#### Detection of heavy metal by paper-based microfluidics

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#### 10 Abstract

Heavy metal pollution has shown great threat to the environment and public health worldwide. 11 Current methods for the detection of heavy metals require expensive instrumentation and laborious 12 13 operation, which can only be accomplished in centralized laboratories. Various microfluidic paperbased analytical devices have been developed recently as simple, cheap and disposable alternatives 14 to conventional ones for on-site detection of heavy metals. In this review, we first summarize 15 current development of paper-based analytical devices and discuss the selection of paper 16 substrates, methods of device fabrication, and relevant theories in these devices. We then compare 17 and categorize recent reports on detection of heavy metals using paper-based microfluidic devices 18 on the basis of various detection mechanisms, such as colorimetric, fluorescent, and 19 20 electrochemical methods. To finalize, the future development and trend in this field are discussed.

21 Keywords: Paper-based microfluidics; heavy metal; detection; capillary flow

#### 22 **1. Introduction**

23 The rapid growth of global economy and associated technological progress have caused increased environmental concerns recently (Lu et al. 2015). Heavy metals are among the most problematic 24 25 pollutants as they are non-biodegradable and can accumulate in ecological systems. In a case of food chain systems, they will eventually result in food chemical contamination which can lead to 26 27 various diseases, threatening public health (Dai et al. 2012). For instance, cadmium (Cd) 28 accumulates in kidney and liver for over 10 years and affects physiological functions of a human 29 body (López Marzo et al. 2013). Therefore, accurate detection and large-scale monitoring of heavy metal pollution in the environment is extremely important. Many techniques have been developed 30 31 for the detection of heavy metals, including inductively coupled plasma mass spectrometry (ICP-MS) (Djedjibegovic et al. 2012), inductively coupled plasma-atomic/optical emission 32 spectrometry (ICP-AES/OES) (Faraji et al. 2010; Moor et al. 2001), energy dispersive X-ray 33 fluorescence (EDXRF) (Obiajunwa et al. 2002), electrochemical methods (Ma et al. 2015), 34 35 electrothermal atomic adsorption spectrometry (ETAAS) (Gomez et al. 2007), flame atomic absorption spectrometry (FAAS) (Sohrabi et al. 2013) and atomic absorption spectrophotometry 36 (AAS) (Bagheri et al. 2012). Most of these techniques are of high sensitivity, specificity, and 37 precision; however, all of them require complex equipment, professional personnel, and laborious 38 operations (Cui et al. 2015). Thus, the detection methods that are simple, cost-effective, and 39 portable are highly demanded especially in developing countries and areas with a lack of sufficient 40 infrastructure, professional experts, and appropriate environmental treatment. 41

In the past two decades, microfluidics has emerged as a promising technology for low-cost and 42 portable sensing applications. The majority of microfluidic devices are based on 43 44 polydimethylsiloxane (PDMS), a transparent elastomer (Xia and Whitesides 1998). However, these devices are not cheap and portable enough to be widely applied, especially in resource-45 limited settings. Recently, paper has been explored as a promising candidate to replace PDMS for 46 47 "lab-on-a-chip" sensing and detection applications. New terms such as "paper-based microfluidics" and "paper-based analytical devices (µPADs)" have been successfully introduced 48 and attracted growing attention recently (Li et al. 2012b; Martinez et al. 2007; Yetisen et al. 2013). 49 The major principle of paper-based microfluidics is to pattern paper substrates into two different 50 regions: the hydrophilic channels and the hydrophobic barriers. µPADs have several advantages 51 over mainstream PDMS-based microfluidic devices. First, it capitalizes on capillary forces instead 52 of extra components (e.g., pumps and tubes) for flow control. Second, its cost is extremely low. In 53 the past few years, µPADs applications have grown exponentially with many new promising 54 technologies developed for the detection of various environmental pollutants. In this article, we 55 56 summarize diverse applications of µPADs in the detection of heavy metal ions and provide insights for possible future research directions. 57

#### 58 **1.1 Significance of heavy metal detection**

Heavy metals are often defined in literature as the metals with densities exceeding  $5 \text{ g/cm}^3$  (Yetisen

60 et al. 2013). However, this definition is arguable as it neglects all chemical properties of the

substances. In this article, heavy metals are regarded as those metal elements which pollute the

environment and jeopardize our food safety, such as plumbum (Pb), cadmium (Cd), mercury (Hg),

63 chromium (Cr), copper (Cu), nickel (Ni), and zinc (Zn).

