# The Integral Hodge Conjecture and 

## Universality of the Abel-Jacobi Maps

by<br>Fumiaki Suzuki<br>B.S., University ot Tokyo, 2013<br>M.S., University of Tokyo, 2015

THESIS

Submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in Mathematics in the Graduate College of the University of Illinois at Chicago, 2020

Chicago, Illinois

Defense Committee:
Lawrence Ein, Chair and Advisor
Izzet Coşkun
Eric Riedl, University of Notre Dame
Kevin Tucker
Wenliang Zhang

Copyright by
Fumiaki Suzuki
2020

## ACKNOWLEDGMENT

First and foremost, I wish to thank my advisor Lawrence Ein for his guidance, constant support, and warm encouragement throughout the years. I am greatly indebted to him for his valuable advice and help on my thesis work. His vast knowledge of algebraic geometry has broadened my perspective on the subject.

I wish to thank Henri Gillet for useful discussions. I learnt the philosophy behind algebraic K-theory and algebraic cycles from him. The paper [45] could not have been written up without his help.

I am grateful to John Christian Ottem for fruitful discussions which led to the joint work [35]. The ideas that I learnt from him through the collaboration have had a substantial impact on my research.

I would like to thank Izzet Coşkun, Eric Riedl, Kevin Tucker, and Wenliang Zhang for kindly agreeing to joint my thesis committee.

I would also like to thank my friends at UIC math, including but not limited to: Ben Gould, Luke Jaskowiak, Sayan Mukherjee, Shravan Patankar, See-Hak Seong, Shijie Shang, and Gregory Taylor.

Finally, I thank my wife Chizu as well as my parents, grandmothers, and other relatives for being supportive of my career.

## CONTRIBUTION OF AUTHORS

Theorem 1.1.1 and Corollary 1.2.5, which are stated in Chapter 1 and elaborated in Chapter 2 and Chapter 3, are the main results of the paper [35] co-authored with John Christian Ottem. It is hard to further specify contribution of each author because the results were obtained through our frequent exchanges of ideas. I would like to thank John Christian Ottem for allowing me to include the content of our joint work in this thesis.

## TABLE OF CONTENTS

## CHAPTER

## PAGE

1 INTRODUCTION ..... 1
1.1 A pencil of Enriques surfaces with non-algebraic integral classes ..... 2
1.2 The Abel-Jacobi map is not always universal ..... 5
2 A PENCIL OF ENRIQUES SURFACES WITH NON-ALGEBRAIC INTEGRAL HODGE CLASSES ..... 10
2.1 Geometry of pencils of Enriques surfaces ..... 10
2.2 Failure of the integral Hodge conjecture for pencils of Enriques surfaces ..... 18
3 THE ABEL-JACOBI MAP IS NOT ALWAYS UNIVERSAL ..... 24
3.1 Regular homomorphisms on the torsion subgroup $A^{p}(V)_{\text {tors }}$ ..... 24
3.2 Non-algebraic integral Hodge classes of non-torsion type and non-zero torsion cycles in the Abel-Jacobi kernel ..... 29
APPENDIX ..... 34
CITED LITERATURE ..... 57
VITA ..... 62

## SUMMARY

The rational Hodge conjecture states that rational Hodge classes are algebraic. This longstanding heavily studied conjecture has remained widely open since it was proposed in the nineteen fifties. In contrast, the integral Hodge conjecture is known to fail in general. To better understand the rational Hodge conjecture, it is important to ask how the integral Hodge conjecture can fail.

In this thesis, we prove that there exists a pencil of Enriques surfaces defined over $\mathbb{Q}$ with non-algebraic integral Hodge classes of non-torsion type. This gives the first example of a threefold with trivial Chow group of zero-cycles on which the integral Hodge conjecture fails. As an application, we construct a fourfold which gives the negative answer to a classical question posed by Murre on the universality of the Abel-Jacobi maps in codimension three.

This thesis is based on the papers [35] and [45], the first of which is joint with John Christian Ottem.

## CHAPTER 1

## INTRODUCTION

A smooth complex projective variety $X$ is both algebraic and complex analytic: in addition to being an algebraic variety, it is a Kähler manifold. This fact allows us to define two natural filtrations on the Betti cohomology group $H^{i}(X, A)$ with coefficient $A=\mathbb{Q}$ or $\mathbb{Z}$ : one is the coniveau filtration

$$
N^{r} H^{i}(X, A)=\operatorname{Ker}\left(H^{i}(X, A) \rightarrow \lim _{Z \subset X} H^{i}(X-Z, A)\right),
$$

where $Z \subset X$ runs through all codimension $\geq r$ closed algebraic subsets of $X$; the other is the Hodge filtration

$$
F^{r} H^{i}(X, A)=H^{i}(X, A) \cap\left(H^{i, 0}(X) \oplus \cdots \oplus H^{r, i-r}(X)\right)
$$

where

$$
H^{i}(X, \mathbb{C})=\bigoplus_{i=j+k} H^{j, k}(X)
$$

is the Hodge decomposition. In the special case of $r=p$ and $i=2 p$, these respectively amount to the classes of algebraic subvarieties

$$
H_{\mathrm{alg}}^{2 p}(X, A)
$$

and the Hodge classes

$$
H d g^{2 p}(X, A)=H^{2 p}(X, A) \cap H^{p, p}(X) .
$$

The rational Hodge conjecture states that rational Hodge classes are algebraic, or equivalently, we have

$$
H_{\mathrm{alg}}^{2 p}(X, \mathbb{Q})=H d g^{2 p}(X, \mathbb{Q}) .
$$

While a remarkable piece of evidence was given by Cattanni-Deligne-Kaplan [12], who proved that Hodge loci are algebraic, this long-standing heavily studied conjecture has remained widely open since it was proposed in the nineteen fifties. Another version of the rational Hodge conjecture formulated by Grothendieck [23] in the nineteen sixties, which states that the coniveau filtration $N^{r} H^{i}(X, \mathbb{Q})$ is the largest sub Hodge structure of the Hodge filtration $F^{r} H^{i}(X, \mathbb{Q})$, again seems far from being resolved.

In contrast, certain integral analogues of the rational Hodge conjecture are known to fail in general. The main purpose of this thesis is to study how such analogues can fail and to provide new counterexamples.

### 1.1 A pencil of Enriques surfaces with non-algebraic integral classes

For a smooth complex projective variety $X$, we denote by $C H^{p}(X)$ the Chow group of codimension $p$ cycles and by $H^{2 p}(X, \mathbb{Z})$ the Betti cohomology group of degree $2 p$. The image $H_{\text {alg }}^{2 p}(X, \mathbb{Z}) \subseteq H^{2 p}(X, \mathbb{Z})$ of the cycle class map cl ${ }^{p}: C H^{p}(X) \rightarrow H^{2 p}(X, \mathbb{Z})$ is contained in the group $H_{d g^{2 p}}(X, \mathbb{Z}) \subseteq H^{2 p}(X, \mathbb{Z})$ of integral Hodge classes. The integral Hodge conjecture is the statement that these two subgroups of $H^{2 p}(X, \mathbb{Z})$ coincide. While this statement holds for
$p=0,1$ and $\operatorname{dim} X$, it is known that it can fail in general. The first counterexample was given by Atiyah-Hirzebruch [1], who constructed a projective manifold admitting a non-algebraic degree four torsion class. Later, a different type of counterexample was constructed by Kollár [2, p. 134, Lemma], who proved that for certain high degree hypersurfaces $X \subset \mathbb{P}^{4}$, the generator of $H^{4}(X, \mathbb{Z})=\mathbb{Z}$ is not algebraic. This means that the natural inclusion

$$
H_{\text {alg }}^{4}(X, \mathbb{Z}) / \text { tors } \subset H d g^{4}(X, \mathbb{Z}) / \text { tors }
$$

can be strict. Since then, many other examples of non-algebraic integral Hodge classes have been found, both of torsion type [43;5] and of non-torsion type [14; 46; 17].

In Chapter 2, we study Enriques surface fibrations over curves and show that they can admit non-algebraic integral Hodge classes of non-torsion type.

Theorem 1.1.1 (with J. C. Ottem). There exists a pencil of Enriques surfaces defined over $\mathbb{Q}$ such that the cohomology groups $H^{i}(X, \mathbb{Z})$ are torsion-free for all $i$ and the inclusion

$$
H_{\text {alg }}^{4}(X, \mathbb{Z}) \subsetneq H d g^{4}(X, \mathbb{Z})
$$

is strict.

One can compare Theorem 1.1.1 with the result of Benoist-Ottem [5], which showed that the integral Hodge conjecture can fail on products $S \times C$ for an Enriques surface $S$ and curve $C$ of genus at least one. In those examples, the non-algebraic classes in question are 2 -torsion, but the integral Hodge classes are algebraic modulo torsion classes by the Künneth formula.

Theorem 1.1.1 also relates to certain questions concerning rational points of algebraic varieties. In a letter to Grothendieck, Serre asked whether a projective variety over the function field of a curve always has a rational point if it is $\mathcal{O}$-acyclic, that is, $H^{i}\left(Y, \mathcal{O}_{Y}\right)=0$ for all $i>0$. This question was answered negatively by Grabber-Harris-Mazur-Starr [19], who constructed an Enriques surface without rational points over the function field of a complex curve. Later, more explicit constructions of such Enriques surfaces were given by Lafon [26] and Starr [44].

According to [44], Esnault expected that the Enriques surfaces of [19] and [26] would satisfy a stronger property that every closed point has even degree over the base field. If that were the case, it would give a pencil of Enriques surfaces with non-algebraic integral Hodge classes of non-torsion type (this follows from [14, Theorem 7.6]). In fact, this observation was the starting point of the joint work with J. C. Ottem.

Another feature of our example is that it has a trivial Chow group of zero-cycles. Indeed, Bloch-Kas-Lieberman [9] proved that $C H_{0}(S)=\mathbb{Z}$ for any Enriques surface $S$, and from this one deduces that the same holds for any pencil of Enriques surfaces (see Lemma 2.1.4). To our knowledge, this is the first example of a threefold with trivial Chow group of zero-cycles on which the integral Hodge conjecture fails (see [14, Subsection 5.7] for a threefold constructed by Colliot-Thélène and Voisin which conjecturally satisfies this condition). We emphasize that it is not a priori obvious that such a threefold should exist. For instance, typical examples with trivial Chow groups of zero-cycles are given by rationally connected varieties while the integral Hodge conjecture holds on rationally connected threefolds by a result of Voisin [48].

### 1.2 The Abel-Jacobi map is not always universal

Let $V$ be a smooth complex projective variety. We denote by $A^{p}(V) \subset C H^{p}(V)$ the subgroup of cycles algebraically equivalent to zero. We recall that a homomorphism $\phi: A^{p}(V) \rightarrow A$ to an abelian variety $A$ is called regular if for any smooth connected projective variety $S$ with a base point $s_{0}$ and for any codimension $p$ cycle $\Gamma$ on $S \times V$, the composition

$$
S \rightarrow A^{p}(V) \rightarrow A, s \mapsto \phi\left(\Gamma_{*}\left(s-s_{0}\right)\right)
$$

is a morphism of algebraic varieties (this definition goes back to the work of Samuel [40]). An important example of such homomorphisms is the following. We consider the Abel-Jacobi map

$$
A J^{p}: C H^{p}(V)_{\mathrm{hom}} \rightarrow J^{p}(V),
$$

where $C H^{p}(V)_{\text {hom }} \subset C H^{p}(V)$ is the subgroup of cycle classes homologous to zero, and

$$
J^{p}(V)=H^{2 p-1}(V, \mathbb{C}) /\left(H^{2 p-1}(V, \mathbb{Z}(p))+F^{p} H^{2 p-1}(V, \mathbb{C})\right)
$$

is the $p$-th Griffiths intermediate Jacobian (see [49, Section 12] for the definition and properties of the Abel-Jacobi maps). The image $J_{a}^{p}(V) \subset J^{p}(V)$ of the restriction of the Abel-Jacobi map $A J^{p}$ to $A^{p}(V)$ is an abelian variety, and the induced map

$$
\psi^{p}: A^{p}(V) \rightarrow J_{a}^{p}(V),
$$

which we also call Abel-Jacobi, is regular [22][29]. A classical question of Murre [33, Section 7][21, p. 132] asks whether the Abel-Jacobi map $\psi^{p}: A^{p}(V) \rightarrow J_{a}^{p}(V)$ is universal among all regular homomorphisms $\phi: A^{p}(V) \rightarrow A$, that is, whether every such $\phi$ factors through $\psi^{p}$ (see [52] for another universality question from a different perspective). It is true for $p=1$ by the theory of the Picard variety, for $p=\operatorname{dim} V$ by the theory of the Albanese variety, and for $p=2$ as proved by Murre [32][34] using the Merkurjev-Suslin theorem [31].

Meanwhile, the following theorem was proved by Walker [54] as an application of the theory of the Lawson homology and the morphic cohomology: the Abel-Jacobi map $\psi^{p}$ factors as

where $J\left(N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p))\right)$ is the intermediate Jacobian for the mixed Hodge structure given by the coniveau filtration $N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p))^{1}, \pi^{p}$ is a natural isogeny, and $\widetilde{\psi}^{p}$ is a surjective regular homomorphism (we will call the homomorphism $\widetilde{\psi}^{p}$ the Walker map). Consequently, if the Abel-Jacobi map $\psi^{p}$ is universal, then the kernel

$$
\operatorname{Ker}\left(\pi^{p}\right)=\operatorname{Coker}\left(H^{2 p-1}(V, \mathbb{Z}(p))_{\text {tors }} \rightarrow\left(H^{2 p-1}(V, \mathbb{Z}(p)) / N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p))\right)_{\text {tors }}\right)
$$

[^0]is trivial, or equivalently, the sublattice
$$
N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p)) / \text { tors } \subset H^{2 p-1}(V, \mathbb{Z}(p)) / \text { tors }
$$
is primitive.
In Chapter 3, we use the formalism of decomposition of the diagonal [11] to prove an analogue of the Roitman theorem [37, Theorem 3.1] for the Walker maps.

Theorem 1.2.1. Let $V$ be a smooth projective variety such that $C H_{0}(V)$ is supported on a three-dimensional closed subset. Let $p \in\{3, \operatorname{dim} V-1\}$. Then the restriction

$$
\left.\widetilde{\psi}^{p}\right|_{\text {tors }}: A^{p}(V)_{\text {tors }} \rightarrow J\left(N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p))\right)_{\text {tors }}
$$

is an isomorphism. Moreover the Walker map $\widetilde{\psi}^{p}$ is universal.

