

**Quantum Dot Based Aptasensors for the Detection of Biomolecules with Related  
Raman/SERS Spectral Analysis**

BY

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THESIS

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*I dedicate this dissertation to my parents,*

*Mr. Sabyasachi Ghosh and Mrs. Bithika Ghosh....*

*without your love and blessings, this day wouldn't have arrived,*

*And*

*My husband Shreyan...*

*For being the rock behind me and the good chef that he is.*

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## Contribution of authors

The experimental methods, results and discussions in this thesis are copied both from my published papers and submitted manuscripts with provided permission from the journal (see appendix). The contributions of all the co-authors are listed as follows:

**Authors' contribution in chapter 2:** Dr. Michael Stroschio and Dr. Mitra. Dutta led the project and helped with the development of main ideas including revision of the paper. Shreya Ghosh performed the experiments and wrote the manuscript. Dr. Debopam Datta and Mehar Cheema helped with the experiments and contributed to writing the paper.

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## List of abbreviations

Au	Gold
Ca	Calcium
CRP	C – Reactive Protein
DNA	Deoxyribonucleic Acid
EDC	1-Ethyl-3-(3-dimethylaminopropyl)carbodiimide
EDTA	Ethylenediaminetetraacetic Acid
FRET	Fluorescence Resonance Energy Transfer
GA	Glycated Albumin
GO	Graphene Oxide
HS	Human serum
HSA	Human serum albumin
IFN - $\gamma$	Interferon - gamma
IgG	Immunoglobulin-G
IL - 6	Interleukin - 6
MB	Molecular Beacon
Mg	Magnesium
MWCO	Molecular Weight Cut-Off
Na	Sodium
NSET	Nanoparticle Surface-Energy Transfer
PBS	Phosphate Buffered Saline
PCR	Polymerase Chain Reaction
PCT	Procalcitonin

### List of abbreviations (continued)

PDMS	Polydimethylsiloxane
PEG	Polyethylene Glycol
PepO	Peptide O
PL	Photoluminescence
K	Potassium
QD	Quantum Dot
RNA	Ribonucleic Acid
SELEX	Systematic Evolution of Ligands by Exponential Enrichment
SERS	Surface-Enhanced Raman Spectroscopy
Sulfo - NHS	N-hydroxysulfosuccinimide
TBA	Thrombin Binding Aptamer
TCEP	Tris(2-carboxyethyl)phosphine
TFE	Transferrin
TG	Thapsigargin
TNF- $\alpha$ / TNF-alpha	Tumor Necrosis Factor – alpha

## Summary

This work presents the findings on the optical detection of biomarker proteins and metal ions using quantum dot based aptasensors along with the Raman / Surface Enhanced Raman Spectroscopy (SERS) of a wide range of biomolecules.

Optical aptasensors were designed for the detection Glycated Albumin, Tumor Necrosis Factor-alpha, C – Reactive Protein and calcium ions. These molecular beacons were composed of a quantum dot connected to a gold nanoparticle via a DNA aptamer. The DNA aptamers were chosen based on the affinity towards the target analyte. The sensor operated on the principle of Fluorescent Resonant Energy Transfer (FRET) with the quantum dot – gold nanoparticle functioning as the donor – quencher pair. The molecular beacons successfully detected the biomarker proteins not only in the physiologically relevant concentrations but also showed an error free performance in lower concentrations as well.

The response of the optical aptasensors was then studied in an intracellular environment. During this experiment, the molecular beacons were conjugated with a cell penetrating peptide called DSS, which assisted the molecular beacons in crossing the biological cell membrane. A preliminary study on the conjugation of the DSS peptide with the Glycated Albumin detecting molecular beacons was conducted to determine the right concentration of the DSS to be used in future experiments. The Tumor Necrosis Factor – alpha detecting molecular beacons were also conjugated with the DSS peptide to study the functionality of the sensor. A successful intracellular FRET phenomenon was observed in the presence of the target protein. A similar response was also observed when the DSS conjugated calcium ion detecting molecular beacons were studied in osteoblast cell lines.

## Summary (continued)

A preliminary study was conducted on the design of an aptasensor comprised of the molecular beacon anchored onto a graphene oxide for the detection of C – Reactive Protein. The sensor successfully detected the protein with a specific range of concentration and had a limit of detection in the range of 0.5 pM to 1.6 pM. This design opened up a new direction in theranostic studies in bioengineering because of its applicability in drug delivery and disease diagnostics.

Finally, Raman spectral signature of various biomolecules such as biomarker proteins, peptides, enzymes and bio fluids were analyzed to obtain a signature spectrum with possible assignments from literature. While the Raman spectra for most of the biomolecules were obtained, some complex molecules like Tumor Necrosis Factor – alpha and human serum required SERS to obtain a spectral signature with distinct peaks. A silver nanorod array based substrate was used for the SERS studies. The overall study primarily focused on studying the composition of the biomolecules and the secondary structure of the proteins.

## 1. Introduction

### 1.1. Proteins and amino acids

Proteins are large macromolecules, which are composed of smaller units called amino acids. They are fundamentally formed of elements such as carbon, hydrogen, nitrogen and sulfur. Amino acids are dipolar molecules, which contain an amine group and a carboxyl group as well. The structure of an amino acid has been shown in Figure 1 below. They form peptide bonds by linking the  $\alpha$ -carbon of one amino acid with the  $\alpha$ -amine group of another amino acid.

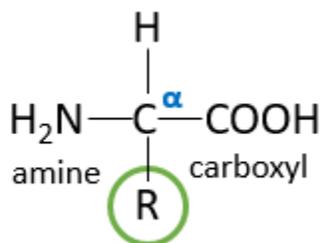


Figure 1. Basic structure of amino acid, which has an amine ( $\text{NH}_2$ ) group on one end and a carboxyl ( $\text{COOH}$ ) group on the other. 'R' indicates side chain / hydrocarbon group attached to the  $\alpha$ -carbon.

Proteins have a complex structural hierarchy. The primary structure of proteins refers to the sequence of amino acids, which forms the protein. The structure facilitated by the folding of the primary amino acid sequence using hydrogen bonds is known as the secondary structure ( $\alpha$ -helix /  $\beta$ -sheet /  $\beta$ -turns). Tertiary structure of proteins refers to a higher order of folding in a polypeptide chain stabilized by hydrogen bonds / van der Waals bonds / hydrophobic bonds / disulfide bonds. The bonding between multiple polypeptide chains is known as the quaternary structure.

Proteins play a very significant role in the human physiology and are an indispensable molecular machine for the cellular functioning of all life forms. Several diseases have established a direct correlation with protein structure. Additionally, healthcare diagnostics have become hugely dependent on biomarker proteins. These are proteins, which are either up-regulated or down-

regulated in the presence of certain disease pathology. For instance, proteins such as Tumor Necrosis Factor – alpha (TNF- $\alpha$ ), Interleukin – 6 (IL-6), Procalcitonin (PCT) etc. are excellent indicators of acute infectious conditions (example sepsis) [1]. C – Reactive Protein (CRP), on the other hand, is a biomarker for a wide range of diseases [2].

## 1.2. Quantum Dots

Quantum dots are semiconductor nanocrystals with diameters in the range of 2 – 10 nm. They are luminescent, an attribute, which is highly utilized in biosensors and drug delivery constructs etc. Quantum dots follow the principle of quantum confinement, where the semiconductor band gap increases with a decrease in the size of the nanocrystals. Consequently, the emission wavelength of the quantum dot can be controlled by tuning the size of the nanocrystals. The emission energy of semiconductor quantum dot nanocrystals can be expressed using Brus equation [3] (given below):

$$\Delta E = E_{gap} + \frac{\hbar^2}{8m_e^*d^2} + \frac{\hbar^2}{8m_h^*d^2} \quad (1.1)$$

In the equation (1.1),  $E_{gap}$  is the energy of the band gap,  $m_e^*$  represents the effective mass of the electron,  $m_h^*$  is the effective mass of the electron hole,  $d$  is the diameter of the quantum dot and  $\hbar$  represents the Planck's constant. Commercially available quantum dots come with a core made of cadmium selenide (CdSe) along with a shell composed of zinc sulfide (ZnS – a wider band gap material) in order to increase the quantum yield by passivating the sites on the core surface where electrons might be trapped [4][5][6]. Additionally, a polymer layer (typically Polyethylene Glycol – PEG) is coated on the surface of these quantum dots to facilitate biocompatibility as well to add functional group for conjugation with other molecules. Other types of quantum dots available in the market are composed of indium gallium phosphide (InGaP). Quantum dots have

several advantages over traditional fluorophores. Some of them include broad excitation spectra in the UV range, narrow emission spectra, and resistance to photobleaching [6].

### **1.3. Aptamers**

Aptamers are short single stranded DNA or RNA oligonucleotides, which display a binding affinity to specific target molecules. They are synthesized using a process called Systematic Evolution of Ligands by Exponential Enrichment (SELEX), in which a large nucleotide sequence (approximately  $10^{15}$ ) is subjected to the desired target molecule. Non-binding nucleotides are eliminated while amplifying the binding nucleotides using Polymerase Chain Reaction (PCR). This process is repeated until the highest number of target binding sequences are retained [4]. Aptamers are extensively used in biosensing as well as in therapeutic applications as they have several advantages over antibodies. Some of them include ease of functionalization, unlimited shelf life without having to worry about denaturation and cheaper in vitro production process etc.

### **1.4. Raman / Surface-Enhanced Raman Spectroscopy (SERS) of biomolecules**

Raman spectroscopy has been successfully established as a powerful analytical tool for probing biological systems [7][8]. In Raman spectroscopy, a monochromatic laser beam illuminates the sample [9]. The interaction between the laser and the sample molecules gives rise to scattered light, which has a frequency different from that of the incident light [10]. When two atoms share a bond, they experience both attractive and repulsive forces. The magnitude of these forces varies based on the masses of the atoms. Raman spectra originate because of the inelastic collision between the incident laser beam and the vibrating molecules of the sample. Therefore, a large part of the scattered light has a frequency equal to that of the incident radiation. Only a

small portion of the scattered light exhibits a different frequency when compared to that of the incident laser beam. This makes the Raman signal inherently weak even though the vibrational frequencies are unique to the pair of atoms making it an effective tool in identifying the composition of the samples.

To improve the Raman signal, a technique called Surface Enhanced Raman Spectroscopy (SERS) is used. SERS is a type of Raman spectroscopy in which the Raman signal is highly enhanced [11]. This enhancement occurs because of a high Raman scattering cross-section when the sample molecules get adsorbed on the surface of the metallic nanostructured substrate [12]. Nanostructures from noble metals like gold, silver etc. show enhancement of Raman spectra because they generate surface plasmons in the presence of an incident laser light and hence exhibit SERS [13].

## **1.5. Overview**

### **1.5.1. Specific aims**

In this research thesis, two topics have been explored: 1. Quantum dot based optical aptasensor 2. Raman / SERS spectroscopy of biomolecules. Specifically, aptasensors for the detection of inflammatory disease biomarkers have been studied with greater depth while Raman / SERS spectra of these biomarker proteins have been investigated to study about their secondary structure and composition. The specific aims for this study have been summarized below:

Specific Aim 1: To design and test quantum dot and aptamer based nanosensors for the detection of biomolecules.

Specific Aim 2: To study the response of the quantum dot based optical aptasensors in a cellular environment using a cell penetrating peptide.

Specific Aim 3: To design and characterize a graphene oxide based aptasensor for the detection of C – Reactive Protein.

Specific Aim 4: To obtain spectral characterization of biomolecules by using Raman Spectroscopy / Surface-Enhanced Raman Spectroscopy.

### **1.5.2. Innovation**

Aptamer based sensors have been evaluated to have excellent recognition capacity towards various types of target molecules [14]. These targets include metal ions such as lead [15][16], mercury [17][18], potassium [19][20][16], as well as biomolecules such as thrombin [21][22], interferon- $\gamma$  [23], ATP [24] and AMP [25] etc. In this study, the sensor design consists of the DNA aptamer as the primary sensing element attached to a quantum dot (QD) on one end and gold (Au) nanoparticle quencher on the other end. This design utilizes Fluorescent Resonant Energy Transfer (FRET) for target detection. As has been seen in previously published studies and also to the best of our knowledge, the DNA aptamers chosen in this study for the detection studies of biomarker proteins like GA, TNF- $\alpha$ , CRP etc. have never been incorporated in such a molecular beacon design before, which makes the sensors novel. Also, this study further incorporates DSS peptide and graphene oxide (GO), respectively, into some of these sensors and investigate their responses. Since GO has already been proven to be biocompatible [26] and has also been successfully used as drug delivery agent [27], the optical sensor can potentially be used as a theranostic agent. Additionally, DSS peptide conjugated aptasensors can also be used for theranostic applications.

Both Raman spectroscopy and SERS are well established techniques in complex biomolecule analysis [7]. Though these techniques have been previously used to detect cytokines [28], there

are few studies which analyze inflammatory cytokines associated to sepsis. Therefore, here, we have obtained the Raman signature of CRP, which is an important inflammatory biomarker of sepsis. Having Raman signatures of these crucial biomarker proteins will make it more convenient for analyzing complex biofluids like septic serum or septic blood samples in future.

## **2. Detection of glycated albumin using quantum dot aptasensors for monitoring diabetes mellitus.**

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Ref [29] S. Ghosh, D. Datta, M. Cheema, M. Dutta, and M. A. Strosio, "Aptasensor based optical detection of glycated albumin for diabetes mellitus diagnosis," *Nanotechnology*, vol. 28, no. 43, 2017.)

### **2.1. Overview**

Glycated albumin (GA) has been reported as an important biomarker for diabetes mellitus. This study investigates an optical sensor comprised of deoxyribonucleic acid (DNA) aptamer, semiconductor quantum dot, and gold (Au) nanoparticle for the detection of GA. The system functions as a 'turn on' sensor because an increase in photoluminescence intensity is observed upon the addition of GA to the sensor. This is possibly because of the structure of the DNA aptamer, which folds to form a large hairpin loop before the addition of the analyte and is assumed to open up after the addition of target to the sensor in order to bind to GA. This pushes the quantum dot and the Au nanoparticle away causing an increase in photoluminescence. A linear increase in photoluminescence intensity and quenching efficiency of the sensor is observed as the GA concentration is varied between 0–14,500 nM. Time based photoluminescence studies with the sensor show the decrease in binding rate of the aptamer to the target within a specific time period. The sensor was found to have a higher selectivity towards GA than other control proteins. Further investigation of this simple sensor with greater number of clinical samples can open up avenues for an efficient diagnosis and monitoring of diabetes mellitus when used in conjunction with the traditional method of glucose level monitoring.

### **2.2. Background**

Diabetes has been termed as one of the leading causes of global mortality. According to the World Health Organization, in 2014, approximately 422 million adults were found to have diabetes in comparison to 108 million people in 1980 [30]. Diabetes is a chronic state where the pancreas is unable to produce enough insulin and hence results in elevated glucose levels in bloodstream [31]. Such a condition is referred to as hyperglycemia [32]. It causes elevated concentrations of glycated proteins such as albumin and hemoglobin in the human system [33][34]. Diabetic nephropathy is a type of kidney failure caused by the incidence of diabetes. The most common clinical method adopted for monitoring diabetes, so far, is the combined measurement of blood glucose and glycated hemoglobin (HbA1c) levels. However, HbA1c levels can often be unreliable for patients with disorders such as hemolytic anemia, thalassemia etc. Fructosamine is also utilized for tracking glycemic index. However, fructosamine concentrations are highly dependent on concentrations of proteins, bilirubin, hemoglobin and various low molecular weight substances in the human system [34][35]. The half-lives for some of these proteins have not been estimated yet. Hence, fructosamine concentrations are often inaccurate. Therefore, glycated albumin (GA) has been determined to be a much more accurate marker for diabetes mellitus [34] especially for patients undergoing hemodialysis [36] or having hematologic disorders [37]. GA has come to the forefront as a tool for both the control and diagnosis for diabetic patients. GA begins with human serum albumin (HSA) and is developed into GA through a series of modifications and reactions. GA may be used as a glycemic control tool based on the extent of glycation depending on time allowed for glycation and amount of glucose available for reaction with HSA [38]. GA can also be used as an accurate marker in such pathologies including hemolytic anemia and deficiency anemia of diabetic patients [39]. While many markers exist that are currently used for diabetes management and predictive measures,

GA provides many advantages including earlier detection and prolonged circulation in the body. Measurement of glucose levels in the blood is the standard management method for diabetes management and detection. GA provides an alternative method to simple blood glucose detection and may offer an alternative diagnostic and prognostic marker for many diabetic patients. Using GA as a marker over long controlled periods of time (2–3 months) is made possible through the extended life span of hemoglobin levels in red blood cells [38]. Advantages of GA detection over typical blood glucose level measurement is also the ability to detect worsening glycemic control at an earlier stage compared to HbA1c detection [40]. It has also been seen that prolonged elevation of GA levels can lead to irreversible damage that is associated with diabetes mellitus, including neuropathy and coronary artery disease [41]. Therefore, to achieve a better diagnosis and management of diabetes, detection of glucose levels can be assisted by GA detection. The process of glycation of HSA is divided into several stages, first of which combines reduction of sugar (glucose) with primary amine groups to form a reversible Schiff base, which through further modification develops an Amadori product [42][43][44][45][46]. Many sugar molecules may be used to modify albumin, including galactose and fructose [47]. Following the Amadori product formation, the next stage involves the oxidation of glycated proteins that form reactive dicarbonyl compounds [38]. These reactive compounds further react with lysines and arginines to form AGE compounds, which include methylglyoxal-derived hydroimidazolone isomer 1 (MG-H1) and glyoxal-derived hydroimidazolone isomer 1 (G-H1) that have been seen in previous studies of GA [38]. Through these numerous steps of modification, HSA is glycated and goes through the transition to become GA. The primary functional groups of GA that are affected by glycation are lysine, arginine and cysteine [48]. The functional impact of GA has been shown to stabilize the tertiary and secondary structures and acquire a high life span [49].

The glycation process is often associated with oxidation processes as well, which are referred to as glycooxidation and affect early stages of the glycation process [50]. Previous studies show that higher levels of GA induce oxidative damage to cells [51][52]. Single stranded deoxyribonucleic acid (DNA) aptamers have been extensively studied by researchers previously for the detection of a wide range of targets such as metals ions including lead [15][16], mercury [17], potassium [16], as well as biomolecules such as ATP [24] and AMP [53], and proteins such as C-reactive protein (CRP) [54], interferon gamma (IFN- $\gamma$ ) [23], etc. DNA aptamers are defined as oligonucleotides, which contain a single, typically short, sequence of DNA that bind to specific antigens including molecules and ions. Using these short DNA sequences, targeting of specific molecules such as GA is facilitated through the aptamer binding sequences. One approach used frequently with aptamers involves using quantum dots; the resulting complexes are referred to as molecular beacons (MBs) [55]. MBs find a wide variety of applications in DNA binding protein detection [56][57], metal ions such as potassium [20][58], and have also been used as nucleic acid hybridization probes extensively [59]. Quantum dot technology is facilitated by aptamer and molecule binding via fluorescence resonant energy transfer (FRET). Dyes, quenchers, and metal nanoparticles can all serve as possible acceptors via FRET [60]. The mechanism of FRET transfer may be facilitated through quantum dot-gold nanoparticle interactions. When a quantum dot is brought into proximity of an Au nanoparticle once the aptamer binds the target molecule, the quantum dot fluorescence is then quenched via energy transference to the gold nanoparticle instead of the energy being emitted as a photon [16]. Since the absorption spectrum of gold nanoparticles is quite broad, they are quite effective as a quenching mechanism for quantum dot technology. Previous studies have established the quenching efficiency is proportional to  $1/d_6$  for distances smaller than  $d_0$  and  $1/d_4$  for distances larger than  $d_0$ , defined as  $d$  being the distance of

the emitter from the quencher and  $d_0$  is the characteristic distance where quenching and light emission are seen to be equal approximately 5 nm [16]. Nanosurface energy transfer is another phenomenon based on FRET for the case where a point-like object interacts with a local surface to promote quenching of light emission; in this case the change in light emission scales as  $1/d^4$ , and demonstrates the wide range of utility of FRET-based phenomena [16]. Gold nanoparticles have the capability to work through both FRET and NSET technology which demonstrates why they are typically used as quenchers in quantum dot systems. Previous works show that GA can be detected using enzymatic methods [34][61][62][63], affinity chromatography [35][64][65][66] immunochemistry [67][68]. While enzymatic sensors suffer various drawbacks such as high pH and temperature sensitivity [69], higher chances of denaturation and are therefore highly difficult to use enzymes in an in vitro environment [70][71], the other methods require longer processing times and exhibit poor precision [61]. Optical sensing of GA has been determined to be one of the most promising methods of detection since they do not require significant sample preparation and are relatively faster and cheaper [72]. Raman spectroscopy has been used to detect GA [73]. However, the limit of detection (LD) of the method was higher than that of the sensor proposed in our study. Other GA detection platforms includes fructosamine 6-kinase based electrochemical sensing [74][75], electrochemiluminescence sensing [76], surface plasmon resonance sensing [77] and aptamer based sensing [78]. In this study, we have synthesized a MB, where the DNA aptamer is attached to the quantum dot on one end and Au nanoparticle quencher to the other end for the detection of GA (Figure 2). Such a platform can be potentially used for monitoring diabetes.

## **2.3. Methods**

### **2.3.1. Aptamer structure and modification**

The GA binding aptamer used in this study was purchased from Biosearch Technologies (Petaluma, CA). It consists of 23 base pairs and has been modified on both ends with an amine group on the 5' and a Thiol group on the 3' (5'Amino C6/TGCGGTTGTAGTACTCGTGGCCG/Thiol C6 SS 3'). The aptamer was dissolved into Tris Ethylenediamine Tetraethyl Acetate buffer to obtain 100  $\mu$ M aptamer solution.

### **2.3.2. Materials**

GA, non-glycated HSA, transferrin and Immunoglobulin-G (IgG) were purchased from Sigma Aldrich (St. Louis, MO). CRP was obtained from EMD-Millipore (Temecula, CA) while recombinant human IFN- $\gamma$  was purchased from BioLegend (San Diego, CA). Human serum (HS) was obtained from AB plasma of human male (Sigma Aldrich Inc., St. Louis, MO.) 1-ethyl-3-(3-dimethylaminopropyl) - carbodiimide (EDC) and tris (2-carboxyethyl) phosphine (TCEP) are obtained from Pierce Biotechnology (Rockford, IL). 20 nm carboxyl coated CdSe/ZnS QDs e-flour ITK 655NC with an average radius of 20 nm were purchased from Life Technologies (Carlsbad, CA). Monomaleimide functionalized nanogold (Au) particles having a diameter of 1.4 nm was obtained from Nanoprobes (Yaphank, NY). Nanosep molecular weight cutoff (MWCO) filters of 10 and 100 k pore sizes were purchased from Pall Lifesciences (Ann Arbor, MI).

### **2.3.3. Synthesis of MB**

9  $\mu$ l of TCEP was added to 20  $\mu$ l of the 100  $\mu$ M GA aptamer and incubated for 30 min at room temperature. This process facilitates the reduction of the dithiol groups in the aptamer. 100  $\mu$ l of deionized water was added to one vial of Au nanoparticles. The entire volume of Au nanoparticle solution was added to the thiol activated GA aptamer-TCEP solution in a 3:1 ratio. The final solution was further incubated for 2 h at room temperature. After 2 h, the excess gold nanoparticles were filtered out by centrifuging the solution twice at 7000 rpm for 15 min each

using a 10 k MWCO filter. 13  $\mu\text{l}$  of quantum dots were mixed with 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4). This quantum dot solution was further added to the GA aptamer/Au nanoparticle solution in the presence of 23  $\mu\text{l}$  of 4  $\mu\text{g } \mu\text{l}^{-1}$  EDC/Sulfo NHS solution. This solution was then allowed to stir gently for 2 h at room temperature. Subsequently, the samples are centrifuged at 7000 rpm for 5 min using a 100 k MWCO filter in 50 mM borate buffer (pH 8.3). This process is repeated 10 times to eliminate unbound aptamers and EDC from the sensor solution.

#### **2.3.4. Analytical selection and prediction of secondary structure of GA aptamer**

The secondary structure of the GA binding aptamer was determined using M-fold web server [79][80][81]. The parameters that were used to determine the structure was temperature and ionic concentration. Two temperature conditions were considered: (1) 25 °C, which is the room temperature and (2) 37 °C, which is the temperature under physiological conditions. For each of these temperatures, there were two different ionic condition considered: (1) 1.37 mM  $\text{Na}^+$  and (2) 10 mM  $\text{Na}^+$ .

#### **2.3.5. Optical detection of GA**

The GA samples for the optical detection test were prepared by adding 0.005, 0.1, 1, 5, 10, 40 and 95 mg GA to 1 ml of 0.01 XPBS each. 5  $\mu\text{l}$  of these GA samples were then added to 750  $\mu\text{l}$  of sensor solution in a cuvette while keeping the cuvette undisturbed in the holder. The DNA aptamer in the sensor is allowed to bind to GA for 2 h before the photoluminescence intensity is recorded. The same conditions were repeated during the optical detection experiments with the control proteins and the clinical samples. The control proteins used for these experiments are non-glycated HSA, CRP, transferrin, IgG and IFN- $\gamma$ . Their stock solutions were also made in 0.01 XPBS.

HS was used for preparation of clinical samples. 100  $\mu$ l of HS solution is spiked with 0.1 mg named sample-S2 (see Results and discussion) and 0.95 mg of GA named sample S3 to simulate real life clinical sample and unspiked HS solution named sample S1 was used as the control counterpart for the clinical samples. The sample volume is used as 5  $\mu$ l for the clinical samples to maintain uniformity with the pure samples.

### 2.3.6. Instruments

The photoluminescence measurements of the sensor were conducted using a USB4000 Ocean Optics (Dunedin, FL, USA) spectrophotometer with a continuous 375 nm LED excitation. The centrifuge used was Fisher Scientific Accuspin micro (Fisher Scientific, USA).

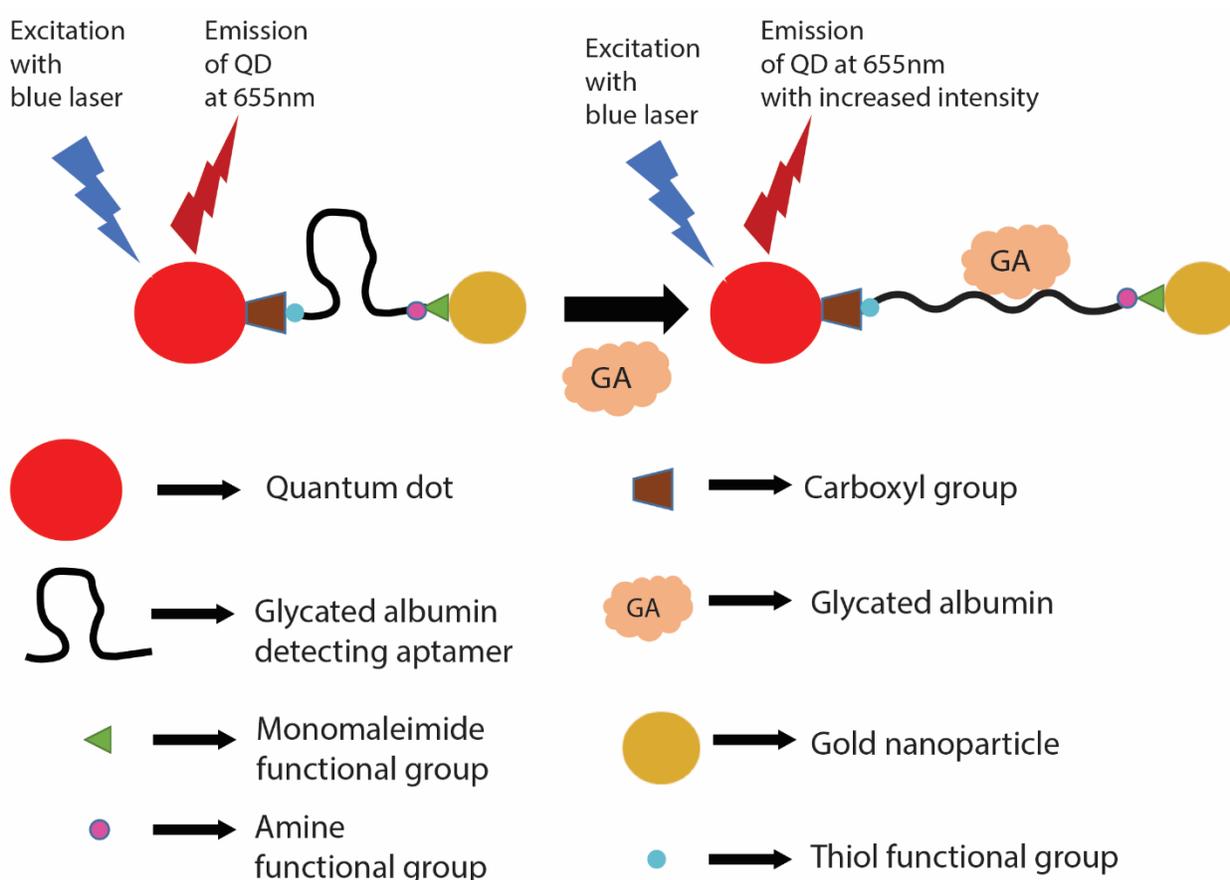


Figure 2. Molecular Beacon for the detection of Glycated Albumin. This figure illustrates the principle behind the detection of Glycated Albumin using Fluorescence Resonant Energy Transfer. An increase in PL intensity is observed after the addition of the addition of the target in the sensor

## 2.4. Results and discussion

### 2.4.1. Analytical selection and prediction of secondary structure of GA binding

#### aptamer

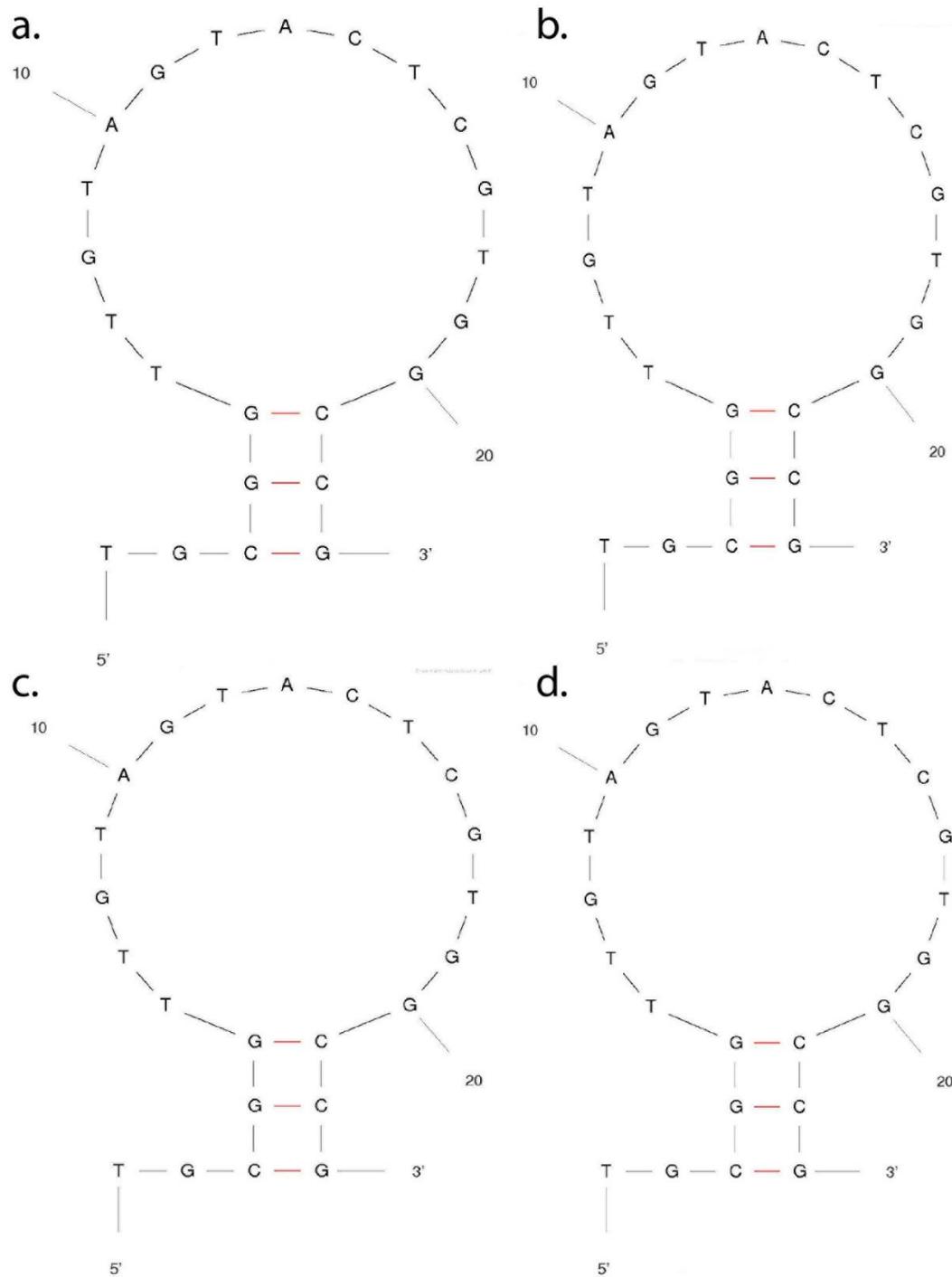


Figure 3. Secondary structure of the GA binding aptamer at (a) 1.37 mM Na<sup>+</sup> concentration and 25 °C temperature, (b) 10 mM Na<sup>+</sup> concentration and 25 °C temperature (c) 1.37 mM Na<sup>+</sup> concentration and 37 °C temperature and (d) 10 mM Na<sup>+</sup> concentration and 37 °C temperature.