64 Cadmium is commonly used in industrial manufacturing and can be applied in electroplating (El-

Halim 1984), nuclear fission (McWhirter 2013), as well as routine laboratory uses, such as helium-

66 cadmium lasers (Harries et al. 1995). However, it can post threat to environment and humans

67 (Nriagu 1981). For instance, the so-called "itai-itai" disease in Japan was caused by cadmium (Bui

et al. 1975). Nickel is another heavy metal that is of high importance for industrial applications. It

- 69 is widely used in the production of alloys (Carroll et al. 2013), batteries (Yang et al. 2015), and
- 70 plating (Shao et al. 2014). But nickel has been classified as carcinogen by various agencies and
- institutions worldwide (Kim et al. 2014b). Additionally, mercury has also been used in diverse applications in the past, including thermometers (Blumenthal 1992), barometers (Peggs et al.
- 72 applications in the past, including thermometers (Brunnenthal 1992), barometers (Feggs et al. 73 1979), switches (Karnowsky and Yost 1987), and fluorescent lamps (Abreu et al. 2015). This
- relement can cause severe problems to our ecosystem. Therefore, the usage of mercury has been
- result of the state of the problem is of the cosystem. Therefore, the usage of inertial mass seen result of the state of t
- 76 detection and monitoring of heavy metals is an essential step.

#### 77 **1.2 Current detection methods**

- 78 One of the most reliable and versatile methods of detection of heavy metals is ICP-MS. It has been
- 79 developed since the 1980s (Bertin et al. 2016; Houk 1986). For instance, Tokalıoğlu (Tokalıoğlu
- 80 2012) successfully determined different heavy metal elements (*e.g.*, Fe, Sr, Mn, Zn, and Pb) in
- thirty medicinal herb samples after microwave digestion. Moreover, an ICP-AES-based technique
  have been developed to detect heavy metal pollutants in wastewater (Isai and Shrivastava 2015).
- Another common detection method is AAS, which is based on optical absorption. Nowadays,
- marine pollution has become a worldwide problem and seafood safety has played a crucial role in
- human health (Höfer 1998). Fatema (Fatema et al. 2015) applied AAS to quantify the
- concentrations of Pb, Cd, As, Cr, and Hg in shrimps. Other methods, including EDXRF, ETAAS,
- and FAAS, are also applied (Chandrasekaran and Ravisankar 2015; Francisco et al. 2015; Mousavi
- and Derakhshankhah 2014).
- 89 Overall, current techniques have advantages in the detection of heavy metals as they are adequately
- sensitive, specific and accurate for the determination at trace levels (Neves et al. 2009; Saad et al. 2015). However, all of them require emerging and bully equipment, trained personnal, and
- 2015). However, all of them require expensive and bulky equipment, trained personnel, and laborious operation. Therefore, researchers have been striving to develop cheap, simple, sensitive,
- g2 faborious operation. Therefore, researchers have been striving to develop cheap, simple, sensitive,
   g3 specific, accurate, user-friendly, and environmental-friendly detection devices, and µPAD is one
- 94 of the most promising solutions.

### 95 2. Description of microfluidic paper-based analytical devices

Modern µPADs patterned with hydrophobic barriers and hydrophilic areas can be traced back to 96 97 1902, and it was designed to prevent cross contamination between different reaction regions (Dieterich 1902). In 1937, Yagoda and colleagues successfully created water-repellent barrier with 98 paraffin wax in filter paper for spot tests (Yagoda 1937). Subsequently, paraffin wax and filter 99 paper were used for pH determination, water testing, and urine testing (Johnson 1967; Müller and 100 Clegg 1949). Recently, along with the development of "lab-on-a-chip" that aims to shrink and 101 integrate entire analytical procedures onto a single device, µPAD has extended its capabilities 102 103 remarkably, including developments of immunoassays, detection of food chemical hazards and bioterrorism, urinalysis, and environmental monitoring (Maxwell et al. 2013; Zang et al. 2012; 104 Zhang et al. 2015). Moreover, applications of µPADs such as mixing (Rezk et al. 2012), separation 105 (Songjaroen et al. 2012), timers (Li et al. 2013), displays (Li and Macdonald 2016), switches(Li et 106 al. 2008), and valves (Jahanshahi-Anbuhi et al. 2014) have also been developed in the past decade. 107 Based on these advancements, µPADs have shown great potential for next-generation "lab on a 108 109 chip" devices. For instance, blood plasma separation has been successfully realized by capillary

110 action on paper substrates with an H-shape channel (Kar et al. 2015). Albeit the separation

- efficiency (75.4%) is lower than conventional microfluidic chips (99.24%) (Moon et al. 2011), and
- 112 it is difficult to collect the as-separated plasma from papers,  $\mu$ PADs still exhibited great
- 113 capabilities in substitution of current chips as they require neither expensive instrumentations, nor
- 114 professional personnel.

