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INDEPENDENT ACTIVATION OF HEPATITIS B VIRUS BIOSYNTHESIS BY
RETINOIDS, PEROXISOME PROLIFERATORS AND BILE ACIDS

Running title: Transcriptional regulation of HBV replication

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19 **ABSTRACT**

20

21 In the human hepatoma cell line, HepG2, retinoic acid, clofibrilic acid and bile acid
22 treatment can only modestly increase HBV biosynthesis. Utilizing the human embryonic
23 kidney cell line, 293T, it was possible to demonstrate that the retinoid X receptor α
24 (RXR α) plus ligand can support viral biosynthesis independently of additional nuclear
25 receptors. In addition, RXR α /peroxisome proliferator-activated receptor α (PPAR α) and
26 RXR α /farnesoid X receptor α (FXR α) heterodimeric nuclear receptors can also mediate
27 ligand dependent HBV transcription and replication when activated by clofibrilic acid and
28 bile acid, respectively, independently of a requirement for the ligand dependent activation
29 of RXR α . These observations indicate that there are at least three possible modes of
30 ligand-mediated activation of HBV transcription and replication existing within
31 hepatocytes suggesting multiple independent mechanisms control viral production in the
32 liver of infected individuals.

33

34 **INTRODUCTION**

35

36 HBV infection is primarily restricted to hepatocytes in the liver. This restriction
37 is believed to occur at two distinct levels (32). The receptor(s) involved in viral entry are
38 presumably present only on hepatocytes and govern species specificity (11). In addition,
39 viral biosynthesis is restricted in a tissue and cell-type specific manner because HBV
40 transcription is dependent on liver-enriched transcription factors (39,40). A variety of
41 nuclear receptors have been shown to regulate HBV pregenomic 3.5kb RNA synthesis
42 and hence viral replication (28,29,33). Three of these nuclear receptors, RXR, PPAR and
43 FXR, are ligand dependent transcription factors that are activated by retinoids,
44 peroxisome proliferators and bile acids, respectively (23,25). Therefore it is apparent that
45 the ligands for these nuclear receptors might be critical determinants of viral biosynthesis
46 under both normal and pathophysiological conditions within the liver of infected
47 individuals (12,34).

48 As the ligand-activated heterodimeric nuclear receptors, RXR α /PPAR α and
49 RXR α /FXR α , regulate HBV pregenomic RNA synthesis by the recruitment of
50 coactivators, it was of interest to evaluate the relative contribution of the individual
51 heterodimeric partners to the overall level of viral transcription and replication
52 (28,29,44). Characterization of the relative role of individual polypeptides to the
53 transcriptional activity of various heterodimeric nuclear receptors has been evaluated
54 (9,10,37). This approach indicated that one partner might play a dominant role in
55 controlling promoter activity depending on the nuclear receptors involved (9,10,37).

56 Therefore it was of interest to evaluate the effects of retinoids, peroxisome proliferators
57 and bile acids, alone or in combination, on HBV transcription and replication.

58 In the current study, it is demonstrated that retinoids can activated HBV
59 biosynthesis utilizing both RXR α containing homodimers and heterodimers.
60 Alternatively HBV transcription and replication can be supported by RXR α /PPAR α and
61 RXR α /FXR α in the absence of retinoids when these heterodimeric transcription factors
62 are activated by peroxisome proliferators and bile acids, respectively. Additionally, it
63 appears that the activation of both heterodimeric partners does not dramatically enhance
64 the level of HBV transcription and replication compared to the level of viral biosynthesis
65 observed with a single nuclear receptor ligand. These observations suggest that a single
66 ligand can efficiently activate the heterodimeric nuclear receptors governing HBV
67 biosynthesis independently of the ligand binding status of its partner. This indicates that
68 the ligand induced conformational changes occurring in one polypeptide that are
69 necessary for coactivator recruitment can occur either independently of its heterodimer
70 partner or the conformational change in the ligand bound polypeptide is concurrently
71 induced in the heterodimeric partner without its requirement to bind ligand (37). If
72 nuclear receptor antagonists are to be considered as potential antiviral agents for the
73 treatment of chronic HBV infections, it will be critical to distinguish between these
74 different mechanisms of action so appropriate therapeutic modalities might be
75 considered.

76

MATERIALS AND METHODS

Plasmid constructions.

The HBV DNA (4.1kbp) construct that contains 1.3 copies of the HBV genome includes the viral sequence from nucleotide coordinates 1072 to 3182 plus 1 to 1990 (40). The pRS-hRXR α , pCMV-rFXR α , pCMVPPAR α -G, pCMX-hRAR α , pCMX-mPXR.1, pCMX-mLXR α and pCMX-hCAR vectors express RXR α , FXR α , PPAR α -G, retinoic acid receptor α (RAR α), pregnane X receptor (PXR.1), liver (oxysterol) X receptor α (LXR α) and constitutive androstane receptor (CAR) polypeptides from the human RXR α , rat FXR α , mouse PPAR α -G, human RAR α , mouse PXR.1, mouse LXR α and human CAR cDNAs, respectively, using the Rous sarcoma virus LTR (pRS) or the CMV immediate-early promoter (pCMV and pCMX) (6,13,15,22,24,27,42). The PPAR α -G polypeptide contains a mutation in the PPAR α cDNA changing Glu²⁸² to Gly that may decrease the affinity of the receptor for the endogenous ligand. Consequently, this mutation increases the peroxisome proliferator-dependent (i.e. clofibric acid-dependent) activation of transcription from a peroxisome proliferator response element (PPRE) containing promoter (27).

Cells and transfections.