Remark 1.2.2. There is an abelian fourfold $V$ such that $A^{3}(V)$ has infinite $l$-torsion elements for all prime numbers $l[47]$ (see also $[41 ; 38]$ ). Therefore the assumption on $C H_{0}(V)$ is essential.

Then we apply Theorem 1.2.1 to prove the following theorem on the integral Hodge conjecture and the primitivity of the lattice of the coniveau filtration.

Theorem 1.2.3. Let $W$ be a smooth projective variety such that $C H_{0}(W)$ is supported on a surface and the inclusion

$$
H_{\mathrm{alg}}^{4}(W, \mathbb{Z}(2)) / \text { tors } \subsetneq H d g^{4}(W, \mathbb{Z}) / \text { tors }
$$

is strict. Then there exists an elliptic curve $E$ such that the sublattice

$$
N^{2} H^{5}(W \times E, \mathbb{Z}(3)) / \text { tors } \subset H^{5}(W \times E, \mathbb{Z}(3)) / \text { tors }
$$

is not primitive.

Remark 1.2.4. A "homology counterpart" of Theorem 1.2.3 also holds. See Theorem 3.2.3.

Finally, we apply Theorem 1.2.3 to the pencil of Enriques surfaces of Theorem 1.1.1 to prove that the Abel-Jacobi map is not universal in general. This settles Murre's question.

Corollary 1.2.5 (with J. C. Ottem). Let $X$ be the pencil of Enriques surfaces of Theorem 1.1.1. Then there exists an elliptic curve $E$ such that the Abel-Jacobi map

$$
\psi^{3}: A^{3}(X \times E) \rightarrow J_{a}^{3}(X \times E)
$$

is not universal: it factors as

where the Walker map $\widetilde{\psi}^{3}$ is surjective regular, and the natural isogeny $\pi^{3}$ has non-zero kernel, or equivalently, the sublattice

$$
N^{2} H^{5}(X \times E, \mathbb{Z}(3)) \subset H^{5}(X \times E, \mathbb{Z}(3))
$$

is not primitive.

Remark 1.2.6. The Walker map $\widetilde{\psi}^{3}$ in the statement is universal by Theorem 1.2.1.

Remark 1.2.7. In fact, we have $N^{2} H^{5}(X \times E, \mathbb{Q}(3))=H^{5}(X \times E, \mathbb{Q}(3))$ as a consequence of decomposition of the diagonal [11]. In other words, $J_{a}^{3}(X \times E)=J^{3}(X \times E)$ (see [33, Lemma 4.3]).

## CHAPTER 2

## A PENCIL OF ENRIQUES SURFACES WITH NON-ALGEBRAIC INTEGRAL HODGE CLASSES

We prove Theorem 1.1.1.
This chapter is organized as follows. In Section 2.1, we study the geometry of the pencils of Enriques surfaces appearing in Theorem 1.1.1. These are defined as the rank one degeneracy loci of maps of vector bundles on $\mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2}$. In particular, we compute their integral cohomology groups and Chow groups of zero-cycles. In Section 2.2, we prove the main theorem, using a specialization argument.

We work over the complex numbers throughout.
This chapter is based on the paper [35] (Ottem, J. C., Suzuki, F. : A pencil of Enriques surfaces with non-algebraic integral Hodge classes, Math. Ann. (2020)).

### 2.1 Geometry of pencils of Enriques surfaces

In this thesis, a pencil of Enriques surfaces will mean a smooth complex threefold $X$ with a fibration $X \rightarrow \mathbb{P}^{1}$ over $\mathbb{P}^{1}$ whose general fibers are Enriques surfaces. In the course of the proof of Theorem 1.1.1, we will give a few explicit constructions of such threefolds. We start with the construction of the Enriques surfaces themselves.

We will fix the following notation ${ }^{1}$ :
$-\mathbb{P}_{A}=\mathbb{P}_{\mathbb{P}^{2} \times \mathbb{P}^{2}}(\mathcal{O}(2,0) \oplus \mathcal{O}(0,2)), E_{1}=\mathbb{P}_{\mathbb{P}^{2} \times \mathbb{P}^{2}}(\mathcal{O}(2,0)), E_{2}=\mathbb{P}_{\mathbb{P}^{2} \times \mathbb{P}^{2}}(\mathcal{O}(0,2))$

- $\mathbb{P}_{B}=\mathbb{P}_{\mathbb{P}^{2} \times \mathbb{P}^{2}}(\mathcal{O}(1,0) \oplus \mathcal{O}(0,1)), F_{1}=\mathbb{P}_{\mathbb{P}^{2} \times \mathbb{P}^{2}}(\mathcal{O}(1,0)), F_{2}=\mathbb{P}_{\mathbb{P}^{2} \times \mathbb{P}^{2}}(\mathcal{O}(0,1))$
- $\mathbb{P}_{C}=\mathbb{P}\left(H^{0}\left(\mathbb{P}_{B}, \mathcal{O}(1)\right)\right), P_{1}=\mathbb{P}\left(H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(1,0)\right)\right), P_{2}=\mathbb{P}\left(H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(0,1)\right)\right)$.

These spaces are related as follows. We can regard $P_{1}$ and $P_{2}$ as disjoint planes in the fivedimensional projective space $\mathbb{P}_{C}$ via the idetification

$$
H^{0}\left(\mathbb{P}_{B}, \mathcal{O}(1)\right)=H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(1,0)\right) \oplus H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(0,1)\right)
$$

Then the projective bundle $\mathbb{P}_{B}$ is identified with the blow-up of $\mathbb{P}_{C}$ along the union of $P_{1}$ and $P_{2}$ with the exceptional divisors $F_{1}$ and $F_{2}$. Moreover, there is a natural involution $\iota$ on $\mathbb{P}_{C}$ induced by the involution on $H^{0}\left(\mathbb{P}_{B}, \mathcal{O}(1)\right)$ with the ( $\pm 1$ )-eigenspaces $H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(1,0)\right)$ and $H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(0,1)\right)$, respectively. The involution $\iota$ lifts to an involution on $\mathbb{P}_{B}$, and we have $\mathbb{P}_{A}=\mathbb{P}_{B} / \iota$. Thus there is a double cover $\mathbb{P}_{B} \rightarrow \mathbb{P}_{A}$ over $\mathbb{P}^{2} \times \mathbb{P}^{2}$, which is ramified along $F_{i}$, and the divisors $F_{i}$ are mapped isomorphically onto $E_{i}$ for $i=1,2$.

The projective models of the Enriques surfaces are defined as follows. On $\mathbb{P}^{2} \times \mathbb{P}^{2}$, we consider a map of vector bundles

$$
u: \mathcal{O}^{\oplus 3} \rightarrow \mathcal{O}(2,0) \oplus \mathcal{O}(0,2)
$$

[^1]Let $S$ be the rank one degeneracy locus of $u$.

Lemma 2.1.1. If $u$ is general, then $S$ is an Enriques surface.

Proof. Since the vector bundle $\mathcal{O}(2,0) \oplus \mathcal{O}(0,2)$ is globally generated, $S$ is smooth of dimension two by the Bertini theorem for degeneracy loci.

To show that $S$ is an Enriques surface, we will describe its K3 cover $T$. The map $u$ defines a global section $s$ of $\mathcal{O}(1)^{\oplus 3}$ on the projective bundle $\mathbb{P}_{A}$. When $u$ is generic, the zero set $Z(s) \subset \mathbb{P}_{A}$ maps isomorphically onto $S$ via the bundle projection $\mathbb{P}_{A} \rightarrow \mathbb{P}^{2} \times \mathbb{P}^{2}$.

On the other hand, the map $u$ also defines a global section of $\mathcal{O}(2)^{\oplus 3}$ on $\mathbb{P}_{B}$ invariant under the action of $\iota$. Indeed, as $\left(q_{*} \mathcal{O}_{\mathbb{P}_{B}}(2)\right)^{\iota}=\left(q_{*} q^{*} \mathcal{O}_{\mathbb{P}_{A}}(1)\right)^{\iota}=\mathcal{O}_{\mathbb{P}_{A}}(1)$, where $q: \mathbb{P}_{B} \rightarrow \mathbb{P}_{A}=\mathbb{P}_{B} / \iota$ is a natural projection, we have a natural identification

$$
H^{0}\left(\mathbb{P}_{B}, \mathcal{O}(2)\right)^{\iota}=H^{0}\left(\mathbb{P}_{A}, \mathcal{O}(1)\right)=H^{0}\left(\mathbb{P}^{2} \times \mathbb{P}^{2}, \mathcal{O}(2,0) \oplus \mathcal{O}(0,2)\right)
$$

Let $T \subset \mathbb{P}_{B}$ denote the zero set of this section. When $u$ is general, we have $S \cap E_{i}=T \cap F_{i}=\emptyset$, so $T$ maps isomorphically to a smooth intersection of three quadrics in $\mathbb{P}_{C}$ via the blow-down $\operatorname{map} \mathbb{P}_{B} \rightarrow \mathbb{P}_{C}$. In particular, $T$ is a K3 surface. Again since $T \cap F_{i}=\emptyset$, the composition $\mathbb{P}_{B} \rightarrow \mathbb{P}_{A} \rightarrow \mathbb{P}^{2} \times \mathbb{P}^{2}$ restricts to an étale double cover $T \rightarrow S$. Hence $S$ is an Enriques surface.

Remark 2.1.2. The proof of Lemma 2.1.1 shows that the construction of Enriques surfaces introduced above coincides with a classical one from [4, Example VIII.18].

We will now use a variant of the above construction to construct pencils of Enriques surfaces. On $\mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2}$, we consider a map of vector bundles

$$
v: \mathcal{O}^{\oplus 3} \rightarrow \mathcal{O}(1,2,0) \oplus \mathcal{O}(1,0,2)
$$

Let $X$ be the rank one degeneracy locus of $v$.

Lemma 2.1.3. If $v$ is general, then $X$ is a pencil of Enriques surfaces by the first projection $X \rightarrow \mathbb{P}^{1}$. Moreover, we have $H^{i}\left(X, \mathcal{O}_{X}\right)=0$ for all $i>0$.

Proof. Since the vector bundle $\mathcal{O}(1,2,0) \oplus \mathcal{O}(1,0,2)$ is globally generated, $X$ is smooth and $\operatorname{dim} X=3$ by the Bertini theorem for degeneracy loci. Moreover, $X$ is connected since it is defined by three equations of tridegree $(2,2,2)$. The resolution of the ideal sheaf $\mathcal{I}_{X}$ of $X$ in $\mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2}$ has the form

$$
0 \rightarrow \mathcal{O}(-3,-4,-2) \oplus \mathcal{O}(-3,-2,-4) \rightarrow \mathcal{O}(-2,-2,-2)^{\oplus 3} \rightarrow \mathcal{I}_{X} \rightarrow 0
$$

From this it follows that $H^{i}\left(X, \mathcal{O}_{X}\right)=0$ for all $i>0$.

We assume that $v$ is general in what follows.

Lemma 2.1.4. The degree homomorphism $\operatorname{deg}: C H_{0}(X) \rightarrow \mathbb{Z}$ is an isomorphism.

Proof. Let $C \subset X$ be a smooth curve which is a complete intersection of very ample divisors. Then $C H_{0}(X)$ is supported on $C$. This follows from the fact that any class in $C H_{0}(X)$ is represented by a zero-cycle supported on a union of smooth fibers of the first projection $X \rightarrow \mathbb{P}^{1}$
by the moving lemma, and that the Chow group of zero-cycles on any given Enriques surface is trivial due to Bloch-Kas-Lieberman [9].

We consider a natural homomorphism $\phi: \operatorname{Ker}(\operatorname{deg}) \rightarrow \operatorname{Alb}(X)$ induced by the Albanese map. Since $\mathrm{CH}_{0}(X)$ is supported on a curve, the decomposition of the diagonal [11] implies that $\operatorname{Ker}(\phi)$ is torsion. Moreover $\operatorname{Ker}(\phi)$ is torsion-free by the Roitman theorem [37]. Hence we have $\operatorname{Ker}(\phi)=0$ and $\phi$ is an isomorphism. In our situation, $\operatorname{Alb}(X)=0$ since $H^{1}\left(X, \mathcal{O}_{X}\right)=0$ by Lemma 2.1.3. Therefore $\operatorname{Ker}(\mathrm{deg})=0$. The proof is complete.

To study the geometric properties of the threefold $X$ in more detail, it will be convenient to involve its double cover. Recalling the construction above, we get a diagram

where $\mathbb{P}^{1} \times \mathbb{P}_{B} \rightarrow \mathbb{P}^{1} \times \mathbb{P}_{A}$ is the quotient by the involution $\iota$ (which acts as before on $\mathbb{P}_{B}$ and as the identity on the first factor) and $\mathbb{P}^{1} \times \mathbb{P}_{B} \rightarrow \mathbb{P}^{1} \times \mathbb{P}_{C}$ is the blow-up of $\mathbb{P}^{1} \times \mathbb{P}_{C}$ along the union of $\mathbb{P}^{1} \times P_{1}$ and $\mathbb{P}^{1} \times P_{2}$. Restricting to $X$, we get the following diagram


The varieties appearing in this diagram can be described as follows. The map $v$ induces a global section of $\mathcal{O}(1,1)^{\oplus 3}$ on $\mathbb{P}^{1} \times \mathbb{P}_{A}$ as well as global sections of $\mathcal{O}(1,2)^{\oplus 3}$ on $\mathbb{P}^{1} \times \mathbb{P}_{B}$ and $\mathbb{P}^{1} \times \mathbb{P}_{C}$
which are invariant under the action of $\iota$; the varieties $X^{\prime}, Y, Y_{\min }$ are the zero sets of these sections. By generality, $X^{\prime}, Y, Y_{\min }$ are smooth threefolds; $X^{\prime}$ is mapped isomorphically onto $X$, so we can identify $X^{\prime}$ with $X ; Y$ is a double cover of $X^{\prime}=X$; and $Y_{\min }$ is a minimal model of $Y$. Note that $Y$ and $Y_{\min }$ are K 3 surface fibrations via the first projection.

An easy computation shows that each of the intersections $Y_{\min } \cap\left(\mathbb{P}^{1} \times P_{i}\right)$ consists of twelve points $y_{i, 1}, \cdots, y_{i, 12}$. Then the map $Y \rightarrow Y_{\min }$ is the blow-up of $Y_{\min }$ along $y_{i, j}$ whose exceptional divisors $F_{i, j}$ are the components of $Y \cap\left(\mathbb{P}^{1} \times F_{i}\right)$. Moreover the double cover $Y \rightarrow X$ is ramified along $F_{i, j}$ which are mapped isomorphically onto $E_{i, j}$, the components of $X \cap\left(\mathbb{P}^{1} \times E_{i}\right)$.