#### 115 **2.1 Properties and fabrication methods**

Chromatography papers, filter papers, and nitrocellulose membranes are the most commonly used 116 substrates for µPADs (Lu et al. 2009b; Nie et al. 2010). Moreover, researchers have also adopted 117 other types of substrates such as glass fiber membranes and fleeces to fabricate µPADs. (Fang et 118 al. 2014; Haeberle and Zengerle 2007). Although these substrates possess various favorable 119 properties, a single piece of substrate cannot satisfy all requirements for µPADs (Table 1). For 120 121 example, capillary flow is one of the most crucial properties of µPADs by which extra pumps are not required. As the capillary flow rate depends on the size of the pores in the substrates, selection 122 123 of substrate with desired size of pores should be considered in order to meet the requirements of different applications. Additionally, biocompatibility is decisive for those applications involving 124 biological substances (e.g., proteins). Given this requirement, nitrocellulose membranes are 125 preferred because of their reliable protein binding. 126

127 The first modern µPADs fabrication method, which depends on polymerization of photoresist, was proposed by the Whitesides's group in 2007 (Martinez et al. 2007). However, this method requires 128 expensive photoresist and complex photolithography process. Later, the same group came up with 129 more rapid and cost-efficient methods of fast lithographic activation of sheets and wax printing in 130 2008 and 2009 respectively (Carrilho et al. 2009; Martinez et al. 2008). Wax printing hitherto 131 132 remains the most commonly used method due to its simplicity. It only involves two steps: printing wax patterns onto surface of paper and melting the wax to penetrate the entire thickness of the 133 paper. However, the resolution of this method is only sufficient to simple designs other than 134 complicated ones due to the fact that the spreading of melted wax in porous paper cannot be 135 precisely controlled. Recently, researchers have further developed dozens of fabrication methods 136 (e.g., screen printing, inkjet printing, embossing) for µPADs (**Table 2**). Each of them has its own 137 advantages and disadvantages. Hence, specific applications demand comprehensive consideration 138 of various requirements (e.g., cost, resolution) while choosing fabrication methods. Owing to 139 merits in ease of operation and low cost, printing methods such as wax printing and inkjet printing 140 are considered as the most promising techniques for µPADs. However, inherent weaknesses still 141 exist. For instance, functional inks for inkjet printing possess limited windows in viscosity and 142 143 surface tension (Yamada et al. 2015). Related surfactants in inks can result in denaturation of proteins, and dispersion stabilities of functional nanoparticles in inks can also be diminished while 144 printing. Recent developed methods such as embossing and lamination demonstrate rapid 145 146 fabrication of µPADs by physical treatments (*e.g.*, cut, lamination) other than using chemicals or inks (Cassano and Fan 2013; Thuo et al. 2014). 147

Table 1. Requirements	for t	he substrates	of µPADs
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Requirements	Impacts	Туре
Absorbency	Storing sufficient amounts of samples in the devices (Bracher et al. 2009)	Physical
Flexibility	Increasing the resistance of bending and folding especially in three dimensional structures (Li et al. 2008)	Physical
Stiffness	Increasing the robustness of the devices	Physical
Thermally stable	Enhancing the resistance towards temperature	Physical
High surface-to- volume ratio	Enhancing the amounts of reactive molecules that immobilized on the surface of the substrates (Martinez 2011)	Physical
Capillary flow	Wicking liquid without the requirement of pumps (Dungchai et al. 2010)	Physical
Proper porosity	Influencing the size of the particles retained in the papers (Harvey et al. 1996)	Physical
Proper thickness	Influencing the visibility, tensile strength, and bed volume of the substrates	Physical
Biocompatibility	Suitability of immunoassay, detection of food contamination and monitoring of environment (Wang et al. 2012)	Chemical
Biodegradability	Minimizing the burden to environment	Chemical
Low price	Satisfying the demands of analytical devices in the countries with low purchasing power (Lu et al. 2009a)	Other
Lightweight	Achieving in-field applications without bulky equipment	Other
High throughput	Satisfying the demands of developing countries and lower the price by batch production	Other
Disposability	Reducing the expenses for post-treatment	Other

## **Table 2.** Fabrication methods for $\mu$ PADs

Methods	Advantages	Disadvantages	References
Laser cutting	Simple operation; no chemical contamination	Needs expensive laser cutter; low resolution	(Bracher et al. 2009)
Manual cutting	Simple operation; no chemical contamination; extraordinary cheap	Very low resolution	(Wang et al. 2010)
Wax printing	Simple operation; cheap; no mask needed	Needs special wax printer; low resolution; unstable at high temperatures	(Carrilho et al. 2009)
Screen printing	Suitable for thick layers; no heating step	Patterned mask is needed; low resolution	(Dungchai et al. 2011)
Flexographic printing	Low ink consumption	Requires smooth paper surface; Requires frequent cleaning of equipment	(Olkkonen et al. 2010)
Inkjet printing	High efficiency; no mask is needed; available with modified office printer	Requires expensive modification of the office printer	(Hossain and Brennan 2011)
Laser printing	Simple operation	Needs special ink	(Bracher et al. 2009)
Drawing	Extraordinary cheap	Very low resolution	(Tai and Yang 2011)
Wax dipping	Simple operation; cheap	Needs a mask and heating step	(Songjaroen et al. 2011)
Plotting	Suitable for any type of surface	Special plotter is needed	(Nie et al. 2012)
Photolithography	High resolution	Low resistance to bending and folding; Expensive fees for a cleanroom and chemicals	(Martinez et al. 2007)
Plasma treatment	Retains the flexibility of paper; components like	Requires a mask; heating step is needed	(Li et al. 2008)

