1	Preterm infant gut microbiota affects intestinal epithelial development in a humanized
2	microbiome gnotobiotic mouse model
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ABSTRACT: Development of the infant small intestine is influenced by bacterial colonization. To 20 promote establishment of optimal microbial communities in preterm infants, knowledge of the 21 beneficial functions of the early gut microbiota on intestinal development is needed. The purpose 22 of this study was to investigate the impact of early preterm infant microbiota on host gut 23 development using a gnotobiotic mouse model. Histological assessment of intestinal 24 development was performed. The differentiation of four epithelial cell lineages (enterocytes, 25 goblet cells, Paneth cells, enteroendocrine cells) and tight junction (TJ) formation was examined. 26 Using weight gain as a surrogate marker for health, we found that early microbiota from a 27 28 preterm infant with normal weight gain (M_{PI}-H) induced increased villus height and crypt depth, increased cell proliferation, increased numbers of goblet cells and Paneth cells, and enhanced TJs 29 compared to the changes induced by early microbiota from a poor weight gain preterm infant 30 (M_{PI}-L). Laser capture microdissection (LCM) plus qRT-PCR further revealed, in M_{PI}-H mice, a 31 higher expression of stem cell marker Lgr5 and Paneth cell markers Lyz1 and Cryptdin5 in crypt 32 populations; along with higher expression of the goblet cell and mature enterocyte marker Muc3 33 in villus populations. In contrast, M_{PI}-L microbiota failed to induce the aforementioned changes 34 and presented intestinal characteristics comparable to a germ free host. Our data demonstrate that 35 36 microbial communities have differential effects on intestinal development. Future studies to identify pioneer settlers in neonatal microbial communities necessary to induce maturation may 37 provide new insights for preterm infant microbial ecosystem therapeutics. 38

39 NEW and NOTEWORTHY

Changes in the microbiome early in life may affect host physiology across the life span.
Early life interaction between host and luminal microbes was investigated using a mouse model
in which germfree mice were transfaunated with fecal lysates from human preterm infants. Our

data demonstrate that microbial communities affect differentiation of intestinal epithelial cell
lineages, which may lead to significant effects on developmental, defensive, and physiologic
processes of the gastrointestinal epithelium.

46

47 INTRODUCTION

The intestinal tract harbors the most abundant and complex microbial community in the human body and has a profound impact on human physiology, immune responses and metabolism (5). The traditional view of the gut microbiota as only a pathogenic threat has been fundamentally replaced by an appreciation of its many beneficial influences on human health. Recent studies have found that this human host-gut microbiota symbiotic relationship starts early in life. Infants may be colonized even before birth, possibly through prenatal maternal microbial transmission (10, 21, 34).

In normal full term infants, establishment of the gut microbiota proceeds in a stepwise 55 manner with facultative anaerobes as the pioneer settlers (13). Within weeks of life, strict 56 anaerobes become abundant with a dominant presence of *Bifidobacterium sp.* (14). The diversity 57 of the infant gut microbiota increases over time with a major shift at weaning, which coincides with 58 rapid morphological and functional gut maturation (12, 28). In contrast, colonization of the preterm 59 infant gut is perturbed by many factors including antibiotic treatment to mother/infant, delayed 60 enteral feeding and prolonged hospitalization (31). Exposures to those prenatal and postnatal insults 61 are associated with reduced diversity and microbial communities that may contribute to disease 62 pathogenesis in preterm infants (29, 50). 63

Increasing evidence suggests that intestinal development not only depends on genetic 64 factors but is also determined by the gut microbiota (19). Several studies have revealed a 65 regulatory role of the microbiota on gut development and morphogenesis by promoting epithelial 66 cell regeneration (2), modulating intestinal epithelial permeability (4), remodeling the intestinal 67 vascular system and promoting angiogenesis (38, 42). Impaired colonization in preterm infants has 68 been proposed as contributing to the dysmotility of the intestinal tract, immature epithelial barrier 69 function, and uncontrolled immune responses that lead to disease states including neonatal 70 necrotizing enterocolitis (NEC) (20). Intestinal development in the preterm infant may depend on 71 72 optimization of gut microbial colonization in preterm infants. Characterization of an "ideal" early colonization pattern is needed based on an understanding of the "beneficial" functions of 73 the early gut microbial residents on intestinal development. 74

Previously, we utilized a humanized microbiome gnotobiotic mouse model and cDNA 75 76 microarray profiling to dissect the function of early microbiota colonization on global gene expression in the host. Using weight gain as a surrogate marker for health, we found that early 77 microbiota from a preterm infant with poor weight gain (M_{PI}-L) induced a baseline increased 78 inflammation phenotype in the transfaunated germ free mice; whereas early microbiota from a 79 preterm infant with normal weight gain (M_{PI} -H) induced a baseline decreased inflammation 80 phenotype in the host. These studies suggested the existence of an "optimal" early microbiota 81 community in preterm infants that may alter inflammation phenotypes and improve health outcomes 82 (26). The purpose of this present study was to further investigate the functions of early preterm 83 infant microbiota on host gut development and maturation. 84

