1	Quantitative Sustainability Assessment of Various Remediation Alternatives
2	for Contaminated Lake Sediments: Case Study
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4	Krishna R. Reddy
5	Professor, University of Illinois at Chicago, Department of Civil & Materials Engineering, 842
6	West Taylor Street, Chicago, IL 60607, USA, Tel: (312)996-4755, e-mail: kreddy@uic.edu
7	(Corresponding author)
8	
9	Jyoti K. Chetri
10	Graduate Research Assistant, University of Illinois at Chicago, Department of Civil & Materials
11	Engineering, 842 West Taylor Street, Chicago, IL 60607, USA, Tel: (312)996-5165, e-mail:
12	jkc4@uic.edu
13	
14	Karen Kiser
15	Graduate Student, University of Illinois at Chicago, Department of Civil & Materials
16	Engineering, 842 West Taylor Street, Chicago, IL 60607, USA, Tel: (312)996-5165, e-mail:
17	kkiser3@uic.edu
18	
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22	criteria decision analysis; sustainability index

#### 23 Abstract

Various technologies have been developed for the remediation of environmentally contaminated 24 sites. The prime goal of remediation technologies is to identify and reduce the contaminants to 25 risk-based allowable concentrations. For a particular problem of contamination, multiple 26 27 remediation technologies may be feasible. Choosing the most sustainable option among many available technologies is challenging. Generally, the selection of remediation technology is solely 28 based on the cost and time frame of the project. Hence, the environmental impacts as well as the 29 social impacts associated with the project is usually overlooked. In recent years, decision making 30 has become more holistic with the introduction of triple bottom line sustainability assessment 31 32 framework. It accounts for the environmental, economic and social impacts of the project. In this article, a case study is presented which involves triple bottom line sustainability assessment as well 33 as the integration of the three pillars of sustainability to obtain overall sustainability index for the 34 35 comparison of various remediation alternatives and arrive at the most sustainable option. This study presents the sustainability assessment framework and its application to select the most 36 sustainable remediation method for contaminated sediments at a site. 37

#### 39 Introduction

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41 Contamination of air, water and soil have been a colossal problem since the Industrial Revolution. As industries are growing more sophisticated, the problem of contamination is becoming more 42 complicated. In many case, contaminants are released from the industrial source during storage 43 and disposal of wastes as well as accidental spills<sup>1</sup>. In addition to industry, the source of 44 contamination could be routine human activities such as local waste disposal. Agricultural 45 activities also contribute to contamination such as excessive use of fertilizer or pesticides, wastes 46 from large livestock farms, etc. In the absence of environmental laws and regulations until the 47 early 1970s, the use of chemicals and disposal of wastes occurred without consideration of 48 potential harmful effects on environment<sup>2</sup>. Hundreds of thousands of sites have been contaminated 49 with toxic chemicals as a repercussions of unregulated use of chemicals and waste disposal posing 50 risk to human health and the environment<sup>2</sup>. In the USA alone, thousands of sites have been 51 identified as contaminated and are in need of remediation<sup>3</sup>. Currently, there are 1,343 contaminated 52 53 sites which are listed under a National Priority List (NPL) by U.S. Environmental Protection Agency  $(USEPA)^4$ , at which soil, sediment and groundwater are of major concern. 54

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Various technologies have been developed for the remediation of the contaminated sites. The most common remediation practice is excavation and disposal of the contaminated soil<sup>1</sup>. However, there are many other popular technologies for remediation of soil and groundwater such as soil vapor extraction, soil washing, electrokinetic remediation, in-situ flushing, pump and treat, permeable reactive barriers, monitored natural attenuation, etc as shown in **Table 1**. The selection of remediation technology is based on the type of contaminants and the site characteristics. However, 62 in some cases, more than one remediation technologies may be suitable for a contaminated site. In such case, the choice of remediation technology generally depends upon the cost and timeframe 63 for remediation<sup>3</sup>. This approach does not take into account the environmental as well as social 64 impacts that may arise from the selected remediation option. If the remediation selection and 65 implementation practices are irrational then it may cause more negative environmental impacts 66 than the contamination<sup>5</sup>. The remediation problem is not just about limiting the contaminant 67 exposure or reducing the level of contaminants, it is a process of restoring the site to a state that 68 causes minimal environmental strain as well as provides maximum economic and social benefits. 69

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With sustainable management practices gaining importance, the decision making process is becoming more rational. The concept of "Green and Sustainable Remediation" has been increasingly incorporated in the decision making process<sup>6</sup>. Green and sustainable remediation aims to reduce the contamination to targeted risk-based levels protecting human health and environment, and at the same time decreasing probable secondary or broader negative impacts on environment, economy and society that may arise from remediation activities<sup>3</sup>.