The human hepatoma HepG2 cell line and human embryonic kidney 293T cell line were grown in RPMI-1640 medium and 10% fetal bovine serum at 37°C in 5% CO₂/air. Transfections for viral RNA and DNA analysis were performed as previously described (26) using 10 cm plates, containing approximately 1 X 10⁶ cells. DNA and RNA isolation was performed 3 days post transfection. The transfected DNA mixture

100 was composed of 5 μg of HBV DNA (4.1kbp) plus 1.5 to 6.5 μg of the nuclear receptor
101 expression vectors, pRS-hRXR α , pCMV-rFXR α , pCMVPPAR α -G, pCMX-hRAR α ,
102 pCMX-mPXR.1, pCMX-mLXR α and pCMX-hCAR (6,13,15,22,24,27,42). Controls
103 were derived from cells transfected with HBV DNA and the expression vectors lacking a
104 nuclear receptor cDNA insert (31). *All-trans* retinoic acid, *9-cis* retinoic acid,
105 chenodeoxycholic acid and clofibric acid at 0.05-10 μM , 0.05-10 μM , 100 μM and 1
106 mM, respectively, were used to activate the nuclear receptors, RXR α , FXR α and
107 PPAR α (13,20,40).

108 **Characterization of HBV transcripts and viral replication intermediates.**

109 Transfected cells from a single plate were divided equally and used for the
110 preparation of total cellular RNA and viral DNA replication intermediates as described
111 previously (38) with minor modifications. RNA (Northern) and DNA (Southern) filter
112 hybridization analysis were performed using 10 μg of total cellular RNA and 30 μl of
113 viral DNA replication intermediates, respectively, as described (36). Filter hybridization
114 analyses were quantified by phosphorimaging using a Packard Cyclone Storage Phosphor
115 System.

116

RESULTS

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119 **Retinoids, peroxisome proliferators and bile acids modulate HBV biosynthesis in**
120 **human hepatoma HepG2 cells.**

121 Transfection of the HBV DNA (4.1kbp) construct into HepG2 cells supports HBV
122 transcription and replication (Fig. 1A and B, lane 1). Treatment of HepG2 cells with
123 retinoic acid, clofibrac acid and chenodeoxycholic acid modestly enhanced the level of
124 HBV biosynthesis (Fig. 1A and B, lanes 2, 5 and 8) suggesting that these hepatoma cells
125 express RXR (and/or RAR), PPAR and FXR that are capable of being activated in the
126 presence of exogenously added ligand. Exogenous expression of RXR α , PPAR α and
127 FXR α in the absence of added ligands had a limited effect on HBV biosynthesis (Fig. 1A
128 and B, lane 3, 6 and 9). Exogenous expression of RXR α , PPAR α and FXR α in the
129 presence of added ligands resulted in a similar level of viral replication as seen with
130 ligand alone (Fig. 1B, lane 4, 7 and 10). Although all of these effects in HepG2 cells are
131 relatively modest, they indicate that HepG2 cells contain excess inactive RXR (and/or
132 RAR), PPAR and FXR that is capable of enhancing HBV biosynthesis if activated by the
133 appropriate ligand. In addition, it appears that ligand-activated PPAR or FXR might be
134 able to enhance HBV biosynthesis in the absence of ligand activated RXR. However due
135 to the modest nature of the effects in HepG2 cells (Fig. 1C), it is not possible to
136 conclusively determine the relative roles of the various nuclear receptors and their ligands
137 in HBV biosynthesis without examining their effects in a more tractable system.

138 **Ligand-activated RXR α supports HBV biosynthesis in human embryonic kidney**
139 **293T cells.**

140 Transfection of the HBV DNA (4.1kbp) construct with the RXR α expression
141 vector into 293T cells fails to support HBV transcription and replication in the absence of
142 ligand (Fig. 2A and C, lanes 1 and 2). Activation of RXR α by all-*trans* retinoic acid,
143 presumably by its isomerization to 9-*cis* retinoic acid (13,20), or 9-*cis* retinoic acid
144 treatment of the 293T cells resulted in a dose-dependent induction of both HBV RNA and
145 DNA synthesis (Fig. 2). The half maximal induction of HBV biosynthesis was observed
146 at approximately 1.6 μ M 9-*cis* retinoic acid and 3.6 μ M all-*trans* retinoic acid (Fig. 2).
147 The relatively high concentrations of retinoids required to activate RXR α suggest that
148 RAR, which can be activated by both all-*trans* and 9-*cis* retinoic acid (5,8,16,17), is
149 probably not the RXR α heterodimer partner involved in mediating HBV transcription
150 and replication in 293T cells. Additionally the requirement for high concentrations of 9-
151 *cis* retinoic acid, the natural ligand for RXR α (13,20), suggests that the intracellular
152 concentration of this retinoic acid isomer must be relatively low presumably due to the
153 activity of endogenous isomerases within the 293T cells (see Discussion for details).
154 Therefore these observations suggest that RXR α either activates HBV biosynthesis as a
155 homodimer or as a heterodimer with PPAR α or FXR α although the latter suggestion
156 seems less likely given the embryonic origin of the 293T cell line (18).