We hypothesized that early colonization of the developing preterm gut influences small intestine development and maturation. We utilized our model of germ free mice transfaunated

with microbiota from fecal samples of human preterm infants with different growth rates. SPF 87 (specific pathogen free with endogenous murine microbiota) and GF (germ free without 88 microbiota) mice served as controls. Since nutrient absorption primarily occurs in the small 89 intestine, and the microbiota gradient increases dramatically from duodenum to ileum, our 90 studies focused on the ileum to investigate the trophic effect of microbiota on gut development. 91 Gut development was evaluated by the parameters of villus height, crypt depth, epithelium cell 92 proliferation and apoptosis. Additionally, the microbial impact on differentiation of the four 93 epithelial cell lineages (enterocytes, goblet cells, Paneth cells, enteroendocrine cells) as well as 94 95 tight junction (TJ) formation was examined. Laser capture microdissection (LCM) to recover cell populations from either villus or crypt cell populations was used to examine effects on specific 96 intestinal cell subsets by detecting marker gene expression of the four cell lineages with real-time 97 quantitative reverse transcriptase polymerase chain reaction (qRT-PCR). Our data demonstrate 98 that different microbial communities specifically affect villus height, crypt depth, enterocyte 99 proliferation, and the number of goblet cells and Paneth cells. Comparisons of functional marker 100 gene expression in villus and crypt populations further revealed specific upregulations of mucin 101 3 (Muc3) in M_{PI}-H villi; and Lysozyme 1(Lyz1) and Cryptdin 5 in M_{PI}-H crypts compared with 102 M_{PI}-L. These data demonstrate that different microbial communities have differential effects on 103 intestinal development. Effects of M_{PI}-L were notably similar to those from GF mice, suggesting 104 that certain microbial communities may fail to induce maturation. Differences in the initial 105 106 microbiota colonization and the resulting impact on intestinal maturation may contribute to preterm infant health, further supporting the existence of an optimal early preterm infant 107 108 microbial colonization community.

109

110 MATERIALS AND METHODS

111 Subjects

This study was designed to investigate the influence of the early microbiota on the 112 developing gut using gnotobiotic mice transplanted with the early microbiota from preterm 113 infants. Subjects were recruited from the neonatal intensive care unit (NICU) at The Comer 114 Children's Hospital of the University of Chicago. As growth can be used as a marker of health in 115 infants, we arbitrarily selected two preterm human infants with normal >10gm/k/day weight gain 116 or decreased <10gm/kg/day weight gain as the donors for microbiota transplantation. The two 117 preterm infants were comparable in gestational age (27-week GA), mode of delivery (cesarean 118 119 section), feeding pattern (breast fed) and exposure to antibiotics (48 hour administration of ampicillin and gentamicin immediately after birth). Based on our previously published work 120 demonstrating a temporal progression of preterm infant microbiota with distinct clustering at < 2121 122 weeks of life (6), the early microbiota (< 2 weeks of life) from preterm infants with normal growth (M_{PI}-H) and low growth (M_{PI}-L) were collected for further analysis and transfaunation 123 studies. At the time the samples were obtained, both were receiving total parenteral nutrition 124 (TPN) intravenously, as well as their own mother's non-fortified breast milk from frozen stores 125 via nasogastric tube (26). 126

128 Subject compliance/protection and informed consent

Specimen collection procedures involved minimal physical risk to subjects. The
procedures were approved by the Institutional Review Board (IRB), approval 14991B, and
written consent was obtained from patient parents.

132

133 Mice

All procedures were carried out in accordance with Institute guidelines at the University 134 of Chicago. Germ free (GF) C57BL/6J mice were maintained in the gnotobiotic facility of the 135 Digestive Disease Research Core Center (DDRCC) at the University of Chicago. GF colonies are 136 routinely tested for microbes and parasites by the facility's staff to ensure germ-free conditions. 137 Conventional C57BL6J mice (From the Jackson Laboratory) were bred and housed in the animal 138 care facilities of the University of Chicago under specific pathogen free environment (SPF) 139 conditions. All groups of mice were allowed ad-libitum access to Harlan Teklad 7012 (SPF) or 140 its autoclaved equivalent NIH 31 (GF, M_{Pl}) chow. Small intestine tissues were obtained from 141 mice at 3 weeks of age. All animal studies were approved by the Institutional Animal Care and 142 Use Committee of the University of Chicago (permit number 71703). 143

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145 Colonization experiments

To initiate microbial colonization, pregnant GF female 8- to 9-week-old mice (estimated between E15-17) were gavaged with 0.25 ml of freshly prepared fecal homogenate from frozen fecal samples of the preterm human infant donors (M_{PI}-L, M_{PI}-H), n=3 dams for each gavage group. Each dam had several liters. Each of the litters is small (ranging from 3-7 pups), which in our experience is typical for germ free or gnotobiotic litters. In each experiment 4-6 pups were

randomly picked from different litters. Pups delivered spontaneously and naturally acquired the
microbiota of interest. Litters remained with the mother to allow natural passage of intestinal
microbes. These pups were studied in parallel with age-matched SPF and GF controls.

154

155 Histochemistry, immunohistochemistry and immunofluorescence

i) Morphology (small intestine length, villi height and crypt depth)

The small intestine was dissected from the pylorus to the cecum. The distance between pylorus and cecum was measured as the small intestine length. Ileum was fixed with buffered formalin and embedded in paraffin. Serial histological sections of 4 μ m thickness were cut, deparaffined, rehydrated and stained with hematoxylin and eosin (H&E) for morphometric analysis under a light microscope. Villus height and crypt depth were measured in the ileum of GF, SPF and M_{PI} mice using Image J software. At least 100 well-oriented villi and crypts were measured in at least three individual mice from each group for this study.

164 *ii)* Proliferation and apoptosis

Ileum sections were blocked in 10% normal goat serum (Sigma, St. Louis, MO) for 1 h at 165 room temperature and then incubated with rabbit monoclonal Ki67 antibody (Abcam, Cambridge, 166 167 MA) at 1:25 dilution, followed by an Alexa Fluor 594-conjugated secondary antibody (Invitrogen, Camarillo, CA). Nuclei were labeled with 4', 6-diamidino-2-phenylindole (DAPI) 168 (100ng/ml) (Sigma, St. Louis, MO). Coverslips were mounted on slides using SlowFade Gold 169 anti-fade reagent (Invitrogen, Grand Island, NY). Images were acquired by Olympus TIRF 170 microscope (Olympus Corporation of the Americas, Center Valley, PA) and analyzed using 171 Slidebook 5.0 software (Intelligent Imaging Innovations, Denver, CO). Cell proliferation was 172

quantified by assessing Ki67-positive nuclei as a percentage of total nuclei in each high power
field (minimum 12 HPFs were counted per mouse). At least three mice were used for each group.
Formalin-fixed, paraffin-embedded ileum sections were assessed for apoptotic cells by terminal
deoxynucleotidyl transferase-mediated dUTP nick end labeling (TUNEL) assay using the In Situ
Cell Death Detection Kit, TMR Red (Roche, Indianapolis, IN). The nuclei were counter-stained
with DAPI. Slides were examined with a Leica TCS SP2 Confocal Microscope. ImageJ software
was used to illustrate the localization of TUNEL positive nuclei.