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Several tools are employed by many organizations to make judgment and arrive at the most suitable and sustainable remediation technology among many potential alternatives. Some of these tools are Life Cycle Assessment (LCA), Spreadsheets for Environmental Footprint Analysis (SEFA), SiteWise<sup>TM</sup>, Leadership in Energy and Environmental Design (LEED), and Envision etc. However, most of these tools emphasize on environmental aspects. Overall sustainability is defined as the holistic consideration of environmental, economic and social impacts of an activity<sup>7</sup> and hence, ignoring any of these aspects makes the judgment questionable. Several agencies like

85 U.S EPA, Sustainable Remediation Forum (SURF), Interstate Technology and Regulatory Council (ITRC) and American Society of Testing and Materials (ASTM) have been playing crucial role in 86 developing framework for integrating various aspects of sustainability and ensuring the decision 87 making is more transparent and easier<sup>3</sup>. Similarly, various multi-criteria decision making tools like 88 Integrated Value Model for Sustainable Assessment (MIVES) and Analytic Hierarchy Process 89 90 (AHP) are gaining prominence in decision making in remediation projects. AHP organizes the decision making process in a hierarchy and measure intangibles in relative terms such as priority 91 scales, by making pairwise comparisons of the variables describing the remediation problem 92 relying on the judgments of experts<sup>8</sup>. Pairwise comparison of variables means comparing relative 93 importance of one variable against another under one category e.g. under the category of 94 environmental sustainability, comparing the relative importance of ozone depletion against 95 greenhouse gas emissions. It helps in determining the relative importance of each of the parameters 96 involved in defining the sustainability framework for each remediation option and gives the values 97 in terms of weightages. MIVES is a methodology which incorporates multi-criteria decision 98 99 making method to define holistic sustainability models and obtain a unique sustainability index value<sup>9</sup>. 100

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In this article, a case study is presented that shows a triple-bottom line sustainability assessment using the three pillars of sustainability (environmental, economic and social) to determine the most sustainable remediation technology among various suitable remediation alternatives for contaminated sediments.

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### 107 Site Background

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# 109 Site Description

110 Cedar Lake is an approximately 150-acre lake located just north of downtown Cedar Rapids in 111 Iowa, USA. The site location is shown in **Figure 1**. Cedar Lake is divided into two main sections 112 roughly bisected by a railroad causeway. The portion north of the causeway includes North Lake, 113 which is approximately 80 acres in size, and West Lake, which is approximately 10 acres in size. 114 The portion south of the causeway includes South Lake, which is approximately 60 acres in size.

116 Cedar Lake is currently fed from three sources: a small creek called McLoud Run, treated cooling 117 water from Cargill Incorporated, and the Kenwood Ditch Outfall as shown in **Figure 2**. The 118 Kenwood Ditch Outfall is a 19-foot by 10-foot box culvert that discharges into South Lake during 119 storm events. This sewer serves as a drainage basin comprising approximately 6 square miles of 120 Cedar Rapids, including residential and commercial areas.

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The geology of the site consists primarily of quiet-water sediments within North Lake. South Lake is dominated by the delta deposit near the Kenwood Ditch Outfall, which consists of coarsergrained sand and gravel deposits near the outfall which grade finer with distance.

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126 Currently, Cedar Lake and specifically North Lake, is used by the public for fishing and paddling
127 sports. Because of the industrial sites and railroad lines along the south shore, access to South Lake
128 is more restricted.

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#### 130 Risk Assessment

A preliminary site investigation found elevated concentrations of both PCBs and pesticides throughout the North Lake and South Lake with concentrations up to 1 part per million. In the Phase II site assessment, samples were collected using a hand auger from the top 2 feet of sediment at sample points located along a grid system across the Lake.

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136 Currently, there is no established risk levels for sediments within the Iowa Brownfield Assessment 137 program. In lieu of official standards, samples were compared to the National Oceanic and Atmospheric Administration (NOAA) Probable Effects Concentration (PEC), NOAA Threshold 138 139 Effects Concentration (TEC) and the EPA Region III Sediment Benchmarks. The EPA 140 benchmarks were generally equivalent to the TEC, hence only PEC and TEC are discussed in further detail. The TEC is the concentration at which a negative environmental or ecological 141 142 impact may be observed while the PEC is the concentration at which a negative environmental or ecological impact is likely to be observed. For the risk assessment, the PEC was used as the 143 144 screening level for defining the areas which require remediation.