Lemma 2.1.5. The threefold $X$ has Kodaira dimension one.

Proof. Let $S$ be the class of a fiber of the first projection $X \rightarrow \mathbb{P}^{1}$. It is straightforward to compute that

$$
2 K_{X}=2 S+\sum_{i=1}^{2} \sum_{j=1}^{12} E_{i, j} .
$$

As the normal bundles $N_{E_{i, j} / X}=\mathcal{O}_{\mathbb{P}^{2}}(-2)$ are negative, we obtain that $\kappa(X)=1$.

Lemma 2.1.6. The Hodge numbers of $X$ are given by $h^{0,0}(X)=h^{3,3}(X)=1, h^{1,1}(X)=$ $h^{2,2}(X)=26, h^{1,2}(X)=h^{2,1}(X)=45$, and $h^{p, q}(X)=0$ otherwise.

Proof. We first compute the Picard number $\rho(X)$. Using the Lefschetz hyperplane section theorem, $Y_{\text {min }}$ has Picard number two, so $\rho(Y)=\rho\left(Y_{\min }\right)+24=26$. Moreover, the action of $\iota$ on the Picard group of $Y$ is trivial, so also $\rho(X)=26$.

We next compute the Betti numbers $b_{i}(X)$. It is straightforward to compute the topological Euler characteristic $\chi_{\text {top }}(X)=c_{3}\left(T_{X}\right)=-36$. Obviously $b_{0}(X)=b_{6}(X)=1$. Moreover, $b_{1}(X)=b_{5}(X)=0$ and $b_{2}(X)=b_{4}(X)=\rho(X)=26$ using Lemma 2.1.3. Therefore $b_{3}(X)=90$.

Now the computation of the Hodge numbers are immediate using Lemma 2.1.3 again.

We next study the topology of $X$. We fix the following notation:

- $X_{\text {min }}=Y_{\text {min }} / \iota ;$
$-Y^{\circ}=Y_{\min }-\left\{y_{i, j}\right\}_{i, j} ;$
- $X^{\circ}=Y^{\circ} / \iota ;$
- $V_{i, j} \subset Y$, a small ball around $y_{i, j}$;
- $U_{i, j}=V_{i, j} / \iota$.

We have $Y_{\min }=Y^{\circ} \cup\left(\bigcup_{i, j} V_{i, j}\right)$ and $X_{\min }=X^{\circ} \cup\left(\bigcup_{i, j} U_{i, j}\right)$.
Lemma 2.1.7. The threefold $X$ is simply connected, and the cohomology groups $H^{i}(X, \mathbb{Z})$ are torsion-free for all i.

Proof. By the universal coefficient theorem, it is enough to prove that $\pi_{1}(X)=0$ and $H^{3}(X, \mathbb{Z})$ is torsion-free.

We first prove that $\pi_{1}(X)=0$. We have a natural pushout diagram


By Lefschetz, $Y$ and hence $Y^{\circ}$ is simply connected. So since the quotient map $\pi: Y^{\circ} \rightarrow X^{\circ}$ is étale, we have $\pi_{1}\left(X^{\circ}\right)=\mathbb{Z} / 2$. The neighborhood $U_{i, j} \subset X$ is homotopic to the affine cone over a Veronese surface, so we have $\pi_{1}\left(U_{i, j}\right)=0$. Finally, since the map $V_{i, j} \cap Y^{\circ} \rightarrow U_{i, j} \cap X^{\circ}$ is homotopic to the universal covering map $\left(\mathbb{C}^{3}-0\right) \rightarrow\left(\mathbb{C}^{3}-0\right) / \pm$, we have $\pi_{1}\left(U_{i, j} \cap X^{\circ}\right)=\mathbb{Z} / 2$. In fact, this cover is induced by the restriction of $\pi$ to $V_{i, j} \cap Y^{\circ}$, so the map $\pi_{1}\left(U_{i, j} \cap X^{\circ}\right) \rightarrow \pi_{1}\left(X^{\circ}\right)$ is non-zero, hence an isomorphism. From the pushout diagram above, we then get $\pi_{1}\left(X_{\min }\right)=0$. Resolving a finite cyclic quotient singularity does not change the fundamental group ([25, Theorem 7.8]), so we also get $\pi_{1}(X)=0$.

We next prove that $H^{3}(X, \mathbb{Z})$ is torsion-free. The long exact sequence for cohomology groups with supports gives

$$
\bigoplus_{i, j} H_{E_{i, j}}^{3}(X, \mathbb{Z}) \rightarrow H^{3}(X, \mathbb{Z}) \rightarrow H^{3}\left(X^{\circ}, \mathbb{Z}\right)
$$

Since $H_{E_{i, j}}^{3}(X, \mathbb{Z})=H_{3}\left(E_{i, j}, \mathbb{Z}\right)=0$, the group $H^{3}(X, \mathbb{Z})$ injects into $H^{3}\left(X^{\circ}, \mathbb{Z}\right)$. In particular, we are reduced to showing that $H^{3}\left(X^{\circ}, \mathbb{Z}\right)$ is torsion-free.

Since $X^{\circ}$ is the quotient of $Y^{\circ}$ by the group $\langle\iota\rangle \simeq \mathbb{Z} / 2$, we can apply the Cartan-Leray spectral sequence

$$
E_{2}^{p, q}=H^{p}\left(\mathbb{Z} / 2, H^{q}\left(Y^{\circ}, \mathbb{Z}\right)\right) \Rightarrow H^{p+q}\left(X^{\circ}, \mathbb{Z}\right)
$$

to compute the cohomology groups of $X^{\circ}$. We need to compute $H^{q}\left(Y^{\circ}, \mathbb{Z}\right)$ for $0 \leq q \leq 3$ and the action of $\iota$ on these groups. Since $Y^{\circ}$ is obtained from $Y_{\text {min }}$ by removing finitely many points, we have an identification $H^{q}\left(Y^{\circ}, \mathbb{Z}\right)=H^{q}\left(Y_{\min }, \mathbb{Z}\right)$. Clearly $H^{0}\left(Y_{\min }, \mathbb{Z}\right)=\mathbb{Z}$. By the Lefschetz hyperplane theorem, $H^{1}\left(Y_{\min }, \mathbb{Z}\right)=0$, and the groups $H^{2}\left(Y_{\min }, \mathbb{Z}\right)$ and $H^{3}\left(Y_{\min }, \mathbb{Z}\right)$
are torsion-free. Moreover, the action of $\iota$ on $H^{q}\left(Y_{\min }, \mathbb{Z}\right)$ is trivial for $0 \leq q \leq 2$. Since the group cohomology $H^{p}(\mathbb{Z} / 2, \mathbb{Z})=0$ for $p$ odd, it follows that $E_{2}^{p, 3-p}=0$ for $p \neq 0$. Therefore there is an injection

$$
H^{3}\left(X^{\circ}, \mathbb{Z}\right) \hookrightarrow E_{2}^{0,3}=H^{0}\left(\mathbb{Z} / 2, H^{3}\left(Y^{\circ}, \mathbb{Z}\right)\right)=H^{3}\left(Y^{\circ}, \mathbb{Z}\right)^{\iota}
$$

where the right hand side is torsion-free. This completes the proof.

### 2.2 Failure of the integral Hodge conjecture for pencils of Enriques surfaces

We are now ready to prove our main result in this chapter:

Theorem 2.2.1. There exists a map of vector bundles on $\mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2}$

$$
\mathcal{O}^{\oplus 3} \rightarrow \mathcal{O}(1,2,0) \oplus \mathcal{O}(1,0,2)
$$

defined over $\mathbb{Q}$ such that the rank one degeneracy locus $X$ is a pencil of Enriques surfaces such that the cohomology groups $H^{i}(X, \mathbb{Z})$ are torsion-free for all $i$ and there is a strict inclusion

$$
H_{\mathrm{alg}}^{4}(X, \mathbb{Z}) \subsetneq H d g^{4}(X, \mathbb{Z}) .
$$

Proof. We set $\mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2}=\operatorname{Proj} \mathbb{C}[S, T] \times \operatorname{Proj} \mathbb{C}\left[X_{0}, X_{1}, X_{2}\right] \times \operatorname{Proj} \mathbb{C}\left[Y_{0}, Y_{1}, Y_{2}\right]$. Fix a sufficiently large prime number $p$. We consider a map of vector bundles as above given by the matrix

$$
M=\left(\begin{array}{ccc}
P_{1} & Q_{1} & R_{1} \\
S P_{2}+p P_{3} & S Q_{2}+p Q_{3} & S R_{2}+p R_{3}
\end{array}\right),
$$

where $P_{1}, Q_{1}, R_{1}$ (resp. $P_{2}, Q_{2}, R_{2} ; P_{3}, Q_{3}, R_{3}$ ) are general tri-homogeneous polynomials of tri-degree $(1,2,0)$ (resp. $(0,0,2) ;(1,0,2))$ over $\mathbb{Q}$. The degeneracy locus $X$ is a pencil of Enriques surfaces defined by the $2 \times 2$-minors of $M$. The torsion-freeness of the cohomology groups follows from Lemma 2.1.7, so it remains to prove that the integral Hodge conjecture does not hold on $X$.

The closed subscheme defined by $P_{1}=Q_{1}=R_{1}=0$ is a disjoint union of twelve components $E_{1,1}, \ldots, E_{1,12}$ isomorphic to $\mathbb{P}^{2}$. We note that this union is defined over $\mathbb{Q}$, even though each $E_{i, j}$ may not be. First we prove that for a given algebraic one-cycle $\alpha$ on $X$, we have

$$
\begin{equation*}
\operatorname{deg}\left(\alpha / \mathbb{P}^{1}\right) \equiv \alpha \cdot\left(\sum_{j=1}^{12} E_{1, j}\right) \quad \bmod 2 . \tag{2.1}
\end{equation*}
$$

We use a specialization argument. We spread out $X_{\overline{\mathbb{Q}}}$ over a valuation ring $R$ with the maximal ideal containing $p$. The ideal of the flat closure of $X_{\overline{\mathbb{Q}}}$ in $\left(\mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2}\right)_{R}$ is generated by the $2 \times 2$-minors of $M$ and

$$
F=\operatorname{det}\left(\begin{array}{ccc}
P_{1} & Q_{1} & R_{1} \\
P_{2} & Q_{2} & R_{2} \\
P_{3} & Q_{3} & R_{3}
\end{array}\right) .
$$

The specialization over $\overline{\mathbb{F}}_{p}$ consists of two components: one is a pencil of Enriques surfaces $\widetilde{X}_{0}$ defined by the $2 \times 2$-minors of the matrix

$$
N=\left(\begin{array}{ccc}
P_{1} & Q_{1} & R_{1} \\
P_{2} & Q_{2} & R_{2}
\end{array}\right)
$$

the other is defined by $S=F=0$. It is straightforward to check that $\widetilde{X}_{0}$ is smooth.
The closed subscheme defined by $P_{1}=Q_{1}=R_{1}=0$ is again a disjoint union of twelve components $E_{1,1}, \ldots, E_{1,12}$ isomorphic to $\mathbb{P}^{2}$ and disjoint from the fiber over $S=0$ by the generality of $P_{1}, Q_{1}, R_{1}$. We prove that for a given one-cycle $\alpha_{0}$ on the specialization over $\overline{\mathbb{F}}_{p}$, we have

$$
\begin{equation*}
\operatorname{deg}\left(\alpha_{0} / \mathbb{P}^{1}\right) \equiv \alpha_{0} \cdot\left(\sum_{j=1}^{12} E_{1, j}\right) \quad \bmod 2 . \tag{2.2}
\end{equation*}
$$

We may assume that $\alpha_{0}$ is supported on $\widetilde{X}_{0}$. Let $D_{1}$ be the Cartier divisor on $\widetilde{X}_{0}$ defined by $P_{1}=0$. Since $D_{1}$ is of type $(1,2,0)$, we have

$$
\operatorname{deg}\left(\alpha_{0} / \mathbb{P}^{1}\right) \equiv \alpha_{0} \cdot D_{1} \quad \bmod 2 .
$$

On the other hand, we have

$$
D_{1}=D_{2}+\sum_{j=1}^{12} E_{1, j}
$$

where $D_{2}$ is the Cartier divisor on $\widetilde{X}_{0}$ defined by $P_{2}=0$. Indeed, expanding the $2 \times 2$-minors of $N$, it is easily seen that the identity holds on each of the open subsets $P_{2}, Q_{2}, R_{2} \neq 0$; these open subsets form an open cover of $\widetilde{X}_{0}$ by the generality of $P_{2}, Q_{2}, R_{2}$. Since $D_{2}$ is of type $(0,0,2)$, we have

$$
\alpha_{0} \cdot D_{1} \equiv \alpha_{0} \cdot\left(\sum_{j=1}^{12} E_{1, j}\right) \quad \bmod 2 .
$$

The congruence (2.2) follows, so does the congruence (2.1) by the specialization homomorphism [18, Section 20.3].

The Hodge structure of $H^{4}(X, \mathbb{Z})$ is trivial since we have $H^{2}\left(X, \mathcal{O}_{X}\right)=0$ by Lemma 2.1.3. The proof of the theorem is reduced to proving that there exists a class $\beta \in H^{4}(X, \mathbb{Z})=H_{2}(X, \mathbb{Z})$ such that

$$
\operatorname{deg}\left(\beta / \mathbb{P}^{1}\right)= \pm 1, \beta \cdot\left(\sum_{j=1}^{12} E_{1, j}\right)=0
$$

such $\beta$ is not algebraic according to the congruence (1). Since $E_{1,1}, \ldots, E_{1,12}$ are the images of $F_{1,1}, \ldots, F_{1,12}$ under the double cover $Y \rightarrow X$, it is enough to prove that there exists $\gamma \in H^{4}(Y, \mathbb{Z})=H_{2}(Y, \mathbb{Z})$ such that

$$
\operatorname{deg}\left(\gamma / \mathbb{P}^{1}\right)= \pm 1, \gamma \cdot\left(\sum_{j=1}^{12} F_{1, j}\right)=0
$$

the class $\beta$ will be the push-forward of $\gamma$. By the Lefschetz hyperplane section theorem, the push-forward $H_{2}\left(Y_{\min }, \mathbb{Z}\right) \rightarrow H_{2}\left(\mathbb{P}^{1}, \mathbb{Z}\right)$ is surjective. Let $\gamma_{\min } \in H^{4}\left(Y_{\min }, \mathbb{Z}\right)=H_{2}\left(Y_{\min }, \mathbb{Z}\right)$ be
an element mapped to a generator of $H_{2}\left(\mathbb{P}^{1}, \mathbb{Z}\right)$. Then the pullback $\gamma \in H^{4}(Y, \mathbb{Z})$ of $\gamma_{\min }$ satisfies the desired property. The proof is complete.