180 *iii) Goblet cell staining*

For goblet cells, ileum sections were stained with H&E to assess cellular morphology and with Periodic-acid Schiff (PAS) (Newcomer Supply, Middleton, WI) to visualize mucincontaining goblet cells. The total number of PAS-positive cells per villus crypt unit was determined.

185 *iv)* Paneth cell staining

The population of Paneth cells present in intestinal crypts was detected using the 186 phloxine-tartrazine technique (23). Nuclei in ileal sections were stained with hematoxylin for 45 187 sec. After a brief wash in water, sections were stained in phloxine solution (0.5 g of phloxine B 188 and 0.5 g of calcium chloride in 100 ml of distilled water) for 30 min, successively rinsed in 189 water and differentiated with a saturated tartrazine solution (2.5 g of tartrazine in 100 ml of 190 Cellosolve) for 5 min. Sections were rinsed, mounted and evaluated using light microscopy 191 192 wherein Paneth cell granules appear in red, nuclei in blue and other tissue in yellow. The number of positive Paneth cells was assessed by counting phloxine-tartrazine positive cells per crypt in 193 194 10 representative microscopic fields $(200\times)$ for each ileum sample.

195 *v)* Chromogranin A staining of enteroendocrine cells

Chromogranin A (ChrgA) is found in the secretory granules of a wide variety of 196 endocrine cells (35). Each ileal section was stained with polyclonal Rabbit anti-ChrgA antibody 197 (1:500 dilution) (Abcam, Cambridge, MA), an anti-rabbit HRP (Dako, Carpinteria, CA) was 198 applied as the secondary antibody. Positive staining was visualized with DAB chromogen and 199 nuclei counterstain was performed with hematoxylin. Enteroendocrine cells appear brown on the 200 background of hematoxylin and eosin staining. ChrgA-positive cells were counted in the ten 201 most stained microscopic fields per slide using a ×40 objective. The numbers of villus/crypt units 202 (VCU) were also counted manually in each field. Numbers of ChrgA positive cells were 203 204 expressed per VCU for each field. Measurements were made in a blinded fashion, and conducted on a minimum of 3 animals per group. 205

206 *vi*) *TJ* proteins staining

Formalin-fixed, paraffin-embedded ileal sections were blocked with 10% goat serum. 207 Tissue sections were incubated with Alexa Fluor® 594 conjugated occludin mouse monoclonal 208 antibody (Invitrogen, Camarillo, CA) and Alexa Fluor® 488 conjugated ZO-1 mouse 209 monoclonal antibody (Invitrogen, Camarillo, CA). After overnight incubation at 4 °C, the 210 sections were washed three times with PBS. The nuclei were stained with DAPI (100ng/ml) 211 (Sigma, St. Louis, MO). Coverslips were mounted on slides using SlowFade Gold anti-fade 212 reagent (Invitrogen, Grand Island, NY). Images were acquired by Olympus TIRF microscope 213 (Olympus Corporation of the Americas, Center Valley, PA) and analyzed using Slidebook 5.0 214 215 software (Intelligent Imaging Innovations, Denver, CO)

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217 Laser capture microdissection (LCM) plus qRT-PCR

Two-centimeter-long segments from the ileum were prepared for LCM using protocols 218 described previously (43). All sectioning and subsequent specimen handling procedures were 219 conducted under RNase free conditions. Well-oriented crypts or villi from nuclear fast red 220 stained 7µm thick cryostat sections were harvested using a Leica LMD 6500 System (Leica 221 Microsystems Inc., Buffalo Grove, IL) ($n \ge 50$ crypt or villi per mouse; three mice per group 222 were evaluated). Total cellular RNA was extracted using the Arcturus PicoPure RNA isolation 223 kit (Arcturus, Mountain View, CA). Quantity and quality of RNA was analyzed using an RNA 224 LabChip and BioAnalyzer 2100 (Agilent Technologies, Santa Clara, CA). cDNA corresponding 225 226 to 1 ng of purified RNA was added to each 10-µl quantitative RT-PCR (qRT-PCR) mixture, which also contained 5 µl of 2× SYBR green master mix (Applied Biosystems, Carlsbad, CA), 227 and 500 nM gene-specific primers (see Table 1). A melting curve was used to identify a 228 temperature where the amplicon (and not primer-dimers) were the source of SYBR green-bound 229 fluorescence. Each assay per compartment per mouse was performed in triplicate and the data 230 were normalized to 18S RNA level by delta-delta Ct ($\Delta\Delta C_T$) calculation (ABI 7900HT Sequence 231 Detection System) (Applied Biosystems, Foster City, CA). 232

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234 Statistics

ANOVA analysis and a post-hoc Tukey honest significance (HSD) test were used to compare the differences among groups. Findings are presented as the mean \pm SD and a p < 0.05was considered statistically significant.