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As shown in **Figure 3**, multiple samples located within South Lake by the Kenwood Ditch Outfall exceeded the PEC for either pesticides or PCBs. The final area was determined by using the halfway rule. Half the distance between a sample exceeding the PEC and a sample below the PEC was determined to be the edge of remediation. A total of 90,000 square feet (ft<sup>2</sup>) of sediment exceed the PEC for at least one contaminant of concern and will require further remediation.

#### 152 Methodology

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# 154 Goal and Scope

The goal of this study is to evaluate the sustainability of various sediment remediation technologies to identify the most sustainable option based on the three pillars of sustainability: environmental, economic and social. Four remediation technologies were selected for the site: monitored natural attenuation, dredging of contaminated sediments, the placement of a conventional sand cap, and the placement of a modified cap utilizing an activated carbon reactive core mat.

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# 161 Monitored Natural Attenuation

The studies from 1994 and 2017 showed a decrease in concentrations of contaminants as high as 162 one order of magnitude. This indicates that natural attenuation is occurring across the lake and will 163 likely continue to occur. Monitored natural attenuation (MNA) assumes remediation of 164 contaminants will occur through natural processes, including degradation of contaminants through 165 microbial activity as well as natural burial of contaminated sediment. Sediment deposition rate 166 167 studies in the lake indicate that approximately 1.5 cm/year are deposited within the target area. For the protection of human health and the environment, the benthic zone or the bioturbation zone, 168 which varies from top 2 to 12 inches (5 to 30 cm) of sediment<sup>10,11</sup> must have contaminant 169 170 concentrations below the PEC. Given the deposition rate and the target depth of clean sediment, assuming there are no other degradation processes occurring, the contaminated sediments would 171 be sufficiently buried within 20 years. Therefore, a monitoring program would need to be in place 172 for at least that length of time. 173

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The proposed monitoring program will consist of annual sampling of the top one foot of the sediment in 20 locations across the affected area. The samples will be shipped in coolers from Cedar Lake to an analytical laboratory in Lenexa, Kansas. It is assumed that the sampling team will come from 50 miles away and that samples will be collected using a geoprobe rig with disposal acetate liners pushed 1 foot into sediment. Waste disposal from each event will consist of the acetate liners and related sampling materials, such as gloves. It is assumed that all soil collected will be shipped to the laboratory for analysis. Therefore, waste generation will be negligible.

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# 183 Dredging of Contaminated Sediment

184 The depth of contamination was not fully evaluated during investigation activities due to the collection of a homogenized sample from the top two feet of sediment. A conservative thickness 185 186 of 5 feet was used for the design of dredging. A total volume of 450,000 cubic feet (ft<sup>3</sup>) will need to be removed from the targeted area (90,000  $ft^2$  area x 5 ft thickness), assuming a sediment density 187 of 169 pounds per cubic foot, a total of 38,025 tons will be dredged and require disposal at a special 188 waste landfill. The nearest landfill to the site is located 9 miles south of the site and all dredged 189 190 material will be transported there in 20-ton trucks. Assuming the trucks can make 4 trips with 10 trucks per trip average, the remediation would be complete in 48 days. Due to the shallow water 191 depth across the affected area (less than 1 foot), dredging can be completed on land using clam 192 shell buckets to avoid potential resuspension of contaminated material. 193

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# 195 Conventional Capping

196 A traditional cap generally consists of 12 inches of sand over the contaminated sediment to prevent 197 benthic organisms as well as humans from exposure to contaminants. An additional 3 inches of sand is placed on top of the sand layer for overplacement allowance and as a factory of safety for 198 199 the exposure potential. Above that, a four-inch thick layer of angular gravel ranging in size from one-inch to one and a quarter inches would be placed to keep the sand in place and limit any 200 201 potential bioturbation and mixing of the cap material due to ebullition. Similar to the sand, an additional 3 inches of gravel would be placed as an overplacement allowance. Due to the location 202 of the target area on a delta that experiences periodic high flows, an armored cap will need to be 203 204 installed at this location, especially in the area directly adjacent to the Kenwood Ditch Outfall. This armoring consists of a 4-inch layer of angular stones ranging in size from 3 to 4 inches 205 (riprap). Figure 4a shows a schematic of the conventional capping system to be implemented at 206 207 Cedar Lake. The target area is located near shore and has shallow water depths, so sediments can be placed with a backhoe from shore. All cap materials can be sourced from a quarry located 208 approximately 12 miles from Cedar Lake. 209