157 **Selective effects of specific nuclear receptors on ligand-activated RXR α -mediated**
158 **HBV biosynthesis in human embryonic kidney 293T cells.**

159 Unlike the situation in HepG2 cells, transfection of the HBV DNA (4.1kbp)
160 construct into 293T cells in the presence of retinoid acid fails to support HBV
161 transcription and replication (Fig. 3A and B, lanes 1 and 2). In addition, the exogenous
162 expression of RXR α also failed to support HBV biosynthesis in the absence of retinoic

163 acid in 293T cells (Fig. 3A and B, lane 3). However, the exogenous expression of RXR α
164 in the presence of retinoic acid is sufficient to support robust HBV transcription and
165 replication (Fig. 3A and B, lane 4). To address the potential role additional nuclear
166 receptors might have in governing HBV biosynthesis in 293T cells, several potential
167 heterodimer partners were over-expressed in the presence of a constant amount of co-
168 expressed RXR α polypeptide (Fig. 3A and B, lanes 5-11). Over-expression of RXR α by
169 transfecting approximately 4-fold more of the RXR α expression vector did not greatly
170 modulate HBV biosynthesis (Fig. 3A and B, lanes 4 and 5). Comparable over-expression
171 of FXR α , PPAR α , LXR α and CAR did not greatly modulate RXR α -mediated HBV
172 biosynthesis (Fig. 3A and B, lanes 7, 8, 10 and 11) suggesting that in the absence of their
173 ligands these nuclear receptors did not affect viral transcription and replication (Fig. 3C).
174 Interesting, over-expression of RAR α inhibited RXR α -mediated HBV biosynthesis (Fig.
175 3A and B, lane 6). This observation strongly suggests that the RXR α /RAR α heterodimer
176 does not activate HBV biosynthesis and therefore it appears likely that RXR α can
177 directly activate HBV transcription and replication in the absence of any additional ligand
178 activated nuclear receptors. The mechanism(s) of inhibition of HBV biosynthesis by
179 RAR α and PXR is unclear (Fig. 3A and B, lanes 6 and 9). It is possible that these
180 nuclear receptors might shift the cellular equilibrium from RXR α homodimers capable of
181 activating HBV transcription to RXR α /RAR α or RXR α /PXR heterodimers that are
182 incapable of binding to the nucleocapsid promoter to activate HBV transcription. This
183 equilibrium shift would reduce HBV biosynthesis but then it is difficult to rationalize
184 why LXR α and CAR would not affect viral RNA and DNA synthesis in a similar
185 manner. In the case of the RXR α /RAR α heterodimer, it is likely that binding to the

186 direct repeat 1 (DR1) sequence comprising two copies of the AGGTCA related sequence
187 separated by a single nucleotide within the HBV nucleocapsid promoter mediates
188 corepressor recruitment and hence transcriptional repression (16,17,31). In contrast,
189 RXR/PXR does not bind to DR1 sequences (4,15) but might sequester coactivators such
190 as peroxisome proliferator-activated receptor γ coactivator 1 α (PGC1 α) that can activate
191 nuclear receptor-mediated HBV biosynthesis (3,21,28,29).

192 **Independent activation of HBV biosynthesis by retinoids, peroxisome proliferators**
193 **and bile acids in human embryonic kidney 293T cells.**

194 Previously, it has been shown that when both nuclear receptor partners are
195 ligand-activated RXR α plus PPAR α and RXR α plus FXR α can support HBV
196 biosynthesis in nonhepatoma cells (28,29,33,40). The relative role of the individual
197 heterodimer polypeptides in nuclear receptor-dependent activation of HBV biosynthesis
198 has not been evaluated. In 293T cells, transfection of the HBV DNA (4.1kbp) construct
199 in the presence of retinoid acid fails to support HBV transcription and replication (Fig.
200 4A and B, lane 16). In addition, the exogenous expression of RXR α also failed to
201 support HBV biosynthesis in the absence of retinoic acid (Fig. 4A and B, lane 17).
202 However, the exogenous expression of RXR α in the presence of retinoic acid is sufficient
203 to support robust HBV transcription and replication (Fig. 4A and B, lane 18). These
204 observations suggest that an RXR α homodimer is sufficient to support HBV biosynthesis
205 in 293T cells. However, it is also possible that RXR α may be forming heterodimers with
206 an endogenously expressed nuclear receptor and this transcription factor complex is
207 directing the expression of the HBV pregenomic 3.5kb RNA. To evaluate this
208 possibility, the role of known functional RXR α partners was evaluated for their effects

209 on HBV biosynthesis in the presence or absence of activating ligands. FXR α , its ligand
210 alone or both together failed to support robust HBV transcription or replication (Fig. 4A
211 and B, lanes 2-4). RXR α plus FXR α in the absence of ligands also failed to support
212 robust HBV biosynthesis (Fig. 4A and B, lane 5). In contrast, RXR α plus FXR α in the
213 presence of ligands supported robust HBV biosynthesis (Fig. 4A and B, lane 6-8).
214 Activation of FXR α by its ligand, chenodeoxycholic acid, appeared to be somewhat more
215 potent than retinoic acid alone but the combination of both ligands was only somewhat
216 greater than the effect observed with chenodeoxycholic acid alone. Similar observations
217 were apparent when PPAR α and clofibric acid were evaluated with RXR α and retinoic
218 acid (Fig. 4A and B, lane 9-15). Overall, these results indicate that ligand-activated
219 RXR α can activate HBV biosynthesis in nonhepatoma cells. In the presence of FXR α or
220 PPAR α , RXR α /FXR α or RXR α /PPAR α heterodimers can bind to the HBV
221 nucleocapsid promoter and direct viral pregenomic RNA synthesis either through ligand-
222 dependent activation of the common heterodimer partner, RXR α , or conversely through
223 ligand-dependent activation of the unique heterodimer partner. HBV transcription does
224 not appear to require the activation of both polypeptides in the heterodimer although
225 activation of the unique partner or both partners appears to induce slightly more robust
226 viral biosynthesis.
227