The GA binding aptamer was chosen based on a previous study by Apiwat et al [78], where the DNA aptamer showed sensitivity towards GA. Apart from this, the choice was also based on the possibility of FRET between the quantum dot and the Au nanoparticle quencher. Since, the length of a single DNA base is 0.34 nm, the 23 base GA binding aptamer has a length of 7.82 nm. The presence of the Amino C6 modifier along with the Thiol C6 SS modifier will add another 1.2 nm approximately to the length of the DNA aptamer because of their combined 12 C–C bonds. This will result in an approximate distance of 9 nm between the quantum dot and the Au nanoparticle quencher.

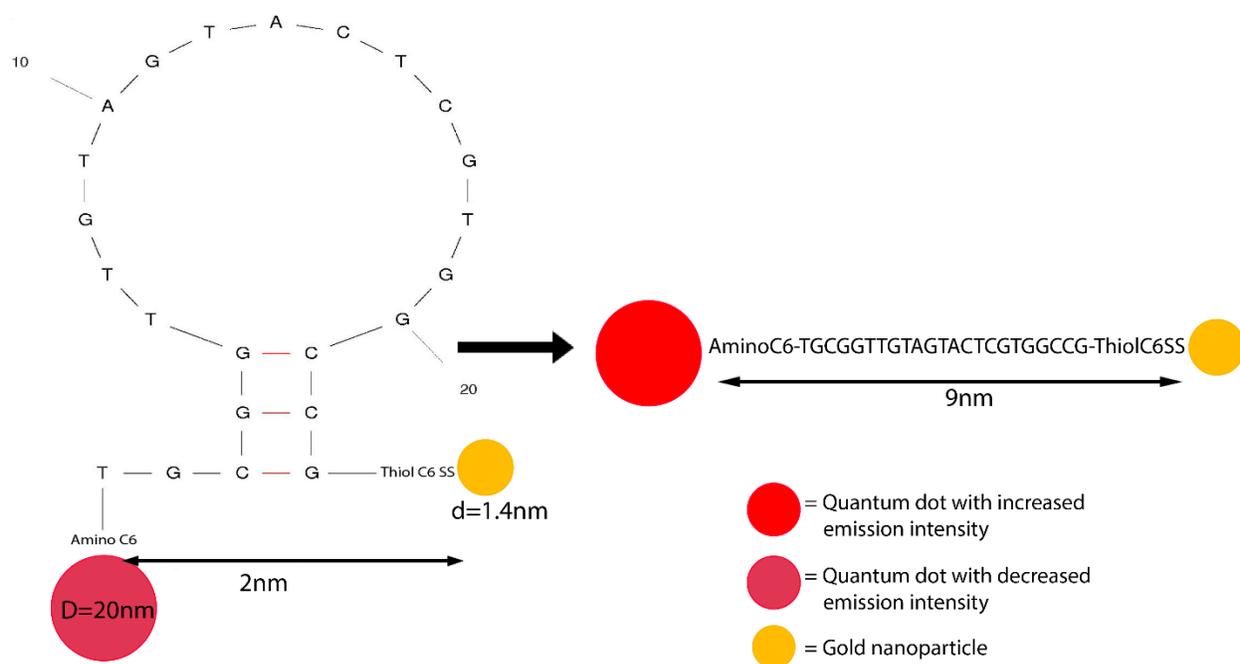


Figure 4. Schematic showing the change in the approximate distances between the Quantum dot and the Gold nanoparticle quencher before and after the addition of GA. The folded structure of the DNA aptamer before the addition of the analyte was predicted by M-fold webserver software

The M-fold webserver software predicted the secondary structures of the GA aptamer under the conditions mentioned in section 2.3.4. The aptamer was found to have a similar structure for all the conditions and is characterized by the formation of a hairpin loop at G5 and C21. This has

been clearly shown in Figures 3(a)–(d). The aptamer structures shown in Figures 3(a)–(d) have been chosen in such a way that they have the lowest  $\Delta G$ . Table 1 represents the  $\Delta G$  values of the aptamer under all the conditions mentioned in section 2.3.4. Based on the structure of the aptamer at 1.37 mM  $\text{Na}^+$  concentration at a folding temperature of 25 °C (Figure 3(a)), it can be concluded that the GA binding aptamer remains in a hairpin loop structure before the addition of any analyte. This phenomenon results in bringing the quantum dot and the Au nanoparticle quencher closer to each other to an approximate distance of 2 nm (Figure 4). As discussed later in the thesis, an increase in photoluminescence is observed on the addition of the analyte, therefore it is assumed that the hairpin loop plays a significant role the binding of GA to the aptamer by opening up the hairpin loop and putting the quantum dot and Au nanoparticle quencher farther apart to a maximum distance of 9 nm approximately (Figure 4).

Table 1. Variation of Gibb’s free energy values when the GA binding aptamer folds under different ionic and temperature conditions

<b>Sl. No.</b>	<b>Parameter</b>	<b><math>\Delta G</math> (kcal/mol)</b>
1.	1.37mM $\text{Na}^+$ concentration and 25°C temperature	-0.18
2.	10mM $\text{Na}^+$ concentration and 25°C temperature	-0.72
3.	1.37mM $\text{Na}^+$ concentration and 37°C temperature	0.95
4.	10mM $\text{Na}^+$ concentration and 37°C temperature	0.37

## **2.4.2. Optical detection of GA**

### **2.4.2.1. Sensitivity and specificity of the aptasensor towards GA**

The sensitivity of the aptamer based sensor towards GA was evaluated by adding the target progressively in concentrations ranging between 0 and 14,500 nM in the assay. As shown in Figure 5(a), the photoluminescence intensity increases with an increase in the concentration of

GA in the liquid sensor assay. This behavior is repeated when other sensor samples are tested with the same procedure and exhibits a steady linear increase in photoluminescence intensity over the same concentration range of GA (Figure 5(b)). This phenomenon can be attributed to the conformational change of the aptamer. In section 2.4.1, it has been mentioned that the DNA aptamer acquires a large hairpin loop like structure, which brings the quantum dot and the Au nanoparticle quencher closer. On the addition of GA, it is assumed that the aptamer unfolds from the hairpin loop like structure in order to bind to GA. This pushes the quantum dot and the Au nanoparticle quencher further apart, thus, causing an increase in the photoluminescence intensity. GA consists of a large number of arginine and lysine residues [82]. It has been previously studied that arginine and lysine exhibits a strong interaction with guanine while arginine also interacts with adenine and thymine [83]. The chosen DNA aptamer has 9 guanine bases and 7 thymine bases out of the entire 23 base structure and these bases comprise a major part of the hairpin loop as well as the external loop. Therefore, there is a high possibility that the hairpin loop plays a crucial role in the GA-aptamer complex formation. The quenching efficiency (Figure 6(a)) of the sensor was calculated by using the equation (2.1), where QE is the quenching efficiency, I is the photoluminescence intensity after the addition of target analyte at a specific concentration and  $I_0$  is the photoluminescence intensity before the addition of target.

$$QE = \left( \frac{I - I_0}{I_0} \right) \times 100 \quad (2.1)$$

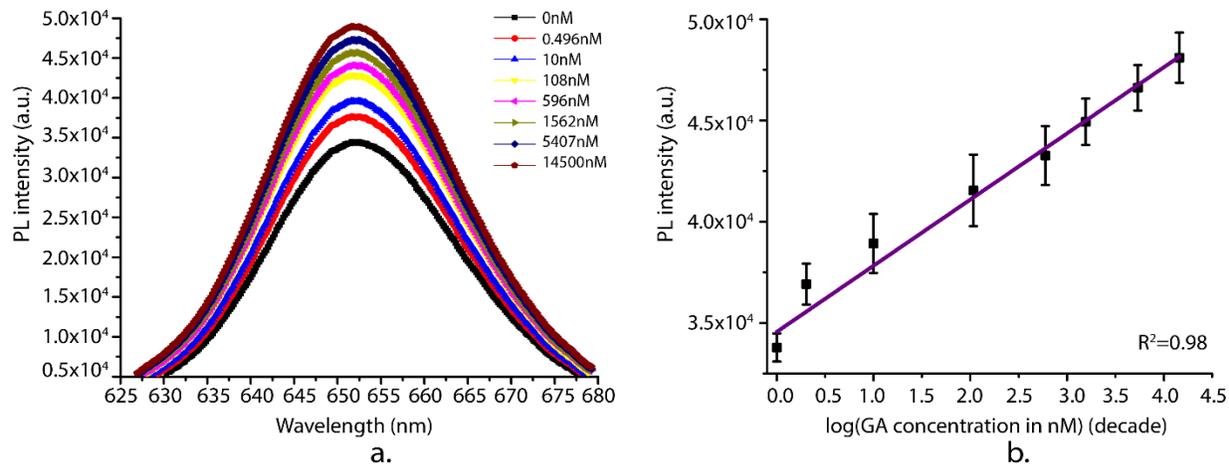


Figure 5. (a) Photoluminescence intensity of as a result of adding varied concentrations GA to 750  $\mu$ l of the DNA aptamer based sensor solution. (b) Linear increase in the averaged photoluminescence intensity over the logarithm of the GA concentrations added to the sensor. The photoluminescence intensity was collected from six different sensor samples ( $n = 6$ ) and the GA concentrations stated are its concentration in the assay.

Table 2. Comparison of the limit of detection of several GA detecting platforms with that of the proposed aptasensor in this study.

Sl. No.	Type of sensor	Limit of detection (LD)	Reference
1.	Enzymatic assay based electrochemiluminescence sensor	0.1 $\mu$ M (6.6 $\mu$ g/ml)	[76]
2.	Enzymatic assay based sensor	0.36 mg/ml	[84]
3.	Electrochemical aptasensor	3 $\mu$ g/ml	[85]
4.	Raman spectroscopy based sensor	13.7 $\mu$ M	[73]
5.	Graphene based optical aptasensor	50 $\mu$ g/ml	[78]
6.	Enzymatic assay based colorimetric sensor	0.47 mg/ml	[86]
7.	HPLC based boronate immunoassay	>10.9 $\mu$ M	[68]
8.	Hydrazine based colorimetric sensor	0.7 $\mu$ M	[87]

9.	Affinity chromatography based immunoturbidimetric sensor	0.81 mg/l	[35]
<b>10.</b>	<b>Optical aptasensor</b>	<b>1.08 nM</b> <b>(0.067 µg/ml)</b>	<b>This work</b> [29]

As can be seen in Figure 6(a), the sensor exhibited quenching efficiencies of  $9.74\% \pm 1.12\%$  and  $41.09\% \pm 0.81\%$  when the concentration of GA in the assay was 0.5 nM and 14,500 nM respectively. The concentration of GA (in nM) was converted to the corresponding logarithm value to show the linear behavior of the sensor ( $R^2 = 0.99$ ). Figure 6(b) represents the behavior of the sensor when the original PL intensities are plotted w.r.t. the concentration of GA in nM.

The LD was calculated using equation (2.2), where  $SD_0$  is standard deviation for blank sample, sensitivity is the slope of the quenching curve (shown in Figure 6(a)). LD is the limit of detection value of the GA concentration expressed in nM.

$$LD = \frac{3 \times SD_0}{Sensitivity} \quad (2.2)$$

It was found that the average LD for the sensor was 1.008 nM. Considering the molecular weight of GA is 66.5 kDa, the LD (1.008 nM or  $0.067 \mu\text{gml}^{-1}$ ) of this sensor is significantly lower than those reported in previous studies such as  $0.47 \text{ mg ml}^{-1}$  from the enzymatic assay [86] and  $50 \mu\text{gml}^{-1}$  from the graphene based aptasensor [78]. Table 2 compares the LD of this aptasensor with various other GA sensing platforms. It clearly shows that the LD of the aptasensor proposed in this paper is superior to previously published platforms.

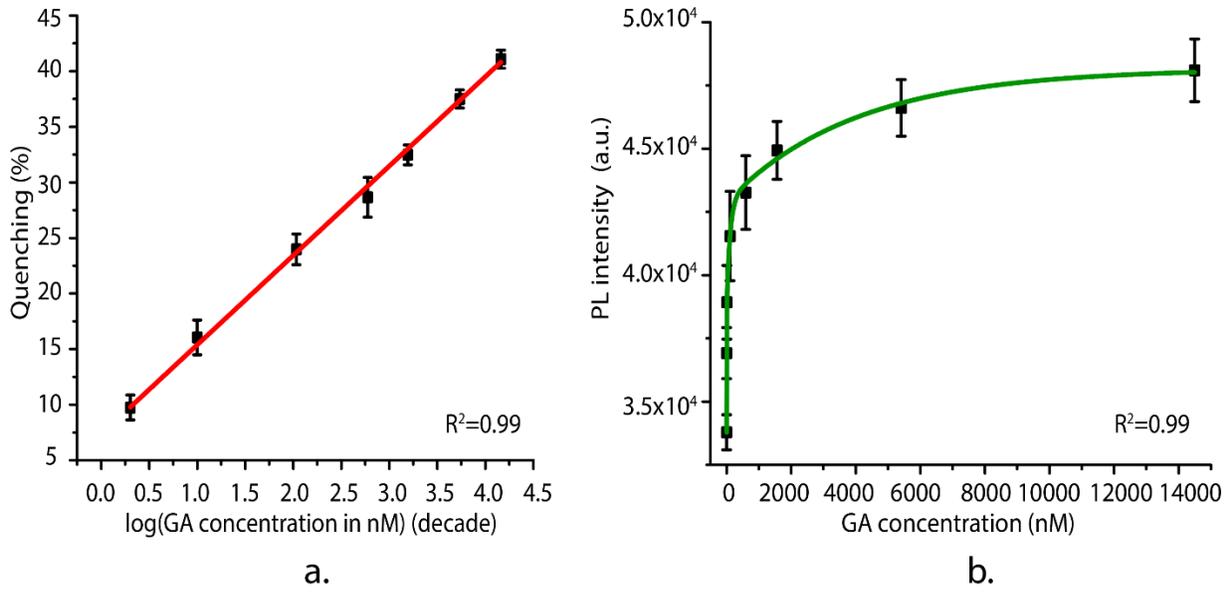


Figure 6. (a) Quenching efficiency demonstrated by the sensor on the addition of progressive addition of GA concentrations, the logarithm of which has been shown here. This represents the sensitivity of the sensor samples to the target GA. (b) Relationship between PL intensity and concentration of GA in nM. Experiments have been conducted with six different sensor samples in both cases ( $n = 6$ ).

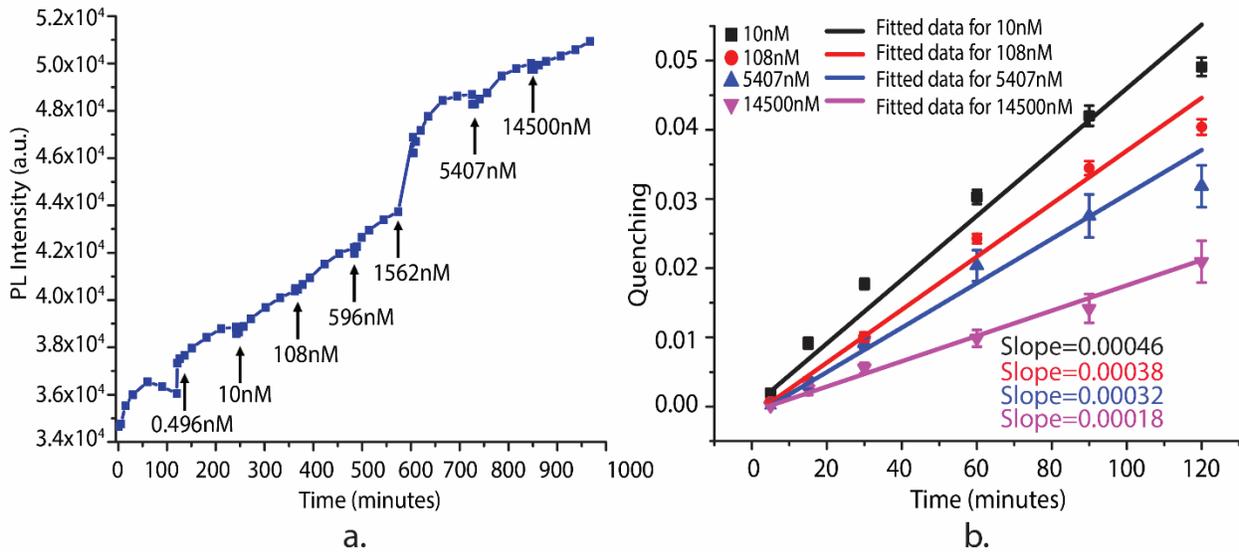


Figure 7. Time based change in (a) PL intensity of the aptasensor on successive addition of GA concentrations ranging between 0.496 nM-14500 nM (b) Quenching values of the sensor on addition of different concentrations of GA. Experiments have been conducted with six samples ( $n = 6$ ).

Also, the concentration of GA in HS was found to be 3–105.3  $\mu\text{M}$  (0.2–7.0  $\text{mg ml}^{-1}$ ) [78];[86].

Therefore, the aptasensor can potentially detect the presence of GA easily in diabetic serum.

In order to study the DNA-protein reaction behavior, a time based optical study was conducted. In this, once 5  $\mu$ l of GA of a certain concentration was added, PL intensities were recorded after specific intervals of time (0–120 min). Figure 7(a) shows that the PL intensities increases almost linearly with the progression of time and concentration of GA. The time based quenching values ( $QE_T$ ) represented Figure 7(b) have been calculated as the normalized difference between PL and  $PL_0$  by using equation (2.3), where PL represents the PL intensity recorded after a specific period of time following the addition of target while  $PL_0$  is the PL intensity before the addition of target.

$$QE_T = \frac{(PL - PL_0)}{PL_0} \quad (2.3)$$

It was observed that the slopes of the quenching curves decrease with the increase in concentration of the target GA. This indicates that the reaction rate decreases with increase in concentration of GA. This behavior can be attributed to the fact that the DNA binding sites are getting occupied as more GA is introduced in the sensor.

The specificity test of the aptasensor was conducted by comparing its response to GA and some control proteins, HSA being the most important out of them. As can be seen in Figure 8, GA exhibited the maximum quenching of  $41.09\% \pm 0.81\%$  followed by HSA ( $9.93\% \pm 0.45\%$ ), Transferrin ( $6.97\% \pm 0.85\%$ ) and CRP ( $3.65\% \pm 0.45\%$ ). The cross reactivity of the aptamer with HSA is because HSA has a very similar structure to that GA. However, the control solutions for HSA, Transferrin, CRP and IgG were prepared in such a way that their concentration in assay was 15  $\mu$ M except IFN- $\gamma$  which was kept at a concentration of 142 nM. Since, GA shows a quenching efficiency significantly higher than that exhibited by the control proteins at the same concentration, it can be said that the aptamer chosen is fairly specific to GA.

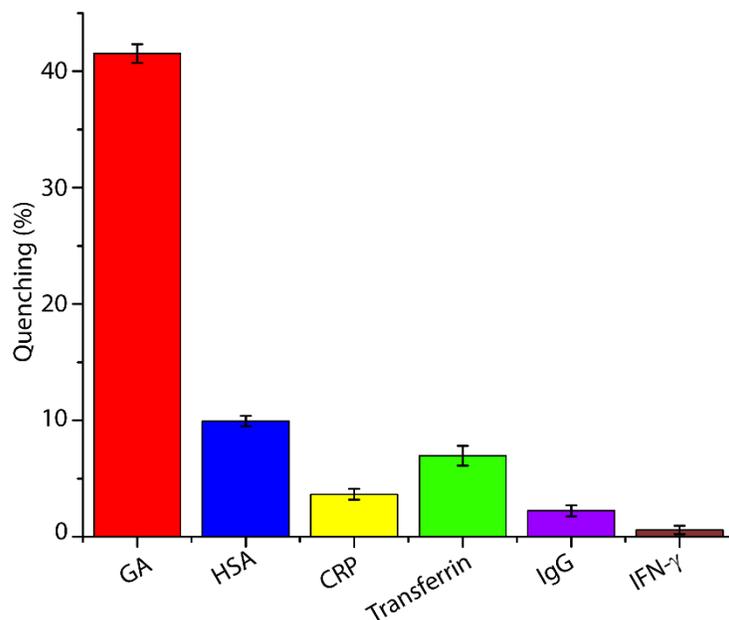


Figure 8. Response of the aptasensor towards control proteins. This graph compares the specificity of the sensor to GA and other control proteins, some of which have a high structural similarity with GA such as HSA. Experiments have been conducted in triplicates ( $n = 3$ ).

#### 2.4.2.2. Aptasensor response to clinical samples

Experiments with clinical samples were performed to evaluate the viability of this sensor to detect GA in human blood using glucose meters or continuous glucose monitoring method. The HS which contains most of the proteins, electrolytes, antibody and antigens except the fibrinogen, white blood cells and red blood cells which consists of 55% of the total volume of blood. Hence using HS as the background matrix for the clinical sample can be used to hypothesize the effect of real blood sample. Total protein content of the serum is  $4-9 \text{ gmdL}^{-1}$  specified by the manufacturer [88], hence the percentage quenching of 10% for sample S1 shown in Figure 9(a) is assumed to be due to presence of proteins in the serum. Percentage quenching exhibited by the sample S2 is lower compared to that of sample S1 hence, pure HS sample can produce false positives for detection of 100 nM of GA. Whereas sample S3 shows a statistically significant higher quenching efficiency compared to control sample, hence sample S1 does not behave as a false positive target for detection of  $1 \mu\text{M}$  GA concentration. The possible reason

behind high cross-reactivity towards HS is due to presence of different electrolytes and high concentration of albumin.

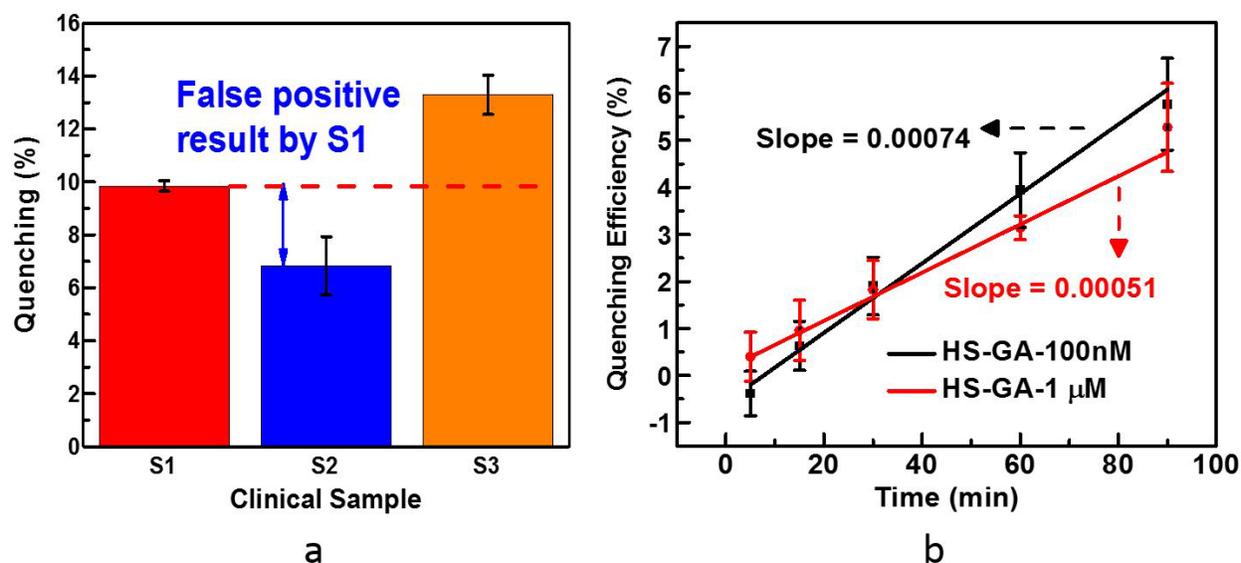


Figure 9. The percentage quenching is being presented in (a) which shows approximately 10% percentage quenching which can be co-related to quenching obtained for HSA in figure-7. Sample S1 behaves as a false positive for the concentration of 100 nM which almost 30 times higher compared to limit of detection obtained from characteristics curve. Figure (b) shows the change in quenching efficiency with time after addition of 5  $\mu$ L of sample S2 and S3 to the sensor sample.

## 2.5. Conclusion

In this study, we have developed and characterized a simple DNA aptamer based sensor for the detection of GA. It functions on the principle of FRET rendering an increase in photoluminescence with the increase in GA concentration. This research demonstrates the capacity of the aptamer-based sensor to detect pure GA samples over a wide concentration range from 1.008–14,500 nM, where its LD is 1.008 nM and is specific to the target. Although, the sample demonstrates a certain range of cross reactivity in the clinical samples, it can still be used in diabetes diagnosis and monitoring more effectively if used in conjunction with the traditional method of glucose monitoring. This is because the photoluminescence signal will be higher for GA than any other protein at the same concentration level.

### **3. Detection of Tumor Necrosis Factor - alpha using optical aptasensors for detection of inflammation.**

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Ref [89] S. Ghosh, D. Datta, S. Chaudhry, M. Dutta, and M. A. Stroschio, "Rapid detection of Tumor Necrosis Factor-alpha using quantum dot based optical aptasensor," *IEEE Trans. Nanobioscience*, vol. 17, no. 4, pp. 417–423, 2018.)

#### **3.1. Overview**

This study reports an optical 'turn off' aptasensor, which is comprised of a deoxyribonucleic acid aptamer attached to a quantum dot on the 5' terminus and gold nanoparticle on the 3' terminus. The photoluminescence intensity is observed to decrease upon progressive addition of the target protein tumor necrosis factor-alpha to the sensor. For PBS based TNF-alpha samples, the beacon exhibited 19 - 20% quenching at around 22 nM concentration. The photoluminescence intensity and the quenching efficiency showed a linear decrease and a linear increase respectively between 0 to 22.3 nM TNF-alpha. The detection limit of the sensor was found to be 97.2 pM. Specificity test results determined that the sensor has higher selectivity towards TNF-alpha than other control proteins like C - reactive protein, albumin, transferrin. The beacon successfully detected different concentrations of TNF-alpha in human serum based samples exhibiting around 10% quenching efficiency at 12.5 nM of the protein.

#### **3.2. Background**

Tumor necrosis factor-alpha (TNF- $\alpha$ ) is a pro-inflammatory and pleiotropic cytokine mainly secreted by macrophages [90][91] and is used to determine immune responses to a disease for diagnostic purposes [92]. The soluble form of TNF- $\alpha$  appear to be involved in the inflammatory response [93]. It has also been shown to play a significant role in the regulation of tissue remodeling, gliosis, and scar formation by activating glial cells that surround and support

neurons [94]. There is growing evidence that TNF- $\alpha$  is linked to a range of inflammatory and autoimmune diseases. Chromosomes that are linked to late onset of Alzheimer's disease harbor and bind to TNF receptors [95]. Studies of rats with insulin resistance show that they produce higher levels of TNF mRNA and proteins that are also expressed in human obesity [96]. Agents that inhibit TNF- $\alpha$  can treat a range of inflammatory conditions such as rheumatoid arthritis, inflammatory bowel disease, sepsis and psoriasis [97]. Single stranded nucleic acid aptamers are typically short sequences of Deoxyribonucleic acid (DNA) or Ribonucleic acid (RNA), that bind to various target molecules with high sensitivity and specificity and are selected in vitro by a method called SELEX (Systematic Evolution of Ligands by EXponential enrichment) [98]. Aptamers have been utilized as the key sensing molecule in several biosensor assay platforms such as colorimetric [99], and chemiluminescent [100] platforms. Aptamers have been widely used to detect analytes such as cancer cells [101][102], metal ions like potassium [20][16][19], mercury [17][18], lead [15][16], cadmium [103], and proteins like thrombin [104][21], C-Reactive protein [105][106][54], glycated albumin [78][29], and interferon gamma (IFN- $\gamma$ ) [92][23].

Quantum dots (QDs) are semiconductor nanocrystals that are being extensively utilized in various biotechnological applications, including biological imaging and biosensing [107]. QDs manifest the phenomena of quantum confinement due to fact that the carrier wavefunctions must satisfy boundary conditions at the QD boundary [108]. QDs offer better brightness and photostability over more commonly used fluorescent dyes, which have low quantum yields and pH levels and hence are susceptible to chemical and photo degradation [109]. The absorption of photons that creates electron-hole pairs or excitons increases at higher energies in QDs, resulting in a broad absorption spectrum and longer fluorescence lifetime compared to fluorophores [110].