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211 Additionally, Cedar Lake is located within a 100-year floodplain in Cedar Rapids and is used as a 212 detention basin during storm events and high flow conditions. Therefore, the net storage of the lake must remain the same. Since 195,500 ft<sup>3</sup> of sediment is being introduced into South Lake, this 213 214 same storage volume must be removed from a different portion of the lake. Since North Lake has 215 previously had contaminants of concern above action levels, but it is known that the top two feet are below risk levels as mentioned earlier in the risk assessment, one foot of sediment will be 216 removed across 195,500 ft<sup>2</sup> of North Lake in order to maintain a 1 foot clean benthic zone for the 217 218 protection of human health and the environment in North Lake. The sediments in North Lake are 219 generally silts and clays and are therefore not suitable for use in the cap. These sediments must 220 therefore be disposed of or reused at a different site. For the purposes of this evaluation, the 221 sediments to be dredged to maintain storage volume will also be disposed of as special waste due 222 to the historic potential contamination.

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224 Modified Cap with a Reactive Core Mat

225 The modified cap is similar in design to the conventional cap however, a reactive core mat consisting of granular activated carbon in between two pieces of geotextile fabric would be placed 226 directly over the contaminated sediment. The reactive core mat contains 0.4 pounds per square 227 foot of granular activated carbon<sup>12</sup> to adsorb any potential contaminants, thereby limiting the 228 229 exposure risk due to ebullition and bioturbation. Directly overlying the half-inch thick reactive 230 core mat would be 12 inches of sand to act as the benthic zone for aquatic organisms. Since the 231 granular activated carbon limits potential contaminants from passing through the sand, the 232 overlying gravel is not needed and only the rip rap armor for high flow will be placed. Figure 4b shows a schematic of the modified capping system. 233

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Again, since Cedar Lake is located within a floodplain and the net change in storage must be zero, sediments from North Lake must be excavated to account for the addition of sediment in South Lake. A total volume of 123,750 ft<sup>3</sup> of sediment is being added to South Lake, therefore a 1-foot thick sediment layer (123,750 ft<sup>3</sup>) will be removed from North Lake and disposed of as special waste due to the historic potential contamination.

#### 241 Sustainability Assessment

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One of the most common definitions of sustainable development is given in The Burdtland Report as "Sustainable development is development that meets the needs of the present without compromising the ability of future generations to meet their own needs."<sup>13</sup>. Various frameworks and tools have been developed to assess the sustainability of a project or development activity. In the current study sustainability assessment of the above mentioned four remediation alternatives was performed using the triple bottom line sustainability framework.

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#### 250 Environmental Sustainability

In this study, environmental sustainability was assessed with the help of LCA using software SimaPro 8.5<sup>14</sup>. LCA was performed according to ISO 14044<sup>15</sup>. LCA assesses the environmental impacts associated with the entire life cycle of the project from material acquisition, construction and use, to waste disposal. It analyzes the various life cycle stages of the project and assesses the environmental impacts in terms of various indicators like ozone depletion, global warming, smog, acidification, eutrophication, carcinogens, and more.

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In this study, the major life cycle stages involved were material acquisition, operation, use and maintenance, and waste management. The environmental impacts were assessed for each remediation option and a comparison was made between the alternatives on the basis of the degree of environmental impacts obtained from each life cycle stage.

263 The functional unit for performing an LCA on this project was the total square feet area of the contaminated sediment requiring remediation, which was 90,000 ft<sup>2</sup>. The input for material 264 quantities used for the LCA are shown in Table 2. Table 3 shows the location and distance of the 265 site to the quarries and the disposal site. The impact assessment was performed using TRACI (tool 266 for the Reduction and Assessment of Chemical and other environmental Impacts) and BEES 267 (Building for Environmental and Economic Sustainability) methods. TRACI was developed by 268 the US EPA<sup>16</sup> and BEES is a tool developed by the National Institute of Standards and Technology 269 Engineering Laboratory to help in selecting environmentally preferred building products. The 270 271 inventory used in performing LCA were adopted from the database in the LCA software. For the materials not listed in the database, a dummy material which closely represented the properties of 272 the original material was created for the use in the analysis. 273

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#### 275 *Economic Sustainability*

The economic assessment was based on the direct cost associated with the materials and the processes involved in each remediation option. The direct cost includes the cost of the materials, transportation, disposal, sampling and laboratory analysis, operation and labor. Indirect costs associated with the remediation options are also included. For example, the social cost of greenhouse gases like carbon dioxide ( $CO_2$ ) as well as other emissions engendering environmental impacts. Total direct and indirect costs were determined for each remediation option.