238

240 **RESULTS**

241 Preterm infant microbiota transfaunation in GF mice influences small intestine growth and
242 development

In our previous study, we found that human microbiota transfaunated mouse pups could 243 recapitulate a human infant growth phenotype. The mouse pups born to a mother transfaunated 244 with microbiota from an infant with poor weight gain (M_{PI}-L) had a lower weight at weaning 245 than pups receiving microbiota from an infant with normal weight gain (M_{PI} -H) (26). The small 246 intestine is the major site of nutrient absorption and its length represents the surface area 247 available for nutrient uptake. To characterize the effects of preterm infant microbiota on the 248 249 morphology of developing small intestine, 3-wk-old mouse pups transfaunated with preterm infant microbiota (M_{PI}-L and M_{PI}-H) were examined. We measured small intestine length to 250 examine whether the weight gain pattern correlated with small intestinal growth. Age-matched 251 252 GF and SPF mice were used as reference controls. We found that the small intestine length was significantly greater in M_{PI}-H compared to M_{PI} -L pups (p<0.05) (Fig. 1A). We next measured 253 ileal villus height and crypt depth. Both villus height and crypt depth were significantly greater 254 in M_{PI} -H mice compared to M_{PI} -L mice (p< 0.01) (Fig. 1B-C). 255

To determine the cellular basis for the increase in villus height and crypt depth, we measured the number of Ki-67 positive (proliferating cells) in the ileum by immunofluorescence staining. As shown in Fig. 2A-B, the numbers of proliferating cells were significantly higher in M_{PI} -H (p< 0.05) and SPF (p< 0.01) ileum compared to M_{PI} -L and GF mice. Ki-67 staining showed similar proliferation rates for GF and M_{PI} -L mice (16.10%±2.37% and16.48%±2.17%), whereas proliferation rate increased to 22.79%±5.65% in M_{PI} -H mice and to 29.93%±9.34% in SPF mice (Fig. 2B).

To determine if increased growth was associated with altered cell destruction in addition 263 to altered proliferation, we investigated the differences in cell death by TUNEL staining for 264 apoptotic cells. There were very few TUNEL positive signals present in any of the ileal tissues 265 examined. There was no significant difference among groups (Fig. 2C). 266 267 Early human microbiota affects small intestinal cell lineage differentiation in gnotobiotic mice 268 The small intestinal epithelium consists of four principal cell types deriving from one 269 multipotent stem cell: enterocytes, goblet cells, enteroendocrine cells, and Paneth cells. To 270 271 investigate the effect of different early human microbiota on the differentiation of intestinal epithelial cell lineages, we next compared the numbers of goblet cells, Paneth cells, and 272 enteroendocrine cells among GF, M_{PI}-L, M_{PI}-H and SPF mouse ileum. 273 274 PAS staining showed comparable numbers of goblet cells in GF, M_{PI}-H and SPF ileum. 275 However, M_{PI}-L colonized ileum showed significantly fewer goblet cells (Fig. 3A-B). Phloxine-tartrazine staining was performed to investigate Paneth cell development. 276 Paneth cells were identified by the distinct secretory granules (red stained) at the base region of 277 the crypts (Fig. 4A arrows). Phloxine-tartrazine positive cells in ileal sections of GF, M_{PI} and 278 SPF mice were quantified and demonstrated a marked increase in M_{PI}-H mouse ileum compared 279 to that of M_{PI}-L ileum (1.28 ± 0.11 vs. 0.58 ± 0.15 , P<0.01, Fig. 4B). No difference was 280 281 observed between M_{PI}-L and GF mice (Fig. 4B). 282 Enteroendocrine cells are distributed in the epithelium as single, scattered cells

surrounded by enterocytes and comprise less than 1% of the total epithelial cells (44).

Immunostaining for the pan-endocrine marker chromogranin A (ChrgA) revealed no significant
difference in enteroendocrine cell numbers among groups (Representative images shown in Fig.
5).

287

288 Altered epithelial barrier integrity in preterm infant microbiota transfaunated GF mice

The single layer of intestinal epithelial cells forms an effective barrier between the inner 289 and outer environment via the structure of tight junctions (TJs), desmosomes, adhesion junctions 290 and gap junctions (45). TJs are responsible for controlling the paracellular flux between the 291 epithelial cells, hence the permeability of the epithelial barrier (45). Immunofluorescence 292 staining for the tight junction proteins occludin and ZO-1 was performed. We compared the 293 expression and localization of these proteins among groups in 3-wk-old mouse ileum. Both 294 295 occludin and ZO-1 are located at the apical region and form a continuous staining pattern around the whole enterocyte layer in MPI-H and SPF mice (Fig. 6 E-H). In contrast, in MPI-L and GF 296 297 mice, there is lower expression of occludin and ZO-1 in the tissue, mostly in lower villus and crypt areas (Fig. 6 A-D). The discontinuous staining pattern at the villus surface in M_{Pl}-L and GF 298 mice suggests a less well-formed TJ assembly. 299

300

Microbial colonization altered gene expression in LCM isolated intestinal cells from villi/crypts
 of GF, M_{PI}-L, M_{PI}-H, and SPF ileum

LCM was used to investigate specific local gene expression responses to microbiota
 colonization in the small intestine. LCM permitted selective isolation of intestinal villus or crypt
 cells (Fig. 7A). The expected 18S and 28S ribosomal peaks indicated good integrity of the RNAs

(Fig. 7B). The purity of LCM villi cells/crypt cells was established by measuring the distribution
of Villin and Lgr5 mRNAs, respectively. Samples from villi had a strong villus specific signal
(Villin) and no significant signal for intestinal Lgr5 (a crypt-specific marker) by RT-PCR,
indicating minimal contamination by mRNA of crypt cells and vice versa for all the samples
from crypts (Fig. 7C).