Remark 2.2.2. The specialization used in the proof of Theorem 2.2.1 deserves a few more comments. The specialization consists of two components: $\widetilde{X}_{0}$ defined by the $2 \times 2$-minors of $N$, and $R$ defined by $S=F=0$. The component $\widetilde{X}_{0}$ is smooth, and it is a pencil of Enriques surfaces by the first projection $\widetilde{X}_{0} \rightarrow \mathbb{P}^{1}$. On the other hand, $R$ has isolated singularities, and a smooth model $\bar{R}$ of $R$ is another pencil of Enriques surfaces with a small contraction $\bar{R} \rightarrow R$ contracting $\mathbb{P}^{1}$ s over the singular points of $R$. In addition, $\widetilde{X}_{0}$ and $R$ intersect in a fiber over $S=0$, and the intersection is an Enriques surface $Z$ in $\mathbb{P}^{2} \times \mathbb{P}^{2}$.

Remarkably, both of the components $\widetilde{X}_{0}$ and $R$ are rationally connected: the projections

$$
\tilde{X}_{0} \hookrightarrow \mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2} \xrightarrow{p r_{2}} \mathbb{P}^{2}, R \hookrightarrow \mathbb{P}^{1} \times \mathbb{P}^{2} \times \mathbb{P}^{2} \xrightarrow{p r_{3}} \mathbb{P}^{2}
$$

are conic bundles, therefore this follows from [20, Corollary 1.3]. In particular, the integral Hodge conjecture holds on $\widetilde{X}_{0}$ and $\bar{R}$ by a result of Voisin [48]. As a consequence, $H_{2}\left(\widetilde{X}_{0}, \mathbb{Z}\right)$ and $H_{2}(R, \mathbb{Z})$ are generated by algebraic cycles.

It turns out, however, that this is not the case for the union $\widetilde{X}_{0} \cup R$. A key point here is the subtle difference between the Mayer-Vietoris sequence for homology groups and Chow groups. For the homology groups, we have an exact sequence

$$
H_{2}\left(\widetilde{X}_{0}, \mathbb{Z}\right) \oplus H_{2}(R, \mathbb{Z}) \rightarrow H_{2}\left(\widetilde{X}_{0} \cup R, \mathbb{Z}\right) \rightarrow H_{1}(Z, \mathbb{Z})=\mathbb{Z} / 2 \rightarrow 0
$$

For the Chow groups, on the other hand, we obviously have a surjection

$$
C H_{1}\left(\widetilde{X}_{0}\right) \oplus C H_{1}(R) \rightarrow C H_{1}\left(\widetilde{X}_{0} \cup R\right)
$$

(see also [18, Example 1.8.1]). It follows that $H_{2}\left(\widetilde{X}_{0} \cup R, \mathbb{Z}\right)$ is not generated by algebraic cycles.

A small modification of the above arguments yields a generalization of Theorem 2.2.1 to higher dimensions:

Theorem 2.2.3. For a given positive integer n, there exists a map of vector bundles on $\mathbb{P}^{1} \times \mathbb{P}^{2 n} \times \mathbb{P}^{2 n}$

$$
\mathcal{O}^{\oplus(2 n+1)} \rightarrow \mathcal{O}(1,2,0) \oplus \mathcal{O}(1,0,2)
$$

defined over $\mathbb{Q}$ such that the rank one degeneracy locus $X$ is a smooth $(2 n+1)$-fold with a fibration over $\mathbb{P}^{1}$ whose general fibers are $2 n$-folds $M$ with $H^{i}\left(M, \mathcal{O}_{M}\right)=0$ for all $i>0$ and universal Calabi-Yau double covers $N \rightarrow M$ such that
(i) $H^{i}\left(X, \mathcal{O}_{X}\right)=0$ for all $i>0$;
(ii) $\kappa(X)=1$;
(iii) $X$ is simply connected, and the cohomology group $H^{3}(X, \mathbb{Z})$ is torsion-free;
(iv) the inclusion $H_{2, \mathrm{alg}}(X, \mathbb{Z}) \subsetneq H d g_{2}(X, \mathbb{Z})$ is strict.

## CHAPTER 3

## THE ABEL-JACOBI MAP IS NOT ALWAYS UNIVERSAL

We prove Theorem 1.2.1, 1.2.3 and Corollary 1.2.5.

This chapter is organized as follows. In Section 3.1, we study regular homomorphisms on the torsion subgroup $A^{p}(V)_{\text {tors }}$. Then we prove Theorem 1.2.1 and its corollary. In Section 3.2 , we prove a proposition on non-algebraic integral Hodge classes of non-torsion type and non-zero torsion algebraic cycles in the Abel-Jacobi kernel. Then we prove Theorem 1.2.3 and its "homology counterpart". Corollary 1.2.5 follows immediately from Theorem 1.2.1 applied to the pencil of Enriques surfaces of Theorem 2.2.1. We end the section by explaining how to produce counterexamples to Murre's question in higher dimensions and for other values of $p$.

We work over the complex numbers throughout.

This chapter is based on the papers [35] (Ottem, J. C., Suzuki, F. : A pencil of Enriques surfaces with non-algebraic integral Hodge classes, Math. Ann. (2020)) and [45] (Suzuki, F.: A remark on a 3-fold constructed by Colliot-Thélène and Voisin, Math. Res. Lett. 27 (2020), no1, 301-317).

### 3.1 Regular homomorphisms on the torsion subgroup $A^{p}(V)_{\text {tors }}$

Lemma 3.1.1. Let $V$ be a smooth projective variety and $\phi: A^{p}(V) \rightarrow A$ be a surjective regular homomorphism. Assume that the restriction $\left.\phi\right|_{\mathrm{tors}}: A^{p}(V)_{\mathrm{tors}} \rightarrow A_{\mathrm{tors}}$ is an isomorphism. Then $\phi$ is universal.

Proof. First we prove the existence of a universal regular homomorphism $\phi_{0}: A^{p}(V) \rightarrow A_{0}$. By Saito's criterion [39, Theorem 2.2] (see also [34, Proposition 2.1]), it is enough to prove $\operatorname{dim} B \leq \operatorname{dim} A$ for any surjective regular homomorphism $\psi: A^{p}(V) \rightarrow B$. Such a homomorphism $\psi$ restricts to a surjection $\left.\psi\right|_{\text {tors }}: A^{p}(V)_{\text {tors }} \rightarrow B_{\text {tors }}$. Indeed, by [39, Proposition 1.2] (see also [34, Lemma 1.6.2] and [27, Chapter III, Proposition 1]), there exists an abelian variety $C$ and $\Gamma \in C H^{p}(C \times V)$ such that the map

$$
C \rightarrow A^{p}(V), s \mapsto \Gamma_{*}\left(s-s_{0}\right)
$$

is a homomorphism of groups and the composition

$$
C \rightarrow A^{p}(V) \rightarrow B, s \mapsto \psi\left(\Gamma_{*}\left(s-s_{0}\right)\right)
$$

is an isogeny; it follows that the restriction $C_{\text {tors }} \rightarrow B_{\text {tors }}$ is a surjection, so is $\left.\psi\right|_{\text {tors }}$. By assumption, we have $A^{p}(V)_{\text {tors }} \cong A_{\text {tors }}$. Then we have

$$
\operatorname{dim} B=\frac{1}{2} \operatorname{co-rank} B_{\mathrm{tors}} \leq \frac{1}{2} \operatorname{co-rank} A_{\mathrm{tors}}=\operatorname{dim} A .
$$

The existence follows.
The map $\phi_{0}$ should be surjective since the image of a regular homomorphism is an abelian variety [34, Lemma 1.6.2]. Thus $\phi_{0}$ restricts to a surjection $\left.\phi_{0}\right|_{\text {tors }}: A^{p}(V)_{\text {tors }} \rightarrow\left(A_{0}\right)_{\text {tors }}$ by
a similar argument as above. The induced map $A_{0} \rightarrow A$ is surjective and restricts to an isomorphism $\left(A_{0}\right)_{\text {tors }} \cong A_{\text {tors }}$, therefore it is an isomorphism. The proof is done.

We review the Bloch-Ogus theory on the coniveau spectral sequence [10]. For a smooth projective variety $V$, we define $\mathcal{H}^{q}(\mathbb{Z}(r))$ to be the Zariski sheaf on $V$ associated to the presheaf $U \mapsto H^{q}(U, \mathbb{Z}(r))$. Then the $E_{2}$ term of the coniveau spectral sequence is given by

$$
E_{2}^{p, q}=H^{p}\left(V, \mathcal{H}^{q}(\mathbb{Z}(r))\right) \Rightarrow N^{\bullet} H^{p+q}(V, \mathbb{Z}(r))
$$

and we have $E_{2}^{p, q}=0$ if $p>q[10$, Corollary 6.2, 6.3]. We also have

$$
E_{2}^{p, q}=0 \text { if }(p, q) \notin[0, \operatorname{dim} V] \times[0, \operatorname{dim} V] .
$$

Indeed, this follows from the fact that a smooth affine variety of dimension $d$ has the homotopy type of a CW complex of real dimension $d$.

Let $f^{p}: H^{p-1}\left(V, \mathcal{H}^{p}(\mathbb{Z}(p))\right) \rightarrow H^{2 p-1}(V, \mathbb{Z}(p))$ be the edge homomorphism.

Lemma 3.1.2. There is a short exact sequence ${ }^{1}$ :

$$
\begin{aligned}
0 & \rightarrow H^{p-1}\left(V, \mathcal{K}_{p}\right) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l} \\
& \rightarrow \operatorname{Ker}\left(f^{p} \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l}: H^{p-1}\left(V, \mathcal{H}^{p}(\mathbb{Z}(p))\right) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l} \rightarrow N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p)) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l}\right) \\
& \rightarrow \operatorname{Ker}\left(\widetilde{\psi}^{p} l l-\text {-tors }: A^{p}(V)_{l \text {-tors }} \rightarrow J\left(N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p))\right)_{l \text { - tors }}\right) \rightarrow 0
\end{aligned}
$$

for any smooth projective variety $V$ and any prime number l, where $\mathcal{K}_{p}$ is the Zariski sheaf on $X$ associated to the Quillen $K$-theory.

Proof. We use the Bloch map $\lambda_{l}^{p}: C H^{p}(V)_{l \text {-tors }} \rightarrow H^{2 p-1}\left(V, \mathbb{Q}_{l} / \mathbb{Z}_{l}(p)\right)$ [7] (see also [13]). By the construction of the Bloch map and [30, Theorem 5.1], we have a commutative diagram with exact rows:


We prove that it induces another commutative diagram:


[^2]It is enough to prove the image of $H^{p-1}\left(V, \mathcal{K}_{p}\right) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l}$ in $N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p)) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l}$ is zero. This follows by observing that $H^{p-1}\left(V, \mathcal{K}_{p}\right) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l}$ is divisible and

$$
\begin{aligned}
& \operatorname{Ker}\left(N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p)) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l} \rightarrow H^{2 p-1}(V, \mathbb{Z}(p)) \otimes \mathbb{Q}_{l} / \mathbb{Z}_{l}\right) \\
& =\operatorname{Coker}\left(H^{2 p-1}(V, \mathbb{Z}(p))_{l-\text { tors }} \rightarrow\left(H^{2 p-1}(V, \mathbb{Z}(p)) / N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p))\right)_{l-\text { tors }}\right)
\end{aligned}
$$

is finite. We prove that $\widetilde{\lambda}^{p}$ coincides with the restriction $\left.\widetilde{\psi}^{p}\right|_{l \text {-tors }}$. In commutative triangles

$\widetilde{\lambda}_{l}^{p}$ (resp. $\widetilde{\psi}^{p} l_{l \text {-tors }}$ ) is the unique lift of $\lambda_{l}^{p}$ (resp. $\left.\left.\psi^{p}\right|_{l-\text { tors }}\right)$ since $A^{p}(V)_{l \text {-tors }}$ is $l$-divisible $[10$, Lemma 7.10]. Therefore it is enough to prove that $\lambda_{l}^{p}$ coincides with $\left.\psi^{p}\right|_{l \text {-tors }}$. This follows from [7, Proposition 3.7]. The proof is done by the snake lemma.

Proof of Theorem 1.2.1. The second statement follows from the first one by Lemma 3.1.1.
We prove that $\left.\widetilde{\psi}^{3}\right|_{\text {tors }}$ is an isomorphism. By Lemma 3.1.2, it is enough to prove that

$$
\operatorname{Ker}\left(f^{3}\right)=\operatorname{Im}\left(H^{0}\left(V, \mathcal{H}^{4}(\mathbb{Z}(3))\right) \rightarrow H^{2}\left(V, \mathcal{H}^{3}(\mathbb{Z}(3))\right)\right)
$$

is torsion. The group $H^{0}\left(V, \mathcal{H}^{4}(\mathbb{Z}(3))\right)$ is torsion by [14, Proposition 3.3 (i)] (it is actually zero as a consequence of the Bloch-Kato conjecture, see [14, Theorem 3.1]), so the result follows.

Let $d=\operatorname{dim} V$. We prove that $\left.\widetilde{\psi}^{d-1}\right|_{\text {tors }}$ is an isomorphism. By Lemma 3.1.2, it is enough to prove that

$$
\operatorname{Ker}\left(f^{d-1}\right)=\operatorname{Im}\left(H^{d-4}\left(V, \mathcal{H}^{d}(\mathbb{Z}(d-1))\right) \rightarrow H^{d-2}\left(V, \mathcal{H}^{d-1}(\mathbb{Z}(d-1))\right)\right)
$$

is torsion. The group $H^{d-4}\left(V, \mathcal{H}^{d}(\mathbb{Z}(d-1))\right)$ is torsion by [14, Proposition 3.3 (ii)], so the result follows.

Corollary 3.1.3. Under the assumptions of Theorem 1.2.1, the following are equivalent:
(i) the Abel-Jacobi map $\psi^{p}$ is universal;
(ii) the sublattice $N^{p-1} H^{2 p-1}(V, \mathbb{Z}(p)) /$ tors $\subset H^{2 p-1}(V, \mathbb{Z}(p)) /$ tors is primitive;
(iii) the restriction $\left.\psi^{p}\right|_{\text {tors }}: A^{p}(V)_{\text {tors }} \rightarrow J_{a}^{p}(V)_{\text {tors }}$ is an isomorphism.

Proof. It is enough to prove that (ii) and (iii) are equivalent. By Theorem 1.2.1, we have an isomorphism

$$
\operatorname{Ker}\left(\pi^{p}\right) \cong \operatorname{Ker}\left(\left.\psi^{p}\right|_{\text {tors }}: A^{p}(V)_{\text {tors }} \rightarrow J_{a}^{p}(V)_{\text {tors }}\right) .
$$

The result follows.

