authors speculated that infiltrative fat may not allow the muscle to 247 be completely perfused, resulting in a reduced oxygen utilization and delivery.

248 These findings suggest that therapies aimed at increasing LM (i.e., resistance

training) and improving LM quality to reduce the amount of infiltrative FM, are potential

250 beneficial therapeutic strategy in HF.

251

## 252 FAT MASS AND CARDIORESPIRATORY FITNESS

253 In the past, FM or adipose tissue was simply considered a lipid storage depot.

- 254 However, FM can produce a number of pro-inflammatory cytokines with
- 255 cardiodepressant properties, such as interleukin (IL)-1, IL-18 and tumor-necrosis-factor
- $256 \quad \alpha$ [75–77]. It has been suggested that the pro-inflammatory activity of FM is responsible,

at least in part, for the development of cardiac dysfunction and for the increased risk of

258 HF in obese subjects[78]. Moreover, adipose tissue can be classified in brown adipose

tissue (BAT), white adipose tissue (WAT) and finally beige adipose tissue, the latter

260 describing the transition of WAT toward characteristics more similar to BAT (i.e.,

261 increased thermogenesis).

The products of the adipose tissue, often called 'adipokines', can also affect
overall functional capacity[79,80]. Particularly, the relationship of the adipokines

adiponectin, leptin and resistin with HF has been investigated over the years.

265 In non-HF patients adiponectin levels are positively associated with insulin 266 sensitivity and lower risk of DM[81], suggesting a protective role of this adipokine on 267 glucose metabolism. Conversely, in patients with HF higher levels of adiponectin are

- associated with worse prognosis[82,83] and impaired CRF[84](Figure 5). The exact
- 269 mechanisms of cardiac and functional impariments involving adiponectin are unclear.

270 Patients with HF present an adiponectin-resistance state at the skeletal muscle 271 levels[84]. This is the result of lower expression of AdipoR1 receptor, paralleled by an 272 increased amount of adiponectin levels, potentially as compensatory mechanism. 273 However, adiponectin can be produced by the heart itself[85] and suggested to 274 be released in stress and/or catabolic states such as in HF. This may potentially explain 275 why adiponectin is inversely associated with peak VO<sub>2</sub> in HF patients. Also, adiponectin 276 is associated with BNP, a well-known prognostic marker in HF, which can, in turn, 277 enhance adiponectin levels [86]. Finally, adiponectin levels correlate with the severity of 278 HF[87] and is an independent predictor of mortality in this population[82,83]. Of note, 279 levels of adiponectin in non-HF subjects, do not seem to predict the development of 280 HF[88]. 281 Leptin is also produced by the adipose tissue and is highly involved in energy 282 metabolism, mainly by inducing satiety[76]. However, obese subjects are characterized 283 by increased amount of circulating leptin as a result of a leptin-resistance state[76]. 284 Conversely to adiponectin, leptin predicts the development of HF[89], likely as a 285 result of increased FM. Moreover, leptin has been associated with worse CRF, inversely 286 with peak VO<sub>2</sub> in HFpEF patients[90], and positively with VE/VCO<sub>2</sub> slope in non-cachectic 287 HFrEF subjects[91], portending toward worse prognosis. While the effects of leptin on 288 peak VO<sub>2</sub> seem to be the result of increased amount of FM, the effects on VE/VCO<sub>2</sub> may 289 be independent of FM since the latter is associated with lower VE/VCO<sub>2</sub> independent of 290 leptin levels[91].

291 Resistin is the least studied of the three adipokines in regard of its relationship 292 with HF. Similarly to leptin, it has been associated with incident HF[88], but the 293 mechanisms underlying the increase HF risk requires further study. This is highlighted by 294 the fact that, to date, studies investigating the role of resistin in HF, and specifically as it 295 relates to CRF are lacking.

296 The role of BAT and WAT in the development and progression of HF has also 297 recently received attention, particularly after the discovery of functional BAT in human 298 adults[92]. BAT regulates body temperature and metabolic rate, and releases energy in 299 the form of heat, a mechanism mediated by the mitochondrial uncoupling protein 1 300 (UCP1) and the beta-3 adrenergic receptors[93]. WAT instead, is mainly responsible for 301 the production of the majority of the proinflammatory cytokines described above with 302 detrimental effects on metabolism and cardiovascular system. 303 Increasing BAT activity and inducing beiging of the WAT has been proposed as 304 target for the treatment of obesity and diabetes by increasing energy expenditure and 305 improving insulin sensitivity, and more recently for cardiovascular diseases[94]. In 306 different HFpEF mouse models, an increase amount of BAT and beiging of WAT has been 307 reported, however, BAT becomes highly dysfunctional and presents lower UCP1[95].