	switches and filters can be built directly		
Alkenyl ketene dimer printing	Extremely cheap for Alkenyl ketene dimer	Heating step is needed	(Li et al. 2010)
Embossing	Suitable for 3D structure; simple operation	Requires special mold;	(Thuo et al. 2014)
Stereolithography	Simple operation; suitable for applications in specific environment ( <i>e.g.</i> , high temperature)	Requires expensive 3D printer; special mask is needed	(He et al. 2015)
Lamination	Cheap; favorable mechanical strength; simple operation	Requires digital crafter cutter and roll laminator	(Cassano and Fan 2013)
Contact Stamping	Fast and cheap; reproducible	Requires special ink; low resolution	(Curto et al. 2013)
PDMS printing	Simple operation; cheap	Requires special plotter	(Bruzewicz et al. 2008)

#### 153 **2.2 Brief theoretical overview**

To describe flow motion in paper which is typically a porous medium, commonly used governing equations include Darcy's law and continuity equation:

156
$$V = -\frac{K}{\mu}\nabla P$$
157
$$\nabla \cdot V = 0$$

where V and P are volume-averaged liquid velocity and pore-averaged modified pressure, K is 158 media permeability, and  $\mu$  is viscosity of the liquid. Gary and O'Neill (Gray and O'Neill 1976) 159 demonstrated that Darcy's law can be derived from general equations of flow motion by applying 160 local averaging techniques while neglecting convection and inertia. Whitaker (Whitaker 1986) has 161 162 also provided theoretical derivation of Darcy's law. Although Darcy's law is only suitable for laminar flow, a variety of theoretical models have been developed on its basis throughout the years. 163 Recently, (Masoodi et al. 2007) has considered a case of isotropic porous media which was treated 164 under isothermal conditions. In this study, swelling was not taken into account and the authors 165 proposed five models for the determination of height of liquid front as summarized in Table 3. 166

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168

# **Table 3.** Models for the determination of height of liquid front. Adapted with permission from (Masoodi et al. 2007).

Name of Model	Equation
Washburn equation	$h_f = \left(\frac{R_p \sigma \cos(\theta)}{4\tau^2 \mu} t\right)^{\frac{1}{2}}$
Capillary model	$h_f = \left(\frac{4K\sigma\cos(\theta)}{\epsilon\mu R_p}t\right)^{\frac{1}{2}}$
Capillary model with gravity	$p_c \ln \left  \frac{p_c}{p_c - \rho g h_f} \right  - \rho g h_f = \frac{\rho^2 g^2 \kappa}{\epsilon \mu} t, p_c = 2 \sigma \cos(\theta) / R_p$
EB model	$h_f = \left(\frac{6K(1-\epsilon)\sigma\cos(\theta)}{\epsilon^2\mu R_p}t\right)^{\frac{1}{2}}$
EB model with gravity	$p_{s} \ln \left  \frac{p_{s}}{p_{s} - \rho g h_{f}} \right  - \rho g h_{f} = \frac{\rho^{2} g^{2} K}{\epsilon \mu} t, p_{s} = \frac{3(1 - \epsilon) \sigma \cos(\theta)}{\epsilon R_{p}}$

171

172 However, swelling occurs often during liquid transport in porous media. To combat this problem,

173 Masoodi and colleagues developed a model for porous swelling media (Masoodi and Pillai 2010).

174 Modified versions of Washburn equation and continuity equation appear as follows:

175 
$$L_{sw} = \left(\sqrt{\frac{\sigma \operatorname{rcos}(\theta)}{2\mu}}\right) \left[t - \frac{b}{r_0}t^2 + \frac{b^2}{r_0^2}t^3\right]^{\frac{1}{2}}$$
$$\partial \epsilon$$

176 
$$\nabla \cdot \mathbf{V} = -\mathbf{S} - \frac{\partial \mathbf{e}}{\partial t}$$

where S is a sink that appears due to absorption of liquid. It is assumed that capillary radius decreases linearly:  $r = r_0 - bt$ . The second and third terms in  $L_{sw}$  also become relatively important at long time intervals.