### 3.2 Non-algebraic integral Hodge classes of non-torsion type and non-zero torsion

 cycles in the Abel-Jacobi kernelInspired by the work of Soulé and Voisin [43], we prove:

Proposition 3.2.1. Let $W$ be a smooth projective variety such that the sublattice

$$
H_{\text {alg }}^{2 p}(W, \mathbb{Z}(p)) / \text { tors } \subset H d g^{2 p}(W, \mathbb{Z}) / \text { tors }
$$

is not primitive. Then there exists a smooth elliptic curve $E$ such that the restriction

$$
\left.\psi^{p+1}\right|_{\mathrm{tors}}: A^{p+1}(W \times E)_{\mathrm{tors}} \rightarrow J_{a}^{p+1}(W \times E)_{\mathrm{tors}}
$$

is not an isomorphism.

Remark 3.2.2. The assumption of Proposition 3.2 .1 for $p=2$ is satisfied by Kollár's example [2, p.134, Lemma] (see also [43, Section 2]). It is a very general hypersurface in $\mathbb{P}^{4}$ of degree $l^{3}$ for a prime number $l \geq 5$. When it contains a certain smooth degree $l$ curve, the same conclusion follows from [43, Theorem 4]. The details are given in [43, Section 4].

Proof of Proposition 3.2.1. We define

$$
\bar{Z}^{2 p}(W)=\operatorname{Coker}\left(H^{2 p}(W, \mathbb{Z}(p))_{\text {tors }} \rightarrow H d g^{2 p}(W, \mathbb{Z}) / H_{\text {alg }}^{2 p}(W, \mathbb{Z}(p))\right)
$$

Then we have the following exact sequence:

$$
0 \rightarrow \bar{Z}^{2 p}(W)_{\text {tors }} \rightarrow H_{\mathrm{alg}}^{2 p}(W, \mathbb{Z}(p)) \otimes \mathbb{Q} / \mathbb{Z} \rightarrow H d g^{2 p}(W, \mathbb{Z}) \otimes \mathbb{Q} / \mathbb{Z}
$$

We have $\bar{Z}^{2 p}(W)_{\text {tors }} \neq 0$ by the assumption. Let $\alpha \in \bar{Z}^{2 p}(W)_{\text {tors }}$ be a non-trivial element; we use the same notation for its image in $H_{\text {alg }}^{2 p}(W, \mathbb{Z}(p)) \otimes \mathbb{Q} / \mathbb{Z}$. Let $\widetilde{\alpha} \in C H^{p}(W) \otimes \mathbb{Q} / \mathbb{Z}$ be an element which maps to $\alpha$ via the surjection

$$
c l^{p} \otimes \mathbb{Q} / \mathbb{Z}: C H^{p}(W) \otimes \mathbb{Q} / \mathbb{Z} \rightarrow H_{\mathrm{alg}}^{2 p}(W, \mathbb{Z}(p)) \otimes \mathbb{Q} / \mathbb{Z}
$$

Let $k \subset \mathbb{C}$ be an algebraically closed field such that $\operatorname{tr} . \operatorname{deg}_{\mathbb{Q}} k<\infty$ and both $W$ and $\widetilde{\alpha}$ are defined over $k$. Let $E$ be a smooth elliptic curve such that $j(E) \notin k$. We fix one component $\mathbb{Q} / \mathbb{Z}$ of $C H^{1}(E)_{\text {tors }}=(\mathbb{Q} / \mathbb{Z})^{2}$, and we identify $\widetilde{\alpha}$ with an element in $C H^{p}(W) \otimes C H^{1}(E)_{\text {tors }}$. By the Schoen theorem [41, Theorem 0.2], the image $\beta$ of $\widetilde{\alpha}$ by the exterior product map

$$
C H^{p}(W) \otimes C H^{1}(E)_{\mathrm{tors}} \rightarrow C H^{p+1}(W \times E)
$$

is non-zero. Then $\beta \in A^{p+1}(W \times E)_{\text {tors }}$. We prove

$$
\beta \in \operatorname{Ker}\left(\psi^{p+1}: A^{p+1}(W \times E) \rightarrow J_{a}^{p+1}(W \times E)\right)
$$

It is enough to prove that $\beta$ is in the kernel of the cycle class map of the Deligne cohomology:

$$
c l_{\mathcal{D}}^{p+1}: C H^{p+1}(W \times E) \rightarrow H_{\mathcal{D}}^{2 p+2}(W \times E, \mathbb{Z}(p+1))
$$

The composition of $c l_{\mathcal{D}}^{p+1}$ with the exterior product map factors through

$$
c l_{\mathcal{D}}^{p} \otimes c l_{\mathcal{D}}^{1}: C H^{p}(W) \otimes C H^{1}(E)_{\mathrm{tors}} \rightarrow H_{\mathcal{D}}^{2 p}(W, \mathbb{Z}(p)) \otimes H_{\mathcal{D}}^{2}(E, \mathbb{Z}(1))_{\mathrm{tors}}
$$

Now it is enough to prove that $\widetilde{\alpha}$ is in the kernel of this map. Since we have an extension

$$
0 \rightarrow J^{p}(W) \rightarrow H_{\mathcal{D}}^{2 p}(W, \mathbb{Z}(p)) \rightarrow H d g^{2 p}(W, \mathbb{Z}) \rightarrow 0
$$

and the complex torus $J^{p}(W)$ is divisible, we have an isomorphism

$$
H_{\mathcal{D}}^{2 p}(W, \mathbb{Z}(p)) \otimes H_{\mathcal{D}}^{2}(E, \mathbb{Z}(1))_{\mathrm{tors}} \cong H d g^{2 p}(W, \mathbb{Z}) \otimes H_{\mathcal{D}}^{2}(E, \mathbb{Z}(1))_{\mathrm{tors}}
$$

The proof is done by the choice of $\widetilde{\alpha}$.

Proof of Theorem 1.2.3. For any smooth projective curve $E$, the group $C H_{0}(W \times E)$ is supported on a 3 -dimensional closed subset. The proof is done by applying Corollary 3.1.3 for $p=3$ to $V=W \times E$ and Proposition 3.2.1 for $p=2$.

The same arguments yield a "homology counterpart" of Theorem 1.2.3:

Theorem 3.2.3. Let $W$ be a smooth projective variety such that $C H_{0}(W)$ is supported on a surface and the inclusion

$$
H_{2, \text { alg }}(W, \mathbb{Z}(1)) / \text { tors } \subsetneq H d g_{2}(W, \mathbb{Z}) / \text { tors }
$$

is strict. Then there exists a smooth elliptic curve $E$ such that the sublattice

$$
N_{2} H_{3}(W \times E, \mathbb{Z}(1)) / \text { tors } \subset H_{3}(W \times E, \mathbb{Z}(1)) / \text { tors }
$$

is not primitive.

Proof of Corollary 1.2.5. Let $X$ be the pencil of Enriques surfaces of Theorem 2.2.1. We have $C H_{0}(X)=\mathbb{Z}$ by Lemma 2.1.4. Moreover, the cohomology group $H^{4}(X, \mathbb{Z})$ is torsion-free and the inclusion $H_{\text {alg }}^{4}(X, \mathbb{Z}) \subsetneq H d g^{4}(X, \mathbb{Z})$ is strict by Theorem 2.2.1. Now the assertion follows by applying Theorem 1.2.3 to $W=X$. The proof is complete.

Finally, we explain how to produce counterexamples to Murre's question in higher dimensions and for other values of $p$. We take $X$ and $E$ as in Corollary 1.2.5, and let $d \geq 4$. Then, on the $d$-fold $X \times E \times \mathbb{P}^{d-4}$, for all $3 \leq p \leq d-1$, the sublattice

$$
N^{p-1} H^{2 p-1}\left(X \times E \times \mathbb{P}^{d-4}, \mathbb{Z}(p)\right) \subset H^{2 p-1}\left(X \times E \times \mathbb{P}^{d-4}, \mathbb{Z}(p)\right)
$$

is not primitive (this follows from the formula [3, Theorem 3.1] for the Bloch-Ogus spectral sequence [10] under taking the product with a projective space). In particular, for all $3 \leq p \leq d-1$, the Abel-Jacobi map

$$
\psi^{p}: A^{p}\left(X \times E \times \mathbb{P}^{d-4}\right) \rightarrow J_{a}^{p}\left(X \times E \times \mathbb{P}^{d-4}\right)
$$

is not universal.

## APPENDIX

## SOME FUNDAMENTAL RESULTS

This chapter is organized as follows. In Section A.1, we give a direct proof of a theorem of Walker on the factorization of the Abel-Jacobi maps. In Section A.2, we discuss stable birational invariants related to our problems. In Section A.3, we prove the Roitman theorem for the Walker maps by using the formalism of decomposition of the diagonal.

We work over the complex numbers throughout.
Section A. 2 and A. 3 are based on the paper [45] (Suzuki, F.: A remark on a 3 -fold constructed by Colliot-Thélène and Voisin, Math. Res. Lett. 27 (2020), no1, 301-317).

## A. 1 Factorization of the Abel-Jacobi maps

We give a direct proof of the following theorem of Walker, which was originally proved as an application of the theory of the Lawson homology and the morphic cohomology.

Theorem A.1.1 ([54]). For a smooth projective variety $X$, the Abel-Jacobi map $\psi^{p}$ factors as


## APPENDIX (Continued)

where $J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right)$ is the intermediate Jacobian for the mixed Hodge structure given by the coniveau filtration $N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)), \pi^{p}$ is a natural isogeny, and $\widetilde{\psi^{p}}$ is a surjective regular homomorphism.

Remark A.1.2. The Walker map $\widetilde{\psi}^{p}$ is the uniques lift of the Abel-Jacobi map $\psi^{p}$. This follows from the fact that $A^{p}(X)$ is divisible [10, Lemma 7.10] and $\operatorname{Ker}\left(\pi^{p}\right)$ is finite.

Before beginning the proof, we review the construction of the Abel-Jacobi maps using mixed Hodge structures [24] (the reader can consult [15; 16] for basic knowledge about mixed Hodge structures).

For a mixed Hodge structure ( $H, W_{\bullet}, F^{\bullet}$ ), we define its intermediate Jacobian $J(H)$ as the extension group

$$
J(H)=\operatorname{Ext}_{\mathrm{MHS}}^{1}(\mathbb{Z}(0), H)
$$

in the abelian category MHS of mixed Hodge structures. If $H$ is pure of weight -1 , then $J(H)$ is isomorphic to a complex torus

$$
H_{\mathbb{C}} /\left(H_{\mathbb{Z}}+F^{0} H_{\mathbb{C}}\right) .
$$

For a smooth projective variety $X$, the cohomology group $H^{2 p-1}(X, \mathbb{Z}(p))$ has a pure Hodge structure of weight -1 , therefore we have $J^{p}(X)=J\left(H^{2 p-1}(X, \mathbb{Z}(p))\right)$. On the other hand, for

## APPENDIX (Continued)

a codimension $p$ closed subset $Y \subset X$, the long exact sequence for cohomology groups with supports gives a short exact sequence ${ }^{1}$

$$
0 \rightarrow H^{2 p-1}(X, \mathbb{Z}(p)) \rightarrow H^{2 p-1}(X-Y, \mathbb{Z}(p)) \rightarrow Z_{Y}^{p}(X)_{\mathrm{hom}} \rightarrow 0
$$

This is a short exact sequence of mixed Hodge structures, where $Z_{Y}^{p}(X)_{\text {hom }}$ has the trivial Hodge structure. Then the boundary map in the long exact sequence for $\operatorname{Ext}_{\mathrm{MHS}}^{i}(\mathbb{Z}(0),-)$ determines a map

$$
Z_{Y}^{p}(X)_{\mathrm{hom}} \rightarrow J^{p}(X)
$$

Now we take the direct limit about all codimension $p$ closed subsets of $X$ to obtain a map

$$
Z^{p}(X)_{\mathrm{hom}} \rightarrow J^{p}(X)
$$

This coincides with the Abel-Jacobi map $A J^{p}$ defined by using currents.

[^3]
## APPENDIX (Continued)

Proof of Theorem A.1.1. First we construct the Walker map. Let $X$ be a smooth projective variety $X$. For a codimension $p$ closed subset $Y \subset X$, the long exact sequence for cohomology groups with supports gives

where $\mathcal{Z}^{p-1}$ is the set of codimension $p-1$ closed subsets of $X$. By the snake lemma, we have an exact sequence

$$
0 \rightarrow N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)) \rightarrow N^{p-1} H^{2 p-1}(X-Y, \mathbb{Z}(p)) \rightarrow Z_{Y}^{p}(X)_{\text {hom }} \xrightarrow{\delta_{Y}} \operatorname{Coker}(f) .
$$

We prove that $\operatorname{Ker}\left(\delta_{Y}\right)=Z_{Y}^{p}(X)_{\text {alg }}$. We have a commutative diagram with exact rows and columns

where $\mathcal{Z}^{p}$ is the set of codimension $p$ closed subsets of $X$ and $\mathcal{Z}^{p} / \mathcal{Z}^{p-1}$ is the set of pairs $(Y, Z) \in \mathcal{Z}^{p} \times \mathcal{Z}^{p-1}$ such that $Y \subset Z$. Then the result follows from the diagram and the fact that

## APPENDIX (Continued)

the image of the map $\partial$ is the subgroup $Z^{p}(X)_{\mathrm{alg}} \subset Z^{p}(X)$ [10, Theorem 7.3]. As a consequence, we have a short exact sequence

$$
0 \rightarrow N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)) \rightarrow N^{p-1} H^{2 p-1}(X-Y, \mathbb{Z}(p)) \rightarrow Z_{Y}^{p}(X)_{\mathrm{alg}} \rightarrow 0
$$

This is a short exact sequence of mixed Hodge structures, where $N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))$ has a pure Hodge structure of weight -1 and $Z_{Y}^{p}(X)_{\text {alg }}$ has the trivial Hodge structure. Then the boundary map in the long exact sequence for $\operatorname{Ext}_{\mathrm{MHS}}^{i}(\mathbb{Z}(0),-)$ determines a map

$$
\widetilde{\psi}_{Y}^{p}: Z_{Y}^{p}(X)_{\mathrm{alg}} \rightarrow J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)),\right.
$$

where $J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right)$ is a complex torus. Now we take the direct limit to obtain a map

$$
\widetilde{\psi}^{p}: Z^{p}(X)_{\mathrm{alg}} \rightarrow J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right),
$$

which we call the Walker map.
Next we establish several basic properties of the Walker map $\widetilde{\psi}^{p}$.