308 The treatment with a beta-3 adrenergic agonist in an attempt to simulate BAT 309 activity has recently shown to be neutral on CRF in HFrEF patients[96]; however, the 310 effects in HFpEF patients are unknown. Since HFpEF is highly associated with metabolic 311 abnormalities and the presence of a dysfunctional BAT has been confirmed in animal

312 models of HFpEF, we could speculate that targeting BAT may be proposed in this313 population.

314 The role of FM has been investigated thoroughly over the years as it relates with 315 the increased risk of HF. However, whether the overall amount of FM is a major 316 contributor to impaired CRF, and specifically to reduced peak VO<sub>2</sub> remains to be 317 determined. Due to its low blood flow requirements, FM was at first thought to only 318 minimally contribute to CRF, and more specifically to peak VO<sub>2</sub>. Recently, however, FM 319 index (kg/m<sup>2</sup>) has been associated with worse peak VO<sub>2</sub> in patients with HFpEF (Figure 320 6)[90]. This finding is extremely relevant, especially since measures of adiposity (i.e., FM 321 index, leptin) were not associated with neither resting or peak exercise cardiac systolic 322 or diastolic function parameters, suggesting an independent-non cardiac role of FM in 323 impairing CRF once HFpEF is diagnosed in obese patients[90]. 324 Similarly, a caloric restriction-induced weight loss, mostly due to FM loss, 325 showed an improvement of CRF measured as peak VO<sub>2</sub> relative to body weight in obese 326 HFpEF patients, however, with no significant or clinically relevant improvements in 327 cardiac function[97]. The relationship of FM with CRF has also been confirmed in non-HF 328 non-obese subjects, in which body fat percentage has been associated with lower peak 329 VO<sub>2</sub>[98]. 330 These findings taken together suggest that obesity and particularly FM are major 331 contributors of impaired CRF not necessarily through impairment of cardiac function,

and that FM-loss targeted therapeutic strategies may improve CRF, especially in HFpEF.

The long-term effects of therapeutics targeting body composition changes in HF have not been explored. The Action for Health in Diabetes (Look AHEAD study)[99–101] and the HF-ACTION[102] have explored the role of lifestyle intervention (diet and/or exercise) on a number of cardiovascular outcomes, including HF hospitalization as one of the composite. However, none of these trials assessed the effects of body composition changes on CRF and clinical outcomes.

339

## 340 CONCLUSION

341 Body composition compartments play a major role in the development and

342 progression of HF, especially in HFpEF, in which obesity is exceptionally prevalent.

343 While LM is associated with improved CRF and therefore its increase through resistance

344 training may represent a beneficial therapeutic strategy in HF, a reduction of FM is

345 warranted to improve CRF, particularly in HFpEF. Whether this is true, and whether

346 body composition improvements result in improved clinical outcomes requires further

347 study.

348

## 349 **FUTURE PERSPECTIVE**

350 Modulation of body composition compartments represents a therapeutic

351 strategy that may result in beneficial outcomes in patients with HF. The potential of

352 exercise training and diet is very strong, but also pharmacologic approaches resembling

353 the effects of body composition changes (i.e., BAT pathway activation) should be tested,

354	especially in	n HFpEF in which	metabolic abno	rmalities are h	nighly p	prevalent and	effective

- 355 therapeutic strategies are lacking.
- 356

#### 357 **EXECUTIVE SUMMARY**

358

## **Cardiorespiratory Fitness and Heart Failure**

359 Cardiorespiratory fitness (CRF) is one of the best predictors of mortality in

360 patients with heart failure (HF). The gold-standard measurement of CRF through the

- 361 cardiopulmonary exercise testing (CPX) allows to measure several parameters including
- 362 the amount of oxygen consumed at peak exercise (peak oxygen consumption [VO<sub>2</sub>]).

363

#### 364 Peak VO<sub>2</sub> Determinants

365 Peak VO<sub>2</sub> at maximal symptom-limited CPX is considered the most objective

366 measurement of exercise capacity and is reduced in patients with HF. While in the past

367 abnormal CRF measured as reduced peak VO<sub>2</sub> was thought to be mainly the result of

368 cardiac function abnormalities, it is now clear that the amount, quality and functionality

369 of the body composition compartments also play a crucial role.

370

#### 371 **Body Composition Models**

372 Body composition assessment can differentiate total body mass into different 373 compartments such as fat mass (FM) and fat-free mass (FFM). Different models of body 374 composition exist. In fact, FFM can be further divided in lean mass (LM) and bone 375 content. One of the major components of LM is skeletal muscle mass (SMM), and due to

the challenges of measuring SMM, FFM and LM are considered valuable surrogates forSMM.