Additionally, non-Darcy flow considerations should be taken into account at high flow velocitiesas described by Forchheimer equation:

182 
$$\frac{\mu}{K}V + \beta\rho V^2 = -\nabla P$$

Zeng and Grigg demonstrated that modified Forchheimer number is a better criterion for non Darcy flows in porous media (Zeng and Grigg 2006). However, non-Darcy flow studies are beyond

the scope of this review. Readers can refer to extensive literature for more relevant information

186 (Hassanizadeh and Gray 1987; Huang and Ayoub 2008; Swartzendruber 1962).

#### 187 **3. Detection methods**

188 To date, a wide variety of detection methods have been adopted including but not limited to 189 colorimetric, fluorescent, electrochemical, (electro)chemiluminescent, nanoparticles-based, 190 hybrid and others. Despite of such a diversity, the detection of heavy metals with  $\mu$ PADs is still in 191 its infancy. The first successive application of  $\mu$ PADs is dated back to 2011 (Hossain and Brennan 192 2011). Since that time these devices have established a reputation for cost-effectiveness, rapidness, 193 high sensitivity, specificity and accuracy and thus considered as promising candidates to detect 194 heavy metals in environmental and agri-food samples.

#### 195 **3.1 Colorimetric detection**

196 Colorimetric sensing is one of the most commonly used approaches for laboratory tests and 197 industrial applications, such as the detection of heavy metals in wastewater (Awual and Hasan 198 2015). This method provides semi-quantitative readouts with the aid of a calibration chart. An 199 example of the commercial products is shown in **Figure 1a**. In these devices, detection zones are

- 200 created on paper for simultaneous detection of different analytes. After the sample is loaded and
- 201 distributed over different reaction zones, chemical reactions of reagents and target analytes occur
- that allow visual determination of target analytes through the color changes in the reaction zones.



203

Figure 1. a) Commercially available paper strips with indicated detection zones. b) Schematic illustration of detection of  $Cd^{2+}$  ions based on lateral flow chromatographic immunoassay. Adapted with permission from (López Marzo et al. 2013)

At present, an explosive growth of usage of colorimetric methods has been witnessed (Kim et al.
2014a; Ratnarathorn et al. 2012). As an example, a paper strip developed by Marzo and coworkers

is shown in Figure 1b (López Marzo et al. 2013). In this study, Cd<sup>2+</sup> ions were detected by gold 209 nanoparticles (AuNPs) on the basis of color change. In addition, Lee and Huang developed a µPAD 210 for the detection of  $Pb^{2+}$  with the aid of gold nanoparticles (Lee and Huang 2011). Further,  $Cu^{2+}$ 211 212 ions in aqueous solutions were also detected by paper-based sensor through adding cupron, which was used as chromogenic agent, and the result was optimized by microwave irradiation to reduce 213 reaction time (Li et al. 2016). Besides, Chaiyo and coworkers have capitalized on silver nanoplates 214 to determine trace level of  $Cu^{2+}$  ions and demonstrated that the developed µPADs have high 215 selectivity even in the presence of more than ten interferential heavy metal ions (Chaiyo et al. 216 2015). More recently, simultaneous detection of  $Hg^{2+}$  and  $Cr^{3+}$  ions in acetonitrile has been studied 217 by Patidar and colleagues (Patidar et al. 2015). The authors synthesized two types of ionophores 218 that derived from rhodamine, showing great capabilities in specific detection for Hg<sup>2+</sup> and Cr<sup>3+</sup> 219 ions based on sharp color change (*i.e.*, from colorless to pink for  $Hg^{2+}$  ions, and to reddish-pink 220 for Cr<sup>3+</sup> ions). 221

A versatile  $\mu$ PAD for colorimetric detection of different types of ions has been studied by Wang and colleagues (Wang et al. 2016b). In this study, filter paper was modified by intercalating Fe(CN)<sub>6</sub><sup>4-</sup> and S<sup>2-</sup> into the interlayer of LDH first. Then, samples were delivered and the concentrations of Cu<sup>2+</sup>, Fe<sup>3+</sup>, Pb<sup>2+</sup>, and Cd<sup>2+</sup> ions, are determined by the corresponding level of color change. The detection of Fe<sup>3+</sup> and Cu<sup>2+</sup> in this study is shown in **Figure 2a**.



227

Figure 2. a) A versatile sensor based on µPAD. Adapted with permission from (Wang et al.
2016b). b) Distinction of different heavy metal by pattern. Adapted with permission from (Feng et al. 2013b).

- Another device employing enrichment-based technique proposed by Feng (Feng et al. 2013b) has
- been validated to possess higher sensitivity than the regular colorimetric methods. A paper array
- 233 (Figure 2b) with eight pyridylazo compounds was selected to function as the indicator of eight
- corresponding ions, including  $Ni^{2+}$ ,  $Hg^{2+}$ , and  $Cu^{2+}$  ions. Interestingly, another similar design of

colorimetric array on filter paper also showed great potential for the recognition of different typesof ions (Liu and Lin 2014).