DISCUSSION

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229

230 Nuclear receptors are a major determinant of HBV tropism because they
231 contribute to the liver-specific expression of the viral 3.5kb pregenomic RNA (28,29,40).
232 This HBV transcript encodes the viral polymerase and core polypeptides and is reverse
233 transcribed into the 3.2kbp partially double-stranded genomic DNA present in the virion
234 (41). Nuclear receptors are ligand-dependent transcription factors and hence their
235 activities are governed by the availability of their specific ligand (23,25). Consequently,
236 nuclear receptors represent potential targets for drug development because small
237 molecular weight compounds can act as agonist or antagonists to modulate their activities
238 and hence alter disease progression. Indeed, the fibrate class of compounds has been
239 used to modulate PPAR α activity and treat hypertriglyceridemia and
240 hypercholesterolemia (43). Likewise, the thiazolidinedione class of compounds which
241 act on PPAR γ represent a group of anti-diabetic drugs and tamoxifen is an anti-estrogen
242 compound used in the treatment of breast cancer (19,35). Therefore understanding the
243 mechanism of action of ligands capable of modulating the activities of the nuclear
244 receptors governing HBV biosynthesis may be informative in the development of
245 strategies aimed at treating chronic HBV infections.

246 Initially the human hepatoma cell line, HepG2, was treated with retinoid, a
247 peroxisome proliferator and a bile acid to examine the role of the nuclear receptors, RXR
248 (and/or RAR), PPAR and FXR in the regulation of HBV transcription and replication
249 (Fig. 1). Treatment with retinoic acid, clofibric acid and chenodeoxycholic acid enhanced
250 HBV biosynthesis indicating that HepG2 cells express RXR (and/or RAR), PPAR and

251 FXR capable of being activated by the appropriate ligand. As PPAR α and FXR α bind to
252 their recognition sequences in the HBV nucleocapsid promoter as RXR/PPAR and
253 RXR/FXR heterodimers (31,33,40), these observations suggest that HepG2 cells contain
254 RXR capable of forming transcriptionally active complexes with their activated
255 heterodimer partners. Consistent with this assumption is the observation that retinoic
256 acid can activate HBV biosynthesis in HepG2 cells without the exogenous expression of
257 any additional nuclear receptors. Therefore it appears that HepG2 cells contain inactive
258 RXR which can be activated by relatively high concentrations of retinoic acid when it is
259 converted into 9-*cis* retinoic acid, the natural ligand for RXR (13,20). Alternatively, the
260 RXR homodimer may be capable of supporting HBV biosynthesis when activated by
261 retinoids without the requirement for any interaction with additional nuclear receptors.
262 However, due to the high constitutive level of HBV biosynthesis mediated by
263 endogenous transcription factors and the relatively modest induction of viral RNA and
264 DNA synthesis by ligand-activated nuclear receptors in HepG2 cells, a definitive
265 characterization of the relative roles of ligands and their corresponding nuclear receptors
266 in governing HBV biosynthesis was not possible in this system. To address this
267 limitation, the nonhepatoma HBV replication system where viral transcription is
268 completely dependent on the activation of exogenously expressed nuclear receptors by
269 their cognate ligands was exploited (Fig. 2-4).

270 HBV transcription and replication in the human embryonic kidney cell line, 293T,
271 required the ligand-dependent activation of exogenously expressed RXR α (Fig. 2). 9-*cis*
272 retinoic acid and all-*trans* retinoic acid treatment of 293T cells displayed dose dependent
273 increases in HBV RNA and DNA synthesis with half maximal levels being observed at a

274 concentration of approximately 1.6 μ M and 3.6 μ M, respectively. The modest preference
275 of RXR α for its cognate ligand, 9-*cis* retinoic acid, and the relatively high concentrations
276 of retinoids required for this level of viral biosynthesis were initially unexpected. In the
277 monkey kidney cell line, CV-1, half maximal levels of transcription from RXR
278 responsive promoters are observed at approximately 50 nM 9-*cis* retinoic acid and 2 μ M
279 all-*trans* retinoic acid (13,20). However the reason the 9-*cis* retinoic acid was relatively
280 ineffective at activating HBV biosynthesis might be due to the 64 hour treatment with
281 retinoids required for the evaluation of viral RNA and DNA production. It is possible
282 that during this extended incubation period both the 9-*cis* retinoic acid and the all-*trans*
283 retinoic acid are rapidly isomerized to a similar pool of retinoic acid isomers resulting in
284 similar effective intracellular concentrations of the RXR α ligand, 9-*cis* retinoic acid
285 (13,20). Consequently, there is only a minor shift in the dose response curves when HBV
286 biosynthesis is evaluated and a relatively high concentration of retinoid is required
287 because only a minor fraction is the appropriate isomer (13,20). Regardless of the reason
288 for these observations, it is apparent that ligand-activated RXR α is directly activating
289 viral transcription presumably by binding to the HBV nucleocapsid promoter DR1
290 sequence as a homodimer (31,33,40).