Lemma A.1.3. We have a commutative diagram


## APPENDIX (Continued)

where $\pi^{p}$ is induced by the inclusion $N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)) \subseteq H^{2 p-1}(X, \mathbb{Z}(p))$.

Proof. We have a commutative diagram of short exact sequences of mixed Hodge structures

for any codimension $p$ closed subset $Y \subset X$. The assertion follows by applying $\operatorname{Ext}_{\mathrm{MHS}}^{i}(\mathbb{Z}(0),-)$ and taking the direct limit.

Lemma A.1.4. Let $C$ be a smooth projective curve and $\Gamma$ be a codimension $p$ cycle on $C \times X$ each of whose components dominates $C$. Then we have a commutative diagram:


Proof. We freely use the fact that the Betti cohomology and the Borel-Moore homology form a Poincaré duality theory with supports (see [3;10] for the axioms). Let $\pi_{C}: C \times X \rightarrow C$ (resp. $\left.\pi_{X}: C \times X \rightarrow X\right)$ be the projection to $C$ (resp. $X$ ). For a codimension one closed subset $Y \subset C$, setting $Y^{\prime}=\pi_{C}^{-1}(Y)$, we have a commutative diagram

$$
\begin{align*}
& \begin{aligned}
& 0 \longrightarrow H^{1}(C, \mathbb{Z}(1)) \longrightarrow H^{1}(C-Y, \mathbb{Z}(1)) \longrightarrow Z_{Y}^{1}(C)_{\text {hom }} \longrightarrow 0 . \\
& \downarrow^{\left(\pi_{C}\right)^{*}} \downarrow\left(\pi_{C}\right)^{*}
\end{aligned}  \tag{A.1}\\
& 0 \rightarrow H^{1}(C \times X, \mathbb{Z}(1)) \rightarrow H^{1}\left(C \times X-Y^{\prime}, \mathbb{Z}(1)\right) \rightarrow Z_{Y^{\prime}}^{1}(C \times X)_{\text {hom }} \rightarrow 0
\end{align*}
$$

## APPENDIX (Continued)

Similarly, setting $G=\operatorname{Supp}(\Gamma)$ and $Y^{\prime \prime}=Y^{\prime} \cap G$, we have a commutative diagram

where, letting $i: G-Y^{\prime \prime} \rightarrow X \times C-Y^{\prime}$ be a closed immersion and denoting by $H_{*}^{B M}$ the Borel-Moore homology, the middle vertical map $(\cup \Gamma)^{\prime}$ is the composition

$$
\begin{aligned}
H^{1}\left(C \times X-Y^{\prime},\right. & \mathbb{Z}(1)) \xrightarrow{i^{*}} H^{1}\left(G-Y^{\prime \prime}, \mathbb{Z}(1)\right) \xrightarrow{\cap\left(\left.\Gamma\right|_{G-Y^{\prime \prime}}\right)} H_{2 \operatorname{dim} G-1}^{B M}\left(G-Y^{\prime \prime}, \mathbb{Z}(\operatorname{dim} G-1)\right) \\
& \xrightarrow{i_{*}} H_{2 \operatorname{dim} G-1}^{B M}\left(C \times X-Y^{\prime \prime}, \mathbb{Z}(\operatorname{dim} G-1)\right)=H^{2 p+1}\left(C \times X-Y^{\prime \prime}, \mathbb{Z}(p)\right) .
\end{aligned}
$$

Since the images of the vertical maps are supported on $G$, we have another commutative diagram


Finally, setting $Y^{\prime \prime \prime}=\pi_{X}\left(Y^{\prime \prime}\right)$ and letting $j: X \times C-\pi_{X}^{-1}\left(Y^{\prime \prime \prime}\right) \rightarrow X \times C-Y^{\prime \prime}$ be an open immersion, we have a commutative diagram

$$
\begin{aligned}
& 0 \rightarrow H^{2 p+1}(C \times X, \mathbb{Z}(p+1)) \rightarrow H^{2 p+1}\left(X \times C-Y^{\prime \prime}, \mathbb{Z}(p+1)\right) \rightarrow Z_{Y^{\prime \prime}}^{2 p+1}(C \times X)_{\text {hom }} \rightarrow 0,
\end{aligned}
$$

## APPENDIX (Continued)

which restricts to


By the diagrams (A.1), (A.2), and (A.3), we have a commutative diagram


This is a commutative diagram of mixed Hodge structures. The assertion follows by applying $\operatorname{Ext}_{\mathrm{MHS}}^{i}(\mathbb{Z}(0),-)$ and taking the direct limit.

Corollary A.1.5. The Walker map $\widetilde{\psi}^{p}$ factors through $A^{p}(X)$. Moreover we have a commutative diagram


Proof. By Lemma A.1.4, we have a commutative diagram


## APPENDIX (Continued)

where $\Gamma$ runs through all codimension $p$ cycles on $\mathbb{P}^{1} \times X$ with the components dominating $\mathbb{P}^{1}$. Since the image of the left vertical map is the subgroup $Z^{p}(X)_{\text {rat }} \subset Z^{p}(X)$, the first assertion follows. The second assertion is immediate by using Lemma A.1.3.

The source of the Walker map $\widetilde{\psi}^{p}$ will be $A^{p}(X)$ in the following.

Lemma A.1.6. The Walker map $\widetilde{\psi}^{p}$ is functorial for correspondences.

Proof. The result follows from an argument similar to that of Lemma A.1.4 and the moving lemma.

Corollary A.1.7. The Walker map $\widetilde{\psi}^{p}$ is surjective. Moreover $J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right)$ is an abelian variety.

Proof. Let $Z \subset X$ be a closed subset of codimension $p-1$ such that the natural map

$$
H_{Z}^{2 p-1}(X, \mathbb{Z}(p)) \rightarrow H^{2 p-1}(X, \mathbb{Z}(p))
$$

induces a surjection

$$
H_{Z}^{2 p-1}(X, \mathbb{Z}(p)) \rightarrow N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))
$$

By the right exactness of the intermediate Jacobian functor $J(-)$ [6], we have a surjection

$$
\begin{equation*}
J\left(H_{Z}^{2 p-1}(X, \mathbb{Z}(p))\right) \rightarrow J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right) \tag{A.4}
\end{equation*}
$$

## APPENDIX (Continued)

Let $\widetilde{Z}$ be a resolution of $Z$ and $\widetilde{Z}_{i}$ be the components of $\widetilde{Z}$. An easy computation shows that the natural map

$$
\bigoplus_{i} H^{1}\left(\widetilde{Z}_{i}, \mathbb{Z}(1)\right) \rightarrow H_{Z}^{2 p-1}(X, \mathbb{Z}(p))
$$

is an injection with the cokernel having the trivial Hodge structure. This induces a surjection

$$
\begin{equation*}
\bigoplus_{i} J^{1}\left(\widetilde{Z}_{i}\right) \rightarrow J\left(H_{Z}^{2 p-1}(X, \mathbb{Z}(p))\right) . \tag{A.5}
\end{equation*}
$$

Then we combine (A.4) and (A.5) to obtain a surjection

$$
\bigoplus_{i} J^{1}\left(\widetilde{Z}_{i}\right) \rightarrow J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right),
$$

which coincides with the map induced by the graphes $\Gamma_{i}$ of $\widetilde{Z}_{i} \rightarrow Z \rightarrow X$. By Lemma A.1.6, we have a commutative diagram


The results follow.

Corollary A.1.8. The Walker map $\widetilde{\psi}^{p}$ is regular.

## APPENDIX (Continued)

Proof. By Lemma A.1.6, we have a commutative diagram

for any smooth projective variety $S$ and codimension $p$ cycle $\Gamma$ on $S \times X$. Now the result is immediate using the Albanese map.

It remains to show that the natural map

$$
\pi^{p}: J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right) \rightarrow J^{p}(X)
$$

has finite kernel. It is straightforward to compute that

$$
\operatorname{Ker}\left(\pi^{p}\right)=\operatorname{Coker}\left(H^{2 p-1}(X, \mathbb{Z}(p))_{\text {tors }} \rightarrow\left(H^{2 p-1}(X, \mathbb{Z}(p)) / N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right)_{\text {tors }}\right)
$$

The result immediately follows.

## APPENDIX (Continued)

## A. 2 Stable birational invariants

Let $X$ be a smooth projective variety. By Lemma 3.1.1, the Abel-Jacobi map $\psi^{p}$ is universal if the restriction $\left.\psi^{p}\right|_{\text {tors }}: A^{p}(X)_{\text {tors }} \rightarrow J_{a}^{p}(X)_{\text {tors }}$ is an isomorphism. We recall the factorization of the Abel-Jacobi map $\psi^{p}$ due to Walker [54]:


The kernel

$$
\operatorname{Ker}\left(\pi^{p}\right)=\operatorname{Coker}\left(H^{2 p-1}(X, \mathbb{Z}(p))_{\text {tors }} \rightarrow\left(H^{2 p-1}(X, \mathbb{Z}(p)) / N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right)_{\text {tors }}\right)
$$

is trivial if and only if the sublattice

$$
N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)) / \text { tors } \subset H^{2 p-1}(X, \mathbb{Z}(p)) / \text { tors }
$$

is primitive.

Lemma A.2.1. The groups $\operatorname{Ker}\left(\left.\psi^{3}\right|_{\text {tors }}\right), \operatorname{Ker}\left(\left.\psi^{d-1}\right|_{\text {tors }}\right), \operatorname{Ker}\left(\pi^{3}\right)$, and $\operatorname{Ker}\left(\pi^{d-1}\right)$, where $d=$ $\operatorname{dim} X$, are stable birational invariants of smooth projective varieties $X$.

Remark A.2.2. A related result is proved by Voisin [51, Lemma 2.2].

Proof of Lemma A.2.1. For each group, it is enough to check

## APPENDIX (Continued)

(i) the invariance under the blow-up along a smooth subvariety;
(ii) the invariance under taking the product with $\mathbb{P}^{n}$.

By the formulas under these operations for the Chow groups and the Deligne cohomology groups (resp. the coniveau spectral sequence and the integral cohomology groups) and by their compatibility with the cycle class maps (resp. the differentials and the edge homomorphisms), (i) and (ii) are reduced to the triviality of the groups $\operatorname{Ker}\left(\left.\psi^{i}\right|_{\text {tors }}\right)$ and $\operatorname{Ker}\left(\pi^{i}\right)$ for $i \leq 2$ and $i=\operatorname{dim} Y$ on a smooth projective variety $Y$. The triviality of $\operatorname{Ker}\left(\left.\psi^{2}\right|_{\text {tors }}\right)\left(\operatorname{resp} . \operatorname{Ker}\left(\left.\psi^{\operatorname{dim} Y}\right|_{\text {tors }}\right)\right)$ follows from the Roitman theorem for codimension 2-cycles due to Murre [34, Theorem 10.3] (resp. the Roitman theorem [37, Theorem 3.1]). The triviality of $\operatorname{Ker}\left(\pi^{2}\right)\left(\operatorname{resp} . \operatorname{Ker}\left(\pi^{\operatorname{dim} Y}\right)\right)$ follows from the universality of $\psi^{2}$ (resp. $\psi^{\operatorname{dim} Y}$ ). The rest is clear. The proof is done.

Corollary A.2.3. Let $X$ be a smooth projective stably rational variety. Let $p \in\{3, \operatorname{dim} X-1\}$. Then $\operatorname{Ker}\left(\left.\psi^{p}\right|_{\text {tors }}\right)=\operatorname{Ker}\left(\pi^{p}\right)=0$. Therefore the Abel-Jacobi map $\psi^{p}$ is universal and the sublattice

$$
N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p)) / \text { tors } \subset H^{2 p-1}(X, \mathbb{Z}(p)) / \text { tors }
$$

is primitive.

For a smooth projective variety $X$, let $Z^{2 p}(X)=H d g^{2 p}(X, \mathbb{Z}) / H_{\text {alg }}^{2 p}(X, \mathbb{Z}(p))$ be the defect of the integral Hodge conjecture in degree $2 p$. We define

$$
\bar{Z}^{2 p}(X)=\text { Coker }\left(H^{2 p}(X, \mathbb{Z}(p))_{\text {tors }} \rightarrow Z^{2 p}(X)\right)
$$

## APPENDIX (Continued)

Then $\bar{Z}^{2 p}(X)_{\text {tors }}=0$ if and only if the sublattice

$$
H_{\text {alg }}^{2 p}(X, \mathbb{Z}(p)) / \text { tors } \subset H d g^{2 p}(X, \mathbb{Z}) / \text { tors }
$$

is primitive.

Lemma A.2.4. The groups $\bar{Z}^{4}(X)$ and $\bar{Z}^{2 d-2}(X)$, where $d=\operatorname{dim} X$, are stable birational invariants of smooth projective varieties $X$.

Remark A.2.5. The groups $Z^{4}(X)$ and $Z^{2 d-2}(X)$, where $d=\operatorname{dim} X$, are stable birational invariants of smooth projective varieties $X$ [50][53] and related to the unramified cohomology groups [14].

Proof of Lemma A.2.4. The proof is reduced to the triviality of the groups $\bar{Z}^{2}(Y)$ and $\bar{Z}^{2 \operatorname{dim} Y}(Y)$ on a smooth projective variety $Y$. The triviality of $\bar{Z}^{2}(Y)$ follows from the Lefschetz $(1,1)$ theorem. The triviality of $\bar{Z}^{2 \operatorname{dim} Y}(Y)$ is clear. The proof is done.

We recall the following question (see [14, Subsection 5.6]):

Question A.2.6. Let $X$ be a smooth projective rationally connected variety. Is the group $\bar{Z}^{4}(X)$ trivial? Equivalently, is the inclusion $H_{\mathrm{alg}}^{4}(X, \mathbb{Z}(2)) /$ tors $\subset H d g^{4}(X, \mathbb{Z}) /$ tors strict?

The negative answer to this question would provide us with another example to which we can apply Theorem 1.2.3. There is a unirational fourfold $X$ constructed by Schreieder [42] with $Z^{4}(X) \neq 0$, that is, the integral Hodge conjecture fails in degree four on $X$. The fourfold $X$ is a

## APPENDIX (Continued)

smooth model of a conic bundle $Y$ over $\mathbb{P}^{3}$. It is hard to analyze $\bar{Z}^{4}(X)$ while the construction of $Y$ is explicit.

