

# ON THE CLASSICAL LIMIT OF A TIME-DEPENDENT SELF-CONSISTENT FIELD SYSTEM: ANALYSIS AND COMPUTATION

SHI JIN, CHRISTOF SPARBER, AND ZHENNAN ZHOU

**ABSTRACT.** We consider a coupled system of Schrödinger equations, arising in quantum mechanics via the so-called time-dependent self-consistent field method. Using Wigner transformation techniques we study the corresponding classical limit dynamics in two cases. In the first case, the classical limit is only taken in one of the two equations, leading to a mixed quantum-classical model which is closely connected to the well-known Ehrenfest method in molecular dynamics. In the second case, the classical limit of the full system is rigorously established, resulting in a system of coupled Vlasov-type equations. In the second part of our work, we provide a numerical study of the coupled semi-classically scaled Schrödinger equations and of the mixed quantum-classical model obtained via Ehrenfest's method. A second order (in time) method is introduced for each case. We show that the proposed methods allow time steps independent of the semi-classical parameter(s) while still capturing the correct behavior of physical observables. It also becomes clear that the order of accuracy of our methods can be improved in a straightforward way.

*Dedicated to Peter Markowich on the occasion of his 60th birthday*

## 1. INTRODUCTION

The numerical simulation of many chemical, physical, and biochemical phenomena requires the direct simulation of dynamical processes within large systems involving quantum mechanical effects. However, if the entire system is treated quantum mechanically, the numerical simulations are often restricted to relatively small model problems on short time scales due to the formidable computational cost. In order to overcome this difficulty, a basic idea is to separate the involved degrees of freedom into two different categories: one, which involves variables that behave effectively classically (i.e., evolving on slow time- and large spatial scales) and one which encapsulates the (fast) quantum mechanical dynamics within a certain portion of the full system. For example, for a system consisting of many molecules, one might designate the electrons as the fast degrees of freedom and the atomic nuclei as the slow degrees of freedom.

Whereas separation of the whole system into a classical part and a quantum mechanical part is certainly not an easy task, it is, by now, widely studied in the physics literature and often leads to what is called *time-dependent self-consistent field equations* (TDSCF), see, e.g., [5, 7, 10, 16, 21, 22, 24, 30] and the references

---

*Date:* January 30, 2016.

*2000 Mathematics Subject Classification.* 35Q41, 35B40, 65M70, 35Q83.

*Key words and phrases.* Quantum mechanics, classical limit, self-consistent field method, Wigner transform, Ehrenfest method, time-splitting algorithm.

This work was partially supported by NSF grants DMS-1114546 and DMS-1107291: NSF Research Network in Mathematical Sciences KI-Net: Kinetic description of emerging challenges in multiscale problems of natural sciences. C.S. acknowledges support by the NSF through grant numbers DMS-1161580 and DMS-1348092.

therein. In the TDSCF method, one typically assumes that the total wave function of the system  $\Psi(X, t)$ , with  $X = (x, y)$ , can be approximated by

$$(1.1) \quad \Psi(X, t) \approx \psi(x, t)\varphi(y, t),$$

where  $x$  and  $y$  denote the degrees of freedom within a certain subsystem, only. The precise nature of this approximation thereby strongly depends on the concrete problem at hand (in particular, on the initial data and on the precise nature of the coupling between the two subsystems). Disregarding this issue for the moment, one might then, in a second step, hope to derive a self-consistently coupled system for  $\psi$  and  $\varphi$  and approximate it, at least partially, by the associated classical dynamics.

In this article we will study a simple model problem for such a TDSCF system, motivated by [5, 10, 21, 22, 24], but one expects that our findings extend to other self-consistent models as well. We will be interested in deriving various (semi-) classical approximations to the considered TDSCF system, resulting in either a mixed quantum-classical model, or a fully classical model. As we shall see, this also gives a rigorous justification of what is known as the *Ehrenfest method* in the physics literature, cf. [7, 10]. To this end, we shall be heavily relying on *Wigner transformation* techniques, developed in [12, 23], which have been proved to be superior in many aspects to the more classical WKB approximations, see, e.g. [28] for a broader discussion. One should note that the use of Wigner methods to study the classical limit of nonlinear (self-consistent) quantum mechanical models is not straightforward and usually requires additional assumptions on the quantum state, cf. [25, 23]. It turns out that in our case we can get by without them.