291 Previously, RXR α /PPAR α and RXR α /FXR α heterodimers have been shown to
292 activate HBV transcription and replication (14,28-31,33,40). The relative importance of
293 the different polypeptides in these heterodimers and the role of their ligands in the
294 activation of HBV biosynthesis have not been established. The observation that ligand-
295 activated RXR α alone is sufficient to support HBV RNA and DNA synthesis raised the
296 issue of the role of additional RXR α heterodimer partners in governing the level of HBV

297 biosynthesis. Initially, additional nuclear receptors capable of heterodimerizing with
298 RXR α were evaluated for their effects on retinoic acid-activated RXR α -mediated HBV
299 RNA and DNA synthesis (Fig. 3). Interestingly, FXR α , PPAR α , LXR α and CAR failed
300 to modulate ligand-activated RXR α -mediated HBV transcription and replication (Fig. 3).
301 In contrast, RAR α and PXR inhibited ligand-activated RXR α -mediated HBV
302 biosynthesis (Fig. 3). The observation that RAR α inhibited retinoic acid-activated
303 RXR α -mediated HBV biosynthesis is consistent with the suggestion that RXR α /RAR α
304 heterodimers activate transcription when bound to direct repeat 5 (DR5) transcriptional
305 regulatory elements but repress transcription when bound to DR1 transcriptional
306 regulatory elements (16,17). As the HBV nucleocapsid promoter contains a functional
307 DR1 transcriptional regulatory element that binds various nuclear receptors but lacks a
308 known functional DR5 transcriptional regulatory element (31,33,40), it appears that the
309 binding of an RXR α homodimer to this DR1 element activates viral transcription
310 whereas the binding of an RXR α /RAR α heterodimer represses HBV biosynthesis (Fig.
311 3). The observation that the expression of CAR, which binds to DR5 transcriptional
312 regulatory elements as a RXR/CAR heterodimer (1,6), did not affect ligand-activated
313 RXR α -mediated HBV biosynthesis is also consistent with this suggestion (Fig. 3).
314 Together these observations suggest that enhanced RAR activity may inhibit HBV
315 biosynthesis under some conditions and therefore may have some therapeutic benefits for
316 chronic HBV carriers. Interestingly, the nuclear receptor PXR also inhibited retinoic
317 acid-activated RXR α -mediated HBV biosynthesis. PXR binds to direct repeat 3 (DR3),
318 DR4 and inverted repeat 6 (IR6) transcriptional regulatory elements as a RXR/PXR
319 heterodimer and is activated by various steroid hormones, xenobiotic drugs including

320 rifampicin and dietary compounds such as phytoestrogens (2,4,15). The absence of
321 detectable PXR response elements within the HBV transcriptional regulatory sequence
322 elements suggests PXR might inhibit ligand-activated RXR α -mediated HBV
323 biosynthesis by sequestering RXR α as RXR α /PXR heterodimers apart from the viral
324 nucleocapsid promoter. However, if PXR can inhibit HBV transcription in this manner it
325 is unclear why LXR α and CAR cannot also prevent viral RNA synthesis by a similar
326 mechanism. Alternatively, PXR might act in a manner similar to RAR or by preventing
327 coactivator mediated activation of RXR α (3,16,17,21). Further analysis will be required
328 to determine the mechanism of PXR-mediated inhibition of ligand-activated RXR α -
329 mediated HBV biosynthesis but these observations suggest that activation of PXR by
330 small molecular weight compounds might represent another possible approach for the
331 treatment of chronic HBV infections.

332 The observation that FXR α and PPAR α failed to enhance retinoic acid-activated
333 RXR α -mediated HBV biosynthesis in the absence of their cognate ligands (Fig. 3)
334 questioned the importance of their role in HBV biosynthesis. However in the absence of
335 retinoic acid, bile acids and peroxisome proliferators activated HBV biosynthesis
336 demonstrating that these ligands were critical determinants of viral pregenomic RNA
337 synthesis as part of the RXR α /FXR α and RXR α /PPAR α heterodimer complexes,
338 respectively (Fig. 4). Inclusion of both ligands for either nuclear receptor heterodimer
339 combination did not synergistically enhance HBV RNA and DNA synthesis suggesting
340 that activation of any one partner in the heterodimer was sufficient to induce the majority
341 of the potential transcriptional activity associated with the two nuclear receptor
342 polypeptides. A further modest enhancement of transcriptional activity was apparent

343 when the second ligand was included but overall these results suggest that the activation
344 of a single nuclear receptor by ligand binding is sufficient to support most of the
345 observed RNA synthesis from the HBV nucleocapsid promoter. These observations
346 suggest that either a single nuclear receptor within the heterodimer is capable of
347 recruiting the majority of the transcriptional machinery necessary for maximal promoter
348 activity or the activation of one partner by ligand binding leads to the activation of the
349 other partner presumably by appropriate allosteric interactions (7,9,10,37).

350 The observation that peroxisome proliferators activate viral transcription and
351 replication *in vivo* in the HBV transgenic mouse model of chronic infection demonstrate
352 that activation of PPAR α is functionally important in this animal system (12). Similarly,
353 bile acids can activate HBV biosynthesis to a limited extent *in vivo* under certain
354 circumstance (34). Together these findings suggest that ligand-activated nuclear
355 receptor-mediated HBV biosynthesis may represent a proportion of the virus production
356 occurring during natural infection whereas the remainder of the HBV RNA and DNA
357 synthesis is probably mediated by orphan nuclear receptors (34) plus additional classes of
358 liver-enriched and ubiquitous transcription factors (39). Consequently therapeutic
359 approaches aimed at the reduction or elimination of HBV transcription will probably
360 benefit from selective targeting of both ligand-dependent and ligand-independent modes
361 of viral RNA synthesis if this step in the HBV life cycle is going to be efficiently
362 inhibited.