## A. 3 Decomposition of the diagonal and the Roitman theorem for the Walker maps

A smooth projective variety with $C H_{0}(X)$ supported on a proper closed subset admits a decomposition of the diagonal due to Bloch [8] and Bloch-Srinivas [11]. This result is generalized by Paranjape [36] and Laterveer [28]. We follow Laterveer's formulation here. Let $X$ be a smooth projective variety of dimension $d$. For non-negative integers $r$ and $s$, we consider the following condition: $C H_{i}(X)_{\mathbb{Q}}$ is supported on an $(i+r)$-dimensional closed subset for any $0 \leq i \leq s$. We call this condition $L_{r, s}$. Assume that $L_{r, s}$ holds for $X$. Then $X$ admits a generalized decomposition of the diagonal [28, Theorem 1.7] (see also [36, Proposition 6.1]): there exist closed subsets $V_{0}, \cdots, V_{s}$ and $W_{0}, \cdots, W_{s+1}$ of $X$ with $\operatorname{dim} V_{j} \leq j+r(j=0, \cdots, s)$ and $\operatorname{dim} W_{j} \leq d-j(j=0, \cdots, s+1)$ such that we have a decomposition

$$
\Delta_{X}=\Delta_{0}+\cdots+\Delta_{s}+\Delta^{s+1}
$$

in $C H^{d}(X \times X)_{\mathbb{Q}}$, where $\Delta_{j}$ is supported on $V_{j} \times W_{j}(j=0, \cdots, s)$ and $\Delta^{s+1}$ is supported on $X \times W_{s+1}$.

For a smooth projective variety $Y$, let $E_{2}^{p, q}(Y)=H^{p}\left(Y, \mathcal{H}^{q}(\mathbb{Z})\right)$. For the action of correspondences on the coniveau spectral sequence, we refer the reader to [14, Appendice A].

Lemma A.3.1. Let $X$ be a smooth projective variety of dimension $d$ such that $L_{r, s}$ holds for $X$. Then $E_{2}^{p, q}(X)$ is torsion if $p+r<q$ and $p<s+1$, or if $p+r<q$ and $q>d-s-1$.

## APPENDIX (Continued)



Remark A.3.2. The case $s=0$ is [14, Proposition 3.3 (i)(ii)].

Proof of Lemma A.3.1. We may assume that the inequalities about the dimensions of $V_{j}, W_{j}$ are equal. Let $N$ be a positive integer such that

$$
N \Delta_{X}=N \Delta_{0}+\cdots+N \Delta_{s}+N \Delta^{s+1} \in C H^{d}(X \times X)
$$

Let $\widetilde{V}_{j}(j=0, \cdots, s)$ and $\widetilde{W}_{j}(j=0, \cdots, s+1)$ be resolutions of $V_{j}$ and $W_{j}$, and $\widetilde{\Delta}_{j}$ be $d$-cycles on $\widetilde{V}_{j} \times \widetilde{W}_{j}$ pushed forward to $c_{j} \Delta_{j}$ for some positive integer $c_{j}$. We may assume that $c_{0}=\cdots=c_{s+1}$. Let $N^{\prime}=N \cdot c_{0}$. We prove $N^{\prime} \cdot E_{2}^{p, q}(X)=0$ if $p+r<q$ and $p<s+1$, or if $p+r<q$ and $q>d-s-1$.

For $0 \leq j \leq s$, we prove that
(i) $\left(N^{\prime} \Delta_{j}\right)_{*}=0$ if $(p, q) \notin[j, j+r] \times[j, j+r]$;
(ii) $\left(N^{\prime} \Delta_{j}\right)^{*}=0$ if $(p, q) \notin[d-j-r, d-j] \times[d-j-r, d-j]$.

## APPENDIX (Continued)

We have a commutative diagram


To prove (i), it is enough to observe that $E_{2}^{p, q}\left(\widetilde{V}_{j}\right)=0$ if $p>j+r$ or $q>j+r$, and $E_{2}^{p-j, q-j}\left(\widetilde{W}_{j}\right)=0$ if $p<j$ or $q<j$. Similarly, we have a commutative diagram


To prove (ii), it is enough to observe that $E_{2}^{p, q}\left(\widetilde{W}_{j}\right)=0$ if $p>d-j$ or $q>d-j$, and $E_{2}^{p+r-d+j, q+r-d+j}\left(\widetilde{V}_{j}\right)=0$ if $p<d-r-j$ or $q<d-r-j$.

For $\Delta^{s+1}$, we prove that
(iii) $\left(N^{\prime} \Delta^{s+1}\right)_{*}=0$ if $(p, q) \notin[s+1, d] \times[s+1, d]$;
(iv) $\left(N^{\prime} \Delta^{s+1}\right)^{*}=0$ if $(p, q) \notin[0, d-s-1] \times[0, d-s-1]$.

## APPENDIX (Continued)

We have a commutative diagram


To prove (iii), it is enough to observe that $E_{2}^{p-s-1, q-s-1}\left(\widetilde{W}_{s+1}\right)=0$ if $p<s+1$ or $q<s+1$.
Similarly, we have a commutative diagram


To prove (iv), it is enough to observe that $E^{p, q}\left(\widetilde{W}_{s+1}\right)=0$ if $p>d-s-1$ or $q>d-s-1$.
The proof is done by (i), (ii), (iii) and (iv).

Theorem A.3.3. Let $X$ be a smooth projective variety of dimension $d$ such that $L_{3, s}$ holds for $X$. Let $p \in[3, s+3] \cup[d-s-1, d-1]$. Then the restriction

$$
\left.\widetilde{\psi}^{p}\right|_{\text {tors }}: A^{p}(X)_{\text {tors }} \rightarrow J\left(N^{p-1} H^{2 p-1}(X, \mathbb{Z}(p))\right)_{\text {tors }}
$$

## APPENDIX (Continued)

is an isomorphism. Moreover the Walker map $\widetilde{\psi}^{p}$ is universal.

Remark A.3.4. The case $s=0$ is Theorem 1.2.1.

Proof of Theorem A.3.3. The second statement follows from the first one by Lemma 3.1.1.
We prove that the restriction $\left.\widetilde{\psi}^{p}\right|_{\text {tors }}$ is an isomorphism. By Lemma 3.1.2, it is enough to prove that $\operatorname{Ker}\left(f^{p}\right)$ is torsion. By Lemma A.3.1, the groups

$$
E_{2}^{p-3, p+1}(X), \cdots, E_{2}^{0,2 p-2}(X)
$$

are torsion, so the result follows.

Consent To Publish and Copyright Agreement<br>Publisher: International Press of Boston, Inc., Somerville, Mass.<br>Publication: Mathematical Research Letters (ISSN print 1073-2780, online 1073-2780)

Title of Contribution (herein called the "Work"): $\qquad$

Reference/Manuscript Number:
The Work's author or authors (herein called the "Author"):

Expected to appear in Volume ___ Issue No. ___ Year ___
By signing this agreement, the Author grants the Publisher permission to publish the Work named herein. It is recommended that the Author also transfer, by means of this Agreement, the Work's copyright to the Publisher. This empowers the Publisher to protect the Work against any unauthorized use, and to properly authorize its dissemination in various forms, such as within bound publications, as article reprints, photocopies, or microfilm, or as electronically stored documents. This copyright protection also covers dissemination of the Work by secondary information sources such as abstracting, reviewing and indexing services; by conversion of the Work into machine-readable form; and by storage of the Work in electronic databases.

1. The Author hereby grants the Publisher permission to publish the Work in Mathematical Research Letters.
2. The Author must confirm the following: (a) that the Work has not been published before in any form except as a preprint; (b) that the Work is not concurrently submitted to another publication; (c) that all authors of the Work, if there are more than just one, are properly credited; (d) the Author holds the copyright to the Work, and thereby has the right to grant, to the Publisher, the rights described herein, complete and unencumbered. The Author must also confirm that the Work does not libel anyone, infringe on anyone's copyright, or otherwise violate anyone's statutory or common-law rights.
3. Unless explicitly indicated otherwise under item 6 below, the Author also hereby transfers to the Publisher the copyright of the Work named above, whereby the Publisher shall have the exclusive and unlimited right to publish the given Work and to have it translated wholly or in part throughout the world during the full term of copyright. This includes renewals, extensions, and all subsidiary rights as stipulated above, subject only to item 4.
4. The Work may be reproduced by any means for educational and scientific purposes by the Author or by others without fee or permission with the exception of reproduction by services that collect fees for delivery of documents. The Author may use part or all of this Work or its image in any further work of his/her (their) own. In any reproduction, the original publication by the Publisher must be credited after the following manner: "First published in [Publication] in [volume and number, or year], published by International Press." The copyright notice in proper form must be placed on all copies. Any publication or other form of reproduction not meeting these requirements will be deemed unauthorized.
5. In the event of receiving any request to reprint or translate all or part of the Work, the Publisher shall seek to inform the Author.
6. The Author (or whoever the copyright holder may be) may retain copyright to the Work, instead of transferring it to the Publisher. If the Author chooses to retain copyright to the Work, the Author nevertheless herein gives the Publisher unlimited rights to publish and distribute the Work in any form, and to have it translated wholly or in part throughout the world, and to accept payment for this. The copyright holder retains the right to duplicate the Work by any means, and to permit others to do the same, with the exception of reproduction by services which collect fees for delivery of documents. In each case of authorized duplication of the Work, the Author(s) must still insure that the original publication by the Publisher is properly credited. If the Author does not choose, or is unable to assign the copyright to the Publisher, the Author(s) must agree that the Publisher is not responsible for copyright infringements.

In order to prevent this Agreement from transferring copyright of the Work to the Publisher, the Author must (a) strike out items 3,4 , and 5 above, and (b) must further indicate his intention to retain the copyright by writing clearly, on the lines below, the desired copyright notice that the Publisher should include with the published Work:
7. Also, upon acceptance of the Work by the Publication's editors, the Author agrees to provide them with the final version of the manuscript in electronic TeX form, including any auxilliary files needed to typeset the Work.

This Agreement is to be completed and signed by the Author. Or, if the Work has more than one credited author, then this Agreement may be either (a) signed by all the authors, or (b) signed by the designated corresponding author on behalf of all the authors. In the case of a "work-made-for-hire" whose copyright is held by the Author's employer, this fact should be noted on the agreement, which should be completed and signed by employer.
Author Name Signature Date
Author Name Signature Date

Author Name
Signature
Date

Please return the signed agreement to:
Journal Production, International Press, PO Box 502, Somerville, MA 02143, U.S.A.
Or send by fax: [country code 1] 617-623-3101

## Springer

Copyright Transfer

## Confirmation of your Copyright Transfer

Dear Author,

Please note: This e-mail is a confirmation of your copyright transfer and was sent to you only for your own records.

## 1. Publication

The copyright to this article, (including any supplementary information and graphic elements therein (e.g. illustrations, charts, moving images) (the 'Article'), is hereby assigned for good and valuable consideration to Springer-Verlag GmbH Germany, part of Springer Nature (the 'Assignee'). Headings are for convenience only.

## 2. Grant of Rights

In consideration of the Assignee evaluating the Article for publication, the Author(s) grant the Assignee without limitation the exclusive (except as set out in clauses 3,4 and 5 a) iv), assignable and sub-licensable right, unlimited in time and territory, to copy-edit, reproduce, publish, distribute, transmit, make available and store the Article, including abstracts thereof, in all forms of media of expression now known or developed in the future, including pre- and reprints, translations, photographic reproductions and extensions. Furthermore, to enable additional publishing services, such as promotion of the Article, the Author(s) grant the Assignee the right to use the Article (including the use of any graphic elements on a stand-alone basis) in whole or in part in electronic form, such as for display in databases or data networks (e.g. the Internet), or for print or download to stationary or portable devices. This includes interactive and multimedia use as well as posting the Article in full or in part or its abstract on social media, and the right to alter the Article to the extent necessary for such use. The Assignee may also let third parties share the Article in full or in part or its abstract on social media and may in this context sub-license the Article and its abstract to social media users. Author(s) grant to Assignee the right to re-license Article metadata without restriction (including but not limited to author name, title, abstract, citation, references, keywords and any additional information as determined by Assignee).

## 3. Self-Archiving

Author(s) are permitted to self-archive a pre-print and an author's accepted manuscript version of their Article.

1. A pre-print is the author's version of the Article before peer-review has taken place ("Pre-Print"). Prior to acceptance for publication, Author(s) retain the right to make a Pre-Print of their Article available on any of the following: their own personal, self-maintained website; a legally compliant, non-commercial pre-print server such as but not limited to arXiv and bioRxiv. Once the Article has been published, the Author(s) should update the acknowledgement and provide a link to the definitive version on the publisher's website: "This is a pre-print of an article published in [insert journal title]. The final authenticated version is available online at: https://doi.org/[insert DOI]".
2. An Author's Accepted Manuscript (AAM) is the version accepted for publication in a journal following peer review but prior to copyediting and typesetting that can be made available under the following conditions:
a. Author(s) retain the right to make an AAM of their Article available on their own personal, self-maintained website immediately on acceptance,
b. Author(s) retain the right to make an AAM of their Article available for public release on any of the following 12 months after first publication ("Embargo Period"): their employer's internal website; their institutional and/or funder repositories. AAMs may also be deposited in such repositories immediately on acceptance, provided that they are not made publicly available until after the Embargo Period.

An acknowledgement in the following form should be included, together with a link to the published version on the publisher's website: "This is a post-peer-review, pre-copyedit version of an article published in [insert journal title]. The final authenticated version is available online at: http://dx.doi.org/[insert DOI]".

## 4. Authors' Retained Rights

Author(s) retain the following non-exclusive rights for the published version provided that, when reproducing the Article or extracts from it, the Author(s) acknowledge and reference first publication in the Journal:

1. to reuse graphic elements created by the Author(s) and contained in the Article, in presentations and other works created by them;
2. they and any academic institution where they work at the time may reproduce the Article for the purpose of course teaching (but not for inclusion in course pack material for onward sale by libraries and institutions); and
3. to reproduce, or to allow a third party Assignee to reproduce the Article in whole or in part in any printed volume (book or thesis) written by the Author(s).

## 5. Warranties

The Author(s) warrant and represent that:

1. (i) the Author(s) are the sole copyright owners or have been authorised by any additional copyright owner(s) to assign the rights defined in clause 2, (ii) the Article does not infringe any intellectual property rights (including without limitation copyright, database rights or trade mark rights) or other third party rights and no licence from or payments to a third party are required to publish the Article, (iii) the Article has not been previously published or licensed, (iv) if the Article contains material from other sources (e.g. illustrations, tables, text quotations), Author(s) have obtained written permissions to the extent necessary from the copyright holder(s), to license to the Assignee the same rights as set out in Clause 2 but on a non-exclusive basis and without the right to use any graphic elements on a stand-alone basis and have cited any such material correctly;
2. all of the facts contained in the Article are according to the current body of science true and accurate;
3. nothing in the Article is obscene, defamatory, violates any right of privacy or publicity, infringes any other human, personal or other rights of any person or entity or is otherwise unlawful and that informed consent to publish has been obtained for all research participants;
4. nothing in the Article infringes any duty of confidentiality which any of the Author(s) might owe to anyone else or violates any contract, express or implied, of any of the Author(s). All of the institutions in which work recorded in the Article was created or carried out have authorised and approved such research and publication; and
5. the signatory (the Author or the employer) who has signed this agreement has full right, power and authority to enter into this agreement on behalf of all of the Author(s).