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# 283 Social Sustainability

284 Social sustainability is a subjective field which makes it difficult to quantify the social impacts of any activity. However, a few approaches like Social Sustainability Evaluation Matrix (SSEM)<sup>17</sup> 285 have been developed to quantify the social impacts of an activity. In this study, the social impacts 286 of each remediation option was assessed by conducting an online survey among the students in 287 two graduate level courses at UIC (Environmental Remediation Engineering and Sustainable 288 289 Engineering) and faculties and professionals working in the field of remediation. The survey was sent to all the students enrolled in the courses. Indicators describing the impact of each of the 290 remediation option on social aspects at the individual, community, economic and environmental 291 292 levels were chosen. Most of the indicators were chosen from the SSEM. The four major areas of social aspects (socio-individual, socio-community, socio-economic and socio-environmental) 293 were further divided into sub-categories or indicators. The survey results were analyzed and the 294 scores were assigned to each indictor under each category in the order of 1-4 (1 being the best and 295 4 being the worst). Table 4 shows the various indicators used for social sustainability assessment. 296

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#### 298 **Results and Discussion**

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## 300 Environmental Sustainability

A comparison of the environmental impacts incurred due to each remediation option is shown in **Figures 5 and 6** using TRACI and BEES method, respectively. The impacts are normalized with respect to the highest contributor in each impact category and expressed in terms of percentage. On analyzing the results of both the TRACI and BEES methods, the conventional capping option appears to have the highest negative impacts in most of the impact categories, while MNA has the 306 lowest negative impacts. The reason could be that the MNA does not involve any material 307 acquisition or equipment mobilization. In addition, MNA comprises significantly less 308 transportation than the other remedial options. Conventional capping seems to be the least 309 sustainable option among the four remediation alternatives.

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The environmental impact assessment of individual life cycle stages involved in each remediation 311 option showed that most of the negative impacts were contributed by the transportation stage. To 312 discern the impacts of transportation distances, an LCA was performed for all the options with the 313 transportation distances limited to 5 km for each remediation option. Figure 7 shows the 314 environmental impact assessment of all four remediation options with 5 km transportation distance 315 316 using TRACI method. The results show that even when the transportation distance is minimal, 317 conventional capping remains the highest contributor towards negative environmental impacts. 318 This result could be due to the the dredging of huge amount of sediments in conventional capping 319 as well as import of huge amount of raw materials such as sand, gravel and armor stones. Hence, 320 even when the transportation distance was kept minimal, the negative impacts associated with the 321 dredging of the sediments as well as import and placement of the capping materials are more than 322 that of the other remediation options.

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# 324 Economic Sustainability

325 Table 5 summarizes the direct and indirect costs for each remediation option. Direct costs were326 estimated using an online inventory of construction cost data (www.allcostdata.com). MNA is the327 least expensive option, followed by modified capping. Dredging and disposal technique appeared

to be the most cost intensive option. The indirect cost was calculated based on the amount of
emissions data obtained from the LCA. The social cost of CO<sub>2</sub> was obtained from the USEPA<sup>18</sup>.
The indirect cost followed the same trend as the direct cost.

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#### 332 Social Sustainability

The results of the social survey are summarized in **Table 6**. Each indicator received a score of 1 333 334 to 4 from each respondent. The total scores obtained by the indicators in each category were added. 335 The scores from each category (socio-individual, socio-community, socio-economic and socioenvironmental) were then summed to get a total score for each remediation option as shown in 336 337 **Table 6.** Among the four remediation options, MNA received the highest score indicating it has 338 the greatest negative social impact. Modified capping received the lowest score making it the most 339 preferred choice. These results were from responses obtained from students familiar with the 340 remediation and sustainability concepts. Since social sustainability is a subjective field, the results may vary with increase in number of respondents. 341

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#### 343 *Overall Sustainability*

As mentioned earlier, overall sustainability is an integration of environmental, economic and social sustainability. The overall sustainability of the four remediation options was assessed with the help of MIVES methodology which uses multi-attribute analysis as shown in **Figure 8**. MIVES method involves various steps: (i) defining the problem (ii) defining the variables in terms of criteria/indicators which best describe the impacts due to the project/activity (iii) establish value functions which converts all the qualitative and quantitative variables into a set of variable with 350 same units and scales (iv) define the weightages to be assigned to each criterion/indicator used in 351 the analysis (v) evaluate the scores obtained in each criterion/indicator (vi) assessment of the 352 results and decision making based on the scores obtained.