Recent efforts to utilize QDs have remarked on its ideal optical properties for light-emitting diodes [108], optical probes [107], solar cells [111], and lasers [112], as well as a transduction element in optical sensors. In fluorescence resonance energy transfer (FRET) based optical nanosensors, a linker molecule binds to the analyte and changes the distance between the QD and the quencher, causing a change in the QD's luminescence [113]. Gold (Au) nanoparticles in close proximity to a QD absorb the energy that would otherwise be emitted as a photon and are efficient quenchers for QDs due to their broad wavelength absorption spectrum of 300- 800 nm [16]. FRET is characterized by  $1/d^6$  dependence for distances smaller than  $d_0$ , where  $d$  is the distance between the QD and the quencher and  $d_0$  is the distance between them at which 50 % quenching is achieved. Nanosurface energy transfer (NSET), characterized by  $1/d^4$ , can occur from a greater distance than FRET because it is not limited by dipole interactions between the donor and acceptor, instead relying on the donor's electromagnetic field and the conduction electrons on the acceptor. Au nanoparticles can serve as quenchers in both NSET and FRET for increased sensitivity at greater emitter-quencher distances compared to organic quenchers [114]. Enzyme Linked Immunosorbent Assays (ELISAs) have been traditionally used as a detection method of TNF- $\alpha$  but there are several disadvantages of ELISA such as high time consumption and false positive / false negative deviation [115]. To overcome the shortcomings of traditional ELISA immunoassays, researchers have mostly developed affinity based sensors with electrochemical transduction method [116][117][118][119], which are mostly voltammetric and impedimetric. Moreover, most of the work has utilized the traditional antibody based sandwich or direct sensing method for detection except Liu et.al. [92]. Liu et. al. has used TNF- $\alpha$  sensitive aptamer probe for detection. The former method requires immobilization of the antibody on a substrate, labelling the target with redox label hence the fabrication and operation of the sensor

becomes cumbersome. In addition to these sensing platforms, Weng et. al. have used an electrochemical immunosensor based on a  $K_3[Fe(CN)_6]$ - chitosan-glutaraldehyde system, where TNF- $\alpha$  was detected in PBS using cyclic voltammetry [120]. Several other electrochemical immunosensing platforms based on hydrogel prepared from ferrocene modified amino acid [121], fullerene functionalized carbon nanotubes and ionic liquid [122], alkaline phosphatase functionalized gold nanoparticle-poly (styreneacrylic acid) nanospheres [123] etc. have been used to detect TNF- $\alpha$ . An electrochemical impedance spectroscopy (EIS) based biosensor has been used by Pui et al to detect TNF- $\alpha$  in cell culture media [124]. Other sensing platforms for this biomarker include amperometry based immunosensing using magnetic beads [125] and hybridization chain reaction (HCR) based single molecule counting [126]. Previously reported optical sensor for detecting TNF- $\alpha$  utilizes fluorescence intensity change in ZnO nanorod [127], metal particle plasmon resonance assisted refractive index change in fiber-optic cable (fiber-optic particle plasmon resonance (FOPPR) sensor) [128] and resonance wavelength shift inside a silicon photonic ring-shaped microresonator [129]. Above mentioned optical biosensors use anti-TNF- $\alpha$  antibody for binding the target and senses change in optical property of the medium after binding event. There are very few optical biosensors reported with DNA [130] or RNA [131] based aptamer as biological active molecule with simple optical readout. To summarize, most of the reported optical biosensor with simple transduction methods either consists of complicated design strategies (antibody immobilization, antigen tagging) or sophisticated measurement techniques (FOPPR, evanescent wave based optical sensor).

In this manuscript, we are reporting a simple FRET based optical sensor using DNA aptamer as TNF- $\alpha$  detection probe with a very simple design and simple detection method (Figure 10) for the first time to the best of our knowledge. The simple transduction method of the sensor relies

upon change in fluorescence intensity of semiconductor nanocrystal based on the average distance between FRET pairs. Our group has extensively used this design strategies along with introduction of other active nanomaterials for detection of small sized metal ions like potassium [19], and mercury [18]. The sensing mechanism is heavily dependent on the folding capability of the DNA molecule to facilitate resonance energy transfer between QD and the quencher; hence detection of large sized protein and other bio molecules are of special interest specific to this sensor design and to overall sensor development community. We have successfully implemented this sensing strategy for detection of glycosylated albumin (molecular weight ~66 KDa) [29], hence TNF- $\alpha$  (~17.5 KDa) being much lighter molecule could be detected with this strategy.

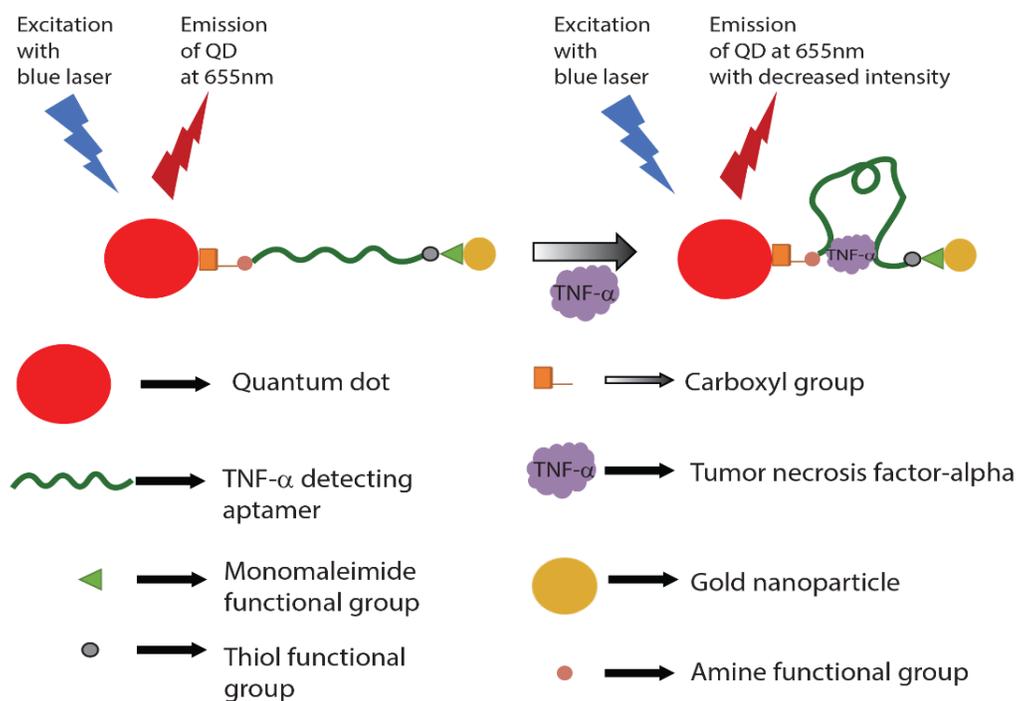


Figure 10. Schematic representation of the sensing strategy for the detection of TNF- $\alpha$ . This figure illustrates that as there is an increase in the target protein concentration, the PL intensity decreases. This phenomenon can be attributed to Fluorescence Resonant Energy Transfer, thereby making it the principle behind the detection of TNF- $\alpha$ . This figure is inspired from the illustrations provided by Ghosh et.al [29] and Datta et. al. [19].

### 3.3. Methods

#### 3.3.1. Aptamer structure and modification

The TNF- $\alpha$  binding ssDNA aptamer used in this study consisted of 25 bases and was purchased from Biosearch Technologies (Petaluma, CA). It has been modified on both ends with an amine group on the 5' and a Thiol group on the 3' (5'AminoC6/TGGTGGATGGCGCAGTCGGCGACAA/Thiol C6 SS 3'). The aptamer was dissolved into 887  $\mu$ l of Tris Ethylenediamine Tetraethyl Acetate (EDTA) buffer to obtain 100  $\mu$ M aptamer solution in order to prevent cation induced degradation.

### **3.3.2. Materials**

TNF- $\alpha$ , Human serum albumin (HSA) and Transferrin were purchased from Sigma Aldrich (St. Louis, MO). Human serum (HS) was obtained from AB plasma of human male and was also purchased from Sigma Aldrich (St. Louis, MO). C - reactive protein (CRP) was obtained from EMD-Millipore (Temecula, CA). 1-ethyl-3-(3-dimethylaminopropyl) - carbodiimide (EDC) and tris (2-carboxyethyl) phosphine (TCEP) are obtained from Pierce Biotechnology (Rockford, IL). 20 nm carboxyl coated CdSe/ZnS QDs e-flour ITK 655NC were purchased from Life Technologies (Carlsbad, CA). Monomaleimide functionalized nanogold particles having a diameter of 1.4 nm was obtained from Nanoprobes (Yaphank, NY). Nanosep molecular weight cutoff (MWCO) filters of 3k and 100k pore sizes were purchased from Pall Lifesciences (Ann Arbor, MI).

### **3.3.3. Synthesis of molecular beacon**

20  $\mu$ l of the 100  $\mu$ M TNF- $\alpha$  aptamer was mixed with 9  $\mu$ l of TCEP by allowing the mixture to incubate for 30 minutes at room temperature. This step results in the reduction of the dithiol groups in the aptamer. 100  $\mu$ l of gold nanoparticle solution is formed by adding the same volume of de-ionized water to one vial of gold nanoparticles (6 nmoles). This was further added to the aptamer-TCEP mixture so that the resulting ratio between the quencher and the aptamer is 3:1.

The resulting solution was then incubated for 2 hours at room temperature following which it was centrifuged twice at 5000 rpm for 15 minutes each using a 3k MWCO filter in order to remove the excess unbound gold nanoparticles from the solution. After each centrifugation, the supernatant was washed with 50  $\mu\text{l}$  of de-ionized water. The centrifuge used for all centrifugation steps was the Fisher Scientific Accuspin micro (Fisher Scientific, USA). A 100  $\mu\text{l}$  QD solution was synthesized by adding 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4) to 13  $\mu\text{l}$  of carboxylated CdSe/ZnS QD (0.1 nmoles). The QDs were bound to the DNA aptamer using the EDC/NHS coupling chemistry. 100  $\mu\text{l}$  of the QD solution was added to the filtered TNF- $\alpha$ /gold nanoparticle solution in the presence of 23  $\mu\text{l}$  of 4  $\mu\text{g}/\mu\text{l}$  EDC/Sulpho NHS solution. The resulting solution was then allowed to shake gently for 2 hours at room temperature. Subsequently, the samples were centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). After each centrifugation, the supernatant was washed with 50  $\mu\text{l}$  of the 50 mM borate buffer (pH 8.3). This step ensured the elimination of unbound aptamers and EDC from the sensor solution.

#### **3.3.4. Structural characterization of TNF- $\alpha$ aptamer**

Secondary structure prediction of aptamer In this study, the M-fold web server was used to determine the secondary structure of the TNF- $\alpha$  binding DNA aptamer [55][56][57][79][81][80]. The temperature and ionic concentrations were varied to compare the difference in secondary structure of the aptamer for each condition. Two temperature conditions were considered: 1.) 20  $^{\circ}\text{C}$ , which is the room temperature and in which the experiments were conducted 2.) 37  $^{\circ}\text{C}$ , which is the temperature under physiological conditions. For each of these temperatures, there were three different ionic conditions considered: 1.) 1.37 mM  $\text{Na}^+$ , 2.) 10 mM  $\text{Na}^+$  and 3.) 150 mM  $\text{Na}^+$ . These concentrations were particularly chosen because the  $\text{Na}^+$

concentration for the designed sensor is 1.37 mM while the concentration of Na<sup>+</sup> in a mammalian cell and blood is around 12 mM and 145 mM respectively [132].

### **3.3.5. Optical detection of TNF- $\alpha$**

#### **3.3.5.1. Sensitivity determination of sensor**

The stock solutions of 5  $\mu\text{g/ml}$  and 1  $\mu\text{g/ml}$  TNF- $\alpha$  were prepared by serially diluting the 10  $\mu\text{g/ml}$  protein in 0.01 X PBS solvent. 5  $\mu\text{l}$  of these TNF- $\alpha$  samples were then added to 750  $\mu\text{l}$  of sensor solution. The cuvette containing the aptasensor and the protein is then allowed to stand undisturbed for an hour to facilitate binding between the aptamer and the protein. Subsequently, the photoluminescence intensities were recorded using a USB4000 Ocean Optics (Dunedin, FL, USA) spectrophotometer with a continuous 375 nm LED excitation.

#### **3.3.5.2. Specificity determination of sensor**

The sensor was tested with control proteins using the same procedure as mentioned in section 2.5.1 to test its specificity towards TNF- $\alpha$ . The control proteins used for these experiments are human serum albumin, CRP, transferrin and thrombin. Their stock solutions were also made in 0.01 X PBS and the concentrations of all the proteins were kept at 65 nM or above in the sensor.

#### **3.3.5.3. Sensitivity determination of sensor on clinical samples**

The clinical samples were prepared by spiking commercially available human serum with several concentrations of the TNF- $\alpha$  protein. To prepare sample C1, 10  $\mu\text{l}$  human serum was spiked with 5  $\mu\text{l}$  of 10  $\mu\text{g/ml}$  TNF- $\alpha$ . This rendered a final concentration of about 1 nM of TNF- $\alpha$  in the sensor solution. C2 was prepared by spiking 10  $\mu\text{l}$  human serum with 10  $\mu\text{l}$  of 10  $\mu\text{g/ml}$  TNF- $\alpha$  so that the resulting final concentration of the protein in the sensor is 3 nM. Samples C3, C4 and C5 were prepared in a similar manner such that the final concentration of TNF- $\alpha$  in the sensor is 6 nM, 9 nM and 12 nM respectively.

### 3.4. Results

#### 3.4.1. Structural characterization of TNF- $\alpha$ aptamer

Secondary structure prediction of aptamer According to the M-fold webserver software, the secondary structures of the TNF- $\alpha$  binding DNA aptamer was found to be similar under the conditions (a-d) mentioned in Figure 11. However, as can be seen in Figure 11 (e-f) when the Na<sup>+</sup> concentration was increased to 150 mM, the secondary structure changed. For the first four conditions, the structure is characterized by 14 single strand bases and 1 closing helices. There are two stacks, one of which is formed by C<sup>11</sup> -G<sup>21</sup> while the other is formed by G<sup>12</sup> -C<sup>20</sup>. The structure also has a hairpin loop, the closing pair of which is C<sup>13</sup> -G<sup>19</sup>. Once the Na<sup>+</sup> concentration is changed to 150 mM, the altered secondary structure primarily consists of an external loop with 8 single strand bases and 1 closing helices along with a total three stacks formed by T<sup>8</sup> -A<sup>24</sup>, C<sup>11</sup> -G<sup>21</sup> and G<sup>12</sup> -C<sup>20</sup> respectively. In this case, an interior loop is also observed with an external closing pair at G<sup>9</sup> -C<sup>23</sup>. The structure here retains the hairpin loop structure at C<sup>13</sup> -G<sup>19</sup> like the previous conditions.

Table 3. Variation of Gibbs free energy values of the TNF- $\alpha$  binding DNA aptamer with different temperature and ionic concentration.

Sl. No.	Na <sup>+</sup> concentration (mM)	Temperature (°C)	$\Delta G$ (kcal/mole)
1.	1.37	20	-2.99
2.	1.37	37	-1.39
3.	10	20	-3.65
4.	10	37	-2.09
5.	150	20	-5.14
6.	150	37	-3.49

This DNA aptamer was chosen based on a previously published study by Orava et. al. [130]. As per this study, this aptamer was observed to specifically bind to TNF- $\alpha$  with dissociation constant of  $7.0 \pm 2.1$  nM as measured by surface plasmon resonance (SPR). It also showed no binding affinity towards TNF- $\beta$ , which is the closest known homologue to TNF-  $\alpha$  and both have very similar structures [130]. Also, this aptamer was chosen in such a way that it facilitates FRET between the quantum dot and the gold nanoparticle quencher [19]. The length of this aptamer was found to be comparable to the characteristic distance required for FRET to happen. This aptamer has 25 bases, which accounts for a length of 8.5 nm while the functional groups in it accounts for an additional length of 0.12 nm. Hence, the total length of the functionalized aptamer is 8.62 nm. Table 3 shows the Gibb's free energy ( $\Delta G$ ) values of the aptamer when the temperature and Na<sup>+</sup> concentrations are varied. It was observed that the  $\Delta G$  values increased when the temperature was raised from 20 °C to 37 °C while keeping the ionic concentration constant. However,  $\Delta G$  values decrease as the Na<sup>+</sup> concentration is increased from 1.37 mM to 150 mM.

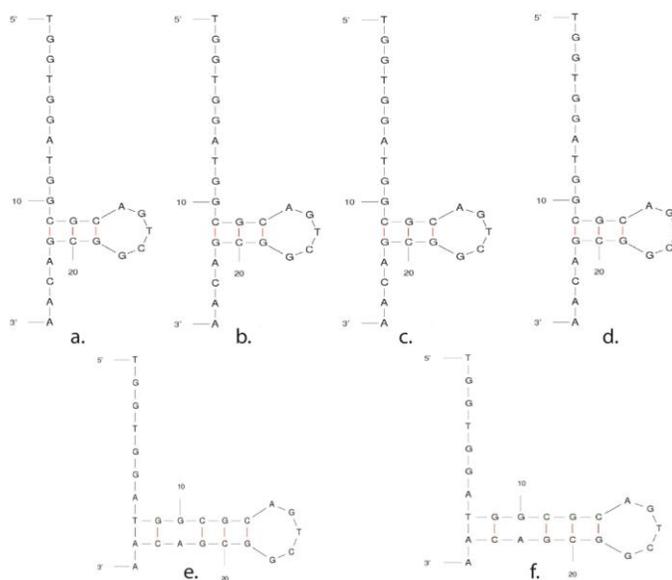


Figure 11. Secondary structures of the TNF- $\alpha$  binding aptamer as obtained from the M-fold server when the temperature and Na<sup>+</sup> concentrations are (a) 20 °C and 1.37 mM, (b) 37 °C and 1.37 mM, (c) 20 °C and 10 mM, (d) 37 °C and 10 mM, (e) 20 °C and 150 mM and (f) 37 °C and 150 mM respectively.

### 3.4.2. Optical detection of TNF- $\alpha$

#### 3.4.2.1. Sensitivity determination of sensor

Figure 12 (a) shows the photoluminescence spectra exhibited by the sensor when TNF- $\alpha$  is added progressively between 0 nM to 22.3 nM. A decrease in the photoluminescence intensity was observed as the concentration of the target increased. A repetition of this behavior in other sensor samples is represented in Figure 12 (b), which shows that the average photoluminescence intensity decreases linearly with the increase in the target protein concentration. The quenching efficiency has been calculated using equation (3.1), where  $qe$  (%) is the quenching efficiency expressed in percentage,  $I_0$  is the peak photoluminescence intensity before the addition of target and  $I_{tnf}$  is the peak photoluminescence intensity after the addition of TNF- $\alpha$ .

$$qe(\%) = \left[ \frac{(I_0 - I_{tnf})}{I_0} \right] \times 100 \quad (3.1)$$

As seen in Figure 12 (c), the sensor shows quenching of  $0.66 \pm 0.17$  % and  $19.6 \pm 1.77$  % when the concentration of TNF-  $\alpha$  is 0.344 nM and 22.3 nM, respectively. The quenching occurs because of FRET, which happens when the aptamer binds to TNF- $\alpha$  thus, bringing the QD and the gold nanoparticle quencher closer (Figure 10). As we continue increasing the concentration of the target protein in the sensor, the gold nanoparticle quenchers and the quantum dots are drawn closer as a result of the conformational change of the aptamer. During FRET, the gold nanoparticle quencher interacts with the quantum dot via dipole-dipole interaction, which results in an intersystem energy transfer from the quantum dot to the gold nanoparticle quencher. This is why a decrease in photoluminescence intensity and an increase in quenching is observed with the successive addition of TNF- $\alpha$  because greater number of quantum dots get involved in FRET [19].

The sequence of the TNF- $\alpha$  binding aptamer has 11 guanosine bases, out of which there are 4 sets of GG repeats. G-quadruplex structures are usually formed when three or more G bases are present consecutively in the sequence [133]. However, it has been observed that there are several aptamers, which have multiple GG repeats and have displayed the formation of G-quadruplex structure while binding to proteins like thrombin [83], nucleolin [86], HIV-1 nucleocapsid protein [84] and M. tuberculosis polyphosphate kinase 2 [85] etc. Therefore, it is assumed that this aptamer might also be taking up a G-quadruplex structure while binding to TNF- $\alpha$ .

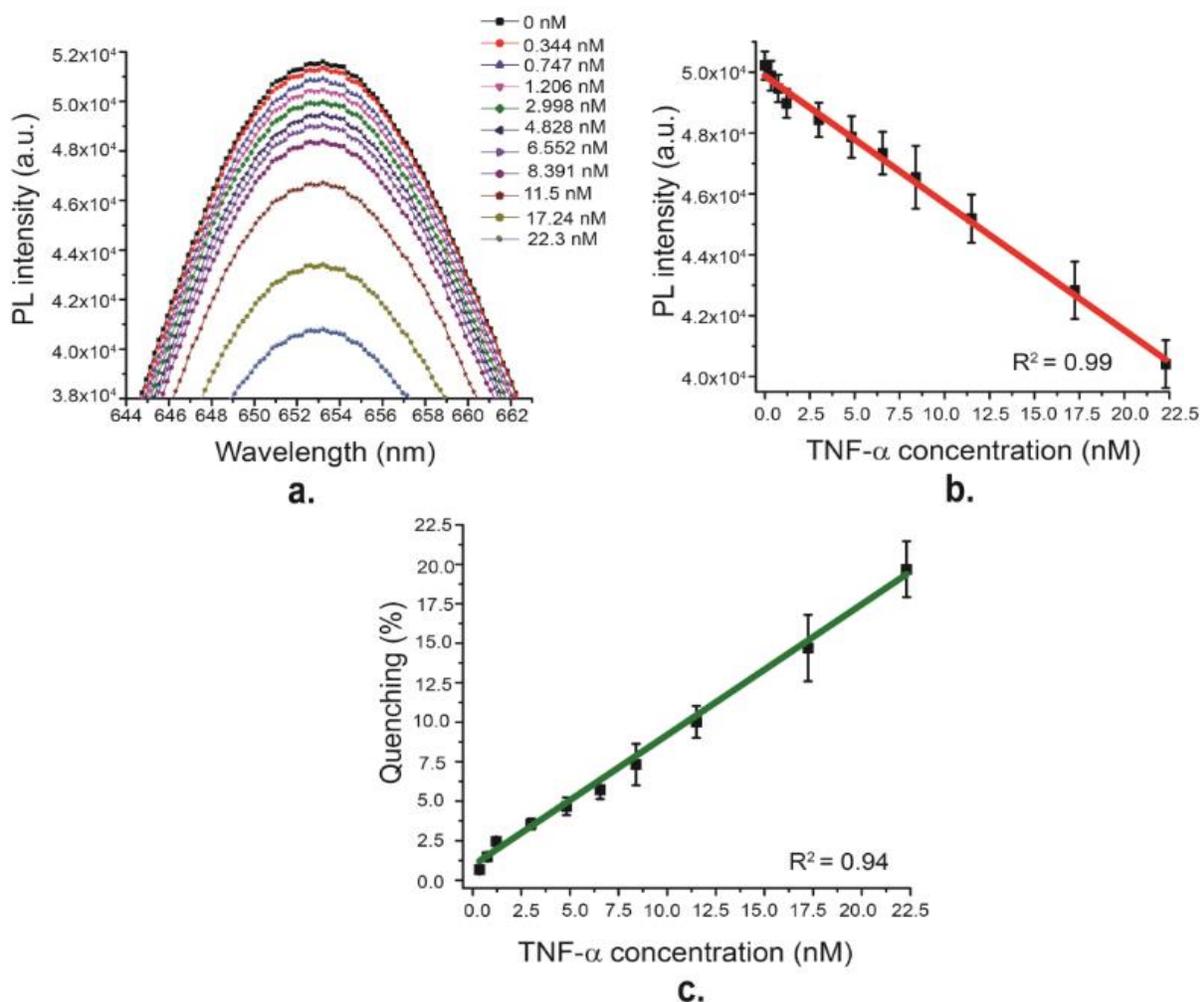


Figure 12. (a) PL intensity curves of the sensor (b) Average PL intensity of the sensor. Both (a-b) shows a decrease in photoluminescence as more TNF- $\alpha$  is added to the sensor. Consequently, a linear increase is observed in the (c) quenching curve of the sensor with increase in TNF- $\alpha$  concentration. Experiments were conducted in triplicates ( $n = 3$ ).

The limit of detection of the sensor was calculated to be 1.7 ng/ml or 97.2 pM using equation (3.2), where LOD is the limit of detection of the sensor,  $SD_{blank}$  is the standard deviation exhibited by the blank sample and sensitivity is the slope of the quenching curve (shown in Figure 12 (c)).

$$LOD = (3 \times SD_{blank}) / \text{sensitivity} \quad (3.2)$$

The limit of detection of this sensor has been compared to that present in previously published literature in Table 4. According to it, this sensor has a lower limit of detection than several other sensor platforms used to detect TNF- $\alpha$  previously.

Table 4. Comparative analysis of the parameters of the TNF-alpha detecting DNA aptasensor with various sensors published in the literature.

Sl. No.	Biologically Active Molecule	Sensor	Linearity Range	Limit of Detection	Reference
1.	Aptamer	Electrochemical sensor	0-100 ng/ml	10 ng/ml	[134]
2.	Aptamer	Microfluidic sensor	0-100 ng/ml	5 ng/ml	[135]
3.	Aptamer	Electrochemical sensor	9-88 ng/ml	5.46 ng/ml	[136]
4.	Antibody based	Silicon photonic microresonator array based sensor	N/A	4.6 ng/ml	[129]
5.	Nano TNF- $\alpha$	Reflectometric Interference Spectroscopy based sensor	N/A	56 ng/ml	[137]

6.	Antibody based	QCM based immunosensor	40-2000 ng/ml	25 ng/ml	[138]
7.	Antibody based (Nanoanti-TNF- $\alpha$ )	Potentiometric sensor	N/A	15 ng/ml	[139]
8.	<b>DNA aptamer based</b>	<b>Photoluminescence Spectroscopy (FRET) based optical sensor</b>	<b>0-400 ng/ml</b>	<b>1.7 ng/ml</b>	<b>This work.</b>

#### 3.4.2.2. Specificity determination of sensor

Specificity of these reported sensors have been tested earlier in our previously published articles for small sized entities like metals ions [16][19][18][15]. However, the performance of these sensor topologies has not been tested extensively for biological macromolecules except glycosylated albumin [29]. The specificity test of the sensor complex shows almost 10 times better response towards TNF- $\alpha$  compared to CRP, albumin and transferrin but shows a significant amount of cross-reactivity towards thrombin protein (Figure 13). According to Orava et. al. [130], the TNF- $\alpha$  binding DNA aptamer has a striking similarity to the thrombin binding aptamer (TBA) in the fact that the latter also has 4 sets of GG repeats like the aptamer used in this sensor. Therefore, this cross-reactivity of thrombin towards this sensor could be potentially because of this aptamer's ability to form a G-quadruplex structure.

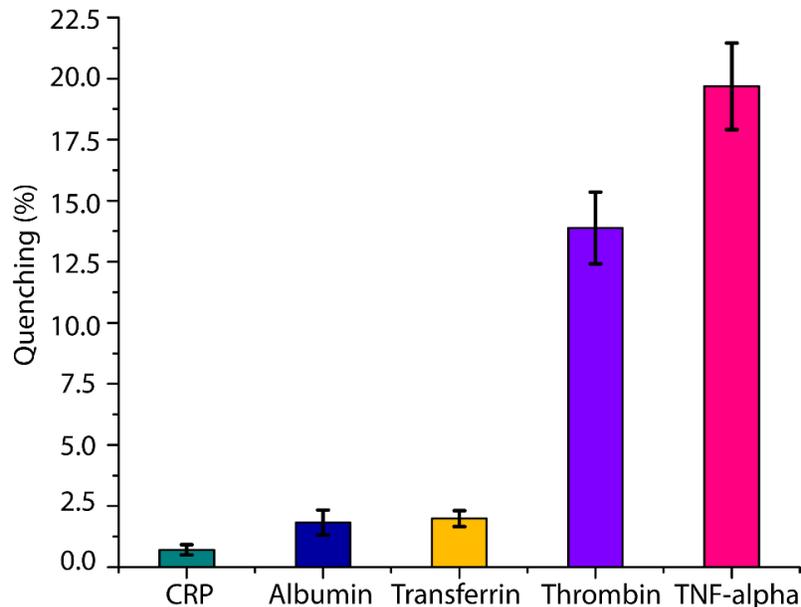


Figure 13. Quenching efficiency of sensor in the presence of TNF-alpha and control proteins. A high cross-reactivity was observed in the presence of thrombin. Experiments have been performed in triplicates (n = 3).

#### 3.4.2.3. Sensitivity determination of sensor on clinical samples

The aptasensor was tested with human serum based samples to evaluate its practical applicability towards detecting TNF- $\alpha$  in biological samples. In Figure 14, the unspiked human serum exhibits quenching efficiency of  $0.47 \pm 0.15\%$ . This cross-reactivity can be attributed to the proteins, which are already present in the commercially available human serum [29]. As for the samples, where human serum was spiked with different concentrations of TNF- $\alpha$ , a steady increase in quenching was observed with the increase in TNF- $\alpha$  concentration in the serum. For instance, sample C1, which rendered a final concentration of 1 nM TNF- $\alpha$  in the sensor displayed an average quenching efficiency of  $2.21 \pm 0.2\%$  while sample C5, which contained around 12 nM of the target protein, exhibited a quenching efficiency of  $9.76 \pm 0.37\%$ . As can be seen in Figure 14, the quenching increased almost linearly and this behavior agreed with the calibration curve shown in Figure 12 (c). Table 5 calculates the experimental quenching efficiency by subtracting the quenching efficiency of human serum from those of the spiked

samples. The results were then compared with the calibration curve data. The quenching efficiencies of the clinical samples were found to be comparable to the calibration curve quenching efficiencies.

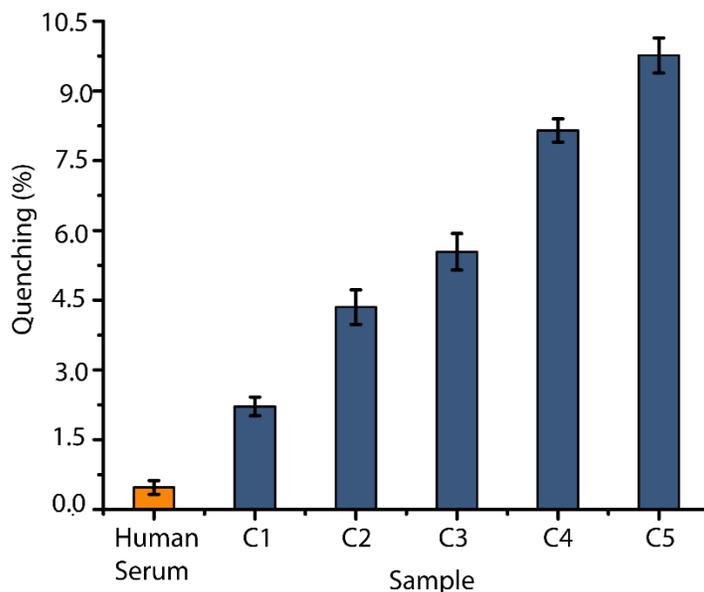


Figure 14. Response of the aptasensor in the presence of human serum based samples. Samples C1, C2, C3, C4 and C5 are human serum based samples, which rendered final TNF- $\alpha$  concentrations of 1 nM, 3 nM, 6 nM, 9 nM and 12 nM respectively in the sensor. It illustrated very negligible cross-reactivity with human serum while successfully detecting multiple concentrations of TNF- $\alpha$  spiked human serum. Experiments were conducted in triplicates (n = 3).

Table 5. Accuracy of the sensor complex in detecting TNF- $\alpha$  spiked human serum samples which is considered to be mimicking real life sample.