The expression of specific marker genes of the four epithelial cell lineages was 311 determined to assess the responses of different epithelial cell types to microbiota colonization. 312 The expression of markers for enterocytes, goblet cells and enteroendocrine cells were 313 maximally present in the villus population, while markers for Paneth cells and stem cells were 314 expressed maximally in the crypt population. The gene expression for enterocytes markers, 315 mainly for absorptive and nutritional functions (e.g., apical sodium dependent bile acid 316 transporter (Abst)) was similar among all groups (Fig. 7D), markers for enteroendocrine cells 317 318 were not identified (e.g., Ngn3, Gastrin), possibly due to the low percentage of this cell type (data not shown). The increase in Paneth cell markers lysozyme 1(Lyz1), Cryptdin 5 in the crypt 319 population was detected in M_{PI}-H group compared to M_{PI}-L group, correlating with the increase 320 in Paneth cells in M_{PI}-H. A major cell surface bound mucin, Mucin 3 (Muc3) mRNA abundance 321 in villi was increased significantly in M_{PI}-H compared to that of M_{PI}-L. Muc2, a major secreted 322 mucin gene in the intestines was highly expressed, but no significant difference was observed 323 among the groups examined (Fig. 7D). 324

325

326 **DISCUSSION**

We previously reported that transfaunation of human gut microbiota in gnotobiotic mice 327 is a useful model for dissecting the role of preterm infant microbiota in modulating inflammatory 328 responses in the developing gut. Using this approach, we now investigate the effect of early 329 human preterm infant microbiota on the growth and differentiation of immature intestinal 330 epithelium. The impact of gut bacteria on intestinal development has been indicated by the 331 phenotypic differences between GF and SPF animals (1). The decreased intestinal surface (16), 332 reduced crypt depth (15), and decreased epithelial cell turnover in GF animals all suggest that gut 333 microbiota is essential for gut development (22). However, our study is the first to investigate the 334 335 effects of early preterm microbiota on intestinal morphology, cell lineage differentiation and gene expression. 336

The villus height and crypt depth in M_{PI}-H pups were significantly greater than that of 337 M_{PI}-L pups (Fig. 1B-C). Furthermore, we found that the ileum of M_{PI}-H mice had higher 338 339 expression of the intestinal stem cell marker Lgr5 and increased numbers of proliferating cells in the crypt and transit-amplifying region as indicated by significantly higher number of positive 340 Ki-67 stained cells in M_{PI}-H and SPF groups compared to M_{PI}-L and GF pups (Fig. 2A-B). 341 These data suggest that M_{PI}-H microbiota impact intestinal development by modulating intestinal 342 stem cells and proliferating transit amplifying cells that differentiate into mature cell lineages 343 (absorptive, goblet, and enteroendocrine cells). We correspondingly found higher numbers of 344 goblet cell and Paneth cells in MPI-H ileum. Goblet cells and Paneth cells are key components of 345 the mucosal barrier and play important roles in intestinal stem cell homeostasis (7, 42,41), host 346 defense and regulation of the intestinal microbiota (40, 48, 39, 47). Goblet cells synthesize and 347 secrete secretory and membrane bound mucins forming a barrier to prevent direct contact 348 between intestinal contents and the epithelial cell layer (46) In addition, studies also show the 349

350 involvement of mucins in fetal development, epithelial renewal, differentiation and integrity (35) (9, 27, 32). Muc2, a major secretory mucin in the intestine, was highly expressed but exhibited 351 no significant expression difference among the four groups. In contrast, we found that Muc3, the 352 most abundantly expressed membrane mucin in the small intestine was differentially expressed 353 with significantly higher expression in M_{PI}-H pups compared to the GF and M_{PI}-L pups (Fig. 354 7D). This indicates that Muc3 can be differentially regulated by the gut microbiota in the ileum. 355 Moreover, the mouse mucin Muc3 has been shown to inhibit apoptosis and stimulate cell 356 migration, implying a bioactive role in maintaining the integrity of the surface epithelial layer 357 358 (18).

359 Paneth cells produce antimicrobial compounds such as lysozymes, lactoferrin, and α - and β -defensions, which limit bacterial growth and regulate microbiota composition (52). As shown in 360 Fig. 4 and Fig. 7, there were significantly more Paneth cells and an upregulated expression of 361 362 Cryptdin 5 and Lyz1 in M_{PI}-H crypts compared to M_{PI}-L. In humans, the number of Paneth cells is lower in preterm infants compared with term infants (30, 40) and reduced Paneth cell number 363 is associated with NEC infants (53), suggesting that Paneth cell number in general can be used as 364 a developmental parameter of small intestine maturity. Furthermore, Human DEF5, and its 365 mouse counterpart Cryptidin 5, has been shown to protect the host against pathogens and shape 366 microbiota composition (39, 49). Furthermore, increased DEF5 expression in Paneth cells has 367 also been reported in term infants compared to preterm infants (30) and increased lysozyme 368 staining has been found in normal control infants compared to preterm NEC infants (8). Our 369 370 findings indicate that there is a better-developed mucosal defense in M_{PI} -H pups. The evidence that an increase in Paneth cell number and function is associated with specific microbial 371 communities, may have significant health implications for the preterm infant. 372

Using LCM analysis, we demonstrate a higher expression of stem cell marker Lgr5 in 373 M_{PI}-H crypts (Fig. 7). This is consistent with the finding that there was a higher proliferative rate 374 in M_{PI} -H mouse ileum. Furthermore, in these M_{PI} -H mice, there was higher expression of the 375 goblet cell and mature enterocyte marker, Muc3, as well as the Paneth cell markers Lyz1 and 376 Cryptdin 5 compared to M_{PI}-L mice (Fig. 7D). These data suggest that the interaction between 377 378 the early microbial community and the preterm gut in its most naïve and immature state may significantly influence intestinal development including stem cell self-renewal and 379 differentiation of the intestinal epithelium in the gnotobiotic mouse. 380