## 6. Cooperation

The Author(s) shall cooperate fully with the Assignee in relation to any legal action that might arise from the publication of the Article, and the Author(s) shall give the Assignee access at reasonable times to any relevant accounts, documents and records within the power or control of the Author(s). The Author(s) agree that the distributing entity is intended to have the benefit of and shall have the right to enforce the terms of this agreement.

## 7. Author List

After signing, changes of authorship or the order of the authors listed will not be accepted unless formally approved in writing by the Assignee.

## 8. Edits \& Corrections

The Author(s) agree(s) that the Assignee may retract the Article or publish a correction or other notice in relation to the Article if the Assignee considers in its reasonable opinion that such actions are appropriate from a legal, editorial or research integrity perspective.

This is an automated e-mail; please do not reply to this account. If you have any questions, please go to our helppages.
Thank you very much.

Kind regards,
Springer Author Services

## Article Details

## Journal title

Mathematische Annalen

## DOI

10.1007/s00208-020-01969-8

Copyright transferred to
Springer-Verlag GmbH Germany, part of Springer Nature

## Article title

A pencil of Enriques surfaces with non-algebraic integral Hodge classes

Corresponding Author
Fumiaki Suzuki
Transferred on
Fri Feb 21 19:21:05 CET 2020

## Service Contacts

## Springer Nature Customer Service Center

## Tiergartenstr. 15-17

69121 Heidelberg
Germany
phone: +49 62213450
fax: +49 62213454229
customerservice@springernature.com

## Springer New York, LCC

## 233 Spring Street

New York, NY 10013
USA
phone: +1 2124601500 or 800-SPRINGER
(Weekdays 8:30am - 5:30pm ET)
fax: +1 212-460-1700
customerservice@springernature.com

## CITED LITERATURE

1. Atiyah, M. F. and Hirzebruch, F.: Analytic cycles on complex manifolds. Topology, 1:25-45, 1962.
2. eds, E. Ballico, F. Catanese, and C. Ciliberto Classification of irregular varieties, volume 1515 of Lecture Notes in Mathematics. Springer-Verlag, Berlin, 1992. Minimal models and abelian varieties.
3. Barbieri-Viale, L.: $\mathcal{H}$-cohomologies versus algebraic cycles. Math. Nachr., 184:5-57, 1997.
4. Beauville, A.: Complex algebraic surfaces, volume 34 of London Mathematical Society Student Texts. Cambridge University Press, Cambridge, second edition, 1996. Translated from the 1978 French original by R. Barlow, with assistance from N. I. Shepherd-Barron and M. Reid.
5. Benoist, O. and Ottem, J. C.: Failure of the integral Hodge conjecture for threefolds of Kodaira dimension zero. 2018. to appear in Commentarii Mathematici Helvetici.
6. Beĭlinson, A. A.: Notes on absolute Hodge cohomology. In Applications of algebraic $K$-theory to algebraic geometry and number theory, Part I, II (Boulder, Colo., 1983), volume 55 of Contemp. Math., pages 35-68. Amer. Math. Soc., Providence, RI, 1986.
7. Bloch, S.: Torsion algebraic cycles and a theorem of Roitman. Compositio Math., 39(1):107127, 1979.
8. Bloch, S.: On an argument of Mumford in the theory of algebraic cycles. In Journées de Géometrie Algébrique d'Angers, Juillet 1979/Algebraic Geometry, Angers, 1979, pages 217-221. Sijthoff \& Noordhoff, Alphen aan den RijnGermantown, Md., 1980.
9. Bloch, S., Kas, A., and Lieberman, D.: Zero cycles on surfaces with $p_{g}=0$. Compositio Math., 33(2):135-145, 1976.
10. Bloch, S. and Ogus, A.: Gersten's conjecture and the homology of schemes. Ann. Sci. École Norm. Sup. (4), 7:181-201 (1975), 1974.
11. Bloch, S. and Srinivas, V.: Remarks on correspondences and algebraic cycles. Amer. J. Math., 105(5):1235-1253, 1983.
12. Cattani, E., Deligne, P., and Kaplan, A.: On the locus of Hodge classes. J. Amer. Math. Soc., 8(2):483-506, 1995.
13. Colliot-Thélène, J. L.: Cycles algébriques de torsion et $K$-théorie algébrique. In Arithmetic algebraic geometry (Trento, 1991), volume 1553 of Lecture Notes in Math., pages 1-49. Springer, Berlin, 1993.
14. Colliot-Thélène, J. L. and Voisin, C.: Cohomologie non ramifiée et conjecture de Hodge entière. Duke Math. J., 161(5):735-801, 2012.
15. Deligne, P.: Théorie de Hodge. II. Inst. Hautes Études Sci. Publ. Math., (40):5-57, 1971.
16. Deligne, P.: Théorie de Hodge. III. Inst. Hautes Études Sci. Publ. Math., (44):5-77, 1974.
17. Diaz, H.: On the unramified cohomology of certain quotient varieties. 2019. to appear in Math. Z., arXiv:1906.06598.
18. Fulton, W.: Intersection theory, volume 2 of Ergebnisse der Mathematik und ihrer Grenzgebiete. 3. Folge. A Series of Modern Surveys in Mathematics [Results in Mathematics and Related Areas. 3rd Series. A Series of Modern Surveys in Mathematics]. Springer-Verlag, Berlin, second edition, 1998.
19. Graber, T., Harris, J., Mazur, B., and Starr, J.: Rational connectivity and sections of families over curves. Ann. Sci. École Norm. Sup. (4), 38(5):671-692, 2005.
20. Graber, T., Harris, J., and Starr, J.: Families of rationally connected varieties. J. Amer. Math. Soc., 16(1):57-67, 2003.
21. Green, M., Murre, J., and Voisin, C.: Algebraic cycles and Hodge theory, volume 1594 of Lecture Notes in Mathematics. Springer-Verlag, Berlin, 1994. Lectures given at the Second C.I.M.E. Session held in Torino, June 21-29, 1993, Edited by A. Albano and F. Bardelli.
22. Griffiths, P. A.: Periods of integrals on algebraic manifolds. II. Local study of the period mapping. Amer. J. Math., 90:805-865, 1968.
23. Grothendieck, A.: Hodge's general conjecture is false for trivial reasons. Topology, 8:299-303, 1969.
24. Jannsen, U.: Mixed motives and algebraic K-theory, volume 1400 of Lecture Notes in Mathematics. Springer-Verlag, Berlin, 1990. With appendices by S. Bloch and C. Schoen.
25. Kollár, J.: Shafarevich maps and plurigenera of algebraic varieties. Invent. Math., 113(1):177215, 1993.
26. Lafon, G.: Une surface d'Enriques sans point sur $\mathbb{C}((t))$. C. R. Math. Acad. Sci. Paris, 338(1):51-54, 2004.
27. Lang, S.: Abelian varieties. Interscience Tracts in Pure and Applied Mathematics. No. 7. Interscience Publishers, Inc., New York; Interscience Publishers Ltd., London, 1959.
28. Laterveer, R.: Algebraic varieties with small Chow groups. J. Math. Kyoto Univ., 38(4):673694, 1998.
29. Lieberman, D.: Intermediate Jacobians. In Algebraic geometry, Oslo 1970 (Proc. Fifth Nordic Summer-School in Math.), pages 125-139, 1972.
30. Ma, S.: Torsion 1-cycles and the coniveau spectral sequence. Doc. Math., 22:1501-1517, 2017.
31. Merkurjev, A. S. and Suslin, A. A.: $K$-cohomology of Severi-Brauer varieties and the norm residue homomorphism. Izv. Akad. Nauk SSSR Ser. Mat., 46(5):1011-1046, 1135-1136, 1982.
32. Murre, J. P.: Un résultat en théorie des cycles algébriques de codimension deux. C. R. Acad. Sci. Paris Sér. I Math., 296(23):981-984, 1983.
33. Murre, J. P.: Abel-Jacobi equivalence versus incidence equivalence for algebraic cycles of codimension two. Topology, 24(3):361-367, 1985.
34. Murre, J. P.: Applications of algebraic $K$-theory to the theory of algebraic cycles. In Algebraic geometry, Sitges (Barcelona), 1983, volume 1124 of Lecture Notes in Math., pages 216-261. Springer, Berlin, 1985.
35. Ottem, J. C. and Suzuki, F.: A pencil of Enriques surfaces with non-algebraic integral Hodge classes. Math. Ann., 2020.
36. Paranjape, K. H.: Cohomological and cycle-theoretic connectivity. Ann. of Math. (2), 139(3):641-660, 1994.
37. Roitman, A. A.: The torsion of the group of 0 -cycles modulo rational equivalence. Ann. of Math. (2), 111(3):553-569, 1980.
38. Rosenschon, A. and Srinivas, V.: The Griffiths group of the generic abelian 3-fold. In Cycles, motives and Shimura varieties, volume 21 of Tata Inst. Fund. Res. Stud. Math., pages 449-467. Tata Inst. Fund. Res., Mumbai, 2010.
39. Saito, H.: Abelian varieties attached to cycles of intermediate dimension. Nagoya Math. J., 75:95-119, 1979.
40. Samuel, P.: Relations d'équivalence en géométrie algébrique. In Proc. Internat. Congress Math. 1958, pages 470-487. Cambridge Univ. Press, New York, 1960.
41. Schoen, C.: On certain exterior product maps of Chow groups. Math. Res. Lett., 7(2-3):177194, 2000.
42. Schreieder, S.: Stably irrational hypersurfaces of small slopes. J. Amer. Math. Soc., 32(4):1171-1199, 2019.
43. Soulé, C. and Voisin, C.: Torsion cohomology classes and algebraic cycles on complex projective manifolds. Adv. Math., 198(1):107-127, 2005.
44. Starr, J. M.: A pencil of Enriques surfaces of index one with no section. Algebra Number Theory, 3(6):637-652, 2009.
45. Suzuki, F.: A remark on a 3-fold constructed by Colliot-Thélène and Voisin. Math. Res. Lett., 27(1):301-317, 2020.
46. Totaro, B.: On the integral Hodge and Tate conjectures over a number field. Forum Math. Sigma, 1:e4, 13, 2013.
47. Totaro, B.: Complex varieties with infinite Chow groups modulo 2. Ann. of Math. (2), 183(1):363-375, 2016.
48. Voisin, C.: On integral Hodge classes on uniruled or Calabi-Yau threefolds. In Moduli spaces and arithmetic geometry, volume 45 of Adv. Stud. Pure Math., pages 43-73. Math. Soc. Japan, Tokyo, 2006.
49. Voisin, C.: Hodge theory and complex algebraic geometry. I, volume 76 of Cambridge Studies in Advanced Mathematics. Cambridge University Press, Cambridge, english edition, 2007. Translated from the French by Leila Schneps.
50. Voisin, C.: Some aspects of the Hodge conjecture. Jpn. J. Math., 2(2):261-296, 2007.
51. Voisin, C.: Degree 4 unramified cohomology with finite coefficients and torsion codimension 3 cycles. In Geometry and arithmetic, EMS Ser. Congr. Rep., pages 347-368. Eur. Math. Soc., Zürich, 2012.
52. Voisin, C.: Unirational threefolds with no universal codimension 2 cycle. Invent. Math., 201(1):207-237, 2015.
53. Voisin, C.: Stable birational invariants and the Lüroth problem. In Surveys in differential geometry 2016. Advances in geometry and mathematical physics, volume 21 of Surv. Differ. Geom., pages 313-342. Int. Press, Somerville, MA, 2016.
54. Walker, M. E.: The morphic Abel-Jacobi map. Compos. Math., 143(4):909-944, 2007.

## VITA

| NAME | Fumiaki Suzuki |
| :--- | :--- |
| EDUCATION | Ph.D., Mathematics, University of Illinois at Chicago, Chicago, IL, 2020 |
|  | M.S., Mathematics, University of Tokyo, Tokyo, Japan, 2015 |
| BUBLICATIONS | Ottem, J. C., Suzuki, F.: "A pencil of Enriques surfaces with non- <br> algebraic integral Hodge classes", Math. Ann. (2020) |
|  | Suzuki, F.: "Birational superrigidity and K-stability of projectively <br> normal Fano manifolds of index one", (2018), to appear in Michigan <br> Math. J. |
|  | Suzuki, F.: "A remark on a 3-fold constructed by Colliot-Thélène and <br> Voisin", Math. Res. Lett. 27 (2020), no. 1, 301-317 |
| Suzuki, F.: "Birational rigidity of complete intersections", Math. Z. <br> 285 (2017), 479-492. |  |
|  | Teaching Assistant, Calculus III (MATH210), Fall 2019 |


[^0]:    ${ }^{1}$ We denote by $\mathbb{Z}(m)$ the Hodge structure of Tate $(2 \pi i)^{m} \cdot \mathbb{Z}$, which is a pure Hodge structure of weight $-2 m$. We keep track of Tate twists in Chapter 3 and Appendix.

[^1]:    ${ }^{1}$ We use Grothendieck's notation for projective bundles: for a vector bundle $\mathcal{E}, \mathbb{P}(\mathcal{E})$ paramterizes one-dimensional quotients of $\mathcal{E}$.

[^2]:    ${ }^{1}$ For an abelian group $G$ and a prime number $l$, we denote by $G_{l-\text { tors }}$ the subgroup of l-primary torsion elements of $G$.

[^3]:    ${ }^{1}$ For a variety $X$, we denote by $Z^{p}(X)$ the group of codimension $p$ cycles on $X$ and by $Z^{p}(X)$ rat (resp. $\left.Z^{p}(X)_{\text {alg }}, Z^{p}(X)_{\text {hom }}\right)$ the subgroup of cycles rationally equivalent to zero (resp. algebraically equivalent to zero, homologous to zero) on $X$. For a codimension $p$ closed subset $Y \subset X$, we denote by $Z_{Y}^{p}(X)$ the subgroup of cycles supported on $Y$; the groups $Z_{Y}^{p}(X)_{\text {rat }}, Z_{Y}^{p}(X)_{\text {alg }}$, and $Z_{Y}^{p}(X)_{\text {hom }}$ are accordingly defined.