237 As a popular method, colorimetric technique plays an important role in the detection of heavy metals during the past decade. The aforementioned examples are far from exhaustive and more 238 studies can be found in (Hossain and Brennan 2011; Jayawardane et al. 2013; Kaewtong et al. 239 2014; Kim et al. 2014a; Li et al. 2015; Mentele et al. 2012; Puangploy et al. 2014; Rashid et al. 240 241 2016; Rattanarat et al. 2013; Wang et al. 2016a; Xiang et al. 2015). The main drawbacks of colorimetric-based detection method include possible release of toxic gases during operation as 242 well as low sensitivity and selectivity. Nevertheless, colorimetric method is still promising and has 243 great potential for improvement in near future. 244

#### 245 **3.2 Fluorescent detection**

246 Another commonly used detection method is a fluorescent one. Compared to colorimetric, it

- exhibits higher sensitivity and selectivity (Zheng et al. 2013). To recognize target analytes (e.g.,
- 248 heavy metal ions), chelating agent (*i.e.*, receptor) should be applied for efficient interaction with
- analytes. Besides, chelating units are connected with fluorophore units to generate recognizing
- 250 fluorescence whether enhancing or quenching its intensity (Formica et al. 2012).
- 251 Recent extensions of current method aimed to develop more efficient fluorescent substances and
- faster detection technique for trace level of heavy metal ions (Aragay et al. 2012; de Almeida etal. 2012; Kim et al. 2015; Peng et al. 2014). For instance, Zheng and collaborators proposed to use
- al. 2012; Kim et al. 2015; Peng et al. 2014). For instance, Zheng and collaborators proposed to use a luminescent metal-organic framework as a fluorescent probe to detect  $Fe^{3+}$  ions on filter paper
- (Zheng et al. 2013). The limit of detection (LOD) was as low as 0.0005 mol/L and the fluorescent
- reaction to  $Fe^{3+}$  ions could be finished in one minute. Song and coworkers have developed a
- 257 chemosensor to detect  $Cu^{2+}$  ions in aqueous solution on the basis of aggregation-induced emission
- 258 (Song et al. 2014). Alternative approach for the same ions was developed by Liu and colleagues
- (Liu et al. 2012) with the aid of silver nanoclusters (AgNCs) whose fluorescent properties can be
- quenched in the presence of  $Cu^{2+}$  ions. After immobilization of AgNCs on a surface of filter paper,
- an excellent linear relationship between fluorescent response and the concentrations of  $Cu^{2+}$  ions
- has been demonstrated (**Figure 3a**).



Figure 3. a) Relationship between fluorescent response and the concentrations of  $Cu^{2+}$  ions in the test of A) drinking water, and B) river water. Adapted with permission from (Liu et al. 2012). b) Example of "fingerprint" based on pattern-recognition methods. Adapted with permission from (Feng et al. 2013a).

In a pioneering study reported by Hatai and coworkers, determination of heavy metal ions in animal and human cells has been achieved (Hatai et al. 2012). The authors developed a histidinebased fluorescent chemosensor to detect  $Hg^{2+}$  ions and showed that the histidine modified by attachment of a bipodal thiocarbamate scaffold exhibited favorable selectivity. They further demonstrated the feasibility of chemosensor for paper strips. Eventually, this method was used to image the distribution of  $Hg^{2+}$  ions in zebrafish and human cells.

Recently, Zhang and collaborators achieved the simultaneous determination of heavy metal 274 contaminations in foods on a single paper strip by graphene oxide that was modified by fluorescent 275 agent labeled single-stranded DNA (Zhang et al. 2015). Fluorescent technique has also been 276 applied for multiplex detection (Feng et al. 2013a). A series of novel fluorescent indicators based 277 278 upon 4, 4-difluoro-4-bora-3a, 4a-diaza-s-indacene were developed for testing real wastewater samples. Based upon pattern-recognition methods, different metal ions were distinguished 279 successfully. For instance, the pattern for  $Hg^{2+}$  with concentration of 5  $\mu$ M is shown in **Figure 3b** 280 as a "fingerprint". 281