378

### 379 **Obesity, Body Composition and Heart Failure Risk**

Body mass index (BMI)  $\geq$  30 kg/m<sup>2</sup> is used to diagnose obesity. However, the

381 World Health Organization defines obesity as an excess body fat that impairs health.

382 Obesity and increased adiposity are independent risk factors for HF, particularly for HF

383 with preserved ejection fraction (HFpEF) in which up to 85% of patients are, in fact,

obese.

385

#### 386 Lean Mass and Cardiorespiratory Fitness

387 Increased amount of SMM are associated with improved CRF in HF, suggesting

388 that therapeutic strategies targeted at increasing SMM or LM (i.e., resistance training)

389 may exert beneficial effects once HF is diagnosed. Adjustments of peak VO<sub>2</sub> for LM has

390 also been suggested to be superior in estimating one-year prognosis in HF with reduced

391 ejection fraction (HFrEF) patients compared to the peak VO<sub>2</sub> adjusted for total body

392 mass.

393

## 394 Fat Mass and Cardiorespiratory Fitness

395 Increased FM or adipose tissue is associated with reduced peak VO<sub>2</sub>. Recent

396 reports in obese HFpEF patients suggest that the effects of FM on CRF are not

397 necessarily associated with parameters of cardiac dysfunction. Caloric restriction-

	398	induced weight loss,	mostly due to	FM loss, improves	exercise capacity in	obese HFpEF
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- 399 patients, without clinically significant improvements in cardiac function. Adipose tissue
- 400 can also synthesize several adipokines (i.e., leptin, adiponectin, resistin), which may, in
- 401 turn, affect exercise capacity and predict the development and progression of HF.
- 402

## 403 Body Composition Changes and Clinical Outcomes in Heart Failure

- 404 In patients with HF, the effects of therapeutic interventions targeting body
- 405 composition compartments on clinical outcomes (i.e., mortality, hospitalization) are still
- 406 unclear and further study is warranted.
- 407
- 408

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Figure 1. Body Composition models. The two-compartment model divides total body
mass in Fat Mass and Fat-free Mass. More sophisticated body composition assessment
further divides the Fat-free mass in Lean Mass and Bones. Lean Mass, the best surrogate
for Skeletal Muscle Mass, can be further divided in Total Body Water (Extra- and Intracellular), Total Body Protein, Carbohydrates and Soft Tissue Minerals. Modified with
permission from Prado CMM et al[50]

700 Figure 2. Hypothetic relationship between obesity phenotypes, cardiac function and

701 cardiorespiratory fitness in patients with heart failure. The figure highlights the

proposed major role of body composition, obesity phenotypes and lean mass in the

703 development and progression of cardiac dysfunction and cardiorespiratory fitness

abnormalities. Modified with permission from Carbone S et al[51]

705

706 Figure 3. Proposed mechanisms driving obesity to heart failure (HF) and to the 'obesity

707 paradox' once HF is diagnosed. The black arrows indicate the potential detrimental

708 effects of body composition components (fat mass and lean mass) on cardiorespiratory

fitness (CRF) cardiac function and eventually HF development. The gray arrows indicate

the potential mechanisms by which body composition improves CRF. SVR = systemic

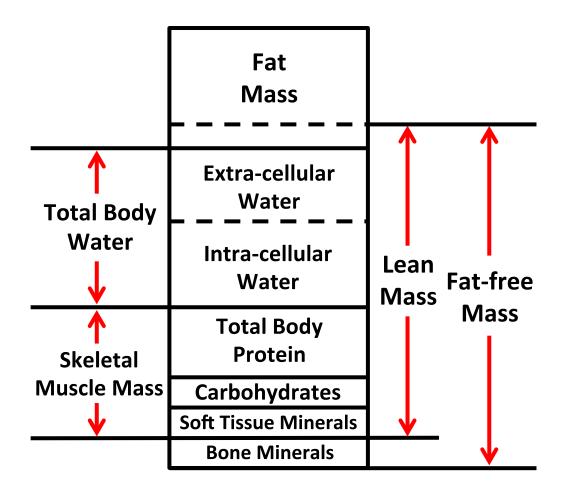
711 vascular resistance; LV = left ventricle; LVH = left ventricular hypertrophy; HF = heart

failure. Modified with permission from Carbone S et al[10]