Over the past decade, a number of diseases, such as NEC (36), IBD (25), obesity and 381 382 metabolic disorders (33), have been found to be associated with decreased intestinal barrier function and increased intestinal permeability. Alterations in barrier integrity are associated with 383 changes in TJ protein expression and distribution. In our study, we found that both occludin and 384 385 ZO-1 formed a continuous apical staining pattern in M_{PI}-H and SPF mice, contrasted by the discontinuous expression patterns seen in M_{PI}-L and GF mice (Fig. 6). We speculate that the 386 upregulation of occludin and ZO-1 and more stabilized tight junction formation on the ileum 387 epithelial surface may limit bacteria translocation and strengthen the mucosal barrier in M_{PI}-H 388 and SPF mice. 389

390 Our findings are limited by the inclusion of only two microbial communities.

Furthermore, we have intentionally chosen to use samples from individual patients rather than pooled samples as combining organisms from different patients to create an artificial community of organisms not originally found together would have unpredictable functional results. Our findings suggest that certain microbial communities functionally promote maturation in epithelial cell lineages, while others may fail to promote growth and impact host innate immune

development hence leaving preterm infants vulnerable to disease.. Thus, this study highlights 396 specific roles for beneficial preterm infant communities that warrant further investigation. 397 Although the identification of the optimal preterm microbiota community is largely unknown, 398 our previous study showed that at the phylum level, there is a greater contribution of 399 Bacteroidetes (8.30 vs. 3.42) in M_{PI}-H colonized mice compared to M_{PI}-L mice (26). One 400 bacterium species Bacteroides thetaiotaomicron, has been demonstrated to stimulate intestinal 401 developmental changes during weaning (42). Future research must focus on identification of 402 pioneer settlers that contribute to the establishment of the optimal community and their 403 404 metabolites such as SCFA (butyrate), folate, bile acids, or vitamins, which may have trophic effects on gut development. Tandem analysis of preterm intestinal microbial transcriptomic, 405 proteomic, metabolomic profiles should be performed to further identify bacterial signals 406 stimulating intestinal maturation, intestinal lymphoid structure development, immune cell 407 differentiation, and immune mediator production. Understanding the key functions of microbial 408 communities is an important foundation for identifying clinical interventions to optimize and 409 protect developing preterm infant microbial communities. 410

411

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416

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580

581 FIGURE LEGENDS

582 Fig 1. Effect of M_{Pl} transfaunation on intestine length, villus height and crypt depth in ileum. A:

583 Total small intestine length was measured in GF, M_{PI} -L, M_{PI} -H and SPF groups (n = 3-6 mice).

B: Mean ileal villus height was measured in GF, M_{PI} -L, M_{PI} -H and SPF mice (n = 3-6 mice).C:

585 Mean crypt depth was measured in GF, M_{PI} -L, M_{PI} -H and SPF mice (n = 3-6 mice). Results are

presented as means \pm SD. One-way ANOVA with post-hoc Tukey's HSD test was used to

587 compare the groups. P < 0.05, P < 0.01.

588 Fig 2. Effect of M_{PI} transfaunation on cell proliferation and apoptosis in ileum. A:

- 589 Immunofluorescence detection of Ki-67 positive cells in ileum of GF, M_{PI}-L, M_{PI}-H and SPF
- 590 mice (n = 3-6 mice), arrows refer to the proliferative cells in the lower villus area. **B**: One-way
- 591 ANOVA with post-hoc Tukey's HSD test was used to compare the groups, *P < 0.05. C:
- 592 Representative TUNEL stained ileum sections in GF, M_{PI} -L, M_{PI} -H and SPF mice (n = 3-6
- 593 mice), arrows refer to the TUNEL positive cells. Bar = $50 \mu m$.

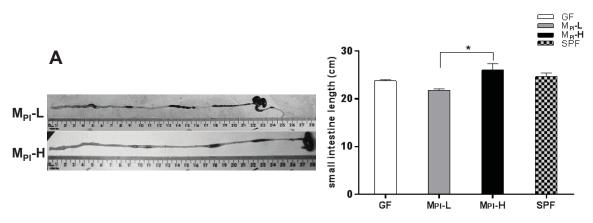
594 *Fig 3. Effects of M_{Pl} transfaunation on goblet cell number in ileum.* A: Higher magnification

- views of PAS positive goblet cells in ileum villi and crypts in GF, M_{PI}-L, M_{PI}-H and SPF mice.
- 596 **B**: Quantification of goblet cells in ileum in more than 10 fields per group (n = 4-6 mice).
- 597 Results are presented as means \pm SD. One-way ANOVA with post-hoc Tukey's HSD test was
- used to compare the groups. **P < 0.01. Bar = 50 μ m.
- 599 *Fig 4. Effects of M_{PI} transfaunation on Paneth cell number in ileum crypt.* A: Paneth cells
- 600 granules were revealed by phloxine-tartrazine staining in GF, M_{PI}-L, M_{PI}-H and SPF mice
- 601 (arrows) (n = 4-6 mice). B: Quantification of the number of Paneth cells revealed a significant
- induction of Paneth cells at M_{PI} -H crypts compared with M_{PI} -L. Results are presented as means \pm
- 603 SD. One-way ANOVA with post-hoc Tukey's HSD test was used to compare the groups.
- 604 **P < 0.01. Bar = 50 μ m
- Fig 5. Effects of M_{PI} transfaunation on enteroendocrine cell number in ileum. A: Chromogranin
- A staining for enteroendocrine cells in GF, M_{PI} -L, M_{PI} -H and SPF ileum (n = 4-6 mice). B:
- 607 Quantification of the number of enteroendocrine cells in ileum revealed no difference among
 608 groups. Bar = 50 μm.
- Fig 6. Effects of M_{PI} transfaunation on TJ protein expression. In ileum from GF and M_{PI} -L mice, occludin and ZO-1 staining was decreased with only residual staining remaining in the crypts region. In M_{PI} -H and SPF mice ileum, staining of both proteins was continuous along the villus epithelium layer. Figures with small letters represent higher magnification of the selected area in figures with capital letters. Sections from at least 3 mice were examined for each group. Bar = 50 μ m.