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354 **Table 7** shows the framework of the MIVES methodology including all the requirements, criteria and indicators used for the overall sustainability assessment of the remediation alternatives in this 355 356 study. Value function analysis was performed for the assessment of the sustainability index of each of the remediation option. Equal weightages were assigned to each criterion (W<sub>criterion</sub>) and 357 indicator (Windicator). The quantitative value of each environmental, economic and social indicator 358 359 was obtained from the LCA results, economic analysis and social sustainability survey, 360 respectively. After quantification of each indicator, each value was normalized to a dimensionless 361 scale of 0 to 1 using value function of the concave form expressed by **Equation 1** and expressed as value indicator (V<sub>indicator</sub>). In the following step, the V<sub>indicator</sub> values were multiplied by the 362 363 Windicator and the product was added to get the V<sub>criterion</sub> value. Similarly, V<sub>criterion</sub> was multiplied 364 with W<sub>criterion</sub> and the product was added to get V<sub>requirement</sub>. The final score V<sub>final</sub>, termed as MIVES 365 final score, was obtained by adding the product of V<sub>requirement</sub> and W<sub>requirement</sub>. The final score ranges 366 from 0 to 1.

367 
$$V_{indicator} = \frac{\ln\left(\frac{X}{X_{max}}\right)}{\ln\left(\frac{X_{min}}{X_{max}}\right)}$$
(1)

The weightages assigned to the requirements, criteria and indicators may vary depending on the preferences of stakeholders and may influence the overall sustainability assessment. In this study, four cases as shown in **Table 8** were assessed by assigning different weightages to the three 371 sustainability pillars to examine the effect of their relative importance given the overall 372 sustainability. Figure 9 shows the results of value function analysis of the four remediation options for each of the four weighting scenarios. Figure 9a shows the results of Case A where equal 373 374 weightage was given to each of the three sustainability pillars. In this scenario, MNA appeared to be the most sustainable option followed by modified capping. Figure 9b shows that modified 375 capping is the most sustainable option when the social sustainability pillar is given the highest 376 preference. MNA has the highest sustainability index followed by modified capping for Case C 377 and Case D, as shown in Figure 9c and 9d, respectively. 378

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Decision making depends on the relative importance of the environmental, economic and social 380 aspects of the project which again depends upon the preference of stakeholders<sup>8,19</sup>. For example, 381 in this study, although the MNA option was ranked highest in the MIVES scale for most of the 382 383 weighting scenarios, it might not be considered as the most preferred choice. Here, the decision 384 could be based on the case where social sustainability was given the highest weightage. MNA had 385 the highest negative impact at social level as it is associated with the risk of exposing the 386 community as well as the surrounding environment to the contamination for a longer duration of 387 time. Also, the lake is used for recreation purposes which makes the social aspects crucial in the 388 decision making process. Hence, modified capping may be considered the most sustainable 389 remediation option among all other options.

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#### 391 Conclusions

The study presents a sustainability assessment of the four remediation alternatives for the Cedar Lake sediment which was contaminated with PCBs and pesticides. Four remediation alternatives were considered in this study 1) Monitored Natural Attenuation, 2) Dredging and disposal, 3) Conventional capping and 4) Modified capping with Reactive Core Mat. The following conclusions can be derived from the study:

- Sustainability assessment is the congruence of the three pillars of sustainability;
   environmental, economic and social. Sustainability assessment in the absence of any one
   of these pillars is considered incomplete.
- Life cycle impact assessment carried out using TRACI and BEES methods showed that
   conventional capping had the highest negative environmental impacts. MNA appeared to
   have the least negative impact which is justified as it does not require any dredging or
   transportation activities. However, it poses a risk to the benthic organisms and the
   surrounding environment for a longer duration of time.
- The overall sustainability was found to be a function of the preferences given to the three
   sustainability pillars. The weightages assigned to the three pillars depend upon the relative
   importance of the pillars for the project based on the preference of stakeholders.
- Based on the overall sustainability assessment, MNA turned out to be the most preferred
   choice for most of the cases (varying weightages) studied. However, for a case when social
   pillar was given the highest preference, modified capping turned out to be the most
   preferred choice.
- Cedar Lake is used for recreational purposes, thus social sustainability is considered crucial
   for the overall sustainability assessment. Hence, modified capping can be considered as the
   most sustainable remediation option for the project.