Sample	Actual prepared concentration (nM)	Quenching Efficiency (Calibration Curve) (%)	Quenching efficiency (Experimental) (%)
C1	1	$1.76 \pm 0.08$	$1.74 \pm 0.35$
C2	3	$3.42 \pm 0.17$	$3.88 \pm 0.52$
C3	6	$5.91 \pm 0.29$	$5.06 \pm 0.54$
C4	9	$8.38 \pm 0.43$	$7.67 \pm 0.04$
C5	12	$10.87 \pm 0.54$	$9.29 \pm 0.53$

### 3.5. Discussion

In this study, we have successfully developed optical nanosensors, where a TNF- $\alpha$  binding DNA aptamer has been employed as an active sensing element. Binding of TNF- $\alpha$  to the aptamer

results in a conformational change in the latter, thereby, pushing the quantum dot (donor) and the gold nanoparticle quencher (acceptor) closer to each other causing FRET. The sensor takes advantage of this phenomenon, making it the basis for the detection of TNF- $\alpha$  and achieves a detection limit as low as 1.7 ng/ml or 97.2 pM.

The fact that this sensor detects TNF-  $\alpha$  in human serum based clinical samples effectively makes it a potential candidate for application in medical and biological diagnostics. Added advantages of this nanosensor include greater shelf life and stability compared to other enzyme based detection platforms, which suffer from several drawbacks such as high pH and temperature sensitivity [69] along with greater chances of denaturation in vitro [70]. Besides, the sample requirement for this sensor is as low as 4-5  $\mu$ l only and it also doesn't require complex sample preparation. To the best of our knowledge, the TNF- $\alpha$  binding aptamer used in this study has never been incorporated in a FRET based optical sensor earlier. The proposed sensor design can also be applied towards detecting a wide variety of targets such as metal ions, proteins, cells etc. The only parameter that will vary in each sensor will be the sensing element i.e. the aptamer. This is because aptamers specific to a target can be generated via SELEX [23]. Further investigation of this nanosensor with more clinical samples can open up more avenues in inflammatory biomarker detection and monitoring.

## **4. Aptasensor based detection of C – Reactive Protein for infectious disease monitoring.**

(This chapter is entirely copied from my conference paper with the following citation:

Ref [140] S. Ghosh, A. Metlushko, S. Chaudhry, M. Dutta, and M. Strosio, “Detection of C-Reactive Protein using network – deployable DNA aptamer based optical nanosensor,” in *IEEE-EMBS International Conference on Biomedical and Health Informatics*, Chicago, IL, USA, May 19-22, 2019.)

### **4.1. Overview**

Aptamer based sensors have been observed to have excellent recognition capacity towards various types of target molecules such as metal ions, proteins and other biomolecules. In this study, we report an optical ‘turn off’ nanosensor, which consists of a deoxyribonucleic acid aptamer attached to a quantum dot on the 5’ terminus and gold nanoparticle on the 3’ terminus. The sensor uses the principle of fluorescence resonance energy transfer to detect C - reactive protein. With an increase in the target concentration in the sensor, the photoluminescence intensity decreases resulting in an increase in quenching efficiency. The nanosensor has a limit of detection as low as 1.77 picomolar and is observed to be highly specific to C-reactive protein. It also successfully detects the target protein in clinical samples exhibiting around 10% quenching at 10 picomolar target concentration. Future work on this sensor will involve investigation of this sensor in an *in vivo* environment so that it can be deployed for inflammatory disease management.

### **4.2. Background**

Sepsis is a life threatening clinical syndrome that is caused in response to infection. Recent surveys have shown sepsis to be one of the leading causes of death in the United States [141]. At an early stage of sepsis, there have often been cases of misleading clinical symptoms where the

traditional markers of infection such as Body Temperature (BT) and White Blood Cell (WBC) count remain unchanged [142]. Hence, owing to the lack of any diagnostic confirmatory test, it is highly difficult to predict, diagnose and subsequently treat this condition. Recent studies have successfully used biomarker proteins to detect diseases. Human C-reactive protein (CRP) (molecular weight  $\approx$  25 kDa) is an active inflammatory biomarker [143], which is used to diagnose sepsis [144]. CRP has the ability to bind to phosphocholine and recognize foreign pathogens. It can also induce inflammatory cytokines and tissue factor in monocytes [143]. Studies report that plasma CRP level is a good indicator of the presence and severity of sepsis [142]. Previous studies have been performed to determine CRP levels, commonly through the use of electrochemical sandwich-type aptasensors [145], immunoassays [146], and SERS-based assays [147]. Wang et al. designed an RNA aptamer (specific to CRP)-based electrochemical aptasensor using zinc ion functionalized silica microspheres as immunoprobes to detect CRP [145]. Luo et al. employed monoclonal antibodies against distinct epitopes of CRP in a quantum dot-labeled microplate immunoassay to detect the protein [146]. Nguyen et al. reported a SERS substrate based on plasmonic mesoporous template to detect sepsis biomarkers using the principles of immunoassay [147]. Lv et al. developed a fluorescent-linked immunoabsorbent assay (FLISA) using an antibody coated microplate to capture CRP and then quantify it using a water soluble CdSe/ZnS quantum dot probe in a microplate reader [148].

The objective of this study is to report a simple optical nanosensor for the detection of CRP (Figure 15). It utilizes a deoxyribonucleic acid (DNA) aptamer as the main sensing element. The transduction method of this sensor relies on the principle of fluorescence resonance energy transfer (FRET), which is dependent on the average distance between the FRET donor - acceptor pairs. Changes in this distance cause a difference in the fluorescence intensity of the

semiconductor quantum dot (QD) nanocrystals. Our group has previously utilized this sensor design strategy to successfully detect other biomarker proteins like Glycated Albumin (GA) [29], Tumor Necrosis Factor-alpha (TNF-alpha) [89] and metal ions like lead and potassium [16] etc. These nanosensors are network deployable for subsequent parallel processing of detection signatures.

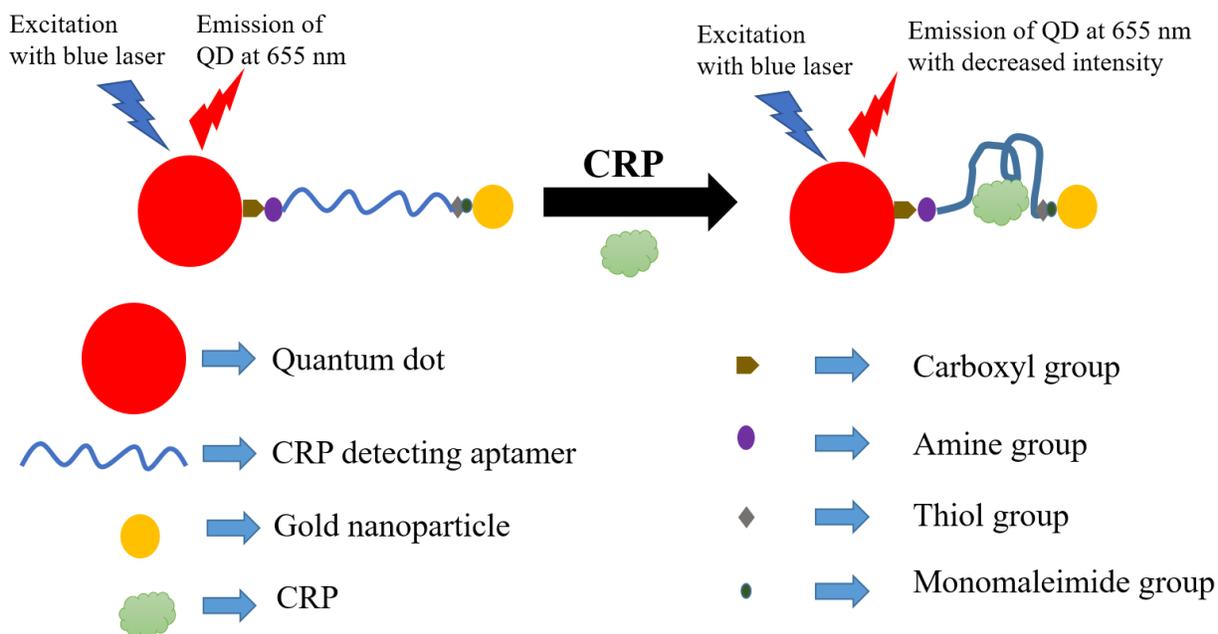


Figure 15. Design strategy used in the optical nanosensor for CRP detection. This figure shows the phenomenon of FRET, which decreases the photoluminescence intensity when the target protein binds to the DNA aptamer.

### 4.3. Experimental Methods

In this study, the single stranded DNA aptamer used to detect CRP consisted of 40 bases. It was purchased from Biosearch Technologies (Petaluma, CA). The 5' end of the aptamer was functionalized with an amine group while the 3' was modified with a thiol functional group (5' AminoC6/CGAAGGGGATTCGAGGGGTGATTGCGTGCTCCATTTGGTG/Thiol C6 SS 3'). The aptamer was dissolved in 414  $\mu$ l of Tris Ethylenediamine Tetraethyl Acetate (EDTA) buffer to obtain 100  $\mu$ M aptamer solution while also preventing cation induced degradation.

#### 4.3.1. Materials

CRP was purchased from EMD-Millipore (Temecula, CA). 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide (EDC) and tris (2-carboxyethyl) phosphine (TCEP) were obtained from Pierce Biotechnology (Rockford, IL). GA, Human Serum (HS), Thrombin, TNF-alpha and Transferrin (TFE) were purchased from Sigma Aldrich (St. Louis, MO). Carboxyl coated 20 nm CdSe/ZnS QDs e-flour ITK 655NC were obtained from Life Technologies (Carlsbad, CA). Monomaleimide functionalized 1.4 nm nanogold particles was purchased from Nanoprobes (Yaphank, NY). Nanosep molecular weight cutoff (MWCO) filters of 3k and 100k pore sizes were obtained from Pall Lifesciences (Ann Arbor, MI).

#### **4.3.2. Synthesis of molecular beacon**

A mixture of 20  $\mu\text{l}$  of 100  $\mu\text{M}$  CRP detecting aptamer and 9  $\mu\text{l}$  of TCEP was allowed to incubate for 30 minutes at room temperature. This step facilitates the reduction of the dithiol groups in the aptamer. 100  $\mu\text{l}$  of deionized water was added to one vial of gold nanoparticles (6 nmoles). This solution was further added to the aptamer-TCEP mixture in order to obtain a quencher-aptamer ratio of 3:1. After incubating the resulting solution for 2 hours at room temperature, it was centrifuged twice at 5000 rpm for 15 minutes each using a 3k MWCO filter in order to remove the excess unbound gold nanoparticles from the solution. Following each centrifugation cycle, the supernatant was washed with 50  $\mu\text{l}$  of deionized water. 13  $\mu\text{l}$  of carboxylated CdSe/ZnS QD (0.1 nmoles) was added to 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4) in order to synthesize a 100  $\mu\text{l}$  QD solution. EDC/NHS coupling chemistry was used to bind the QDs to the DNA aptamer. 23  $\mu\text{l}$  of 4  $\mu\text{g}/\mu\text{l}$  EDC/Sulpho NHS solution was added to a mixture of 100  $\mu\text{l}$  QD solution and the filtered CRP aptamer/gold nanoparticle solution. Subsequently, the final mixture was allowed to shake gently for 2 hours at room temperature. After that, the samples were centrifuged five times at 7000 rpm for 5 minutes each using 100k MWCO filter. To remove the unbound aptamers and

EDC from the sensor, the supernatant was washed with 50  $\mu$ l of 50 mM borate buffer (pH 8.3) after each centrifugation cycle.

#### **4.3.3. Secondary structure prediction of DNA aptamer**

The secondary structure of the CRP detecting DNA aptamer was predicted using M-fold webserver [79][80][81]. The difference in secondary structures were compared by varying the temperature and Na<sup>+</sup> concentrations.

#### **4.3.4. Optical detection of CRP**

##### **4.3.4.1. Sensitivity and specificity determination of aptasensor**

The stock solutions of 50 pM, 100 pM, 500 pM, 1 nM, 50 nM, 100 nM, 500 nM and 10  $\mu$ M CRP were prepared by serially diluting 3 mg/ml (120  $\mu$ M) solution of the protein using 0.01XPBS. 5  $\mu$ l of these CRP samples were subsequently added to 750  $\mu$ l of the sensor solution. To facilitate binding between the DNA aptamer and the target protein, the cuvette was left undisturbed for an hour, following which, the photoluminescence (PL) intensities were recorded using a USB4000 Ocean Optics (Dunedin, FL, USA) spectrophotometer with a continuous 375 nm LED excitation. Specificity of the optical nanosensor was determined using the same method as mentioned above. The control protein solutions (TFE, GA, Thrombin and TNF-alpha) were also prepared using 0.01XPBS. The concentrations of these proteins were kept at 65 nM or above in the sensor.

##### **4.3.4.2. Sensitivity determination of aptasensor using clinical samples**

The clinical samples were prepared by spiking commercially available human serum with different concentrations of the CRP protein. They were made in such a way that the final concentrations of the protein in the sensor solution were 0.5 pM, 1 pM, 5 pM and 10 pM CRP.

## 4.4. Results and Discussion

### 4.4.1. Secondary structure prediction of DNA aptamer

The secondary structure (Figure 16 (a-f)) of the CRP binding DNA aptamer was obtained using the M-fold webserver software. Table 6 summarizes the  $\text{Na}^+$  concentrations and temperature conditions, for which the secondary structures were determined, along with their corresponding Gibb's free energy ( $\Delta G$ ) values. Figure 16 (a-c, e) show the presence of two hairpin loops at A<sup>4</sup>-T<sup>10</sup> and C<sup>32</sup>-G<sup>37</sup> along with stack formation at C<sup>1</sup>-G<sup>13</sup>, G<sup>2</sup>-C<sup>12</sup>, A<sup>3</sup>-T<sup>11</sup>, and C<sup>31</sup>-G<sup>38</sup>. However, at higher sodium concentrations (10 mM and 100 mM), the hair pin loop at C<sup>32</sup>-G<sup>37</sup> and the stack formation at C<sup>31</sup>-G<sup>38</sup> disappear as the temperature increases to 37 °C (Figure 16 (d, f)). In Table 6, it is observed that at room temperature (20 °C), the Gibb's free energy values decrease with the increase in  $\text{Na}^+$  concentrations. However, no such pattern is observed for the Gibb's free energy values at 37 °C, which is considered as the physiological temperature.

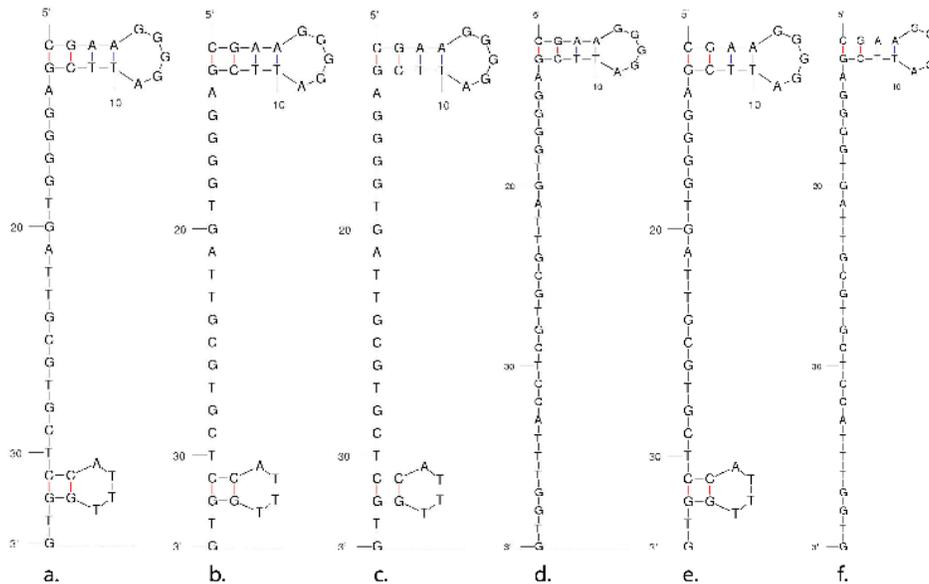


Figure 16. Secondary structures of the CRP binding DNA aptamer when the  $\text{Na}^+$  concentrations and temperature are (a) 1.37 mM and 20 °C, (b) 1.37 mM and 37 °C (c) 10 mM and 20 °C, (d) 10 mM and 37 °C, (e) 100 mM and 20 °C and (f) 100 mM and 37 °C respectively.

Table 6. Gibb's free energy values of the CRP binding aptamer under different Na<sup>+</sup> concentrations and temperature conditions

Serial number	Parameters for Gibb's Free Energy		
	Na <sup>+</sup> concentration (mM)	Temperature (°C)	ΔG (kcal/mol)
1.	1.37	20	-1.85
2.	1.37	37	-1.85
3.	10	20	-3.02
4.	10	37	-0.87
5.	100	20	-4.38
6.	100	37	-1.78

#### 4.4.2. Optical detection of CRP

##### 4.4.2.1. Sensitivity and specificity determination of aptasensor

As can be observed in Figure 17 (a-b), the photoluminescence exhibited by the nanosensor decreases when the concentration of the target protein CRP increases from 0 pM to 65 nM. Figure 17 (b) indicates that the average PL intensity (n = 3) decreases exponentially with increase in protein concentration. Figure 18 (a) shows that sensor exhibits quenching efficiencies of  $3.205 \pm 0.442\%$  at 0.3 pM and  $31.85 \pm 0.326\%$  at 65 nM CRP respectively. The quenching occurs because of FRET, which can be attributed to the phenomenon of the DNA aptamer binding to CRP, hence, bringing the QD and the gold nanoparticle quencher closer (Figure 15). Aptamer-protein binding facilitates a conformational change in the DNA aptamer, which ultimately is responsible for a change of distance between the donor (QD) and the acceptor (gold nanoparticle quencher). During FRET, a dipole-dipole interaction takes place between the QD and the gold nanoparticle quencher. This results in an intersystem energy transfer from the QD to the gold nanoparticle

quencher. Hence, a decrease in PL intensity and an increase in quenching is observed with the successive addition of CRP because greater number of quantum dots get involved in FRET [19]. The quenching efficiency of the nanosensor has been calculated using equation (4.1), where Q.E. (%) is the quenching efficiency expressed in percentage,  $I_{blank}$  is the maximum photoluminescence intensity before the addition of the target analyte and  $I_{CRP}$  is the peak photoluminescence intensity after the addition of CRP.

$$Q.E(\%) = \left( \frac{I_{blank} - I_{CRP}}{I_{blank}} \right) \times 100 \quad (4.1)$$

Figure 18 (a) shows that the quenching behavior of the nanosensor follows the Hill chemical kinetics. According to the Hill formalism, the quenching efficiency for this sensor can also be expressed as:

$$Q.E(\%) = 33.274 \times \frac{x^{0.335}}{(33.407^{0.335} + x^{0.335})} \quad (4.2)$$

Based on the definition of the Hill's equation, 33.407 pM refers to the concentration at which half of the receptors are occupied by the target. The Hill co-efficient of 0.335 refers to negative cooperativity with respect to substrate binding. Also, from the equation theory, the average binding constant ( $K_D$ ) can be estimated as 3.239 pM.

Figure 18 (b) shows that the sensor follows a linear quenching behavior when the concentration of CRP is converted to the logarithmic scale. According to it, the quenching increases linearly between CRP concentrations of 3 pM to 65 nM. Based on this curve, the limit of detection of the sensor was calculated using equation (4.3), where  $LOD_{CRP}$  is the limit of detection of the sensor,  $SD_{blank}$  is the standard deviation exhibited by the blank sample and  $Sensitivity_{CRP}$  is the slope of the quenching curve shown in Figure 18 (b).

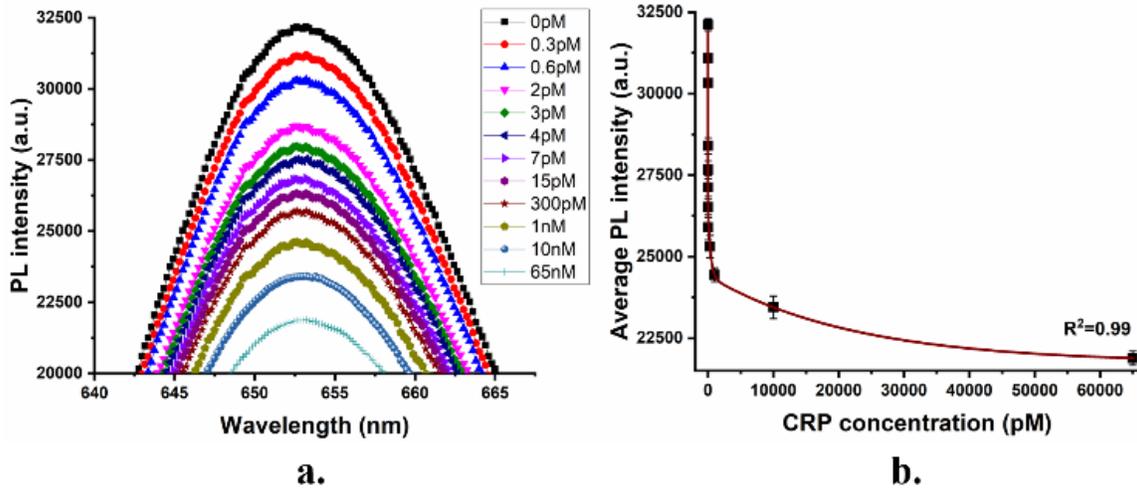


Figure 1. (a) PL intensity curves of the CRP detecting sensor (b) Average PL intensities of the sensor show a decrease in the photoluminescence with increase in concentration of CRP. Experiments have been conducted in triplicates (n = 3).

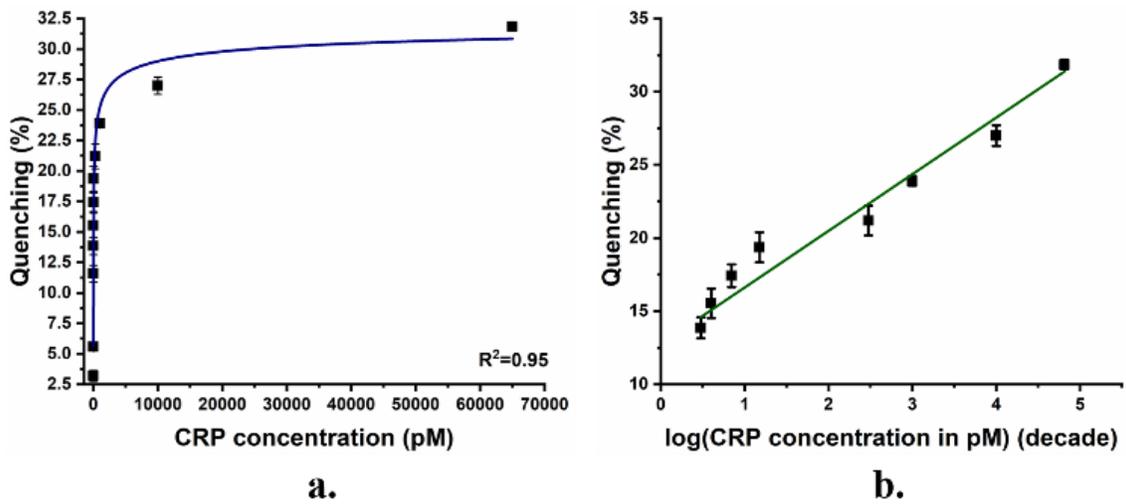


Figure 2. (a) Quenching behavior of CRP detecting sensor with respect to target protein concentration (pM) (b) Linear quenching behavior of the sensor on a logarithmic scale for CRP concentrations between 3 pM – 65 nM. Experiments have been conducted in triplicates (n = 3).

$$LOD_{CRP} = \frac{3 \times SD_{blank}}{Sensitivity_{CRP}} \quad (4.3)$$

The limit of detection of the sensor was estimated to be 1.77 pM or 45 pg/ml. Table 7 compares the limit of detection of this sensor with previously published literature and it has been determined that the limit of detection of this sensor is lower than several other published CRP sensing platforms. According to studies, the normal concentration of CRP in healthy human

serum is lower than 10 mg/L. It increases to 10 - 40 mg/L during viral infection, 40 – 200 mg/L during bacterial infections and >200 mg/L during conditions like sepsis. Very low concentrations of CRP (<1 mg/L) has been associated with vascular risks [2] or osteoarthritis [143]. These concentration levels fall well within the detection limit of the sensor and, hence, can be used to detect CRP as a biomarker for several types of disease conditions.

Table 7. Comparative summary of the limit of detection exhibited by various CRP detecting platforms.

Serial number	Comparitive analysis of sensing platforms		
	Type of sensor	Limit of detection	Reference
1.	Quantum dot based optical immunosensor	0.06 $\mu\text{g/ml}$	[146]
2.	Magnetic immunoassay	1 ng/ml	[149]
3.	Electrochemical immunosensor	2.2 ng/ml	[150]
4.	Quantum dot based optical immunosensor	0.46 ng/ml	[148]
5.	Microfluidic immunoassay	1 ng/ml	[151]
<b>6.</b>	<b>Optical aptasensor</b>	<b>45 pg/ml</b>	<b>This work</b>

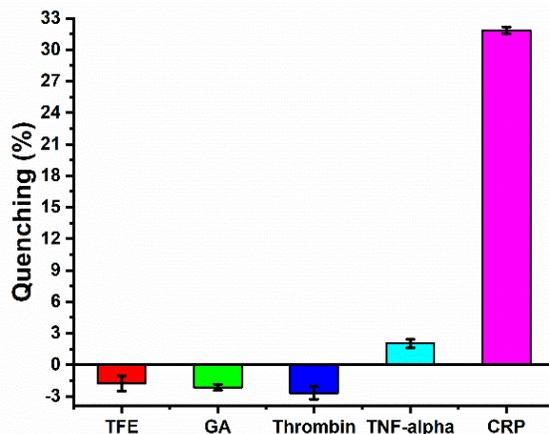


Figure 3. Response of CRP detecting nanosensor when tested with control proteins. Experiments have been conducted in triplicates (n = 3).

According to Figure 19, the sensor complex is highly selective towards CRP and exhibits almost 10 times better response towards CRP when compared to control protein TNF-alpha. There is no cross reactivity with the other control proteins TFE, GA and thrombin as they show negative quenching while CRP facilitates positive quenching.

#### 4.4.2.2. Sensitivity determination of aptasensor using clinical samples

To evaluate the viability of the sensor in detecting CRP in septic samples, it was tested with CRP spiked human serum. The sensor exhibited some cross-reactivity (Q.E. (%) =  $0.871 \pm 0.33$  %) towards unspiked human serum. This behavior can be attributed to the presence of proteins and other biomolecules in the commercially available human serum [29][89]. As can be observed from Figure 20, quenching increases steadily as the concentration of CRP in the sensor solution increases. For instance, at 0.5 pM CRP concentration, the sensor exhibits  $3.323 \pm 1.1$ % quenching while at 10 pM CRP concentration, it shows  $9.606 \pm 1.577$ % quenching.

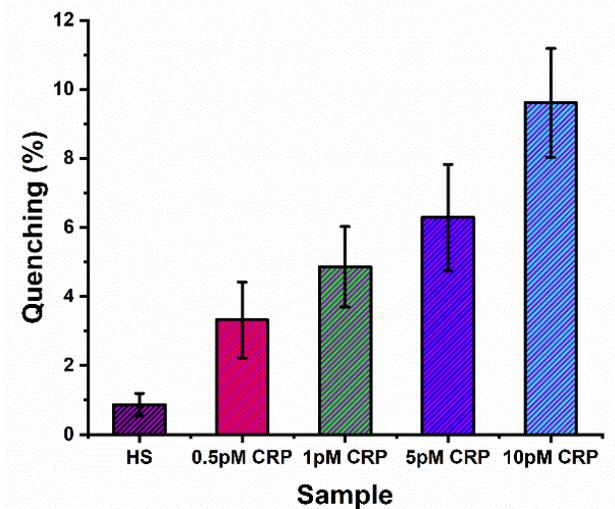


Figure 20. Response of CRP detecting nanosensor to clinical samples. Experiments have been conducted in triplicates (n = 3).

#### 4.5. CONCLUSION

In this study, we have successfully detected CRP using an optical nanosensor, which employs a DNA aptamer as the primary sensing element. This sensor operates on the principle of FRET,

which is induced when the DNA aptamer changes its conformation after binding to CRP. The design of this sensor is quite simple as it can be easily synthesized by wet chemical method and does not require any specialized equipment. It can also be flexibly used for detecting several other types of molecules by just using the DNA aptamer specific to that molecule. Other advantages include low sample requirement (5  $\mu$ l), greater shelf life and higher stability when compared to enzyme based sensing platforms, which suffer from several drawbacks such as high pH and temperature sensitivity along with greater chances of denaturation in vitro [89]. Further investigation of this sensor in a cellular environment can help inflammatory disease monitoring. As discussed previously, such nanoscale aptasensors may be deployed as networks for parallel processing of analyte detection.

## **5. Detection of calcium ions using quantum dot based optical aptasensors**

### **5.1. Overview**

This work proposes the synthesis and characterization of an optical aptasensor for the detection of calcium ( $\text{Ca}^{2+}$ ) ions. This sensor design operates on the principle of Fluorescence Resonant Energy Transfer (FRET) and utilizes a quantum dot – gold nanoparticle as the donor – quencher pair. The DNA aptamer undergoes a conformational change on binding with  $\text{Ca}^{2+}$ , and this changes the distance between the quantum dot and the gold nanoparticle, conjugated on the 5' terminal and 3' terminal of the aptamer respectively. Consequently, the quantum dot emission undergoes quenching. An increase in quenching has been observed with an increase in the target ion concentration with a limit of detection up to 3.77 pM. The sensor has been characterized to have a high specificity for  $\text{Ca}^{2+}$  in comparison to other metal ions like sodium, magnesium and potassium.

### **5.2. Background**

Calcium ions play an important role in the physiological processes like contraction, excitation, gene expression etc. [152] while also contributing significantly to diseases like HIV, Schizophrenia and Alzheimer's disease [153]. A direct correlation between increased  $\text{Ca}^{2+}$  levels and long term mortality was established after an acute ischemic stroke (Chung et. al) [154]. Feske et. al highlights the role of  $\text{Ca}^{2+}$  signaling in congenital immunodeficiency syndromes along with autoimmunity and inflammatory conditions [155]. It has been observed that in systemic lupus erythematosus (SLE), signaling through the B-Cell Receptor in B cells is abnormal and results in increased  $\text{Ca}^{2+}$  signals. Therefore, based on the significance of the metal ion in healthcare, the objective of this study is to design a sensor, which rapidly detects  $\text{Ca}^{2+}$ .

A number of sensing platforms for  $\text{Ca}^{2+}$  have been published in literature. A zinc oxide nanorod-extended gate field effect transistor (MOSFET) was designed by Asif et al. This sensor detected  $\text{Ca}^{2+}$  linearly between 1  $\mu\text{M}$  and 1 mM [156]. An optical sensor reported by Ankireddy et al consisted of highly fluorescent ethylenediaminetetraaceticacid (EDTA)-CDs (ECDs) and detected  $\text{Ca}^{2+}$  in human serum with a detection limit as low as 77 pM [157]. An AlGaIn/GaN transistor functionalized with poly (vinylchloride)-based (PVC) membranes were employed by Asadnia et al. as a sensing platform for  $\text{Ca}^{2+}$  [158]. Kim et al. functionalized gold nanoparticles with calsequestrin and used them to detect  $\text{Ca}^{2+}$  colorimetrically in human serum [159]. Other methods of detection include ion selective electrodes [160] microfluidic chips [161], potentiometric detection [162][163] etc. In this manuscript, we have designed an optical turn off sensor, which consists of a DNA aptamer attached to a quantum dot on one end and a gold nanoparticle on the other end. This sensor detects calcium ions based on the principle of FRET, which changes the photoluminescence intensity of the semiconductor quantum dot crystal depending on its distance with the gold nanoparticle quencher. Our group has also used this sensing strategy to detect other biologically significant metal ions such as potassium [20][16], lead [16][15] and mercury [17].