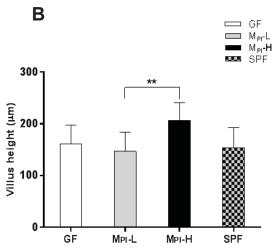
Fig 7. Altered gene expression in isolated ileal villi or crypts by LCM. A: The morphology of the extracted villi and crypts during LCM. B: Electropherograms of total RNAs from LCM isolated villus or crypt population. C: RT-PCR of cell compartment–specific genes (Villin for villus population and Lgr5 for crypt population) for cDNA made from total RNA isolated from LCMextracted villus or crypt cells, total RNA from whole section was added as control. D: Marker genes expression in villus or crypt in GF, M_{PI}-L, M_{PI}-H and SPF groups were analyzed by normalizing to18S RNA (n = 3-6 mice). Data are means ± SDs. One-way ANOVA with post-

hoc Tukey's HSD test was used to compare the groups. *P<0.05.

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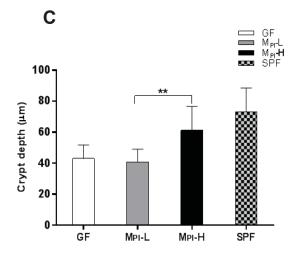


Tukey HSD Test				
M _{PI} -L M _{PI} -H SPF				
GF	p<0.01	n/s	n/s	
M _{PI} -L		p<0.05	p<0.01	
M _{PI} -H			n/s	



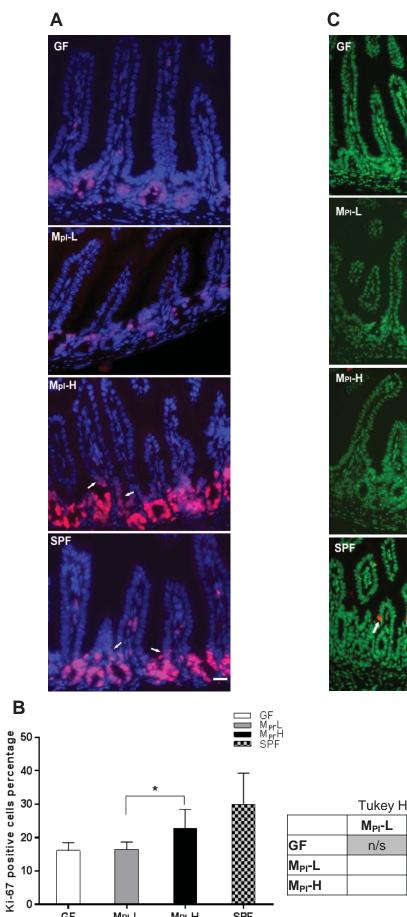
۰.						
0	(GF	Mpi-L	Мрі-Н	SPF	
			Tukey H	SD Test		
			M _{PI} -L	M _{PI} -H	SPF	
			10/0		10/0	

	M _{PI} -L	M _{PI} -H	SPF
GF	n/s	p<0.01	n/s
M _{PI} -L		p<0.01	n/s
M _{PI} -H			p<0.01



Tukey	HSD	Test
rancey	1100	1000

	M _{PI} -L	M _{PI} -H	SPF
GF	n/s	p<0.01	p<0.01
M _{PI} -L		p<0.01	p<0.01
M _{PI} -H			p<0.01



GF

Mpi-L

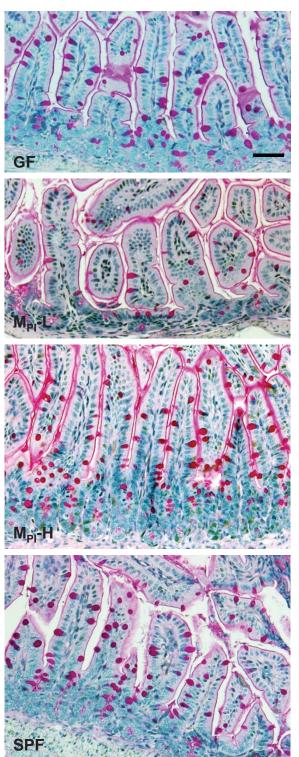
SPF

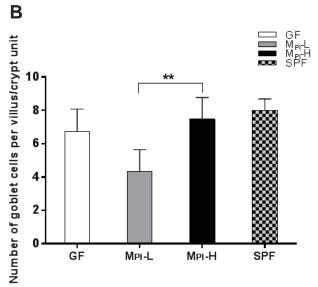
Мрі-Н

Mp-L	Mpi-H	SPF
Tukey H	SD Test	

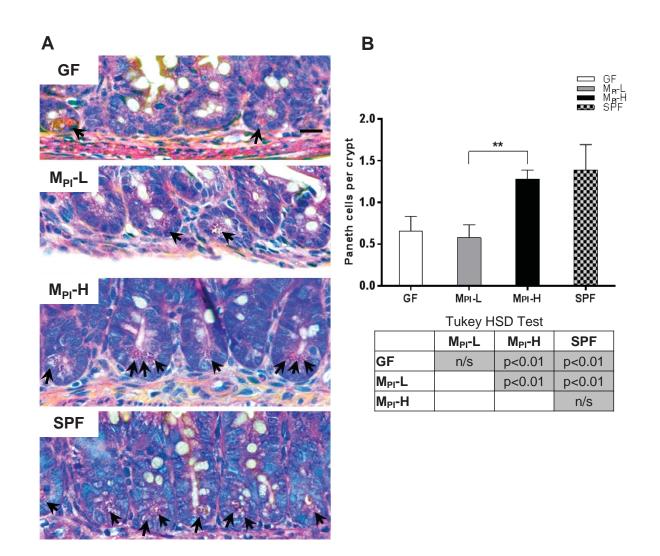
			511
F	n/s	p<0.05	p<0.01
_{PI} -L		p<0.05	p<0.01
_{РІ} -Н			p<0.05