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420	Author	Disclosure	Statement

421 No competing financial interests exist.

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Remediation Technology	Description					
Soil Remediation	Soil Remediation					
Soil Vapor Extraction	It is used for removal of volatile organic compounds (VOCs) and motor fuels from contaminated soils. It is suitable for relatively homogeneous and highly permeable soils. A soil vapor extraction system consists of three basic components: an extraction system, an air flow system, and an off-gas treatment system. By applying a vacuum to the subsurface within the contaminant zone, the extraction system induces the movement of volatile organics and facilitates their removal and collection. Collected vapors pass through the air flow system and are delivered to the off-gas treatment system, or, if regulatory limits permit, are emitted directly to the atmosphere.					
Soil Washing	It is used to treat soils contaminated with a variety of organic and inorganic compounds such as VOCs and metals. It is suitable for coarse-grained soils with high permeability. It is less effective for silt and clay soils. It involves excavation of the contaminated soil and the extraction of contaminants from the soil using water or other aqueous wash solutions. The coarse-grained fraction is cleaned and returned to the excavation.					
Electrokinetic Remediation	It is used for treating soils contaminated with heavy metals, radionuclides, and other inorganic species and polar organic contaminants. It is suitable for low permeability soils and heterogeneous soils. It involves a process of applying an electric potential across the contaminated soil through a pair of positive and negative electrodes. The contaminants are transported towards the electrodes. The contaminant loaded liquid collected at the electrodes is then removed by pumping or electroplating or precipitation.					
Groundwater Remediation T	echnology					
Pump and Treat	It is used for cleaning groundwater contaminated with various dissolved chemicals, metals, and oils. It is suitable for high permeability soils and homogenous soils. It involves pumping of contaminated groundwater to the surface, removing the contaminants, and recharging the treated water into the ground or discharging it to surface water or municipal sewage treatment plant.					
In-situ flushing	It is used for removing organic and inorganic contaminants from groundwater. It is suitable for low permeability soils, soils with low organic content and low surface area. It involves removing of contaminants from the soil by flushing with water or solution that can enhance desorption or solubilization of the contaminants. The					

Fable 1: Soil and groundwate	r remediation t	echnologies <sup>2,20</sup>
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		flushing solution is pumped into the groundwater with the help of injection wells from where the solution travels downgradient flushing the contaminants from the soil or ground water. After solubilization of the contaminants present in the soil or groundwater, the solution is pumped out from the downgradient extraction wells.
Permeable Barriers	Reactive	It is used for wide range of organic (DCE, TCE, PCBs, PAHs, etc.) and inorganic (heavy metals, radioactive isotopes, etc.) contaminants. It is suitable for permeable soils, contaminants at shallow depth and relatively high groundwater flow. In this method, a permeable wall containing an appropriate reactive material is placed across the path of a contaminant plume. As contaminated water passes through the wall, the contaminants are either removed or degraded.
Monitored Attenuation	Natural	It is primarily used for degradation of organic contaminants (chlorinated solvents and BTEX). It can also be used for immobilization of inorganic contaminants, including heavy metals such as lead, chromium and uranium. It is a process of using natural attenuation processes with careful monitoring of the contaminated site within a reasonable time frame. It involves physical, chemical, or biological processes that act without human intervention to reduce the mass, toxicity, mobility, volume, or concentration of contaminants.

Note: DCE = Dichloroethylene; TCE = Trichloroethylene; PCB = Polychlorinated Biphenyl; PAH = Polycyclic Aromatic Hydrocarbon

Material	MNA	Dredging and disposal	Conventional capping	Modified capping
Dredged sediment (Dummy- material-clay) (ton)	-	38,025	16,520	10,457
Sand (ton)	-	-	6,188	4,950
Gravel (1"-1 <sup>1/4</sup> ")(ton)	-	-	3,019	-
Gravel (3"-4")(ton)	-	-	1,725	1,725
Geotextile fabric	-	-	-	21.2
Granular Activated Carbon (GAC)(Charcoal)(tn)	-	-		1.8
Sampling event (km)	1,600	-	-	-

Table 2. Input material quantities associated with each remediation option used in LCA

Source/Location	Material	Distance(km)
Covanta Environmental Solutions in Cedar Rapids, IA	Dredged sediment	10.6
	Sand	
Martin Marietta Quarry - Cedar Rapids, Iowa	Gravel- (1"-1 ¼")	16.25
	Gravel- (3"-4")	
CETCO, Arlington Heights, IL 60004	Reative Core Mat (RCM)	396
Sediment testing laboratory, Lenexa, Kansas	Contaminated sediment samples	482