363

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364

365

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518

FIGURE LEGENDS

519

520

521 Figure 1. Effects of retinoids, peroxisome proliferators and bile acids on HBV
522 biosynthesis in the human hepatoma cell line, HepG2. (A) RNA
523 (Northern) filter hybridization analysis of HBV transcripts. The
524 glyceraldehyde 3-phosphate dehydrogenase (GAPDH) transcript was used
525 as an internal control for RNA loading per lane. (B) DNA (Southern) filter
526 hybridization analysis of HBV replication intermediates. HBV RC DNA,
527 HBV relaxed circular DNA; HBV SS DNA, HBV single stranded DNA.
528 Cells were transfected with the HBV DNA (4.1kbp) construct alone (lane
529 1) or the HBV DNA (4.1kbp) construct plus the RXR α (lanes 3 and 4),
530 PPAR α (lanes 6 and 7) and FXR α (lanes 9 and 10) expression vectors as
531 indicated. In addition, cells were treated with 1 μ M retinoic acid (RA;
532 lanes 2 and 4), 1mM clofibric acid (CA; lanes 5 and 7) and 100mM
533 chenodeoxycholic acid (CDCA; lanes 8 and 10) to activate the RXR α ,
534 PPAR α and FXR α nuclear receptors, respectively. (C) Quantitative
535 analysis of the HBV 3.5-kb RNA and HBV DNA replication
536 intermediates. The levels of the HBV 3.5-kb RNA and total HBV DNA
537 replication intermediates are reported relative to the HBV DNA (4.1-kbp)
538 construct in the absence of nuclear receptor expression or ligand (lane 1).
539 The mean RNA and DNA levels plus standard deviations from four
540 independent analyses are shown. The levels of the transcripts and
541 replication intermediates in the nuclear receptor and/or ligand treated cells

542 which are statistically significantly higher than their levels in the
543 corresponding untreated cells by a Student's t-test ($p < 0.05$) are indicated
544 with an asterisk (*).

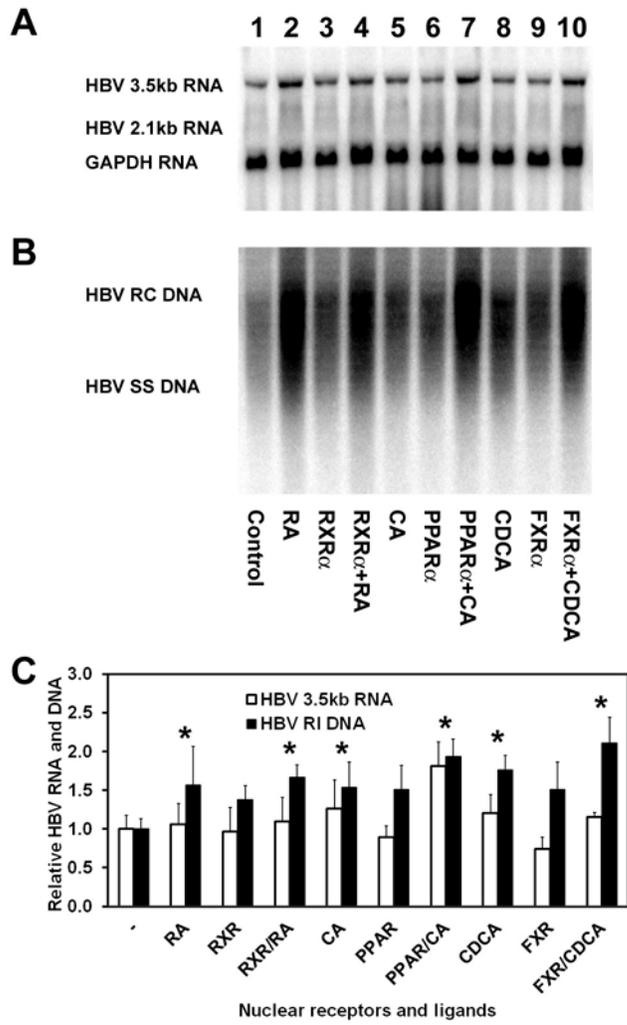
545 Figure 2. Effect of all-*trans* retinoic acid and 9-*cis* retinoic acid concentration on
546 HBV biosynthesis in the human embryonic kidney cell line, 293T,
547 expressing RXR α . Cells were transfected with the HBV DNA (4.1kbp)
548 construct alone (lane 1) or the HBV DNA (4.1kbp) construct plus the
549 RXR α expression vector (lanes 2-14) as indicated. Cells were treated
550 with various concentrations of all-*trans* retinoic acid (0.05-10 μ M RA;
551 lanes 3-8) and 9-*cis* retinoic acid (0.05-10 μ M 9-*cis* RA; lanes 9-14). (A)
552 RNA (Northern) filter hybridization analysis of HBV transcripts. The
553 glyceraldehyde 3-phosphate dehydrogenase (GAPDH) transcript was used
554 as an internal control for RNA loading per lane. (B) Quantitative analysis
555 of the HBV 3.5-kb RNA from two independent experiments. Trend lines
556 were calculated using GraphPad Prism 5 software to determine the
557 sigmoidal dose response (variable slope) curve plus EC₅₀ values. (C)
558 DNA (Southern) filter hybridization analysis of HBV replication
559 intermediates. HBV RC DNA, HBV relaxed circular DNA; HBV SS
560 DNA, HBV single stranded DNA. (D) Quantitative analysis of the HBV
561 replication intermediates from two independent experiments. Trend lines
562 were calculated using GraphPad Prism 5 software to determine the
563 sigmoidal dose response (variable slope) curve plus EC₅₀ values.