### **5.3. Methods**

#### **5.3.1. Materials used for synthesis and testing of molecular beacon**

Calcium chloride dihydrate ( $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ ), 2M Magnesium chloride ( $\text{MgCl}_2$ ) solution and 5M Sodium chloride ( $\text{NaCl}$ ) solution were obtained from Sigma Aldrich (St. Louis, MO). 4.6 M Potassium chloride ( $\text{KCl}$ ) solution was purchased from Fischer Chemicals (Fairlawn, NJ). The DNA aptamer for this study was purchased from Biosearch Technologies (Petaluma, CA). Carboxyl coated CdSe/ZnS QDs e-flour ITK 655NC (diameter = 20 nm) was obtained from Life

Technologies (Carlsbad, CA) while monomaleimide functionalized nanogold particles (diameter = 1.4 nm) was purchased from Nanoprobes (Yaphank, NY). 1-ethyl-3-(3-dimethylaminopropyl) - carbodiimide (EDC) and tris (2-carboxyethyl) phosphine (TCEP) were purchased from Pierce Biotechnology (Rockford, IL). Sulpho NHS was purchased from Thermo Scientific (Rockford, IL). Nanosep molecular weight cutoff (MWCO) filters of 3k and 100k pore sizes were purchased from Pall Lifesciences (Ann Arbor, MI).

### **5.3.2. Aptamer structure and concentration**

The DNA aptamer used in this study for detecting calcium ions consisted of 12 bases. It had been modified with an amine group on the 5' terminal and a thiol functional group on the 3' terminal (5'Amino C6/GGGGTTTTGGGG/Thiol C6 SS 3'). To obtain 100  $\mu$ M aptamer stock solution, the aptamer was dissolved into 654  $\mu$ l of Tris Ethylenediamine Tetraethyl Acetate (EDTA) buffer in order to prevent cation induced degradation.

### **5.3.3. Preparation of molecular beacon**

The molecular beacon was synthesized based on the protocol reported by Ghosh et al [29][89]. Briefly, 9  $\mu$ l of TCEP was mixed with 20  $\mu$ l of the 100  $\mu$ M calcium detecting aptamer and allowed to incubate for 30 minutes at room temperature. This facilitates the reduction of the dithiol groups in the aptamer. 100  $\mu$ l of de-ionized water was added to one vial of gold nanoparticles (6 nmoles) to form a solution, which was further added to the aptamer-TCEP mixture such that the quencher-aptamer ratio is 3:1 approximately. Following the addition, the mixture was incubated for 2 hours at room temperature after which it was centrifuged (Fisher Scientific Accuspin micro (Fisher Scientific, USA)) twice at 5000 rpm for 15 minutes each using a 3k MWCO filter. Consequently, the excess unbound gold nanoparticles were removed from the

solution. 50  $\mu\text{l}$  of de-ionized water was used to wash the supernatant after each centrifugation. A 100  $\mu\text{l}$  DNA QD solution was prepared by mixing 13  $\mu\text{l}$  of carboxylated CdSe/ZnS QDs (0.1 nmoles) with 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4). Subsequently, this solution was added to the filtered DNA aptamer/gold nanoparticle solution in the presence of 23  $\mu\text{l}$  of 4  $\mu\text{g}/\mu\text{l}$  EDC/Sulpho NHS solution. At room temperature, the sample was allowed to shake gently for 2 hours, following which, the mixture was centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). A washing step followed each cycle of centrifugation. Basically, 50  $\mu\text{l}$  of the 50 mM borate buffer (pH 8.3) was used to wash the supernatant left on the MWCO filter. This step ensured the removal of unbound aptamers and EDC from the sensor solution.

#### **5.3.4. Preparation of DSS peptide conjugated calcium ion detecting aptasensors**

9  $\mu\text{l}$  of TCEP was mixed with 20  $\mu\text{l}$  of the 100  $\mu\text{M}$  calcium detecting aptamer and allowed to incubate for 30 minutes at room temperature. This facilitates the reduction of the dithiol groups in the aptamer. 100  $\mu\text{l}$  of de-ionized water was added to one vial of gold nanoparticles (6 nmoles) to form a solution, which was further added to the aptamer-TCEP mixture such that the quencher-aptamer ratio is 3:1 approximately. Following the addition, the mixture was incubated for 2 hours at room temperature after which it was centrifuged (Fisher Scientific Accuspin micro (Fisher Scientific, USA)) twice at 5000 rpm for 15 minutes each using a 3k MWCO filter. Consequently, the excess unbound gold nanoparticles were removed from the solution. 50  $\mu\text{l}$  of de-ionized water was used to wash the supernatant after each centrifugation. A 100  $\mu\text{l}$  DNA QD solution was prepared by mixing 13  $\mu\text{l}$  of carboxylated CdSe/ZnS QDs (0.1 nmoles) with 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4). A ratio of 2.3 mg of the DSS peptide to 230  $\mu\text{l}$  of de-ionized

water was used to prepare a 10 mg/ml DSS peptide solution. The entire DSS solution along with 100  $\mu$ l QD solution was added to the filtered TNF- $\alpha$  aptamer/gold nanoparticle solution in the presence of 30  $\mu$ l of 4  $\mu$ g/ $\mu$ l EDC/Sulfo NHS solution. At room temperature, the sample was allowed to shake gently for 2 hours, following which, the mixture was centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). A washing step followed each cycle of centrifugation. Basically, 50  $\mu$ l of the 50 mM borate buffer (pH 8.3) was used to wash the supernatant left on the MWCO filter. This step ensured the removal of unbound aptamers and EDC from the sensor solution.

### **5.3.5. Aptamer structure determination**

The secondary structure of the calcium ion detecting DNA aptamer was determined using a prediction software called M-fold webserver [79][80][81]. The secondary structures were compared at various temperatures and ionic ( $\text{Na}^+$ ) concentration combinations: 1.) 20  $^{\circ}\text{C}$ , 1.37 mM  $\text{Na}^+$ , 2.) 20  $^{\circ}\text{C}$ , 10 mM  $\text{Na}^+$ , 3.) 20  $^{\circ}\text{C}$ , 150 mM  $\text{Na}^+$ , 4.) 37  $^{\circ}\text{C}$ , 1.37 mM  $\text{Na}^+$ , 5.) 37  $^{\circ}\text{C}$ , 10 mM  $\text{Na}^+$  and 6.) 37  $^{\circ}\text{C}$ , 150 mM  $\text{Na}^+$ . 20  $^{\circ}\text{C}$  has been considered as the room temperature and the temperature in which the optical characterization experiments were conducted while 37  $^{\circ}\text{C}$  is the standard physiological temperature. The rationale behind choosing the above mentioned sodium ion concentrations is that the  $\text{Na}^+$  concentration for this aptasensor is approximately 1.37 mM while the concentration of  $\text{Na}^+$  in a mammalian cell and blood is around 12 mM and 145 mM respectively [132].

### **5.3.6. Sensitivity and specificity determination of sensor**

The 1 M  $\text{CaCl}_2$  stock solution was prepared by mixing 1 g  $\text{CaCl}_2$  and 10 ml de-ionized water. This stock solution was serially diluted to obtain solutions having concentrations of 700 p

M, 3500 pM, 7000 pM, 35 nM, 700 nM and 3500 nM, respectively. These solutions were used as working solutions for testing the optical sensitivity of the aptasensor. 5  $\mu$ l of these working solutions were added to 750  $\mu$ l of the sensor solution in the cuvette, which was then allowed to stand undisturbed for 5 minutes. This time period ensured the binding of the calcium ion to the DNA aptamer in the sensor. The photoluminescence intensities were subsequently recorded using a USB4000 Ocean Optics (Dunedin, FL, USA) spectrophotometer with a continuous 375 nm LED excitation.

1 mM stock solutions of the control analytes (NaCl, MgCl<sub>2</sub> and KCl) were obtained by serially diluting the respective 5 M NaCl, 2 M MgCl<sub>2</sub> and 4.6 M KCl solutions. The respective stock solutions were then serially diluted to obtain 1  $\mu$ M and 100  $\mu$ M working solutions. These working solutions were added to the sensor solution in such a way that the final concentration of the control analytes were 660 pM, 7 nM, 600 nM and 7  $\mu$ M. The PL spectra corresponding to the controls were recorded after 5  $\mu$ l of the control ion was added to 750  $\mu$ l of the sensor solution and allowed to stand disturbed for 5 minutes.

## **5.4. Results and discussion**

### **5.4.1. Aptamer structure determination**

The secondary structures, as predicated by the M – fold webserver, was determined to be similar under all the ionic and temperature parameters used. As can be observed in Figure 21, the external loop is composed of 5 single strand bases along with 1 closing helices. Additionally, the hairpin loop had a closing pair at G<sup>1</sup>-T<sup>7</sup>. According to Table 8, the Gibb's free energy increases with an increase in temperature. No such observation was made for sodium ion concentration variation. The aptamer was chosen from the work reported by Miyoshi et al. [164]. They

determined that the DNA aptamer underwent a structural transition from antiparallel to parallel G- quadruplex in the presence of  $\text{Ca}^{2+}$ . This characteristic was utilized to induce FRET in the proposed sensor here.

Table 8. Gibb's free energy values of the DNA aptamer when the temperature and sodium ion concentrations are varied in the M – fold software

Parameters	$\Delta G$ (kcal/mol)
Temperature ( °C) / $\text{Na}^+$ concentration (mM)	
20 / 1.37	2.71
37 / 1.37	2.85
20 / 10	2.71
37 / 10	2.85
20 / 150	2.61
37 / 150	2.76

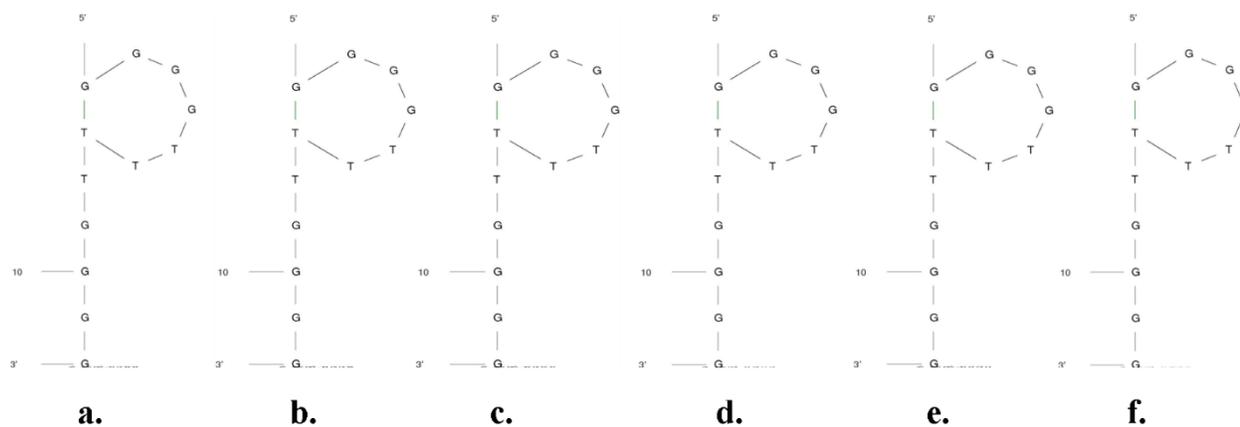


Figure 4. Secondary structure of aptamer when the temperature and  $\text{Na}^+$  concentrations are (a) 20 °C and 1.37 mM (b) 37 °C and 1.37 mM (c) 20 °C and 10 mM (d) 37 °C and 10 mM (e) 20 °C and 150 mM (f) 37 °C and 150 mM respectively.

#### 5.4.2. Sensitivity and specificity determination of sensor

Figure 22 (a) shows a gradual decrease in the PL intensity with an increase in the concentration of calcium ions from 0 pM to 35 nM. A consistent repetition of this behavior is observed in Figure 22 (b) when the experiments are repeated in quintuplicates (n = 5), where the average PL intensity decreases with an increase in the target ion concentration.

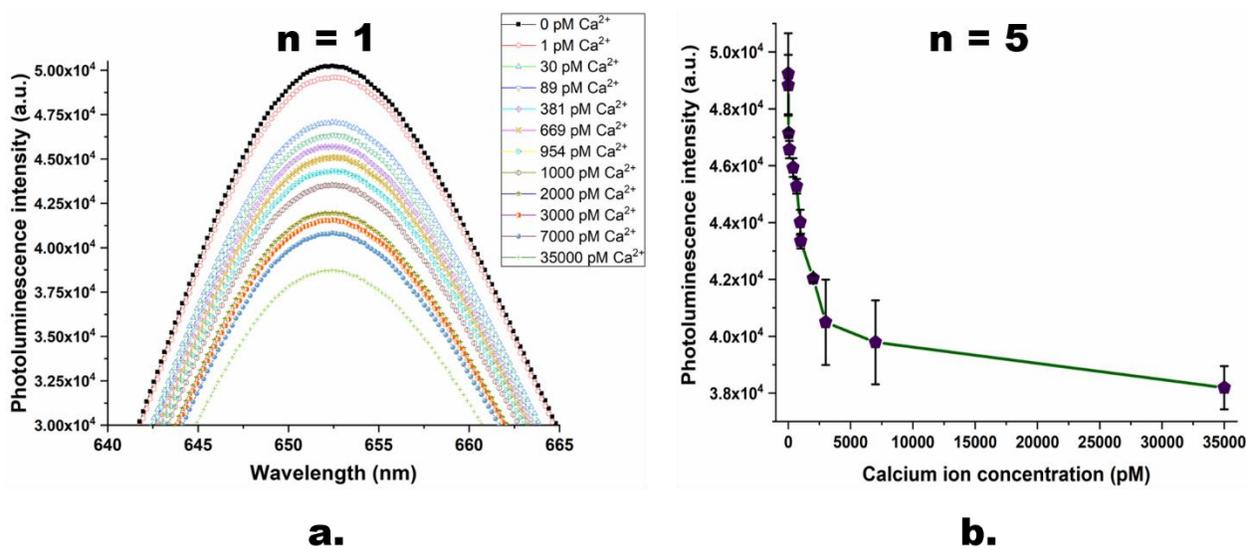


Figure 22. (a) Change of PL intensity with calcium ion concentration. (b) Average change in the PL intensity of molecular beacons with calcium ion concentration when experiments are repeated in quintuplicates (n = 5).

Figure 23 shows the quenching behavior of the sensor samples. Quenching Efficiency (%) has been calculated using equation (5.1), where  $I_{blank}$  is the peak photoluminescence intensity before the addition of target,  $I_{Ca}$  is the peak photoluminescence intensity after the addition of Ca<sup>2+</sup> and Quenching Efficiency (%) is the quenching efficiency of the sensor.

$$Quenching\ Efficiency\ (\%) = \frac{I_{blank} - I_{Ca}}{I_{blank}} \quad (5.1)$$

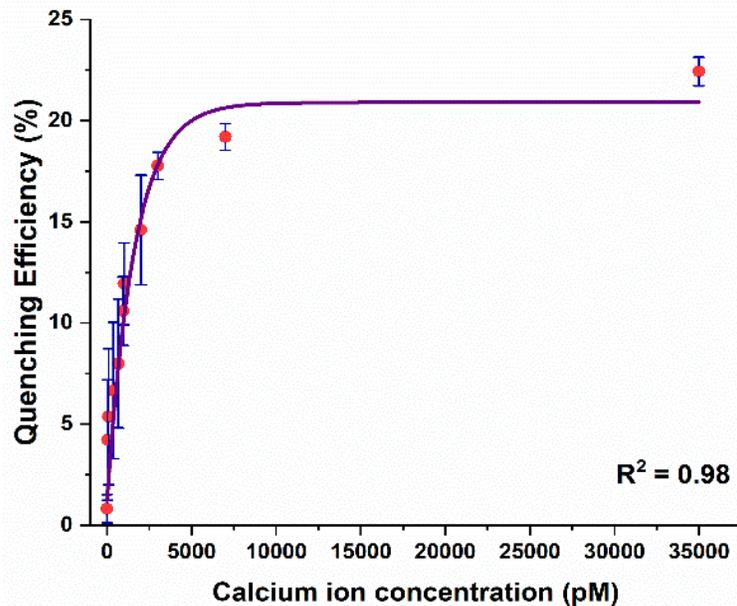


Figure 5. Quenching behavior of the aptasensor when the concentration of calcium ion is varied between 0 pM to 35 nM. Experiments have been repeated in quintuplicates (n = 5).

According to Figure 23, the sensor achieves average quenching efficiencies of  $4.2 \pm 2.97$  % and  $22.42 \pm 0.71$  % at 30 pM and 35 nM  $\text{Ca}^{2+}$  concentration, respectively. This behavior can be attributed to the phenomenon of FRET, where the DNA aptamer binds to the target analyte and changes its conformation, because of which, the donor (QD) and the quencher (gold nanoparticles) are driven closer to each other. Consequently, owing to a dipole – dipole interaction between the FRET pair, there is an intersystem transfer of energy from the donor to the quencher. This reduces the resulting emission from the QDs, causing a decrease in the PL intensity. As the concentration of the target ion is increased, greater number of DNA aptamers bind to them and hence, a higher number of QDs participate in FRET. In this case, the DNA aptamer has been reported to have an antiparallel G-quadruplex structure initially. Addition of  $\text{Ca}^{2+}$  induces the formation of a parallel G-quadruplex structure and finally to a G-wire structure. The parallel G-quadruplex has been found to be unstable and hence, the aptamer rapidly

transitions to the G-wire structure. The quenching behavior of the nanosensor follows the Hill chemical kinetics. In the Hill formalism, the quenching efficiency can be expressed as:

$$\text{Quenching Efficiency} = 23.765 \times \frac{x^{0.765}}{(906.703^{0.765} + x^{0.765})} \quad (5.2)$$

Based on the definition of the Hill's equation, 906.703 pM refers to the concentration at which half of the receptors are occupied by the target. The Hill co-efficient of 0.765 is the slope of the Hill curve and also refers to negative cooperativity with respect to substrate binding. Also, from the equation theory, the average binding constant ( $K_D$ ) can be estimated as 183 pM.

$$\text{LOD} = 3 \times \text{SD}_0 / \text{Sensitivity}_{\text{Ca}} \quad (5.3)$$

From the results obtained in equation (5.3), where the  $\text{SD}_0$  is the normalized standard deviation of the blank sensor sample while  $\text{Sensitivity}_{\text{Ca}}$  is the slope of the quenching curve (Figure 23), the limit of detection (LOD) was calculated to be 3.77 pM or 0.55 pg/ml (assuming molecular weight of the  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O} = 147 \text{ g/mol}$ ). The LOD obtained from this sensor has been compared with several other sensing platforms for  $\text{Ca}^{2+}$  in Table 9.

Table 9. Summary of several sensors for calcium detection published in literature.

Serial Number.	Primary sensing agent	Sensing platform	LOD	Reference
1.	Calmodulin (CaM)	Fiber optic sensor	$5 \times 10^{-8} \text{ M}$	[165]
2.	Carboxylic polyether antibiotic A23187	Fiber optic sensor	$1 \times 10^{-7} \text{ M}$	[166]

3.	Ionophore N,N,N',N'-tetracyclohexyl-3-oxapentanediamide	Calcium selective electrode	100 pM	[160]
4.	Sensing membrane consisting of modified merocyanine photoacid polymer and a calcium ionophore in plasticized poly(vinyl chloride)	Optical sensor	$5 \times 10^{-4}$ M	[167]
5.	NiCo <sub>2</sub> O <sub>4</sub> nanostructures on 3-dimensional graphene foam	Electrochemical sensor	4.45 $\mu$ M	[168]
6.	$\alpha$ -furildioxime ionophore	Potentiometric sensor	$1.25 \times 10^{-7}$ M	[162]
7.	Arsenazo III (1,8-dihydroxynaphthalene-3,6-disulfonic acid-2,7-bis[(azo-2)-phenyl arsenic acid])	Microfluidic fiber optic sensor	$2.68 \times 10^{-5}$ M	[161]
8.	2-[(2-hydroxyphenyl)imino]-1,2-diphenylethanone (HD)	Potentiometric sensor	$8.0 \times 10^{-7}$ M	[163]
9.	Ethylenediaminetetraacetic	Optical sensor	77 pM	[157]

	acid (EDTA)-Carbon Dots			
10.	Hexametaphosphate-capped CdS QDs	Optical sensor	4 $\mu$ M	[169]
11.	4,4',4'',4'''-((3,6-dicyanobenzene-1,2,4,5-tetrayl)tetrakis(sulfanediyl))tetra-benzoic acid	Optical sensor	0.6 $\mu$ M	[170]
<b>12.</b>	<b>DNA aptamer</b>	<b>Optical sensor</b>	<b>3.77 <math>\mu</math>M</b>	<b>This work</b>

The sensor was observed to have significant selectivity towards  $\text{Ca}^{2+}$  when compared to the control metal ions like  $\text{Na}^+$ ,  $\text{Mg}^{2+}$  and  $\text{K}^+$ . These cations were specifically chosen because of their importance and abundance in the physiological system. Figure 24 shows the quenching behavior of the control ions in the picomolar (pM) and nanomolar (nM) concentration range. In Figure 24 (a), the quenching efficiencies have been compared between all the four ions while keeping their concentrations same (660 pM and 7 nM).  $\text{Ca}^{2+}$  was shown to have a significantly high quenching efficiency compared to the  $\text{Na}^+$ ,  $\text{Mg}^{2+}$  and  $\text{K}^+$  at both 660 pM and 7 nM concentrations respectively (Figure 24(b)), indicating a high selectivity of the sensor towards calcium in the pM concentration range. A similar response was observed even when the concentration of the control ions are much higher (660 nM and 7  $\mu$ M) in the sensor solution. Their quenching efficiencies were significantly lower than that of  $\text{Ca}^{2+}$  at 35 nM concentration in the sensor. This further established the specificity of the aptasensor towards  $\text{Ca}^{2+}$ .

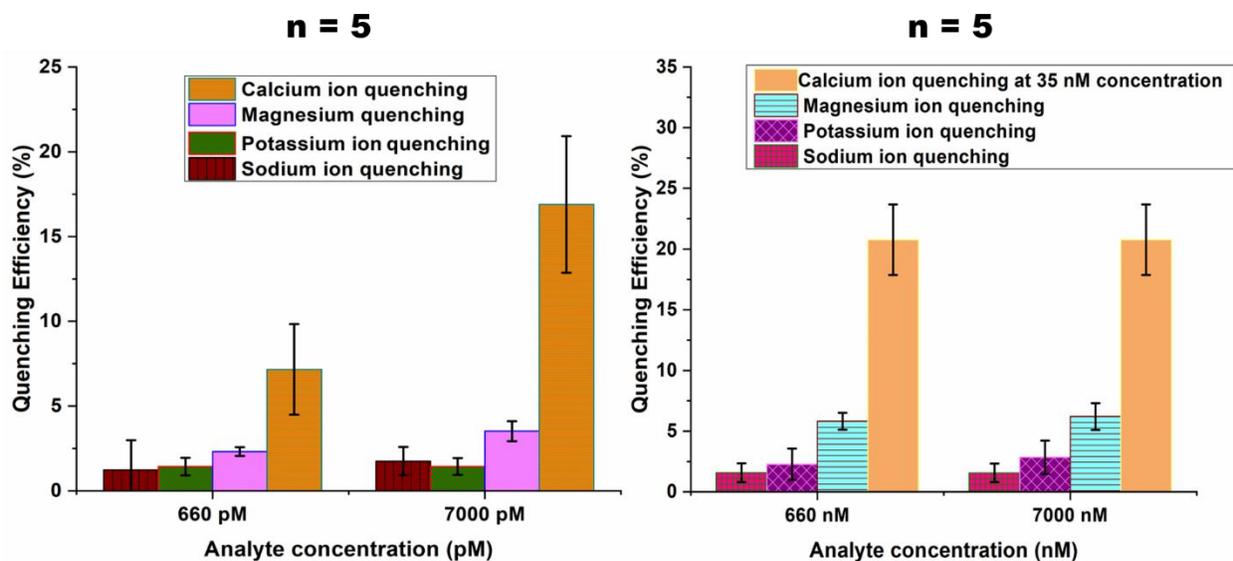


Figure 6. Response of calcium ion detecting nanosensor when tested with control ions like sodium ( $\text{Na}^+$ ), Potassium ( $\text{K}^+$ ) and Magnesium ( $\text{Mg}^{2+}$ ). Quenching efficiencies of the target ion has been compared with that of the control ions in the pM concentration range (a) as well as in the nM range (b). Experiments have been conducted in quintuplicates ( $n = 5$ ).

## 5.5. Conclusion

This study reports a simple DNA aptamer based optical sensor, which detects  $\text{Ca}^{2+}$  between 0 pM to 35 nM. It employs a DNA aptamer as the primary  $\text{Ca}^{2+}$  sensing element and operates on the principle of FRET. It has the ability to detect the target ion up to a lower limit of 3.77 pM. The primary advantages of this sensor lies in its ability to successfully detect  $\text{Ca}^{2+}$  at a very low concentration. Additionally, it has very low sample requirement (5  $\mu\text{l}$ ) and is quite flexible i.e. the same sensing strategy can used to detect other analytes by replacing the sensing element with a DNA aptamer specific to the analyte.

## **6. Study on the response of FRET based aptasensors in a cellular environment for the detection of biomolecules**

(This chapter is partially copied from my paper submitted to Nature Scientific Reports with the following citation:

S. Ghosh, Y. Chen, J. Sebastian, A. George, M. Dutta, and M. A. Stroschio, “A study on the response of FRET based DNA aptasensors in intracellular environment,” *Sci. Rep.*, 2019. [Currently under review])

### **6.1. Overview**

This chapter reports a study on the response of FRET based DNA aptasensors in the intracellular environment. Herein, we extend previous studies of aptasensors functioning in the extracellular environment to detection of antigens in the intracellular environment. An essential step in this research is the use of a novel means of achieving the endocytosis of aptasensors. Specifically, it is demonstrated that functioning aptasensors are successfully endocytosed by functionalizing the aptasensors with endocytosis-inducing DSS peptides. A summarized study on the detection of intracellular TNF- $\alpha$  and calcium ions along with an initial confirmatory procedure involving GA detecting aptasensors have been presented here.

### **6.2. Background**

Cell penetrating peptides (CPP) are short peptides composed of about 5 - 30 amino acids. In recent years, CPPs have come under focus because these are capable of carrying cargo inside cells by penetrating the biological membrane [171]. Because of this ability, the CPPs are used in intracellular drug delivery since they are suitable for conjugation with various biomolecules. Additionally, they have also been observed to have low toxicity [171][172]. In this study, we have employed the DSS peptide, which belongs to the phosphophoryn protein family, to investigate the response of the quantum dot based aptasensors in an intracellular environment.

The phosphophoryns are typically rich in amino acids like aspartic acid and serine [173][174]. The DSS peptide also has some lysine group for the purpose of conjugation with the carboxylated quantum dots of the aptasensors. In this chapter is reported the synthesis strategy of the DSS conjugated aptasensors. The DSS conjugated GA detecting molecular beacons have been tested to determine the amount of DSS peptide to be used for any future synthesis process. The other DSS conjugated molecular beacons reported here have been used to determine the functionality of the sensor in an intracellular environment.

### **6.3. Methods**

An overall synthesis strategy of the DSS peptide conjugated with the aptasensors has been represented in Figure 25. Specific reagent amounts and DNA sequences for each case have reported in the protocols given below.

#### **6.3.1. Preparation of DSS conjugated GA detecting molecular beacons**

The GA binding DNA aptamer used in this study was purchased from Biosearch Technologies (Petaluma, CA). It consists of 23 bases and has been modified on both ends with an amine group on the 5' and a thiol group on the 3' (5'Amino C6/TGCGGTTGTAGTACTCGTGGCCG/Thiol C6 SS 3'). The aptamer was dissolved into Tris Ethylenediamine Tetraethyl Acetate (EDTA) buffer to obtain 100  $\mu$ M aptamer solution. This step was conducted in order to prevent cation induced degradation of DNA bases. 9  $\mu$ l of TCEP was added to 20  $\mu$ l of the 100  $\mu$ M GA aptamer and the mixture was allowed to incubate for 30 minutes at room temperature. This step facilitated the reduction of the dithiol groups in the aptamer. 100  $\mu$ l of gold nanoparticle solution was synthesized by adding the same volume of de-ionized water to one vial of gold nanoparticles. This was further added to the aptamer-TCEP mixture in order to achieve a 3:1 ratio between the

quencher and the aptamer. The resulting solution was then incubated for 2 hours at room temperature. Subsequently, it was centrifuged twice at 5000 rpm for 15 minutes each using a 3k MWCO filter in order to remove the excess unbound gold nanoparticles from the solution. After each centrifugation, 50  $\mu$ l of de-ionized water was used to wash the supernatant. The centrifuge used for all centrifugation steps was the Fisher Scientific Accuspin micro (Fisher Scientific, USA). A 100  $\mu$ l QD solution was synthesized by mixing 87  $\mu$ l of 10 mM borate buffer (pH 7.4) and 13  $\mu$ l of carboxylated CdSe/ZnS QD. Four different amounts of DSS peptide was added during four different synthesis experiments of the peptide conjugated sensor. The concentrations of the peptide are summarized Table 3. EDC/NHS coupling chemistry was used to bind the QDs to the DNA aptamer as well as to bind the DSS peptide to the QD. 100  $\mu$ l of the QD solution and 230  $\mu$ l of DSS peptide solution (with the corresponding concentration mentioned in Table 3) was added to the filtered GA aptamer/gold nanoparticle solution in the presence of 30  $\mu$ l of 4  $\mu$ g/ $\mu$ l EDC/Sulfo NHS solution. The resulting solution was then allowed to shake for 2 hours at room temperature. Subsequently, the samples were centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). The supernatant was washed with 50  $\mu$ l of the 50 mM borate buffer (pH 8.3) after each centrifugation. This step allowed the unbound aptamers and excess EDC to get eliminated from the sensor solution.

Table 10. Amount and concentration of the DSS peptide added to the GA molecular beacons.