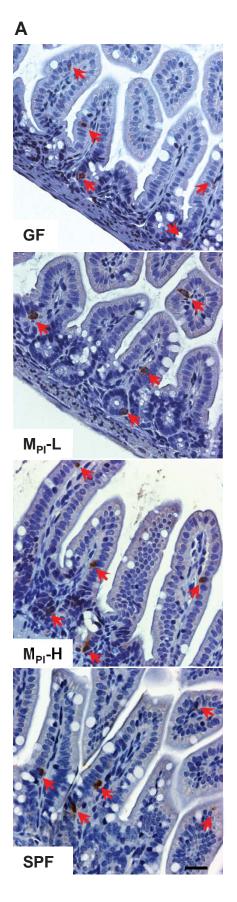
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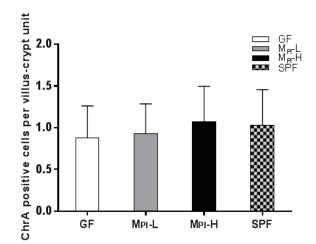




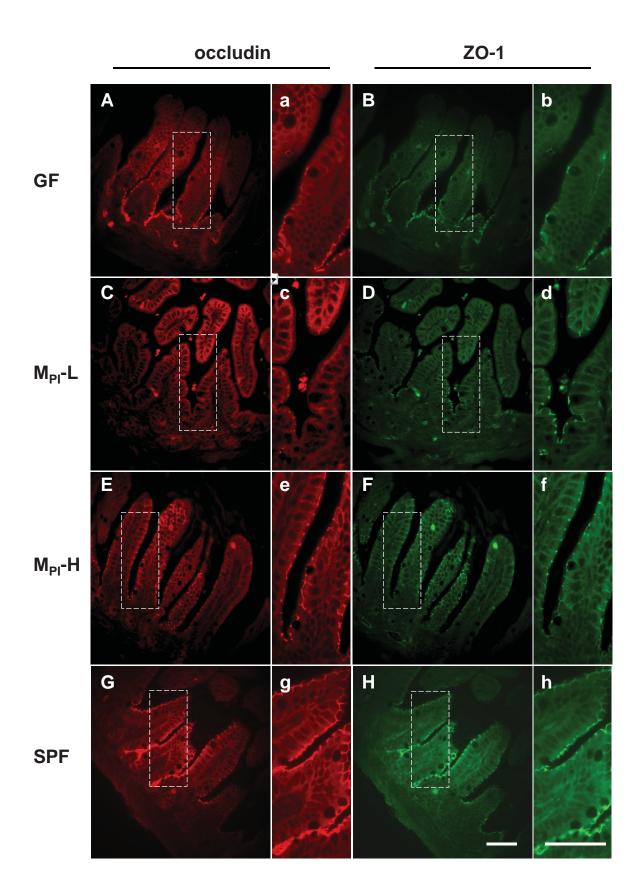
	M _{PI} -L	M _{PI} -H	SPF
GF	p<0.01	n/s	n/s
M _{PI} -L		p<0.01	p<0.01
М _{РІ} -Н			n/s

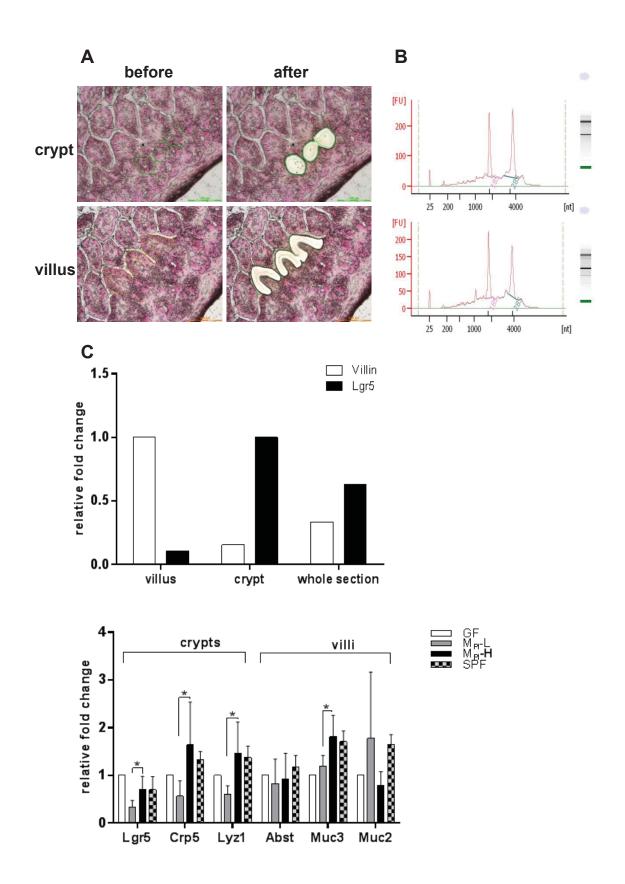






В





Product	Forward primer (5'→3')	Reverse primer (5'→3')
18S	GCAATTATTCCCCATGAACG	GGCCTCACTAAACCATCC
Villin	AAGTCTTCGGTGGACAGGTG	CGTTTTCACTGCCAATAC
Lgr5	CCTACTCGAAGACTTACCCAGT	GCATTGGGGTGAATGATAG
Crp5	AGGCTGATCCTATCCACAAAACAG	TGAAGAGCAGACCCTTCTTC
Lyz1	GAGACCGAAGCACCGACTATG	CGGTTTTGACATTGTGTTC
Abst	TGTCTGTCCCCCAAATGCA	TGCATTGAAGTTGCTCTCAGG
Muc2	ACCTCCAGGTTCAACACCAG	ATGGCAGTCCAGAGAGCA
Muc3	GAGACATGCAAGAAGGAGGC	CCAAGTCCATACACCAGG