In the second part of this article we shall then be interested in designing an efficient and accurate numerical method which allows us to pass to the classical limit in the TDSCF system within our numerical algorithm. We will be particularly interested in the meshing strategy required to accurately approximate the wave functions, or to capture the correct physical observables (which are quadratic quantities of the wave function). To this end, we propose a second order (in time) method based on an operator splitting and a spectral approximation of the TDSCF equations as well as the obtained Ehrenfest model. These types of methods have been proven to be very effective in earlier numerical studies, see, e.g., [2, 3, 9, 19, 20] for previous results and [18] for a review of the current state-of-the art of numerical methods for semi-classical Schrödinger type models. The readers may also refer to [4, 29] for some recent results on the numerical analysis of Born-Oppenheimer molecular dynamics with connections to the Ehrenfest model. In comparison to the case of a single (semi-classical) nonlinear Schrödinger equation with power law nonlinearities, where one has to use time steps which are comparable to the size of the small semi-classical parameter (see [2]), it turns out that in our case, despite of the nonlinearity, we can rigorously justify that one can take time steps *independent* of the semi-classical parameter and still capture the correct classical limit of physical observables.

The rest of this paper is now organized as follows: In Section 2, we present the considered TDSCF system and discuss some of its basic mathematical properties, which will be used later on. In Section 3, a brief introduction to the Wigner transforms and Wigner measures is given. In Section 4 we study the semi-classical limit, resulting in a mixed quantum-classical limit system. In Section 5 the completely classical approximation of the TDSCF system is studied by means of two different limiting processes, both of which result in the same classical model. The numerical methods used for the TDSCF equations and the Ehrenfest equations are then introduced in Section 6. Finally, we study several numerical tests cases in Section 7 in order to verify the properties of our methods.

## 2. THE TDSCF SYSTEM

**2.1. Basic set-up and properties.** In the following, we take  $x \in \mathbb{R}^d$ ,  $y \in \mathbb{R}^n$ , with  $d, n \in \mathbb{N}$ , and denote by  $\langle \cdot, \cdot \rangle_{L_x^2}$  and  $\langle \cdot, \cdot \rangle_{L_y^2}$  the usual inner product in  $L^2(\mathbb{R}_x^d)$  and  $L^2(\mathbb{R}_y^n)$ , respectively, i.e.

$$\langle f, g \rangle_{L_z^2} \equiv \int_{\mathbb{R}^m} \bar{f}(z)g(z)dz.$$

The total Hamiltonian of the system acting on  $L^2(\mathbb{R}^{d+n})$  is assumed to be of the form

$$(2.1) \quad H = -\frac{\delta^2}{2}\Delta_x - \frac{\varepsilon^2}{2}\Delta_y + V(x, y),$$

where  $V(x, y) \in \mathbb{R}$  is some (time-independent) real-valued potential. Typically, one has

$$(2.2) \quad V(x, y) = V_1(x) + V_2(y) + W(x, y),$$

where  $V_{1,2}$  are external potentials acting only on the respective subsystem and  $W$  represents an internal coupling potential in between the two subsystems. From now on, we shall assume that  $V$  satisfies

$$(A1) \quad V \in C_0^2(\mathbb{R}_x^d \times \mathbb{R}_y^n), \quad V(x, y) \geq 0, \quad \forall (x, y) \in \mathbb{R}^{d+n},$$

where here and in the following, we denote by  $C_0$  the set of continuous functions vanishing at infinity.

**Remark 2.1.** For potential bounded below, the requirement  $V \geq 0$  is not really an assumption, but merely corresponds to fixing the point 0 on the energy axis.