<b>DSS peptide amount (mg)</b>	<b>Volume of water added (<math>\mu</math>l)</b>	<b>Concentration of DSS peptide added to the molecular beacon (mg/ml)</b>
0.46	230	2
0.92	230	4
1.15	230	5
2.3	230	10

### **6.3.2. Preparation of DSS conjugated TNF- $\alpha$ detecting molecular beacons**

The TNF- $\alpha$  binding DNA aptamer used in this study was purchased from Biosearch Technologies (Petaluma, CA). It consists of 25 bases and has been modified on both ends with an amine group on the 5' and a thiol group on the 3' (5'AminoC6/TGGTGGATGGCGCAGTCGCGACAA/Thiol C6 SS 3'). To obtain a 100  $\mu$ M aptamer solution, the aptamer was dissolved into 887  $\mu$ l of EDTA buffer. 9  $\mu$ l of TCEP was added to 20  $\mu$ l of the 100  $\mu$ M TNF- $\alpha$  aptamer and the mixture was allowed to incubate for 30 minutes at room temperature. 100  $\mu$ l of gold nanoparticle solution was synthesized by adding the same volume of de-ionized water to one vial of gold nanoparticles. This was further added to the aptamer-TCEP mixture in order to achieve a 3:1 ratio between the quencher and the DNA aptamer. The resulting solution was then incubated for 2 hours at room temperature. Subsequently, it was centrifuged twice at 5000 rpm for 15 minutes each using a 3k MWCO filter in order to remove the excess unbound gold nanoparticles from the solution. After each centrifugation, 50  $\mu$ l of de-ionized water was used to wash the supernatant. The centrifuge used

for all centrifugation steps was the Fisher Scientific Accuspin micro (Fisher Scientific, USA). A 100  $\mu\text{l}$  QD solution was synthesized by mixing 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4) and 13  $\mu\text{l}$  of carboxylated CdSe/ZnS QD. 2.3 mg of the DSS peptide was added to 230  $\mu\text{l}$  of de-ionized water in order to make a 10 mg/ml peptide solution. EDC/NHS coupling chemistry was used to bind the QDs to the DNA aptamer as well as to bind the DSS peptide to the QD. 100  $\mu\text{l}$  of the QD solution and 230  $\mu\text{l}$  of the DSS peptide was added to the filtered TNF- $\alpha$  aptamer/gold nanoparticle solution in the presence of 30  $\mu\text{l}$  of 4  $\mu\text{g}/\mu\text{l}$  EDC/Sulpho NHS solution. The resulting solution was then allowed to shake for 2 hours at room temperature. Subsequently, the samples were centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). The supernatant was washed with 50  $\mu\text{l}$  of the 50 mM borate buffer (pH 8.3) after each centrifugation. This step allowed the unbound aptamers and excess EDC to get eliminated from the sensor solution.

### **6.3.3. Preparation of DSS conjugated $\text{Ca}^{2+}$ detecting molecular beacons**

The calcium detecting DNA aptamer was purchased from Biosearch Technologies (Petaluma, CA). It consists of 12 bases and has been modified on both ends with an amine group on the 5' and a thiol group on the 3' (5'AminoC6/ GGGGTTTTGGGG /Thiol C6 SS 3'). To obtain a 100  $\mu\text{M}$  aptamer solution, the aptamer was dissolved into 654  $\mu\text{l}$  of EDTA buffer. 9  $\mu\text{l}$  of TCEP was added to 20  $\mu\text{l}$  of the 100  $\mu\text{M}$  calcium detecting aptamer. The mixture was allowed to incubate for 30 minutes at room temperature so that the dithiol groups in the aptamer get reduced. One vial of gold nanoparticles (6 nmoles) was added to 100 ml of de-ionized water to form a solution, which was further added to the aptamer-TCEP mixture (quencher: aptamer = 3:1 approximately). This mixture was then incubated for 2 hours at room temperature after which it was centrifuged

(Fisher Scientific Accuspin micro (Fisher Scientific, USA)) twice at 5000 rpm for 15 minutes each using a 3k MWCO filter. The supernatant after each centrifugation was washed with 50  $\mu$ l of de-ionized water. 13  $\mu$ l of carboxylated CdSe/ZnS QDs (0.1 nmoles) was mixed with 87  $\mu$ l of 10 mM borate buffer (pH 7.4) to form a 100  $\mu$ l QD solution. A 10 mg/ml DSS peptide solution was prepared by adding 2.3 mg of the DSS peptide to 230  $\mu$ l of de-ionized water. 30  $\mu$ l of 4  $\mu$ g/ $\mu$ l EDC/Sulfo NHS solution was added to a mixture of the 100  $\mu$ l of the QD solution, 230  $\mu$ l of the DSS peptide and the filtered calcium aptamer/gold nanoparticle solution in order to facilitate binding. Subsequently, this mixture was allowed to shake for 2 hours at room temperature following which the samples were centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). The supernatant obtained after each centrifugation cycle was washed with 50  $\mu$ l of the 50 mM borate buffer (pH 8.3).

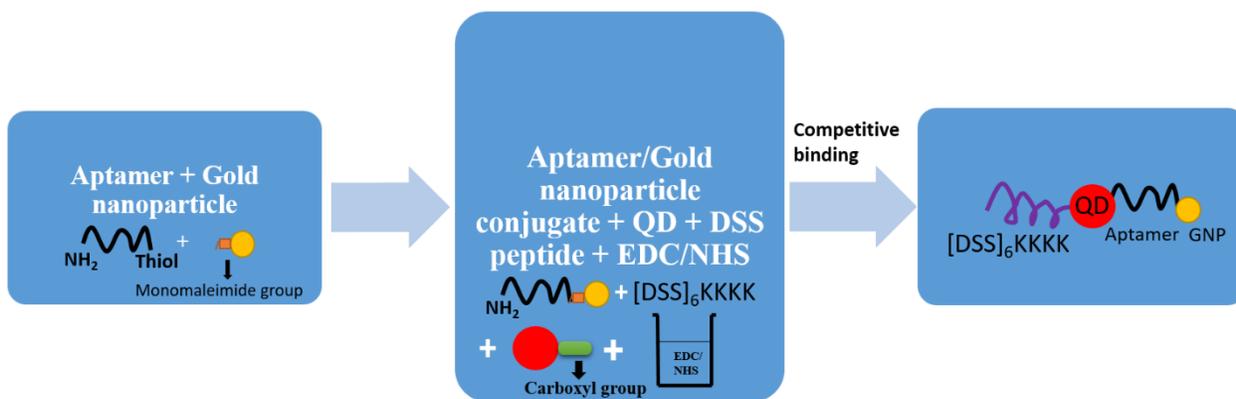


Figure 25. Design and synthesis strategy of DSS conjugated molecular beacon nanoconstruct.

#### 6.3.4. Characterization of DSS conjugated molecular beacons

To test the DSS conjugated GA detecting molecular beacons, mouse pre-osteocyte cells (MC3T3 E1) were cultured in  $\alpha$ -MEM with 10 % FBS and 1 % Antibiotic-Antimycotic (100X, Life technologies) at 37  $^{\circ}$ C in a humidified incubator with 5%  $\text{CO}_2$ . Approximately 250,000 cells

were seeded on a  $\phi$  25 mm cover glass in one of the wells of a 6 well culture plate. On the following day, the aptasensors were added to interact with the cells.

The DSS conjugated TNF- $\alpha$  detecting molecular beacons were characterized using mouse monocyte/macrophage cells (RAW264.7), which were cultured in DMEM with 10 % FBS and 1 % Antibiotic-Antimycotic at 37 °C in a humidified incubator with 5% CO<sub>2</sub>. Approximately, 100,000 cells were seeded on a  $\phi$  12 mm cover glass in a well of 24 well culture plate. The cells were stimulated / differentiated by lipopolysaccharides (100 ng/ml, Sigma) for 16 hours to induce bacterial infection. Subsequently, the aptasensors were added to interact with the cells. Once the sensors were added, the reaction was paused briefly followed by rinsing twice with PBS. The cells were then fixed with 10% formalin (PBS neutralized) at 37 °C for 1 hr. The cover glass were mounted on a glass slide with mounting agent with DAPI (VectorLab) after washing with PBS thrice.

The DSS conjugated calcium ion detecting molecular beacons were tested using mouse pre-osteocyte cells (MC3T3 E1 - ATCC, Manassas, VA), which were cultured in  $\alpha$ -MEM (Corning Inc. Corning, NY) with 10 % FBS (Thermo Fisher Scientific, Waltham, MA) and 1 % Antibiotic-Antimycotic (100X, Life Technologies) at 37 °C in a humidified incubator with 5% CO<sub>2</sub>. Around 300,000 cells were seeded on a  $\phi$  25 mm cover glass in one of the wells of a 6 well culture plate. The following day the aptasensors (0.1 mg/ml) were added. After 1 hour, the cells were washed with pre warmed PBS without calcium and magnesium three times to remove unincorporated/free molecular beacons. Following Thapsigargin (TG - final concentration at 1  $\mu$ M) ((MilliporeSigma, Burlington MA) in PBS without calcium and magnesium were added to trigger the calcium release from endoplasmic reticulum store. At the indicated time point, formaldehyde solution (37%) (Thermo Fisher Scientific, Waltham, MA) was added at 1/10 of

TG solution volume (20  $\mu\text{L}$  to 200  $\mu\text{L}$ ) to stop the reaction and fix cells for 1 hour at room temperature. After washing with PBS for 3 times, the cover glass were mounted on a glass slide with mounting agent with DAPI (VectorLab, Burlingame, CA).

In all the cases the fluorescence images were obtained using a Zeiss LSM 710 Confocal Microscope in Research Resources Center of University of Illinois at Chicago or with a Zeiss Observer D1 Microscope.

## **6.4. Results and discussion**

### **6.4.1. Characterization of DSS conjugated molecular beacons**

The interaction between the DSS peptide conjugated GA detecting molecular beacons and the cells were tested to determine whether there was a successful conjugation between the peptide and the sensors. Ravindran et al. previously showed that the DSS peptide facilitates cellular uptake. To reduce peptide wastage, three different concentrations of the peptide was initially tested to determine the optimal concentration at which future experiments would be conducted. The working concentrations of the DSS peptide used during the cell culture process are shown in Table 11. As shown in Figure 26 (a - h), the quantum dots in the molecular beacon was observed to be surrounding the nucleus for all the four working concentrations of the peptide (10  $\mu\text{g}/\text{ml}$ , 20  $\mu\text{g}/\text{ml}$ , 25  $\mu\text{g}/\text{ml}$  and 50  $\mu\text{g}/\text{ml}$ ). However, based on the concentration of the quantum dots around the cell nucleus in Figure 26 (a-h), it was determined that the best concentration of the DSS peptide for future endocytosis experiments was 50  $\mu\text{g}/\text{ml}$ . Hence, the DSS stock concentration of 10 mg/ml was used in future synthesis protocols of the DSS peptide conjugated aptamer sensor.

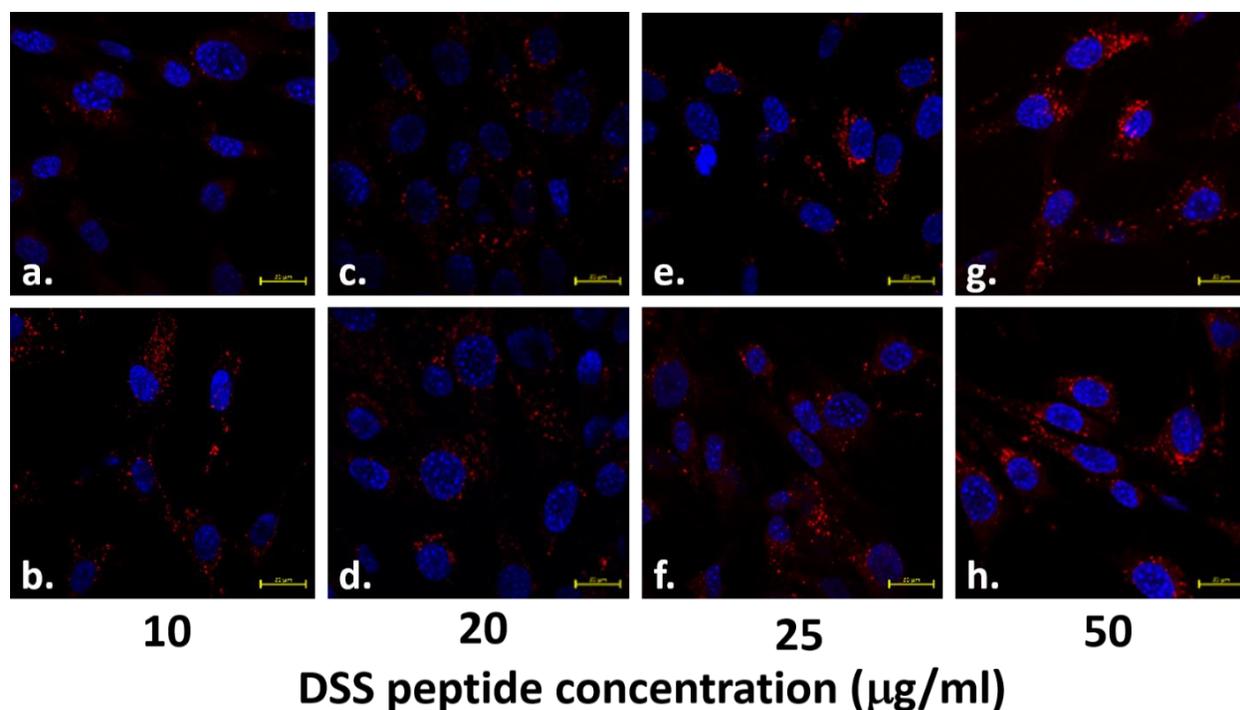


Figure 26. Entrance of the DSS conjugated molecular beacons inside the MC3T3 cells. The cell nucleus has been shown in blue while the quantum dots are shown in red. The concentration of the 655 nm quantum dots (red) around the cell nucleus (blue) is compared in the presence of (a-b) 10 µg/ml, (c-d) 20 µg/ml, (e-f) 25 µg/ml, and (g-h) 50 µg/ml concentration of the DSS peptide, used during the synthesis process.

Table 11. Final concentrations of DSS peptide used during cell culture experiments. Stock concentration stands for the concentration of DSS peptide added during the synthesis of the molecular beacons (Section 6.3.1, Table 10). Working concentration of peptide stands for the diluted concentration of the DSS peptide used during the cell culture testing (Section 6.3.4 for GA detecting molecular beacons). The working concentration expressed in mg/ml (third column) has been converted to µg/ml (fourth column).

<b>Stock Concentration (mg/ml)</b>	<b>Dilution factor</b>	<b>Working concentration of peptide (mg/ml)</b>	<b>Working concentration of peptide (µg/ml)</b>
2	200	0.0100	10
4	200	0.0200	20
5	200	0.0250	25
10	200	0.0500	50

Figure 27 (a-c) shows macrophage cells (RAW 264.7) in the presence of the DSS peptide conjugated TNF- $\alpha$  detecting aptasensors. In Figure 27 (d-f), the macrophage cells were treated with bacterial lipopolysaccharide to induce infection, which resulted in an intracellular production of the pro-inflammatory cytokine TNF- $\alpha$ . As can be observed in Figure 27 (d-f), there was a decrease in the fluorescence intensity emitted by the quantum dots when compared to the emission observed on Figure 27 (a-c). This phenomenon indicates the occurrence of FRET.

To detect whether the sensors were specifically detecting TNF- $\alpha$ , the interaction between MC3T3 cells and the DSS conjugated aptasensors were studied. The rationale behind this is that these cells don't produce TNF- $\alpha$ . As can be seen in Figure 28 (a-b), there is no change in the quantum dot fluorescence emission. This showed that despite having a high cross reactivity with thrombin in the calibration data [89], no such interference was observed in the data shown below. The sensor displays successful quenching and hence FRET in the presence of TNF- $\alpha$ .

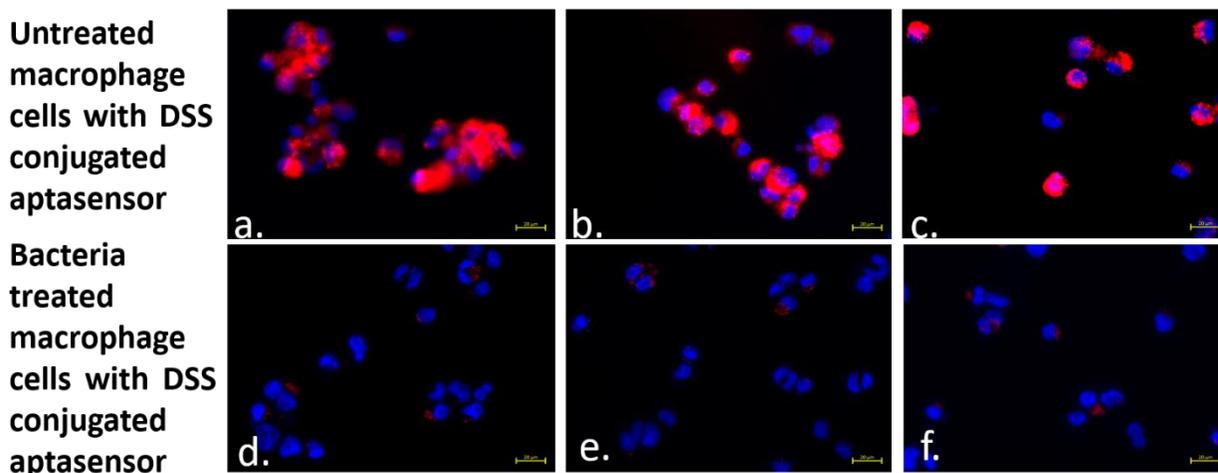


Figure 27. The phenomenon of FRET has been illustrated here. A decrease in fluorescence intensity is observed when the sensor interacts with the bacterial lipopolysaccharide treated macrophage cells in (d-f) compared to the non-infected macrophage cells in (a-c).

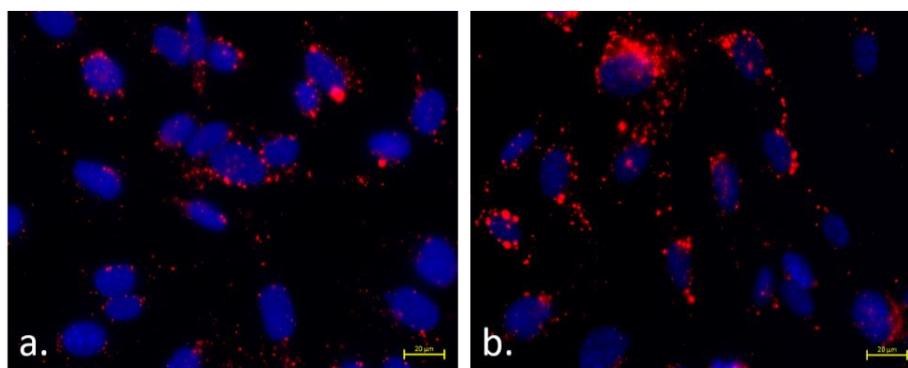


Figure 28. Response of DSS conjugated TNF- $\alpha$  detecting aptasensors to MC3T3 cells, which don't produce the cytokine. No quenching was observed in both a, b.

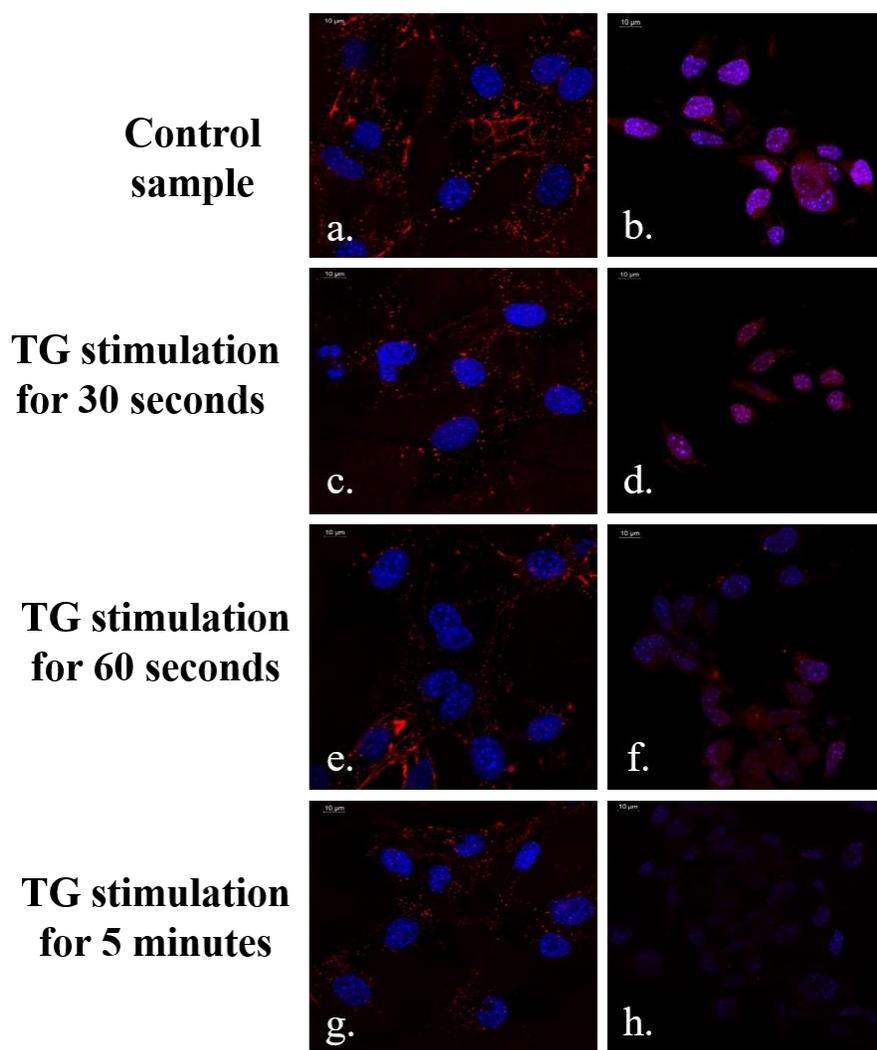


Figure 29. Interaction between the DSS conjugated calcium ion detecting molecular beacons and MC3T3 cells in the presence of no TG stimulation (a-b) 30 seconds of TG stimulation (c-d) 60 seconds of TG stimulation (e-f) and 5 minutes of TG stimulation (g-h). Successful quenching phenomenon has indicated with progression of time and TG stimulation.

The DSS conjugated calcium detecting aptasensors were tested in MC3T3 cells, which stimulated using TG at various time points. TG stimulation allows the intracellular production of calcium ions. A higher intensity QD emission from the MC3T3 cells was observed in Figure 29 (a, b) in the absence of TG stimulation, indicated as control sample. With the progress in time, TG stimulation increases intracellular  $\text{Ca}^{2+}$  levels (Figure 29 (c-h)). Therefore, greater number of aptasensors binds to the higher concentration of  $\text{Ca}^{2+}$ , causing greater reduction in the fluorescence emission. This phenomenon confirmed the occurrence of FRET in the presence of the target metal ion.

## 6.5. Conclusion

The aptasensors reported in this study consists of a DSS peptide and molecular beacon specific for the detection of biomarkers like GA and TNF- $\alpha$  as well as physiologically relevant metal ions like calcium. The DSS peptide is a cell penetrating peptide capable of dragging a cargo inside the cell towards the nucleus. It is conjugated to the aptasensors using the principle of competitive binding. Successful conjugation of the peptide to the sensors has been confirmed using GA detecting molecular beacons. All the aptasensors reported here employ a single stranded oligonucleotide as the primary sensing element and operates on the principle of FRET. This peptide-molecular beacon nanoconstruct can successfully detect TNF- $\alpha$  inside the macrophage cells and calcium ions in MC3T3 cells when the appropriate DNA aptamer is used. Besides, it also enjoys the flexibility of being able to detect other biomarker proteins by just replacing the sensing element (DNA aptamer).

## **7. Graphene oxide based aptasensor for the detection of C - Reactive Protein.**

### **7.1. Overview**

In this study, we report the design and characterization of a graphene oxide – aptasensor complex, which successfully detects CRP. The molecular beacon consisting of a donor – quencher pair along with a DNA aptamer has been bound to a graphene oxide substrate so that it opens up a way to theranostic constructs. As described here, the sensing complex can selectively detect CRP between 0 pM to 1000 pM with a limit of detection estimated to be in the range of 0.5 pM to 1 .6 pM. It also demonstrates quenching in the presence of human serum based samples spiked with various concentrations of CRP.

### **7.2. Background**

The successful design of theranostic constructs has attracted a lot of attention in the field of bioengineering. This is because such agents can simultaneously detect diseases and can deliver drugs at targeted pathologies [175]. Since, its inception, various forms graphene have been widely used in biomedical diagnostics. Some of its advantages include a flat two dimensional nanostructure, high conductivity, ease of functionalization and biocompatibility etc [176][177].

In this study, a molecular beacon, comprised of a quantum dot, gold nanoparticle and a DNA aptamer, has been anchored to a graphene oxide substrate. The beacon operates on the principle of FRET and utilizes the quantum dot – gold nanoparticle as the donor – quencher pair, which are bound to one another via the DNA aptamer (Figure 30). The substrate is conjugated to the beacon via a linker aptamer. The entire complex has been used to detect CRP in both PBS and human serum.

### **7.3. Methods**

### 7.3.1. Synthesis of sensor complex

The CRP specific DNA aptamer used in this study consists of 40 bases. It was purchased from Biosearch Technologies (Petaluma, CA). The 5' end of the aptamer was functionalized with an amine group while the 3' was modified with a thiol functional group (5' Amino C6/CGAAGGGGATTCGAGGGGTGATTGCGTGCTCCATTTGGTG/Thiol C6 SS 3'). The aptamer was dissolved in 414  $\mu\text{l}$  of Tris Ethylenediamine Tetraethyl Acetate (EDTA) buffer to obtain 100  $\mu\text{M}$  aptamer solution while also preventing cation induced degradation.

100  $\mu\text{l}$  of gold nanoparticle solution was synthesized by adding the same volume of de-ionized water to one vial of gold nanoparticles. This was further added to the aptamer-TCEP mixture in order to achieve a 3:1 ratio between the quencher and the DNA aptamer. The resulting solution was then incubated for 2 hours at room temperature. Subsequently, it was centrifuged twice at 5000 rpm for 15 minutes each using a 3k MWCO filter in order to remove the excess unbound gold nanoparticles from the solution. After each centrifugation, 50  $\mu\text{l}$  of de-ionized water was used to wash the supernatant. The centrifuge used for all centrifugation steps was the Fisher Scientific Accuspin micro (Fisher Scientific, USA).

A 100  $\mu\text{M}$  solution of the 10 nm ssDNA linker (5'd Pyrene-dU-(AG)<sub>17</sub> A-Amino C7 3') was prepared in ethylene-diamine-tetraacetic acid (EDTA) buffer. 9  $\mu\text{l}$  of the 100  $\mu\text{M}$  linker solution was added to the DNA aptamer/gold nanoparticle mixture in order to facilitate competitive binding in a 7:3 aptamer to linker ratio.

A 100  $\mu\text{l}$  QD solution was synthesized by mixing 87  $\mu\text{l}$  of 10 mM borate buffer (pH 7.4) and 13  $\mu\text{l}$  of carboxylated CdSe/ZnS QD. 100  $\mu\text{l}$  of the QD solution was added to the CRP aptamer/gold nanoparticle/ssDNA linker solution in the presence of 23  $\mu\text{l}$  of 4  $\mu\text{g}/\mu\text{l}$  EDC/Sulfo NHS solution.

The resulting solution was then allowed to shake for 2 hours at room temperature. Subsequently, the samples were centrifuged five times at 7000 rpm for 5 minutes each using a 100k MWCO filter in 50 mM borate buffer (pH 8.3). The supernatant was washed with 50  $\mu$ l of the 50 mM borate buffer (pH 8.3) after each centrifugation. This step allowed the unbound aptamers and excess EDC to get eliminated from the sensor solution.

1 ml of 500mg/l single layer graphene oxide was added to the filtered molecular beacon solution and incubated overnight. The solutions were subsequently divided into 4 aliquots having equal volumes. These aliquots were then diluted with nuclease free water to reach the final volume of 1 ml. The resulting solutions were then centrifuged until the all unbound molecular beacons were eliminated from the sensor-substrate complex.

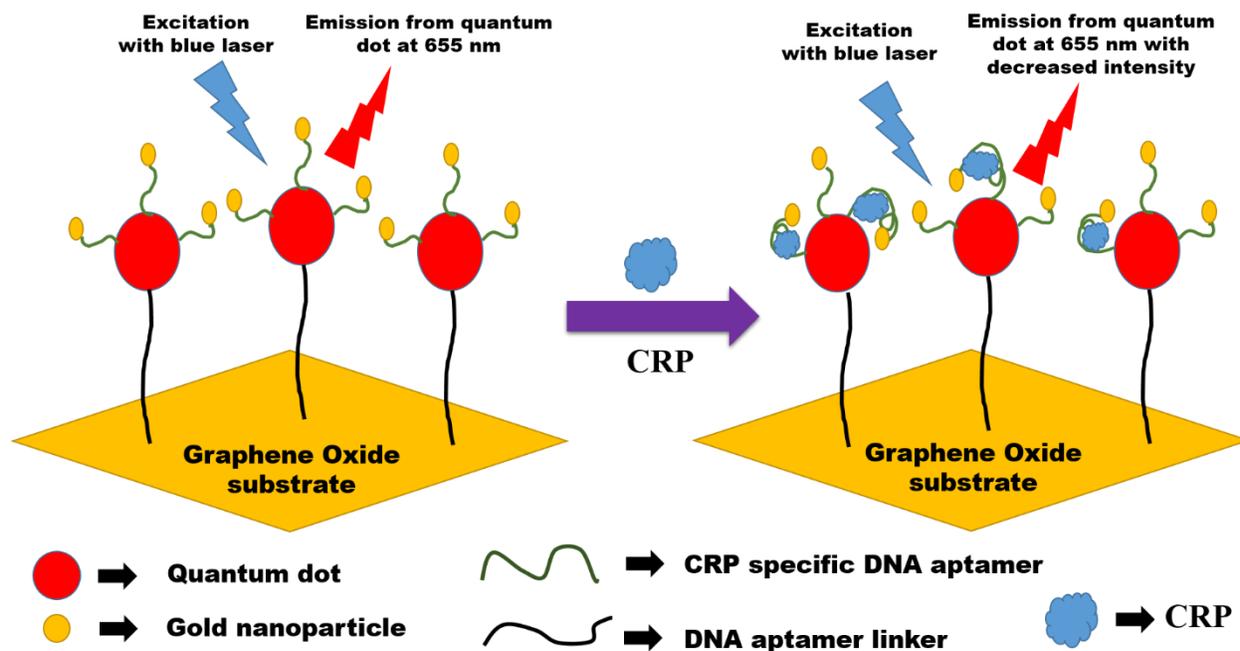


Figure 8. Design and sensing strategy of FRET based molecular beacon anchored to a graphene oxide substrate for CRP sensing.

### 7.3.2. Optical characterization of sensor complex

CRP stock solutions having 50 pM, 100 pM, 500 pM, 1 nM and 50 nM concentrations were prepared by serially diluting 3 mg/ml (120  $\mu$ M) solution of the protein in 0.01XPBS. 5  $\mu$ l of these CRP samples were then added to 750  $\mu$ l of the sensor/substrate complex. To facilitate binding between the DNA aptamer and the target protein, the cuvette was left undisturbed for an hour, following which, the photoluminescence intensities were recorded using a USB4000 Ocean Optics (Dunedin, FL, USA) spectrophotometer with a continuous 375 nm LED excitation.

To test the selectivity of the sensor / substrate complex towards CRP, 5  $\mu$ l of the control protein solutions were added to 750  $\mu$ l sensor solution and allowed to stand undisturbed for an hour to facilitate binding. The control proteins chosen were albumin, TNF- $\alpha$ , TFE, Thrombin and IgG and all of them were kept at a concentration of 1000 pM in the sensor solution respectively.

The clinical samples were prepared by spiking human serum with various concentrations of CRP. The human was mixed with the protein in such a way that the final concentration of CRP in the sensor solution remained 1 pM, 5 pM and 10 pM. The photoluminescence intensities were recorded one hour after adding 5  $\mu$ l of the spiked serum to 750  $\mu$ l sensor solution.

#### **7.4. Results and discussion**

As shown in Figure 31 (a), the PL intensity decreased with the gradual increase in the concentration of CRP (0 pM – 1000 pM concentration range) in the sensor complex. A similar behavior was observed over multiple samples (Figure 31 (b)). This phenomenon was attributed to the successful binding of the DNA aptamer to the target protein CRP, thereby, causing a change in the distance between the quantum dot – gold nanoparticle pair. This resulted in quenching, shown in Figure 32 (a). The quenching efficiency represented in Figure 32 (a-b) has been calculated using the same equation (4.1) of chapter 4.