Table 3. Location of raw material sources and disposal sites

Criteria	Indicators
Socio-individual	Overall health and happiness
	Income generating activities
	Contaminant Exposure (Trespasser, worker)
	Accident Risk-Injury
	Recreational activity
Socio-community	Appropriateness of future land use with respect to the community environment
	Enhancement of commercial/income-generating land uses
	Enhancement of recreational facilities
	Degree of "grass-roots" community outreach and involvement
	Time for completion of remediation & opening of park to public
	Degree of improvement in aesthetic value
Socio-economic	Economic impacts of project on community
	Damage to Property
	Effect on Tourism
	Disruption of businesses and local economy during construction / remediation
	Employment opportunities during construction / remediation
	Impact on fishing activities
Socio-environmental	Impact on Aquatic Habitat
	Degree of consumption of natural resources
	Degree to which proposed project will affect other media (i.e., emissions/air pollution resulting from soil or groundwater remediation)
	Effects of anthropogenic contaminants at "chronic" concentrations
	Effects of anthropogenic contaminants at "acute" concentrations

 Table 4. Social sustainability assessment indicators

Remedial Option	MNA	Dredging and Disposal	Conventional Capping	Modified Capping
Direct Cost (\$)	545,100	1,447,101	806,404	751,731
Cost of $CO_2(\$)$	1,498	18,151	6,779	11,156
Total Cost (\$)	546,598	1,465,252	813,183	762,887

Table 5. Direct and indirect costs for remedial options

**Table 6.** Overall score obtained by the four remediation alternatives in social sustainability assessment

Impact Categories	MNA	Dredging and Disposal	Conventional Capping	Modified Capping
Socio-individual	17	12	13	8
Socio-community	24	14	15	7
Socio-economic	15	18	17	9
Socio-environmental	15	15	12	8
Total score	71	59	57	32

Note: Lowest score = best

# **Table 7.** Requirements, Criteria and Indicators and their respective weightages used in MIVES methodology for overall sustainability assessment

Requirement	W requirement - %	Criteria	W criteria - %	Indicators	W indicator - %
				Greenhouse gas emissions/Global warming (kg CO2 eq)	17
				Ozone depletion (kg CFC-11 eq)	17
		Air	33	Smog Formation (kg O3 eq)	17
				Human health - Particulate (PM2.5eq)	17
Fnvironmental	33 33			Human health - Cancer (CTUcancer)	17
Environnentai	55.55			Human health - Noncancer (CTUnoncancer)	17
		Water usage	33	Acidification potential	50
		and impacts		Eutrophication potential	50
		_		Non-renewable Energy use	50
		Energy	33	(manufacturing/construction, operation, etc.)	
				Non-renewable Energy used for transportation	50
				Materials	20
		Diment Conta	50	Labor	20
Economic	33.33	Direct Costs	50	Equipment	20
				Equipment Weste treatment and/or disposel	20
		Indiract Costs	50	Social Cost of COa	20
		mulect Costs	50	Overall health and happiness	20
		Socio-Individual	25	Population demographics (age_income)	20
				Contaminant Exposure (Trespasser worker)	20
				Accident Risk-Injury	20
				Recreational activity	20
		Sacia		Appropriateness of future land use with respect	
				to the community environment	17
				Enhancement of commercial/income-generating lan	17
		Socio-	25	Enhancement of recreational facilities	17
		Community		Degree of "grass-roots" community outreach and in	17
				Time for completion of remediation & opening of	17
				Degree of improvement in aesthetic value	17
Social	33.33			Economic impacts of project on community	17
Joenn	conc			Truck Accidents (Damage to Property)	17
				Effect on Tourism	17
		Socio-Economic	25	Disruption of businesses and local economy	17
				during construction / remediation	1.
				Employment opportunities during construction / res	17
				Impact on fishing activities	17
				Degree of consumption of noticel recourses	20
				Degree to which proposed project will effect	20
		Socio-	25	other media (i.e., emissions/eir pollution	20
		Environmental	25	resulting from soil or groundwater remediation	20
				Effects of anthropogenic contaminants at "abronic"	20
				Effects of anthropogenic contaminants at "enforme	20

	Weightage (%)		
	Environmental	Economic	Social
Case A	33.3	33.3	33.3
Case B	17	17	67
Case C	17	67	17
Case D	67	17	17

**Table 8.** Different case scenarios for the effect of relative preferences assigned to the three pillars of sustainability

# **Figure Legends**

Figure 1. Site location map

Figure 2. Cedar lake water source

Figure 3. Results of sampling events at Cedar Lake and location of area requiring further remediation

Figure 4. Conceptual design of (a) conventional capping and (b) modified capping

Figure 5. Environmental impact assessment of the four remediation options using TRACI method

Figure 6. Environmental impact assessment of the four remediation options using BEES method.

Figure 7. Environmental impact assessment of the four remediation options with 5 km

transportation distance using TRACI method.

Figure 8. Schematic of MIVES methodology for evaluating sustainability index

Figure 9. Overall sustainability assessment results a) Case A; b) Case B; c) Case C; and d) Case

D



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