713

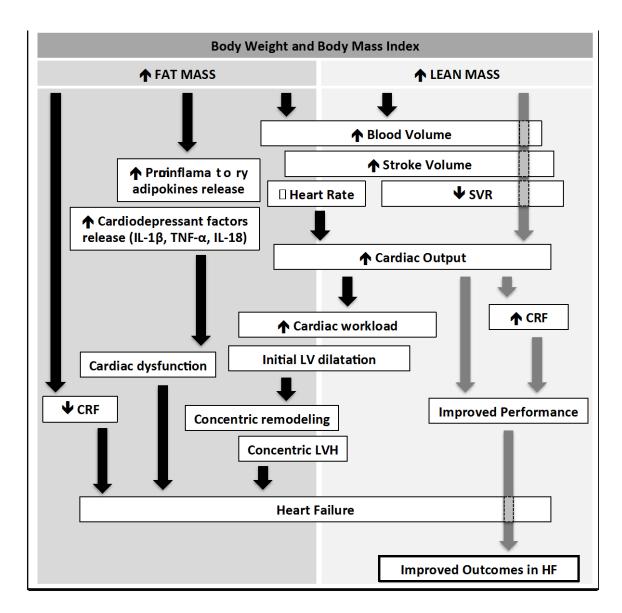
Figure 4. Structural abnormalities of skeletal muscle mass in Heart Failure with

715	preserved Ejection Fraction (HFpEF). Magnetic resonance imaging axial image of the
716	mid-thigh in healthy control (HC) subjects and in a patient with HFpEF. Red = skeletal
717	muscle; green = intramuscular fat; blue = subcutaneous fat; purple = femoral cortex;
718	yellow = femoral medulla. Intramuscular fat (green) is substantially increased in the
719	patient with HFpEF compared with the HC despite similar subcutaneous fat. With
720	permission from Haykowsky et al[73]
721	
722	Figure 5. Adiponectin plasma levels and peak oxygen consumption (VO $_2$ ) in patients
723	with Heart Failure. Correlation of adiponectin plasma levels with cardiorespiratory
724	fitness measured as peak VO <sub>2</sub> . With permission from Berendoncks et at [84]
725	
726	Figure 6. Fat Mass and peak oxygen consumption (VO <sub>2</sub> ) in patients with Heart Failure
727	with preserved Ejection Fraction (HFpEF). Correlation of measure of adiposity (Fat Mass
728	Index) with cardiorespiratory fitness measured as peak VO $_{2}$ in HFpEF. Modified with
729	permission from Carbone S et al[90]



Body Composition and Obesity Phenotypes					
		Normal			<b>C</b>
		Weight	Athlete	Non-Sarcopenic Obese	Sarcopenic Obese
	BMI		Athlete >30		
	BMI Fat Mass	Weight		Obese	Obese
		<b>Weight</b> 18.5-25	>30	Obese >30	Obese >30
	Fat Mass	Weight 18.5-25 Normal	>30 Decreased	Obese >30 Increased	Obese >30 Increased

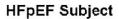


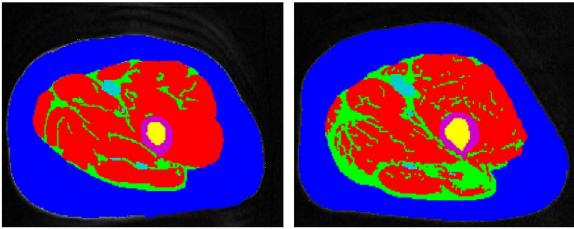


737 Figure 4

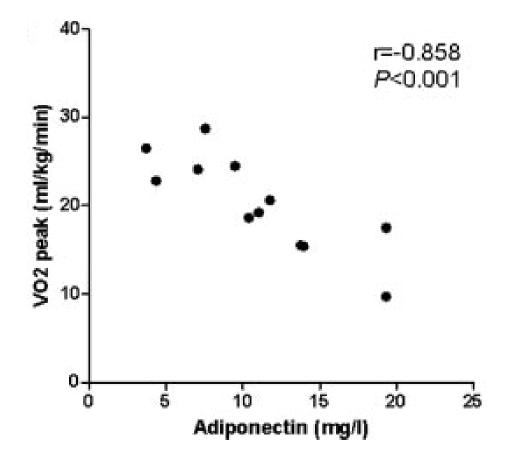
738







Skeletal muscle= 81.0 cm<sup>2</sup> Intermuscular fat= 14.2 cm<sup>2</sup> Subcutaneous fat= 106.6 cm<sup>2</sup> Total thigh area= 207.1 cm<sup>2</sup> Skeletal muscle =  $70.9 \text{ cm}^2$ Intermuscular fat =  $27.6 \text{ cm}^2$ Subcutaneous fat=  $96.1 \text{ cm}^2$ Total thigh area=  $200.7 \text{ cm}^2$ 



740 Figure 6