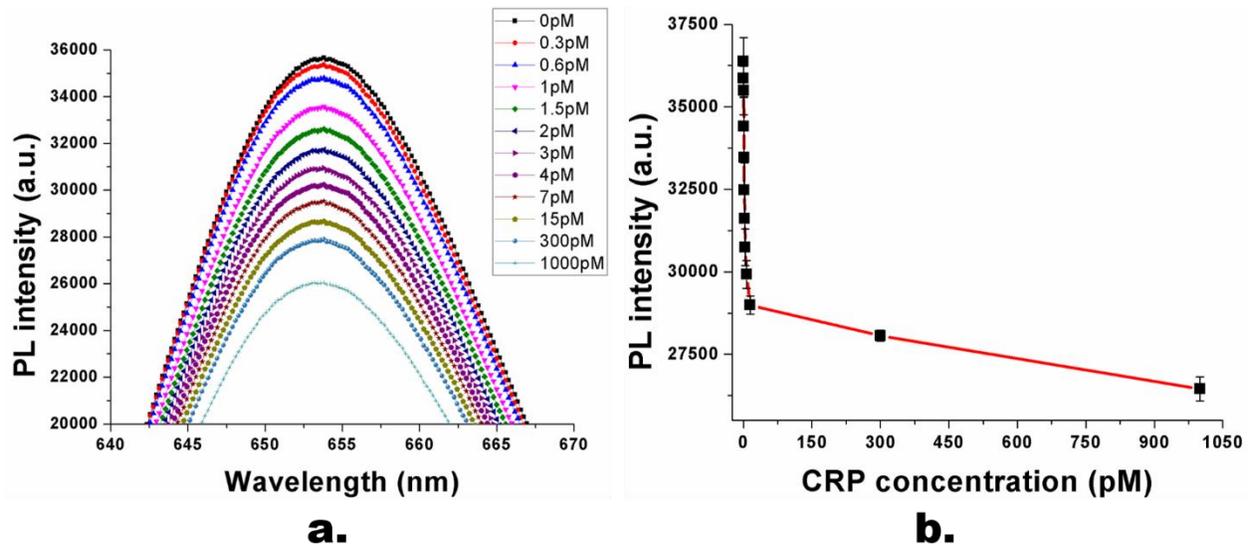


Figure 9. Decrease in photoluminescence intensity with an increase in CRP concentration in the sensor (a). Average photoluminescence intensity decrease over multiple samples with an increase in CRP concentration (b). Experiments were conducted in triplicates ( $n = 3$ ).

The quenching curve shown in Figure 32 (a) obeys the Hill equation, shown in equation (7.1):

$$\text{Quenching Efficiency} = \frac{25.052 \times x^{1.346}}{2.872^{1.346} + x^{1.346}} \quad (7.1)$$

Based on the definition of the Hill's equation, 2.872 pM refers to the concentration at which half of the receptors are occupied by the target. The Hill co-efficient of 1.346 is the slope of the Hill curve and also refers to positive cooperativity with respect to substrate binding. As per Figure 32 (a), the slope of the Hill curve is 1.346 pM. However, Figure 32 (b) shows the linear region of the quenching curve between 0 pM – 4 pM CRP concentration. This curve has a slope of about 3.9157 pM. Therefore, considering both these parameters, it is estimated that the limit of detection falls within a range of 0.5 pM to 1.6 pM using equation (4.3) in chapter 4.

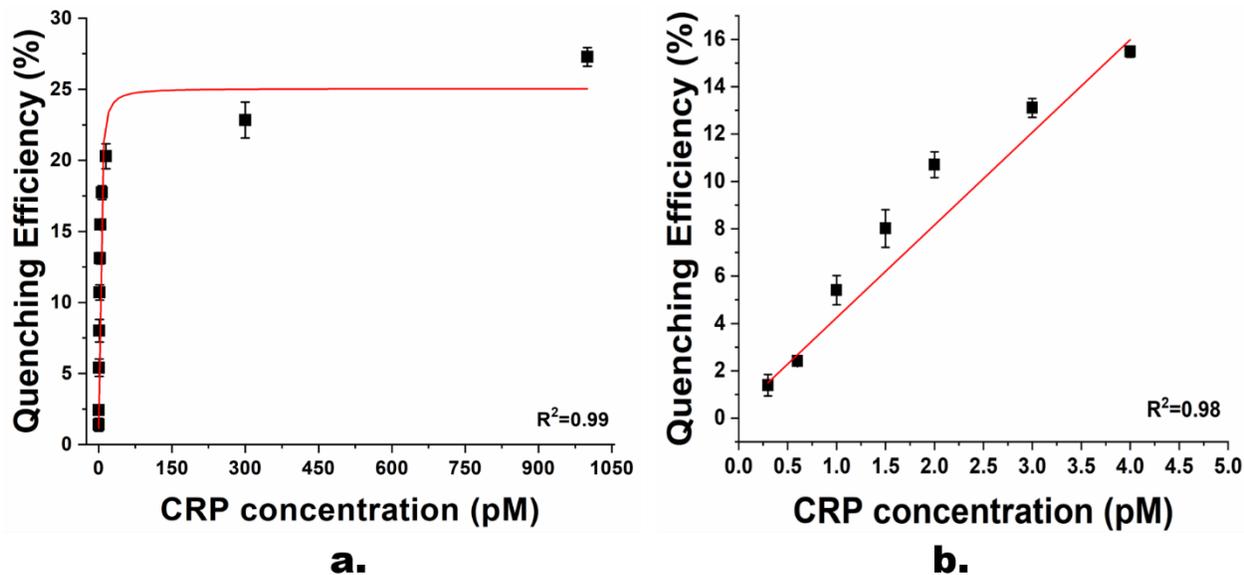


Figure 10. Quenching behavior of the aptasensor/graphene substrate complex (a). Linear quenching region of the sensor complex (b). Experiments were conducted in triplicates (n = 3).

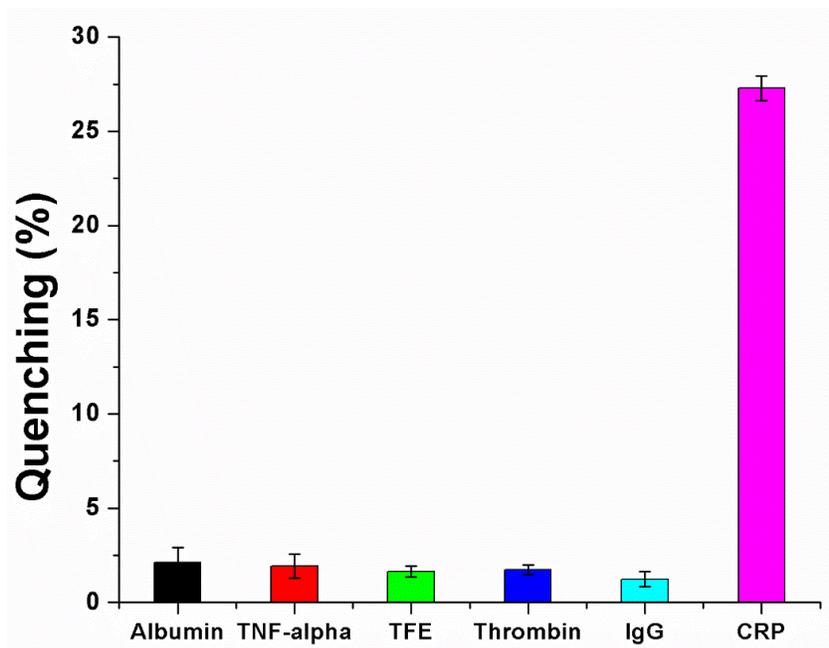


Figure 33. Response of aptasensor/GO substrate complex to various control proteins. Experiments were conducted in triplicates (n = 3).

The sensor complex was tested with various other proteins besides CRP to investigate its specificity towards the target protein. It was observed to have significant selectivity towards CRP compared to the control proteins. As shown in Figure 33, the quenching demonstrated by the

sensor complex towards 1 nM CRP is much greater when compared to the quenching calculated for the other proteins, which were also kept at 1 nM concentration.

The sensor complex was also tested with human serum based samples. These samples were prepared by spiking the serum with various concentrations of CRP. As can be observed in Figure 34, there was a slight cross reactivity with unspiked human serum. This could be attributed to the presence of several proteins including CRP and other small molecules in the serum. However, there was also a gradual increase in quenching with the increase in CRP concentration. Table 12 summarizes the actual quenching efficiencies in the presence of the spiked human serum samples. These values were obtained by subtracting the quenching efficiency of the sensor complex for unspiked human serum from that of the spiked human serum samples.

Table 12. Experimental quenching efficiencies displayed by the aptasensor complex in response to human serum based samples

<b>Sample</b>	<b>Quenching Efficiency (%) (Experimental)</b>	<b>Approx. Quenching Efficiency (%) (Calibration)</b>
1 pM CRP	$3.149 \pm 1.245$	$5.404 \pm 0.616$
5 pM CRP	$6.771 \pm 2.294$	$15.481 \pm 0.232$
10 pM CRP	$10.447 \pm 3.395$	$17.735 \pm 0.485$

It was observed that the experimental values shown in Table 12 (column 2) was different from the quenching efficiencies calculated for the calibration curve (Figure 32) (shown in column 3 of Table 12). This phenomenon could be attributed to a possible interaction between the graphene oxide substrate with the several types of molecules present in the human serum.

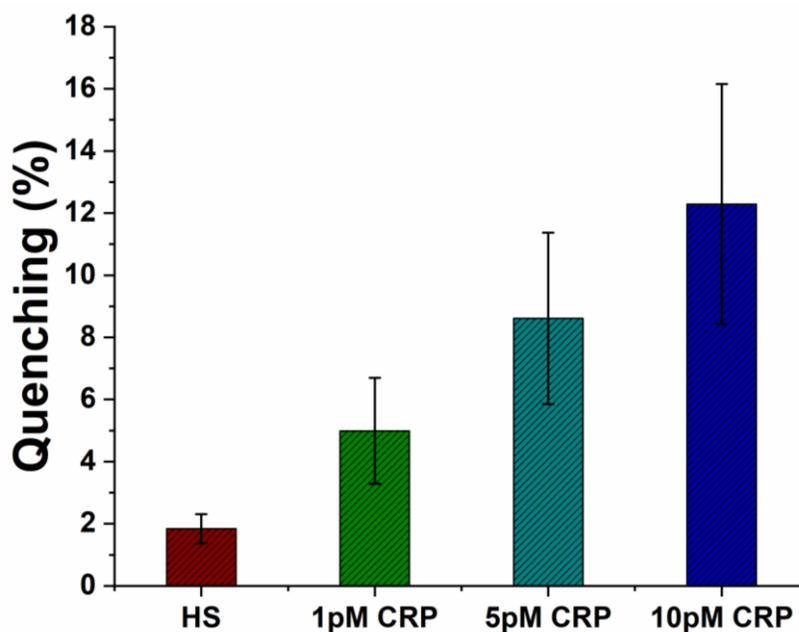


Figure 11. Response of aptasensor/GO substrate complex towards human serum based samples. Experiments were conducted in triplicates (n = 3).

### 7.5. Conclusion

This work reports a preliminary study on the design and response of a graphene oxide / molecular beacon sensing complex for the detection of CRP. The complex is observed to successfully detect the protein in the concentration region of 0 – 1 nM and has a limit of detection in the range of 0.5 pM to 1.6 pM. The sensor does display some drawbacks, which includes a narrow detection range and disparity between the quenching responses for the human serum based samples and the PBS based calibration samples. However, this work opens up a new avenue in theranostics because the graphene substrate can be conjugated with drugs and used for the delivery of therapeutic agents while utilizing the molecular beacon for diagnostics. Therefore further studies should be done to study the interaction between human serum and graphene oxide.

## **8. Spectral characterization of biomolecules by using Raman spectroscopy / SERS.**

(This chapter is partially copied from my papers submitted to Nature Scientific Reports with the following citation:

S. Ghosh, Y. Chen, J. Sebastian, A. George, M. Dutta, and M. A. Stroschio, “A study on the response of FRET based DNA aptasensors in intracellular environment,” *Sci. Rep.*, 2019. [Currently under review])

### **8.1. Raman / SERS spectra of biomarker proteins**

#### **8.1.1. Overview**

This study employs Raman spectroscopy to analyze the secondary structure and composition of several biomolecules like proteins, enzymes, human serum etc. In this study, the Raman spectra of biomarker proteins like CRP, TNF- $\alpha$ , IL-6 and PCT relevant for the detection of sepsis as well as GA, which as discussed in previous chapters is a biomarker for another inflammatory condition diabetes mellitus. Raman spectra for GA, CRP, IL-6 and PCT have been studied while the SERS spectrum of TNF- $\alpha$  has been analyzed.

#### **8.1.2. Background**

Cytokines overproduced from systemic inflammation include pro-inflammatory TNF- $\alpha$ , interleukin-6 (IL-6) and Procalcitonin (PCT), which contribute to the development of infectious diseases like sepsis [178]. New research suggests that the host that may be signaling changes induced by sepsis is TNF- $\alpha$ , which has an amino acid sequence identical to cachectin [179]. PCT serves as an important indicator of neonatal sepsis [180]. Another biomarker protein which plays a significant role as an indicator of sepsis is CRP.

Diabetes mellitus has also been considered as an inflammatory disease. As discussed in the earlier chapters, the most common method of detecting this disease is regular glucose measurements. Recent studies, however, have shed light on two other biomarkers glycated hemoglobin and glycated albumin. While glycated hemoglobin may not be applicable for

diabetic patients with hemolytic disorders, GA has been found to be a relevant indicator for all types of patients.

Therefore, the objective of this study is to obtain the Raman / SERS spectra of the above mentioned biomarker proteins so that it can be used by clinicians and researchers for further investigation of such inflammatory conditions.

### **8.1.3. Methods**

#### **8.1.3.1. SERS substrate**

The SERS substrate is a silver nanorod (AgNR) based multiwell array. This is fabricated using the oblique angle deposition technique at the University of Georgia, Athens [181][182]. The substrate consists of glass microscope slide coated with a titanium adhesion layer on which the silver (Ag) nanorods are grown. Polydimethylsiloxane (PDMS) is deposited on the surface to obtain the 4x10 well arrays. To prevent contamination the substrate was kept sealed and in a nitrogen atmosphere until use. Previously published studies show that this substrate has a SERS enhancement factor of more than  $10^8$ .

#### **8.1.3.2. Experimental procedure**

The stock solution of TNF- $\alpha$  had a concentration of 10 $\mu$ g/ml. Raman measurements of GA, CRP, IL-6 and PCT were performed with Renishaw inVia Reflex Raman spectrometer using 633 nm HeNe laser excitation and 17.5 mW laser power.

SERS studies of TNF- $\alpha$  were carried out on the silver nanorod (AgNR) based SERS substrate. 5  $\mu$ l of the sample to be tested is deposited on one of the wells. It is allowed to stand overnight so that it gets adsorbed on the surface of the well.

### **8.1.4. Results and discussion**

#### **8.1.4.1. Analysis of the Raman spectra of Glycated Albumin**

The Raman spectrum of GA has been shown in Figure 35 (a). The peaks were assigned their corresponding characteristics using previously published literature and have been summarized in Table 13. The spectrum shows the presence of GA has been reported to have 67% alpha( $\alpha$ )-helix, which is clearly indicated in the Raman spectra shown in Figure 35 (a). Albumin is primarily  $\alpha$ -helical in structure. However, literature suggests that glycation leads to a partial conversion of this  $\alpha$ -helix structure to a  $\beta$ -sheet. This is confirmed by the Raman spectrum shown in Figure 35 (b). It shows peaks at 1221  $\text{cm}^{-1}$ , 1242  $\text{cm}^{-1}$  and 1313  $\text{cm}^{-1}$ , all of which are indicate  $\beta$ -sheet structure in proteins. Additionally, the peak at 900  $\text{cm}^{-1}$  confirm the presence of glucose / ribose, which is another indicator of glycation.

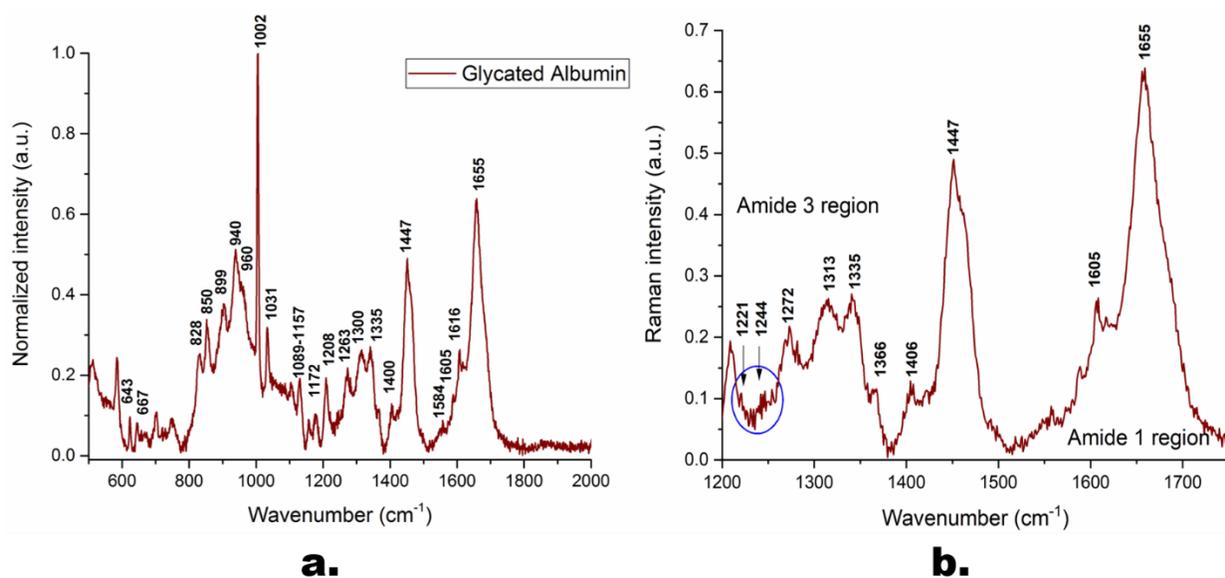


Figure 35. (a) Raman spectrum of Glycated Albumin. (b) Amide regions in the Raman spectrum of the protein. Frequencies in wavenumbers of major peaks have been indicated here.

Table 13. Wavenumber and the possible characteristics of major Raman peaks of Glycated Albumin [183]

Wavelength ( $\text{cm}^{-1}$ )	Possible characteristics
622	C-C twisting mode in phenylalanine
647	Tyrosine

700	C-S stretching in methionine
724	C-S stretching in proteins
746	Symmetric breathing of tryptophan
828	Ring breathing mode in tyrosine
850, 1605, 1616	C=C stretching in tyrosine
900	Glucose / Ribose
938	C-C stretching in protein backbone
1005, 1032, 1103, 1208, 1584, 1605	Phenylalanine
1130	C-N stretching in proteins
1157	C-C / C-N stretching in proteins
1172	C-H deformation, Tyrosine
1263, 1335, 1400	Amide 3 region
1318	Amide 3 region ( $\alpha$ -helix structure)
1447	CH <sub>2</sub> deformation in proteins
1557	Amide 2, Tryptophan, Tyrosine
1655	Amide 1 region ( $\alpha$ -helix structure)

#### 8.1.4.2. Analysis of the Raman spectra of CRP

Figure 36 (a) shows the Raman spectrum of CRP and Table 14 shows the modes assigned to each peak shown in the figure. Additionally Figure 36 (b) focuses on the amide regions of the Raman spectra so that greater information about the secondary structure of the protein can be obtained. CRP is a pentameric protein [184] with 187 amino acids in a single polypeptide chain. It has been found that there are 18 moles of serine followed by 17 moles of valine and 12 moles

each of leucine in 1 mole of CRP [185]. The peak at  $3062\text{ cm}^{-1}$  can be attributed to the stretching vibrations of hydroxyl and ammonium groups in serine [186]. Previous studies report that CRP has 50% beta-sheet, 12%  $\alpha$  - helix, 24% beta( $\beta$ )-turn, and 14% unordered structure [187]. The broad peak between  $1230\text{-}1277\text{ cm}^{-1}$  indicates the presence of the amide 3 band and also confirming the presence of  $\beta$ -sheet ( $1242\text{ cm}^{-1}$ ), unordered structure ( $1260\text{ cm}^{-1}$ ) and  $\alpha$ -helix structure ( $1267\text{ cm}^{-1}$  and  $1277\text{ cm}^{-1}$ ) [183]. The amide 1 region at  $1671\text{ cm}^{-1}$  indicate the presence of an antiparallel  $\beta$ -sheet structure.

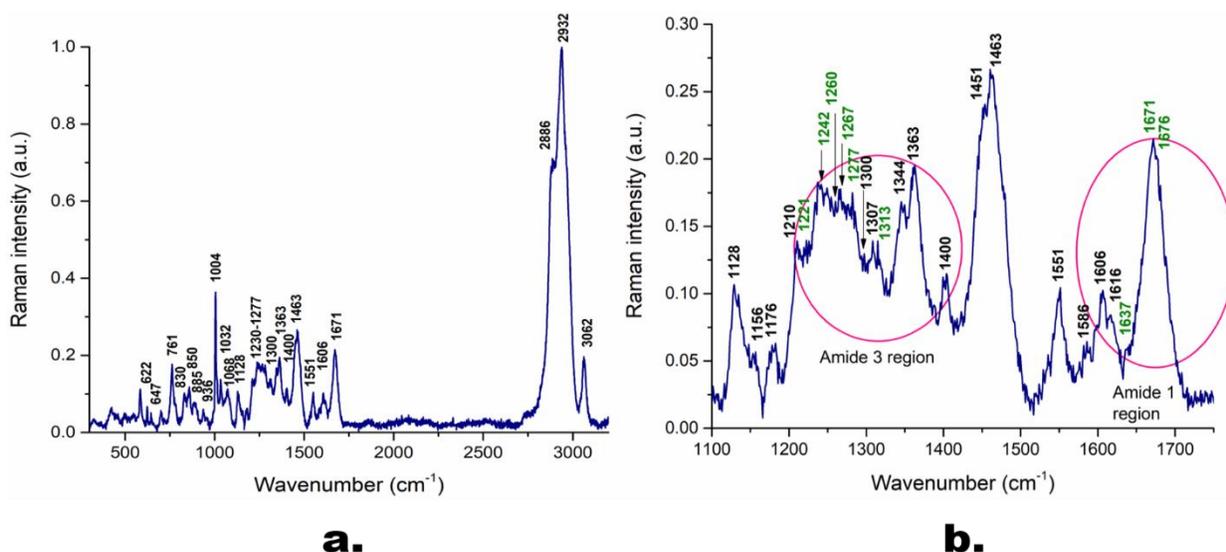


Figure 36. (a) Raman spectrum of C - Reactive Protein. (b) Amide 1 and 3 regions in the Raman spectrum of the protein. Frequencies in wavenumbers of major peaks have been indicated here.

Table 14. Wavenumber and the possible characteristics of major Raman peaks of CRP [183]

Wavenumber ( $\text{cm}^{-1}$ )	Possible characteristics
622	C-C twisting mode in Phenylalanine
647	Tyrosine
700	C-S stretching: Methionine
761	C-C stretching mode in amino acid backbone, Tryptophan

830, 850	C <sub>2</sub> =C <sub>3</sub> stretching: Tyrosine
885	Tryptophan
936	C-C stretching: protein backbone ( $\alpha$ -helix backbone, valine, proline)
1004, 1032	Phenylalanine
1068	Proline
1128	C-N stretching in proteins
1178	Tyrosine
1230-1277	Amide 3 region
1300	Amide 3, C-N stretching
1332	C <sub>a</sub> -H deformation, tryptophan
1363	C-H deformation in valine
1400	Amide 3 region
1463	C-H deformation
1551	Amide 2 region, indole ring vibration in tryptophan
1606	Phenylalanine
1671	Amide 1 region
2886	C-H stretching in valine, leucine, lysine
2932	C-H stretching in methionine
3062	Serine

#### 8.1.4.3. Analysis of the Raman spectra of Interleukin-6

IL-6 is composed of about 185 -212 amino acids, out of which leucine has the highest occurrence. As can be observed in Figure 37 and Table 15, the Raman spectrum of IL-6 has prominent peaks at 1083  $\text{cm}^{-1}$ , 1337  $\text{cm}^{-1}$  and 1395  $\text{cm}^{-1}$ , all of which can be attributed to the presence of leucine. Previous studies report that IL-6 has both  $\alpha$ -helix and  $\beta$ -sheet structure with a greater  $\alpha$ -helix content. This is clearly indicated in the medium sized peak at 981  $\text{cm}^{-1}$ , which is attributed to the presence of some  $\beta$ -sheet structure. A stronger peak at 1281  $\text{cm}^{-1}$  is indicative of the protein being primarily  $\alpha$ -helical in structure.

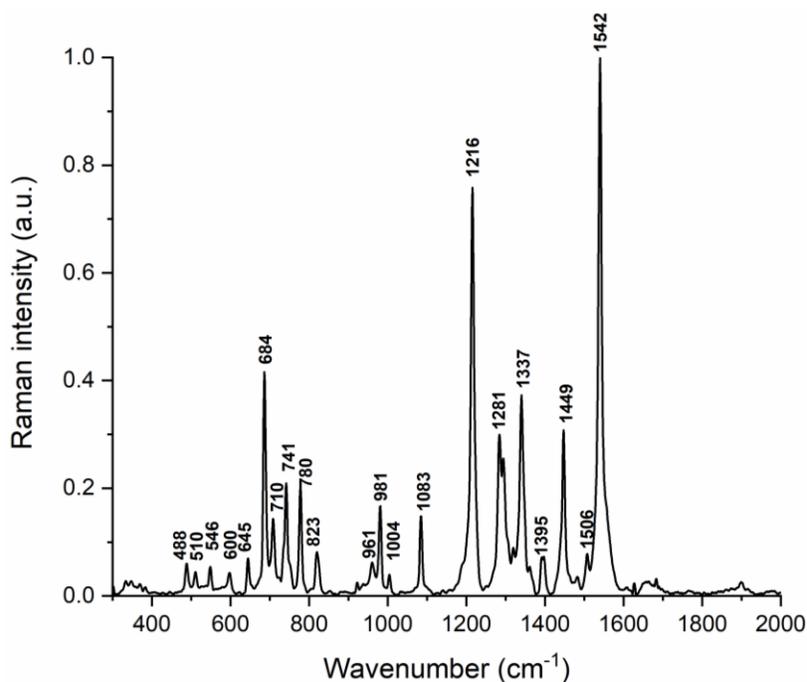


Figure 12. Raman spectrum of Interleukin-6. Frequencies in wavenumbers of major peaks have been indicated here.

Table 15. Wavenumber and the possible characteristics of major Raman peaks of IL-6 [183][188][189][190][191][192][193].

Wavenumber ( $\text{cm}^{-1}$ )	Possible characteristics
488	$\text{NH}_3^+$ ion torsion in threonine
510, 546	S-S vibration in cysteine

600	COO <sup>-</sup> wagging in glycine
645	C-C twisting in phenylalanine
684	C-S stretching in cysteine
710	CO <sub>2</sub> <sup>-</sup> wagging in isoleucine
741	Lysine
780	Glutamic acid
823	Tyrosine
961	Tryptophan
981	C-C stretching of $\beta$ -sheet proteins
1004	Ring deformation in phenylalanine
1083	C-N stretching in leucine
1216	C-N stretching in amino acids
1281	Amide 3 (alpha helix)
1337	Amide 3, CH <sub>2</sub> deformation in leucine
1395	Symmetric CH <sub>2</sub> deformation in leucine
1449	C-H vibration in amino acids
1506	N=H bending
1542	Amide 2

#### 8.1.4.4. Analysis of the Raman spectra of Procalcitonin

Figure 38 (a) represents the Raman spectrum of the biomarker protein Procalcitonin while Table 16 shows the assignments of the peaks derived from the Raman spectrum. The protein, which is the peptide precursor of calcitonin, has a molecular weight of about 13-14 kDa and is composed

of 116 amino acids approximately [180]. As per the Raman spectrum, strong peaks contributed by amino acids like leucine and glycine are observed at  $532\text{ cm}^{-1}$  and  $591\text{ cm}^{-1}$  respectively. The peak at  $482\text{ cm}^{-1}$  couldn't be identified. Figure 38 (b) focuses on the Amide 3 and Amide 1 regions of the protein. Peaks at  $1221\text{ cm}^{-1}$  and  $1245\text{ cm}^{-1}$  indicate the presence of  $\beta$ -sheet structure while peaks at  $1302\text{ cm}^{-1}$ ,  $1318\text{ cm}^{-1}$ ,  $1321\text{ cm}^{-1}$  and  $1645\text{ cm}^{-1}$  show the predominant presence of the  $\alpha$ -helical structure.

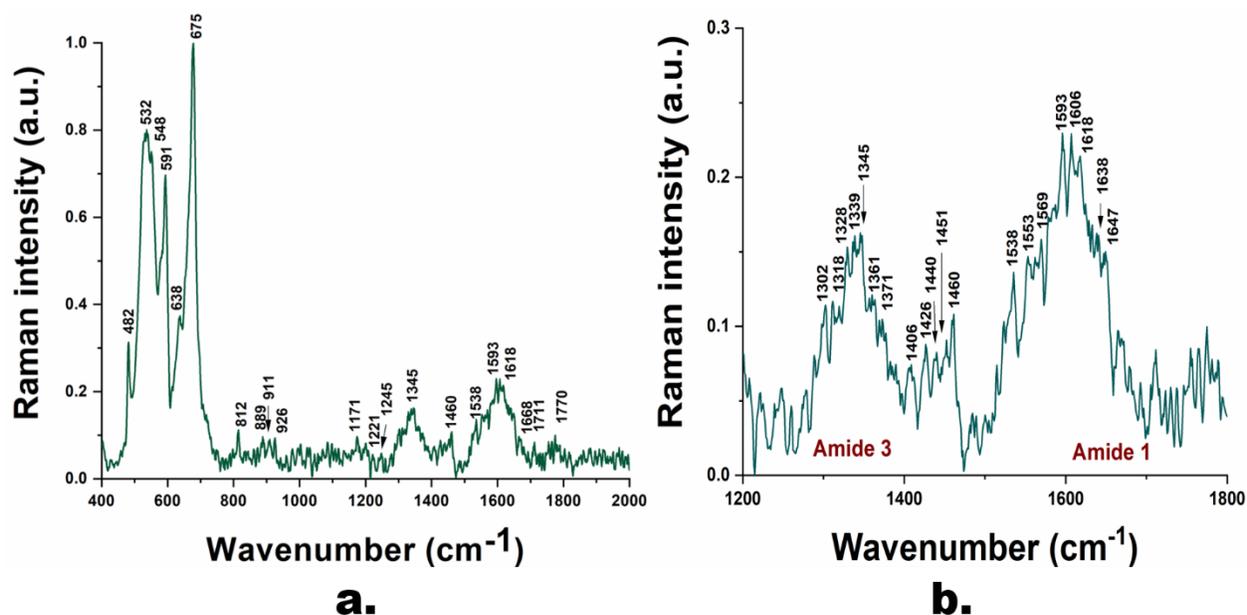


Figure 13. (a) Raman spectrum of Procalcitonin. (b) Amide regions in the Raman spectrum of the protein. Frequencies in wavenumbers of major peaks have been indicated here.

Table 16. Wavenumber and the possible characteristics of major Raman peaks in Procalcitonin [183][188].