564 Figure 3. Effects of nuclear receptor expression on RXR α -mediated HBV
565 biosynthesis in the human embryonic kidney cell line, 293T. Cells were
566 transfected with 5 μ g of the HBV DNA (4.1kbp) construct alone (lanes 1
567 and 2) or 5 μ g of the HBV DNA (4.1kbp) construct plus 1.5 μ g of the
568 RXR α expression vector (lanes 3-11) as indicated. In addition, cells were
569 treated with 10 μ M retinoic acid (RA; lanes 2 and 4-11) to activate RXR α .
570 An additional 5 μ g of nuclear receptor RXR α (lane 5), RAR α (lane 6),
571 FXR α (lane 7) PPAR α , (lane 8), PXR (lane 9), LXR α , (lane 10) and CAR
572 (lane 11) expression vectors were also included in the transfections as
573 indicated. (A) RNA (Northern) filter hybridization analysis of HBV
574 transcripts. The glyceraldehyde 3-phosphate dehydrogenase (GAPDH)
575 transcript was used as an internal control for RNA loading per lane. (B)
576 DNA (Southern) filter hybridization analysis of HBV replication
577 intermediates. HBV RC DNA, HBV relaxed circular DNA; HBV SS
578 DNA, HBV single stranded DNA. (C) Quantitative analysis of the HBV
579 3.5-kb RNA and HBV DNA replication intermediates. The levels of the
580 HBV 3.5-kb RNA and total HBV DNA replication intermediates are
581 reported relative to the HBV DNA (4.1-kbp) construct in the presence of
582 RXR α expression and 10 μ M all-*trans* retinoic acid (lane 4), which are
583 designated as having a relative activity of 1.0. The mean RNA and DNA
584 levels plus standard deviations from two independent analyses are shown.
585 The levels of the transcripts and replication intermediates which are
586 statistically significantly lower than observed in the all-*trans* retinoic acid-

587 treated RXR-expressing cells by a Student's t-test ($p < 0.05$) are indicated
588 with an asterisk (*).

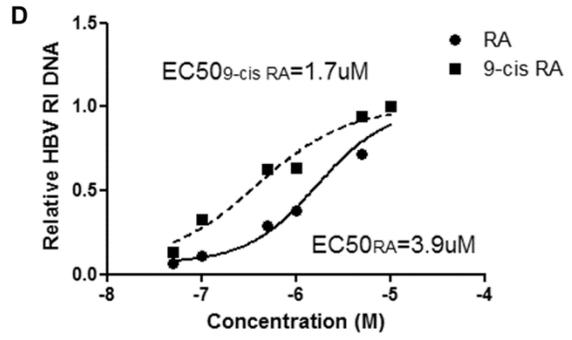
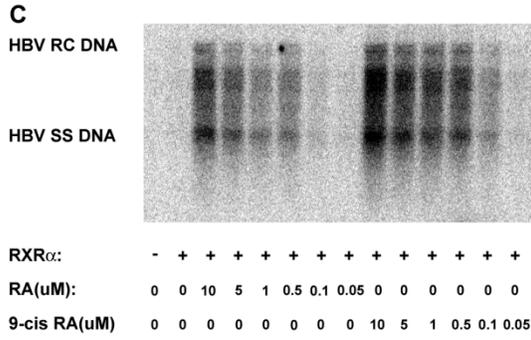
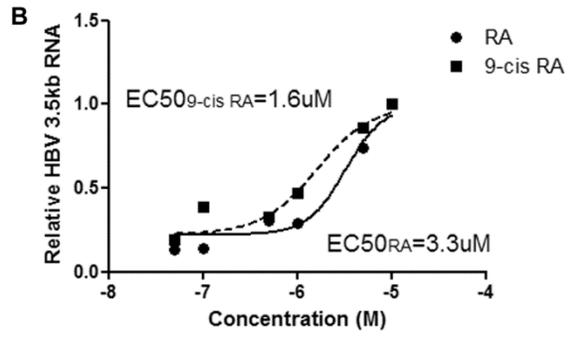
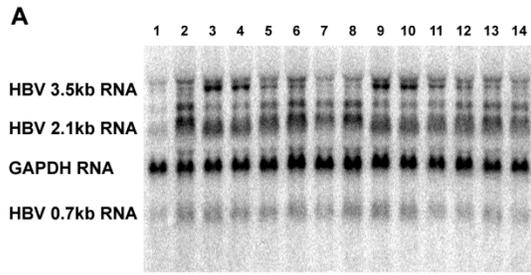
589 Figure 4. Independent activation of HBV biosynthesis by retinoids, peroxisome
590 proliferators and bile acids in the human embryonic kidney cell line, 293T.
591 Cells were transfected with the HBV DNA (4.1kbp) construct alone (lanes
592 1, 2, 9 and 16), the HBV DNA (4.1kbp) construct plus the RXR α
593 expression vector (lanes 5-8, 12-15, 17 and 18), the HBV DNA (4.1kbp)
594 construct plus the FXR α expression vector (lanes 3-8), or the HBV DNA
595 (4.1kbp) construct plus the PPAR α expression vector (lanes 10-15) as
596 indicated. In addition, cells were treated with 1 μ M retinoic acid (RA;
597 lanes 7-8, 14-16 and 18) to activate RXR α , 100 μ M chenodeoxycholic acid
598 (CDCA; lanes 2, 4, 6 and 8) to activate FXR α and 1 mM clofibric acid
599 (CA; lanes 9, 11, 13 and 15) to activate PPAR α as indicated. (A) RNA
600 (Northern) filter hybridization analysis of HBV transcripts. The
601 glyceraldehyde 3-phosphate dehydrogenase (GAPDH) transcript was used
602 as an internal control for RNA loading per lane. (B) DNA (Southern)
603 filter hybridization analysis of HBV replication intermediates. HBV RC
604 DNA, HBV relaxed circular DNA; HBV SS DNA, HBV single stranded
605 DNA. (C) Quantitative analysis of the HBV 3.5-kb RNA and HBV DNA
606 replication intermediates. The levels of the HBV 3.5-kb RNA and total
607 HBV DNA replication intermediates are reported relative to the HBV
608 DNA (4.1-kbp) construct in the presence of RXR α expression and 1 μ M
609 *all-trans* retinoic acid (lane 18). The mean RNA and DNA levels plus

610 standard deviations from two independent analyses are shown. The levels
611 of the transcripts and replication intermediates in the ligand treated cells
612 which are statistically significantly higher than their levels in the
613 corresponding untreated cells by a Student's t-test ($p < 0.05$) are indicated
614 with an asterisk (*).