#### 282 **3.3 Electrochemical detection**

Traditional electrochemical technique usually involves a three-electrode system (Newman and Thomas-Alyea 2012), including a working electrode, a counter electrode, and a reference electrode. Technically speaking, with only two electrodes, an electrochemical measurement can still be carried out (Lei et al. 2011; Li et al. 2012a; Rivera et al. 2015; Zhao et al. 2012). However, 287 as the concentration of analytes is reduced due to chemical reaction, the potential drop on the 288 working electrode occurs, which will deteriorate the sensing performance. Therefore, a reference electrode with a stable potential can be incorporated to adjust the potential of the working 289 290 electrode. At present, various electroanalytical methods, including cyclic voltammetry, square wave voltammetry, linear sweep voltammetry, staircase voltammetry, anodic stripping 291 voltammetry, cathodic stripping voltammetry, adsorptive stripping voltammetry, and 292 293 chronoamperometry have been studied and applied (Scholz 2010). In the past decade, the 294 applications of electrochemical sensing with µPADs has been extended significantly (Nie et al. 2010; Ruecha et al. 2016; Shi et al. 2012). In these applications, the working and counter electrodes 295 296 are usually fabricated by printing carbon ink on the paper while the reference electrode is commonly made of silver/silver chloride (Ag/AgCl). To date, screen printing is the most popular 297 method used for printing these conductive electrodes on a paper substrate. Other simple methods 298 299 such as drawing are also possible (Dossi et al. 2013). Additionally, gold can be used as an 300 alternative material for electrodes because of its excellent conductivity (Carvalhal et al. 2010).

Compared with colorimetric and fluorescent methods used in µPADs, electrochemical method has 301 even more rapid response and higher sensitivity (less than 1µM) (Yetisen et al. 2013), and is more 302 preferred for achieving better quantitative results. For instance, the detection of Pb<sup>2+</sup> and Cd<sup>2+</sup> ions 303 in aqueous medium has been successfully achieved on filter paper strips based on electrochemical 304 technique by Shi and colleagues (Shi et al. 2012). Additionally, determination of heavy metal ions 305  $(e.g., Hg^{2+}, Ag^{+}, Cr^{3+} ions)$  in real groundwater has been demonstrated by Lee and collaborates 306 (Lee et al. 2014) recently. In this study, polypyrrole/cellulose composite paper was applied. 307 Polypyrrole provides favorable electrochemical properties while the cellulose paper offers 308 309 sufficient mechanical strength. They demonstrated that this composite paper based device serves as a multiplex detector for various heavy metals by unique signatures under analysis of principal 310 component analysis. Another application was proposed by Ruecha (Ruecha et al. 2015). The 311 electrodes were modified by nanocomposite of graphene-polyaniline and conventional screen 312 printing method was employed to fabricate three-electrode system on filter paper. Potentiostat was 313 utilized to detect trace level of ions such as  $Zn^{2+}$ ,  $Cd^{2+}$ , and  $Pb^{2+}$  by square-wave anodic stripping 314 voltammetry. The schematic illustration of fabrication process for this µPAD is given in Figure 4. 315



**Figure 4.** Schematic illustration of fabrication process. Adapted with permission from (Ruecha et al. 2015).

#### 319 **3.4 Chemiluminescent detection and Electrochemiluminescent detection**

320 Compared with fluorescent, chemiluminescent technique is similar except that the light is generated by chemical reaction of two reactants under catalyst or excited intermediate instead of 321 fluorescence (Cormier 2013; Wang et al. 2009). Two different mechanisms exist in the process of 322 323 chemiluminescence: one is that the emitting species are generated directly by the oxidation of reagents while the other one is through enhancing or inhibiting the effects of luminescent 324 compounds (Chen et al. 2014). However, the chemiluminescence from inorganic molecules is very 325 weak, and various enhancing methods have been developed (Guo et al. 2013; Yang et al. 2012). 326 327 Similarly, electrochemiluminescent technique depends on the luminescence produced by electrochemical reactions. Technically speaking, the emitted light is generated based on the 328 329 electron transfer reactions, which happen between the electrogenerated radical cations and anions (Swanick et al. 2012). Through the electron transfer reactions, excited states of intermediates are 330 formed and light is emitted consequently. 331

Even though these two techniques have been explored for various applications of µPADs, the 332 applications in heavy metal detection are still limited (Liu et al. 2014; Zhang et al. 2013). Recently, 333 Liu (Liu et al. 2014) demonstrated the feasibility of applying chemiluminescence to detect Hg<sup>2+</sup> 334 ions through µPADs. In this study, aptamers, which are artificial single-stranded DNA or RNA, 335 were utilized to recognize and capture Hg<sup>2+</sup> ions. Zhang (Zhang et al. 2013) applied two types of 336 electrochemiluminescent nanoprobe based on oligonucleotide to detect  $Pb^{2+}$  and  $Hg^{2+}$  ions, 337 respectively. Besides, silica nanoparticles, which were modified by carbon nanoclusters, and 338  $Ru(bpy)_{3}^{2+}$  conjugated gold nanoparticles served as electrochemiluminescent labels for 339 corresponding detection. The schematic illustration of this study is shown in Figure 5. 340



**Figure 5.** Schematic illustration for the detection of  $Pb^{2+}$  and  $Hg^{2+}$  ions based on electrochemiluminescent technique. Adapted with permission from (Zhang et al. 2013).