Wavenumber ( $\text{cm}^{-1}$ )	Possible characteristics
532	$\text{CO}_2$ rocking in leucine
548	$\text{CO}_2$ rocking in valine
591	Glycine
638	C-S stretching in proline

675	Possibly C-S stretching in cysteine
812	Serine
889	Arginine
911	Glutamic acid
926	C-C stretching in alanine
1171	Proline
1221, 1245	Amide 3 ( $\beta$ -sheet structure)
1302, 1318, 1321	$\alpha$ -helix structure in proteins
1345	C-H deformation in leucine
1460	C $_{\beta}$ asymmetric rocking in alanine
1538	Amide carbonyl group vibrations
1593	C=C stretching in aromatic amino acids like phenylalanine and tyrosine
1618	Amide 1, C=C stretching in amino acids
1638	Amide 1 ( $\alpha$ -helix structure and $\beta$ -sheet structure)
1645	Amide 1 ( $\alpha$ -helix structure)
1668, 1711, 1770	C=O stretching in amino acids like glutamic acid and aspartic acid

#### 8.1.4.5. Analysis of SERS spectra of TNF- $\alpha$

TNF- $\alpha$  is a cell signaling protein, which has a molecular weight of about 17-22 kDa and is comprised of 145-185 amino acids. Literature suggests that TNF- $\alpha$  is primarily  $\beta$ -sheet in structure [194], which has been clearly indicated by the prominent Raman peaks at 980 cm<sup>-1</sup>,

1222  $\text{cm}^{-1}$  and 1243  $\text{cm}^{-1}$  in Figure 39. Table 17 summarizes the characteristics assigned to the major peaks marked in the Raman spectrum of the protein.

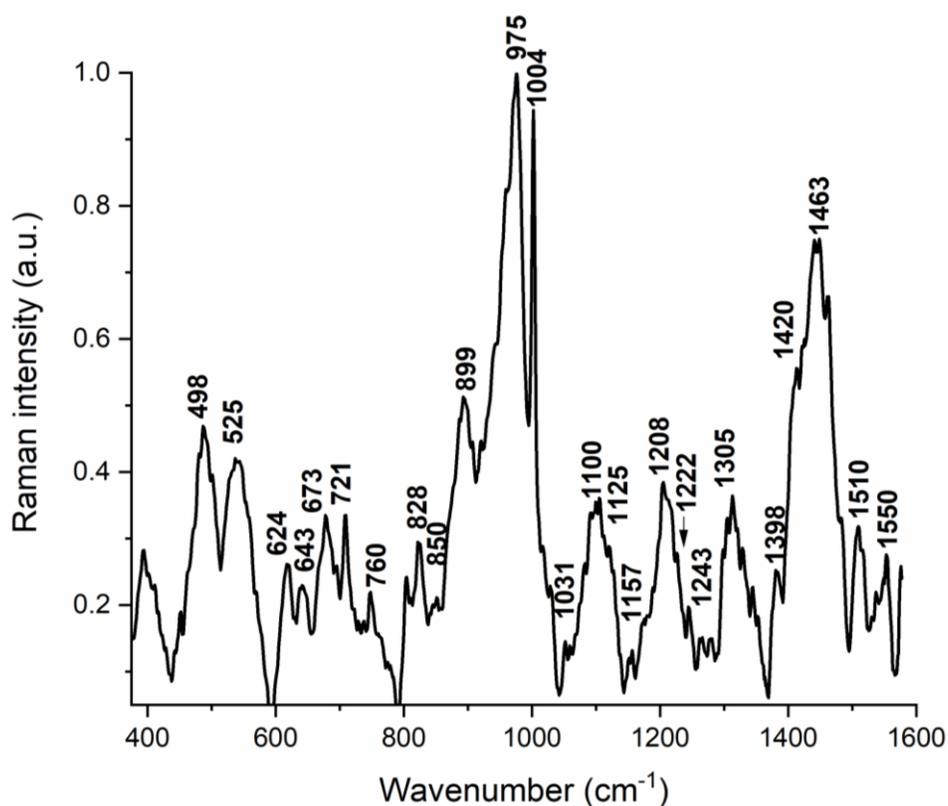


Figure 39. SERS spectrum of TNF- $\alpha$ . Frequencies in wavenumbers of major peaks have been indicated here.

Table 17. Wavenumber and the possible characteristics of major SERS peaks in TNF- $\alpha$  [183][188][195].

Wavenumber ( $\text{cm}^{-1}$ )	Possible characteristics
498, 525	S-S vibration in cysteine
624	C-C torsion in phenylalanine
643	Tyrosine
673	C-S stretching in cysteine
721	C-S vibration in tryptophan
828, 850	Tyrosine

899	C-C vibration
943	CCN vibration, C-C vibration
980	$\beta$ -sheet structure in proteins
1004	Phenylalanine
1100, 1125, 1157	C-N vibration
1208	Tyrosine + Phenylalanine, Amide 3 region
1222, 1243	Amide 3 ( $\beta$ -sheet structure)
1305	Amide 3 region, lysine
1398	C=O symmetric stretching , CH <sub>2</sub> deformation in amino acids
1412	C band rocking in lysine
1463	Amide 2, asymmetric bending of CH <sub>3</sub> in leucine
1510	NH <sub>3</sub> symmetric bending in glycine
1550	Amide 2 region

## 8.2. Raman / SERS of other biomolecules

### 8.2.1. Background

DSS peptide is a cell penetrating peptide, which is composed of aspartic acid and serine [174]. As mentioned in one of the earlier chapters, it has the ability to drag cargo inside the cell towards the nucleus. It has been employed by our research group to study the response of our aptasensors in intracellular diagnostics.

Furin is a calcium dependent serine protease [196]. It is responsible for proteolytically activating several proprotein substrates and pathogenic agents [197] and also plays a crucial role in cancer metastasis [198] and embryogenesis [197]. Several studies show that furin, a proprotein

convertase (PC), can process various proproteins like the HIV-1 glycoprotein gp160 [199], the insulin receptor [200], the BMP-4 [201][202] etc. Furin has also been demonstrated to be useful biomarkers for cardiovascular risk stratification assessment in type II diabetes mellitus patients [203], autoimmune diseases [204]r as well as in neurodegenerative disorders such as Alzheimer's disease [205] etc. Hence, an analysis of the Raman spectra of furin can be useful in identifying its presence.

PepO is a neutral endopeptidase, which has a molecular weight of 70 kDa approximately. It plays a critical role in bacterial infections. It has been found to be a plasminogen and fibronectin binding protein [206]. Because of its role in host cell invasion during bacterial infections, its structure and composition can contribution to a better understanding of the process. Hence, studying the Raman spectra of PepO can be useful for the clinicians and researchers.

Human serum is an important component of blood and it doesn't contain any red blood cells, white blood cells and clotting factors. It is rich in proteins and therefore it is extensively used in infectious disease detection. Recent studies also prove its potential application in cancer diagnosis [207]. Therefore, a Raman / SERS signature of the healthy serum can be useful in differentiating between diseased serum and the healthy counterpart.

### **8.2.2. Methods**

Raman measurements of DSS peptide, furin, and human serum were performed with Renishaw inVia Reflex Raman spectrometer using 633 nm HeNe laser excitation and 17.5 mW laser power. SERS studies of human serum and PepO were carried out on the silver nanorod (AgNR) based SERS substrate. 5  $\mu$ l of the sample to be tested is deposited on one of the wells. It is allowed to stand overnight so that it gets adsorbed on the surface of the well.

### 8.2.3. Results and discussion

#### 8.2.3.1. Analysis of Raman spectra of DSS peptide

The Raman spectrum and the possible peak assignments of the DSS peptide from 300  $\text{cm}^{-1}$  to 3100  $\text{cm}^{-1}$  have been shown in Figure 40 and Table 18, respectively. Three peaks (410  $\text{cm}^{-1}$ , 433  $\text{cm}^{-1}$  and 600  $\text{cm}^{-1}$ ) remained unidentified and hence doesn't have any possible characteristics. The peak at 1232  $\text{cm}^{-1}$  corresponds to the Amide 3 region of the peptide while the peak at 1564  $\text{cm}^{-1}$  falls in the Amide 2 region of the peptide. Additionally, the peak at 1564  $\text{cm}^{-1}$  might also be contributed by  $\text{COO}^-$  stretching in aspartic acid. The peak at 1671  $\text{cm}^{-1}$ , which corresponds to the Amide 1 region of the peptide, also indicates the presence of antiparallel beta sheet structure. Previous studies have shown that the beta sheet structure is important for cellular uptake. Therefore, the structural information from the Raman spectrum provides insight into the cell penetrating characteristic of the peptide.

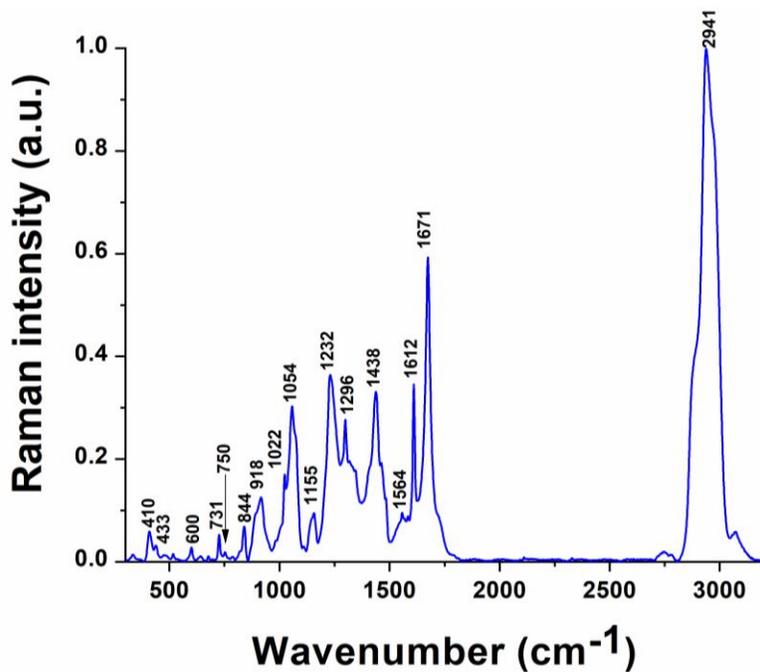


Figure 40. Raman spectrum of DSS peptide. Frequencies in wavenumbers of major characteristic peaks have been indicated here.

Table 18. Wavenumber and the possible characteristics of major peaks indicated in the Raman spectra of DSS peptide [208][209][188][186][210][183][211][212]

Wavenumber (cm <sup>-1</sup> )	Characteristics
731	COO <sup>-</sup> bending in aspartic acid
838	C-C and C-N stretching in aspartic acid
918	C <sub>α</sub> -C <sub>β</sub> stretching in serine
1022	Possible β - sheet structure assignment
1054	C <sub>α</sub> -C stretching / CH <sub>2</sub> rocking (out of plane) in serine
1155	CH <sub>2</sub> twisting in serine
1232	Amide 3 region (C-N stretching and N-H bending)
1296	C-H bending in serine
1564	Amide 2 region/ COO <sup>-</sup> stretching in aspartic acid/lysine
1614	NtH <sub>3</sub> <sup>+</sup> asymmetric bending in lysine
1671	Amide 1 region (antiparallel beta sheet structure)
2941	C-H stretching in lysine

### 8.2.3.2. Analysis of Raman spectra of furin

Figure 41 (a) represents the Raman spectrum of furin from 300 cm<sup>-1</sup> to 3200 cm<sup>-1</sup>. Table 19 summarizes the Raman peak assignments for the spectra obtained for furin. The doublet 1232 cm<sup>-1</sup> and 1239 cm<sup>-1</sup> shown in Figure 41(b) can be attributed to the amide 3 region of proteins, which demonstrate β - turn or β - sheet like secondary structure [213]. On the other hand, the peak at 1265 cm<sup>-1</sup>, which also likely falls in the amide 3 region of proteins, indicate that furin also exhibits an alpha helical structure [183]. Previous studies conducted by Henrich et. al. show

that the three dimensional structure of mouse furin contains both helices and  $\beta$  - strands [214]. Weak peaks at around  $806\text{ cm}^{-1}$  and  $984\text{ cm}^{-1}$  have previously been observed in the amino acids tryptophan and methionine, respectively, but they could not be assigned to any specific functional group [188]. The W7 tryptophan doublet has been usually observed at  $1340\text{ cm}^{-1}$  and  $1360\text{ cm}^{-1}$  [215][216]. However, in this case, the doublet has been shifted to  $1352\text{ cm}^{-1}$  and  $1366\text{ cm}^{-1}$ . This band arises due to Fermi resonance between an  $\text{N}_1=\text{C}_8$  stretching like in-plane vibrations and a combination of out-of-plane vibrations [217]. Strong Raman peaks were observed in the region  $2900\text{ cm}^{-1}$  to  $3000\text{ cm}^{-1}$ . These peaks correspond to the C-H stretching region, which could possibly arise from the amino acid serine ( $2908\text{ cm}^{-1}$ ) and also from Lysine/ Isoleucine/ Cysteine ( $2943\text{ cm}^{-1}$ ) [212].

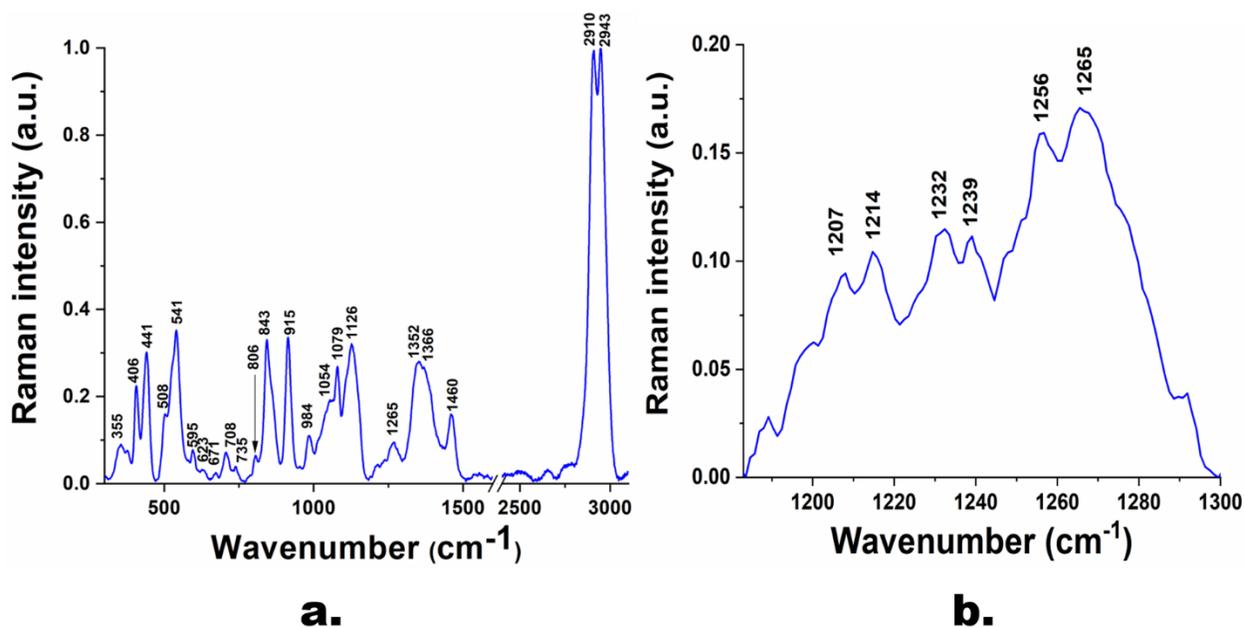


Figure 41. Raman spectrum of furin in the (a)  $300\text{ cm}^{-1}$  to  $3000\text{ cm}^{-1}$  range and (b)  $1100\text{ cm}^{-1}$  to  $1300\text{ cm}^{-1}$  range, respectively.

Table 19. Wavenumber and the possible characteristics of the peaks indicated in the Raman spectrum of furin  
 [213][183][188][212][218][219][220][221][222][223][224][225][226]

Wavenumber (cm <sup>-1</sup> )	Possible peak assignments
355	C-C-N bending in glycine
406	N-C-C deformation in alanine
441	N-C-S stretching in amino acids
508	C-OH torsion of methoxy group
541	S-S stretching in amino acid cysteine
595	C=O out of plane bending in glutamic acid
623, 671	Ring deformation + C-C stretching mode in histidine
703	CO <sub>2</sub> wagging in threonine
735	C-S stretching (thiocyanate peak with 441 cm <sup>-1</sup> )
843	Skeletal stretching vibration in proline
915	N <sub>t</sub> -C <sub>α</sub> in histidine
1054	C-O & C-N stretching in proteins
1079	C-C vibrations in lysine
1126	C-N stretching vibrations in proteins
1207	NH <sub>2</sub> twisting mode in lysine
1214	C-N stretching ; C-O stretching & C-C <sub>6</sub> H <sub>5</sub> stretching in tyrosine
1232, 1239	Amide 3 (β - turn/ β - sheet structure)
1256	Amide 3; Twisting of CH <sub>2</sub> in glutamic acid
1265	Amide 3 (α - helix structure)
1352, 1360	Tryptophan W7 doublet

1462	C-H deformation in serine
2908	C-H stretching in serine
2943	C-H stretching (Lysine, Isoleucine, Cysteine)

### 8.2.3.3. Analysis of Raman spectra of PepO

Figure 42 represents the Raman spectrum of PepO with the major peaks marked while Table 20 reports the possible assignments corresponding to the peaks. As can be observed, there are two peaks ( $1266\text{ cm}^{-1}$  and  $1316\text{ cm}^{-1}$ ), both of which indicate the presence of  $\alpha$ -helix structure [183]. Additionally, presence of amino acids such as histidine, tyrosine, leucine etc. have been observed at  $675\text{ cm}^{-1}$ ,  $821\text{ cm}^{-1}$  and  $849\text{ cm}^{-1}$  respectively.

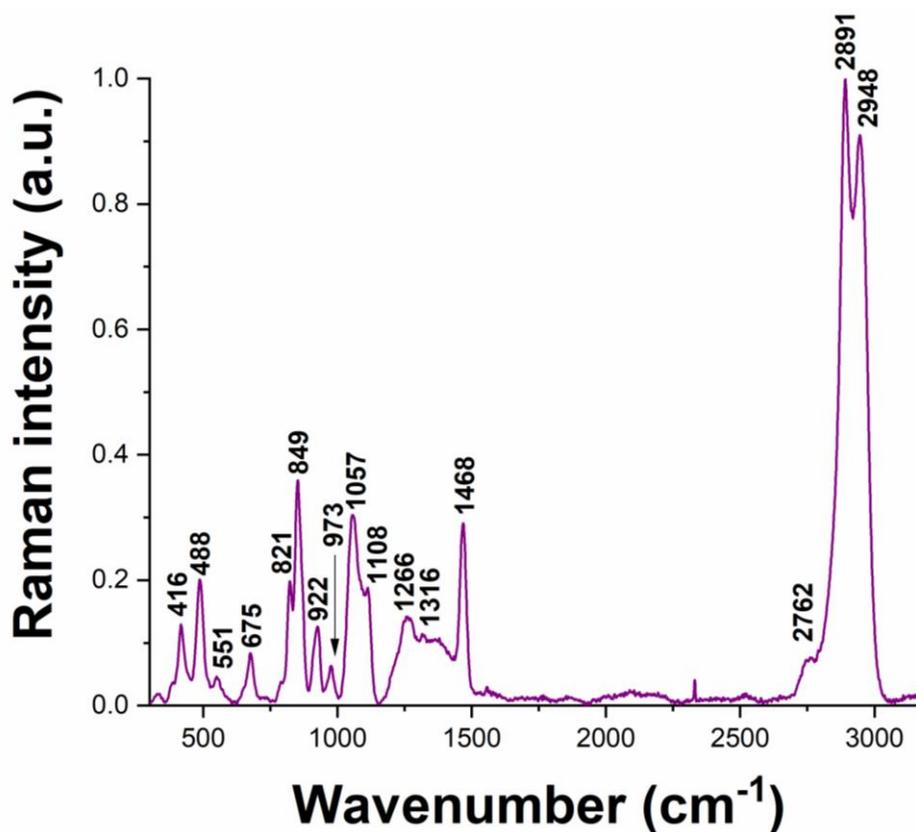


Figure 42. Raman spectrum of PepO. Frequencies in wavenumbers of major peaks have been marked.

Table 20. Wavenumber and the possible characteristics of the Raman spectra of PepO [183][188].

Wavenumber (cm <sup>-1</sup> )	Possible peak assignments
416, 488	These two medium peaks could be due to glycerol, which could be residues from their isolation process or Threonine
675	C-C stretching mode in histidine
821	Out of plane ring breathing mode in tyrosine
849	Single bond stretching vibrations in amino acids; CH <sub>3</sub> rocking in leucine
922	C-C stretch of proline ring
973	CH <sub>3</sub> rocking and CCH bending in proteins
1057	C-O and C-N stretching in proteins
1108	Phenylalanine
1266, 1316	Amide 3 (alpha helix structure)
1468	C=N stretching
2891	CH <sub>2</sub> symmetric stretch in proteins
2948	C-H stretching in Isoleucine

#### 8.2.3.4. Analysis of SERS vs. Raman spectrum of human serum

Figure 43 provides a comparison between the Raman and the SERS spectrum of human serum while Table 21 compares the Raman and the SERS peak assignments. Based on the observation in Figure 43, it is quite clear that the SERS spectrum provides more distinctive peaks for complex samples like human serum. Also, as can be seen in Table 21, there have been

considerable shifts between the Raman and the SERS peaks. Since human serum is rich in proteins, presence of several amino acids have been noted at 496  $\text{cm}^{-1}$ , 514  $\text{cm}^{-1}$ , 524  $\text{cm}^{-1}$ , 574  $\text{cm}^{-1}$ , 624  $\text{cm}^{-1}$ , 856  $\text{cm}^{-1}$  etc. Additionally, presence of DNA / RNA molecules have been observed at 785  $\text{cm}^{-1}$ , 811  $\text{cm}^{-1}$  etc.

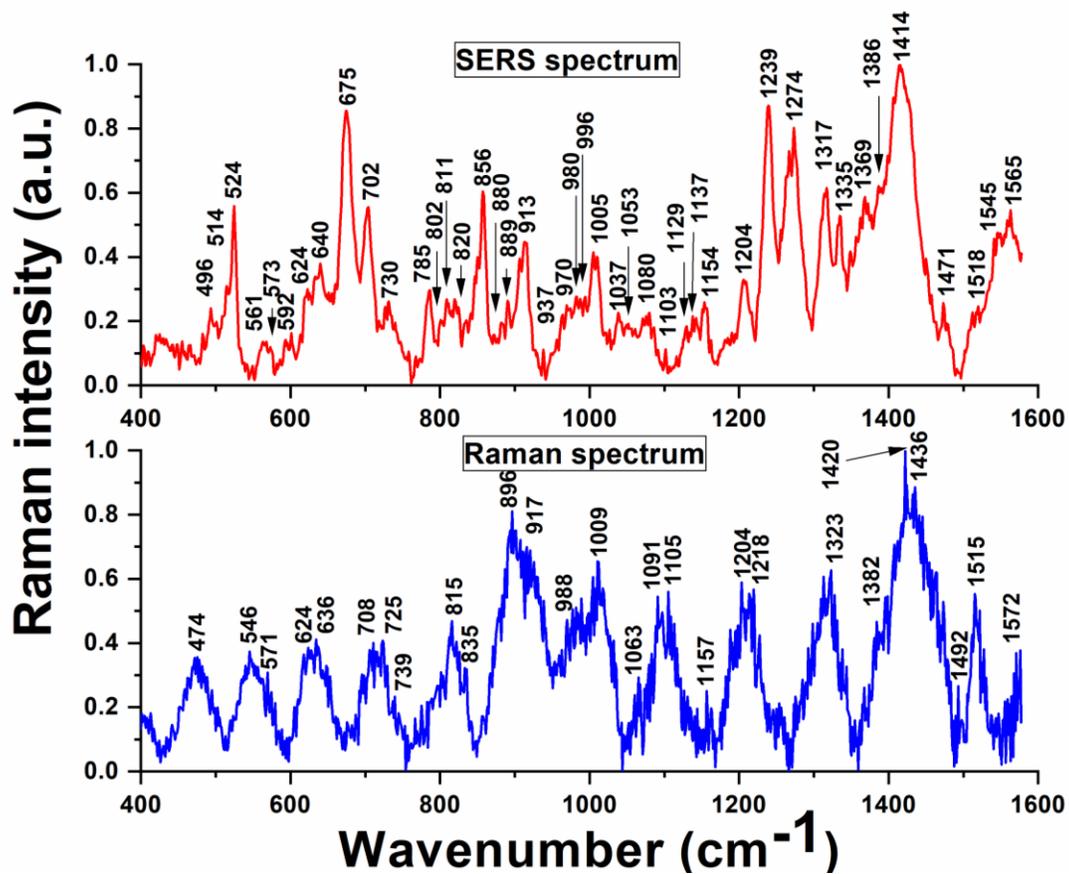


Figure 14. SERS vs. Raman spectrum of human serum. Wavenumbers of major peaks have been marked.

Table 21. Raman vs. SERS peaks in wavenumbers and the possible characteristics of human serum [183][188].

SERS peak ( $\text{cm}^{-1}$ )	Raman peak ( $\text{cm}^{-1}$ )	Possible peak assignments
496, 514	474	S-S vibration: Cysteine

524	-	S-S vibration: Cysteine, S-S disulfide stretching in proteins
561	546	C-H bending out of plane
573	571	Tryptophan, guanine
590	-	Glycerol
624	624	C-C twisting mode in Phenylalanine
640	636	C-S stretching and C-C twisting in tyrosine
675	708	C-S stretching in cysteine
702	725	C-S stretching: Methionine
732	739	Phosphatidylserine
785	-	Ring breathing modes of DNA/RNA
802	-	Ring breathing mode in uracil
811	-	O-P-O stretching mode: RNA
820	-	C-C stretching: proline and hydroxyproline
828	-	Tyrosine
856	-	Amino acid side chain vibrations: proline and hydroxyproline
880	-	Ring deformation mode, Tryptophan
889	896	Methylene (CH <sub>2</sub> ) rocking
913	917	Glucose
936	-	C-C stretching: protein backbone ( $\alpha$ -helix backbone, valine, proline)
970	-	Phosphate monoester groups in phosphorylated

		proteins
980	988	C-C stretching in $\beta$ -sheet proteins, =CH bending in lipids
996	-	Glycogen
1005, 1037	1009	Phenylalanine
1053	1063	C-O stretching, C-N stretching in proteins
1080	1091	Phospholipids, Phosphate vibrations in nucleic acids
1103, 1129, 1137, 1154	1105,1157	C-N stretching
1204	1204	Amide 3 region, Vibrations of CH <sub>2</sub> wagging from glycine backbone and side chains of proline
1239, 1274	1218	Amide 3 region
1317	1323	Ring breathing modes of DNA/RNA, C-H deformation in proteins
1335	-	CH <sub>3</sub> CH <sub>2</sub> deformation in DNA, Tryptophan
1369	1382	Guanine, lipids, tryptophan
1386	-	CH <sub>3</sub> band
1414	1420	C=C stretching
1471	1492	C=N stretching
1518	1515	C=C stretching in carotenoids
1545	-	C <sub>6</sub> -H deformation mode
1565	1572	COO <sup>-</sup> stretching

#### **8.2.4. Conclusion**

The Raman / SERS spectra of several biomarkers relevant for the detection of sepsis have been studied in this work and their major vibrational modes were identified. Signature spectra of other complex biomolecules such as furin, PepO, DSS and human serum were also presented here. These spectral findings will benefit clinicians and researchers with disease diagnostics and investigation.

## 9. Conclusion and Future Work

The work reported here presents the findings on two broad categories of research: Optical aptasensors and Raman spectroscopy. The optical aptasensors were found to successfully detect biomarker proteins like GA, TNF- $\alpha$  and CRP as well as metal ions like Ca<sup>2+</sup>. These aptasensors were also determined to be functional in an intracellular environment in the presence of a cell penetrating peptide called DSS. Therefore, such aptasensors can be potentially incorporated in point of care setups for clinical applications. Future work to study the selectivity of these sensors in different cellular environments can provide more insight into their specificity towards the target analyte.

The graphene oxide based aptasensor was designed to detect CRP. This study opens up an avenue in the field of theranostics because GO can be easily functionalized with therapeutic agents. However, in this reported study, the sensor is able to detect CRP within a small range of concentration. Observations also indicated a difference in the quenching efficiencies for the PBS based samples and the human serum based samples. Hence, future work should involve studying the interaction of GO with human serum and its contents. Also, studies might be conducted to modify the design of the sensor in order to achieve a wider detection concentration range.

The Raman / SERS studies focuses on studying on the composition and secondary structure of various biomolecules. The SERS substrate proved to be a useful tool for studying complex biomolecules such as human serum. Therefore, it can be used in future works for investigating more such molecules. The previously unknown spectrum of furin and PepO, respectively, can be potentially used in understanding pathogenic infection based diseases.

Additionally, the SERS spectrum of human serum can be used as a reference spectra to compare with diseased serums.

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## **Appendices**

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S. Ghosh, D. Datta, M. Cheema, M. Dutta, and M. A. Stroschio, “Aptasensor based optical detection of glycated albumin for diabetes mellitus diagnosis,” *Nanotechnology*, vol. 28, no. 43, 2017.

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S. Ghosh, D. Datta, S. Chaudhry, M. Dutta, and M. A. Stroschio, “Rapid detection of Tumor Necrosis Factor-alpha using quantum dot based optical aptasensor,” *IEEE Trans. Nanobioscience*, vol. 17, no. 4, pp. 417–423, 2018.

**Chapter 4**. Pages 42-53 have been reproduced from my own article presented in the 2019 IEEE EMBS International Conference on Biomedical & Health Informatics (BHI), May 19-22, 2019, University of Illinois at Chicago, Chicago, IL.

S. Ghosh, A. Metlushko, S. Chaudhry, M. Dutta, and M. Stroschio, “Detection of C-Reactive Protein using network – deployable DNA aptamer based optical nanosensor,” in *IEEE-EMBS International Conference on Biomedical and Health Informatics*, Chicago, IL, USA, May 19-22, 2019.

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S. Ghosh, Y. Chen, J. Sebastian, A. George, M. Dutta, and M. A. Stroschio, “A study on the response of FRET based DNA aptasensors in intracellular environment,” *Sci. Rep.*, 2019.

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## Appendix A (continued)

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Title	Quantum Dot Based Aptasensors for the Detection of Biomolecules with Related Raman/SERS Spectral Analysis	Institution name	University of Illinois at Chicago
		Expected presentation date	2019-11-08
Instructor name	Shreya Ghosh		

### ADDITIONAL DETAILS

Order reference number	N/A	The requesting person / organization to appear on the license	Shreya Ghosh
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## REUSE CONTENT DETAILS

<b>Title, description or numeric reference of the portion(s)</b>	Aptasensor based optical detection of glycated albumin for diabetes mellitus diagnosis	<b>Title of the article/chapter the portion is from</b>	Aptasensor based optical detection of glycated albumin for diabetes mellitus diagnosis
<b>Editor of portion(s)</b>	N/A	<b>Author of portion(s)</b>	Shreya Ghosh, Debopam Datta, Mehar Cheema, Mitra Dutta and Michael A Stroschio
<b>Volume of serial or monograph</b>	28	<b>Issue, if republishing an article from a serial</b>	N/A
<b>Page or page range of portion</b>	435505	<b>Publication date of portion</b>	2017-10-04

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