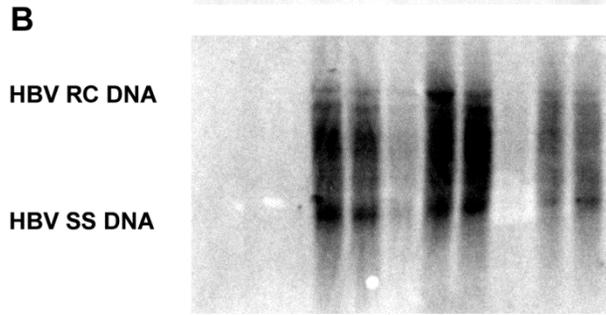
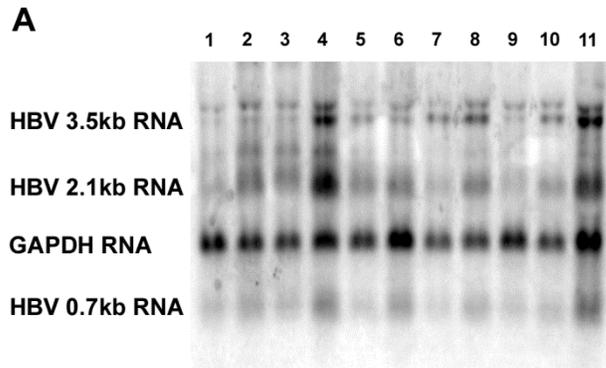
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616 Figure 1.

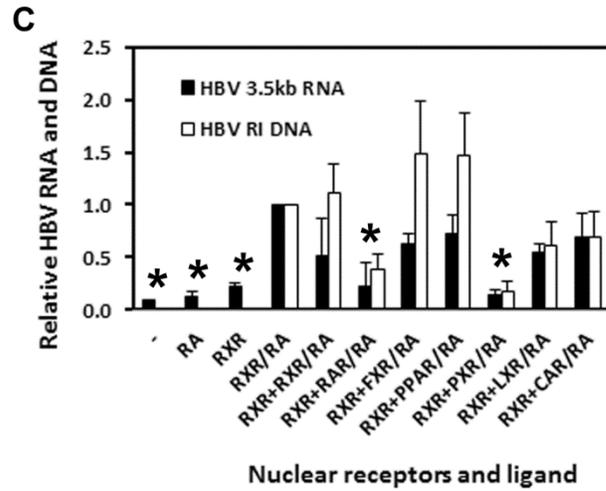


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618 Figure 2.

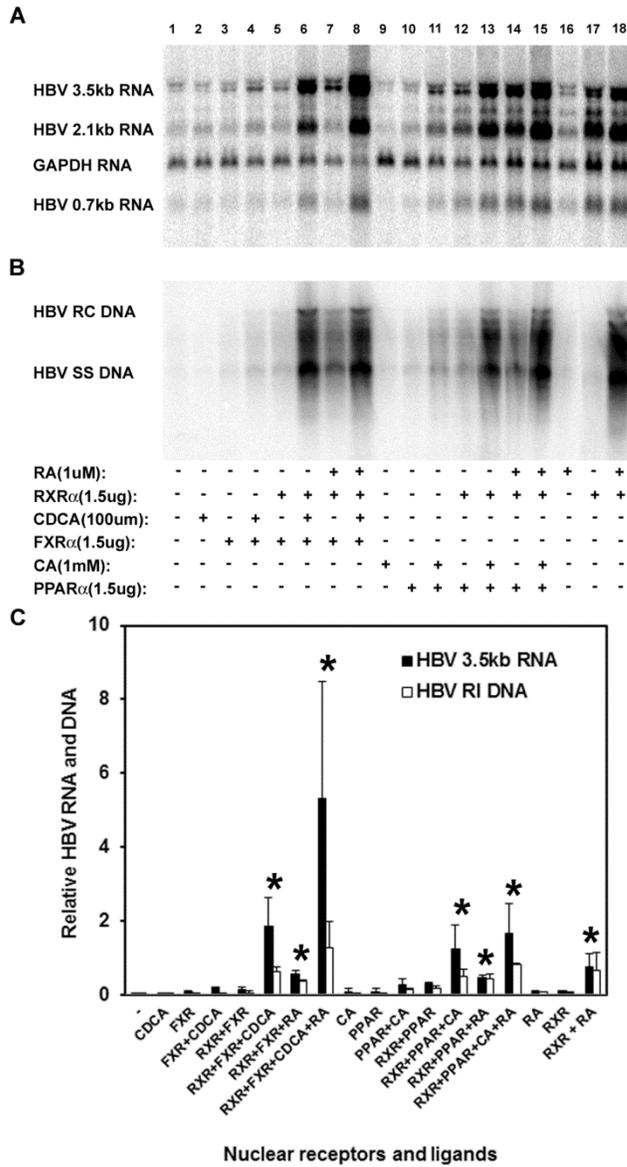


RXR α (1.5ug):	-	-	+	+	+	+	+	+	+	+	+
RA(10uM):	-	+	-	+	+	+	+	+	+	+	+
NR(5ug):	-	-	-	-	RXR	RAR	FXR	PPAR	PXR	LXR	CAR



619

620 Figure 3.



621

622 Figure 4