#### 344 **3.5 Nanoparticles based detection**

Detection based on nanoparticles (e.g., gold nanoparticles, silver nanoparticles) usually involves 345 other techniques such as colorimetric method (Chaivo et al. 2015; Fang et al. 2015; Nath et al. 346 347 2014; Patidar et al. 2015). Due to good performance as a recognizable indicator for naked eye, nanoparticles usually do not require readers for qualitative detections. Additionally, nanoparticles 348 349 have good affinity to target analytes (e.g., heavy metal ions) for forming conjugates. Nanoparticles have been successfully employed for heavy metal ions detection with µPADs recently (Elavarasi 350 et al. 2013; Fang et al. 2015; Vijitvarasan et al. 2015). For instance, Nath and cowokers have 351 successfully detected trace level of  $As^{3+}$  ions with nanoparticles in a µPAD (Nath et al. 2014). In 352 353 this study, Au-TA-TG was prepared by conjugating gold nanoparticles, thioctic acid (TA), and thioguanine (TG) under the presence of EDC/NHS. As shown in Figure 6, gold nanosensor Au-354 TA-TG and sample with As<sup>3+</sup> ions were introduced to different inlets of the device, and conjugated 355 with each other, leading to the color change on the surface of the paper that indicated the presence 356 of  $As^{3+}$  ions in the sample. 357

358



359

- Figure 6. Detection of  $As^{3+}$  ions by gold nanoparticles on paper. Adapted with permission from (Nath et al. 2014).
- 501 (Nath et al. 2014).

#### **362 3.6 Hybrid and other detection methods**

The idea of combining multiple methods in one  $\mu$ PAD is promising, because these methods can potentially complement each other and minimize drawbacks of a single method. For example, the

- 365 combination of colorimetric and electrochemical techniques was achieved in a 3D-structured
- device by Rattanarat (Rattanarat et al. 2014). By separating these two methods in different layers

of the device, different types of heavy metal ions were detected simultaneously. As shown in **Figure 7a**,  $Fe^{3+}$ ,  $Ni^{2+}$ ,  $Cr^{3+}$  and  $Cu^{2+}$  ions were determined by colorimetric method in the top layer while  $Cd^{2+}$  and  $Pb^{2+}$  ions were determined by three-electrode electrochemical method in the bottom layer of the device.



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**Figure 7.** a) Simultaneous detection for different heavy metal ions based on colorimetric and electrochemical techniques in a single device. Adapted with permission from (Rattanarat et al. 2014). b) Determination of  $Hg^{2+}$  and  $Pb^{2+}$  ions in water through measurement of time. Adapted with permission from (Lewis et al. 2014).

Conventional methods such as colorimetric, fluorescent, and electrochemical techniques usually 376 ask for an extra reader to quantify the concentration of target analytes in the sample, thus increasing 377 378 the costs of using µPADs. Recently, several novel methods have emerged for detection or readout. For instance, Lewis developed a simple method to quantify the level of  $Hg^{2+}$  and  $Pb^{2+}$  ions in water 379 by measuring time between reactions on the regions of "START" or "STOP" which occurred at 380 different time as shown in Figure 7b (Lewis et al. 2014). Additionally, surface-enhanced Raman 381 scattering (SERS) has also been adopted to detect Hg<sup>2+</sup> with ultra-sensitivity (She et al. 2016). 382 Recently, Pb<sup>2+</sup> and Cd<sup>2+</sup> ions have been determined by an integrative field analytical system (Lin 383 384 et al. 2016). In this study, cellulose filter paper was modified by immobilization of TiO<sub>2</sub>, and it showed great adsorption capacity for these two ions. Afterwards, quantification of ions was 385 achieved by a portable X-ray fluorescence spectrometer. 386

#### **4. Conclusions and future perspectives**

Although the applications of paper-based microfluidics for the detection of heavy metals are still in its early stage and no real product has emerged, huge progress has been developed in various

- aspects, such as sensitivity, selectivity, response time, and cost-effectiveness. Numerous properties
- of a paper substrate can influence the performance of  $\mu$ PADs and thus require careful selection.
- 392 Dozens of fabrication methods became currently available, such as wax printing, inkjet printing,
- and photolithography. However, cheap fabrication methods with a comparable high resolution are

still in demand. At present, colorimetric method is still the main one applied in paper-based devices for the detection of heavy metal ions. Nevertheless, low LOD and challenges in quantitative detection encourage researches for further innovations. Other detection techniques, such as electrochemical, chemiluminescent, and hybrid techniques might be preferred. However, these methods often call for expensive chemicals or extra equipment, which are not desirable for mass adoption. Thus, quantitative methods with simple fabrication and operation are still in demand.

Overall, a bright future for the comprehensive detection of heavy metals in a single paper-based
 device can be expected. We envisage that the real products for the detection of heavy metal
 pollution based upon μPADs are around the corner.

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