In (2.1), the Hamiltonian is already written in dimensionless form, such that only two (small) parameters  $\varepsilon, \delta > 0$  remain. In the following, they play the role of dimensionless Planck's constants. Dependence with respect to these parameters will be denoted by superscripts. The TDSCF system at hand is then (formally given by [10]) the following system of self-consistently coupled Schrödinger equations

$$(2.3) \quad \begin{cases} i\delta\partial_t\psi^{\varepsilon,\delta} = \left(-\frac{\delta^2}{2}\Delta_x + \langle \varphi^{\varepsilon,\delta}, V\varphi^{\varepsilon,\delta} \rangle_{L_y^2}\right)\psi^{\varepsilon,\delta}, & \psi|_{t=0}^{\varepsilon,\delta} = \psi_{\text{in}}^\delta(x), \\ i\varepsilon\partial_t\varphi^{\varepsilon,\delta} = \left(-\frac{\varepsilon^2}{2}\Delta_y + \langle \psi^{\varepsilon,\delta}, h^\delta\psi^{\varepsilon,\delta} \rangle_{L_x^2}\right)\varphi^{\varepsilon,\delta}, & \varphi|_{t=0}^{\varepsilon,\delta} = \varphi_{\text{in}}^\varepsilon(y), \end{cases}$$

where we denote by

$$(2.4) \quad h^\delta = -\frac{\delta^2}{2}\Delta_x + V(x, y),$$

the Hamiltonian of the subsystem represented by the  $x$ -variables (considered as the purely quantum mechanical variables) and in which  $y$  only enters as a parameter. It is obtained by substituting the ansatz (1.1) into the original Schrödinger equation and integrating over  $y$  and  $x$  respectively, see [10]. As a matter of fact, the TDSCF systems may take various forms, which are also equivalent to one another by certain gauge transformations, see [5, 21, 22, 24] for broad discussions. Without loss of generality, we choose to study the specific TDSCF system (2.3).

For simplicity, we assume that at  $t = 0$  the data  $\psi_{\text{in}}^\delta$  only depends on  $\delta$ , and that  $\varphi_{\text{in}}^\varepsilon$  only depends on  $\varepsilon$ , which means that the simultaneous dependence on both parameters is only induced by the time-evolution.

**Remark 2.2.** A typical example of initial data which satisfies this assumption (and all upcoming requirements of our analysis) is

$$\Psi(X, 0) \approx \psi_{\text{in}}^\delta(x)\varphi_{\text{in}}^\varepsilon(y) = a_1(x)e^{iS_1(x)/\delta}a_2(y)e^{iS_2(y)/\varepsilon},$$

where  $S_1, S_2$  are some smooth, real-valued phases and  $a_1, a_2$  some (in general, complex-valued) amplitudes. In other words,  $\Psi(X, 0)$  is assumed to be approximated by a (two-scale) WKB type initial data in product form.

Finally, the coupling terms are explicitly given by

$$\langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_{L_y^2} = \int_{\mathbb{R}_y^n} V(x, y) |\varphi^{\varepsilon, \delta}(y, t)|^2 dy =: \Upsilon^{\varepsilon, \delta}(x, t),$$

and after formally integrating by parts

$$\langle \psi^{\varepsilon, \delta}, h^\delta \psi^{\varepsilon, \delta} \rangle_{L_x^2} = \int_{\mathbb{R}_x^d} \frac{\delta^2}{2} |\nabla \psi^{\varepsilon, \delta}(x, t)|^2 + V(x, y) |\psi^{\varepsilon, \delta}(x, t)|^2 dx =: \Lambda^{\varepsilon, \delta}(y, t).$$

Throughout this work we will always interpret the term  $\langle \psi^{\varepsilon, \delta}, h^\delta \psi^{\varepsilon, \delta} \rangle_{L_x^2}$  as above, i.e., in the weak sense. Both  $\Upsilon^{\varepsilon, \delta}$  and  $\Lambda^{\varepsilon, \delta}$  are time-dependent, real-valued potentials, computed self-consistently via the dynamics of  $\varphi^{\varepsilon, \delta}$  and  $\psi^{\varepsilon, \delta}$ , respectively. Note that

$$(2.5) \quad \Lambda^{\varepsilon, \delta}(y, t) = \frac{\delta^2}{2} \|\nabla \psi^{\varepsilon, \delta}\|_{L_x^2}^2 + \langle \psi^{\varepsilon, \delta}, V \psi^{\varepsilon, \delta} \rangle_{L_x^2} \equiv \vartheta^{\varepsilon, \delta}(t) + \langle \psi^{\varepsilon, \delta}, V \psi^{\varepsilon, \delta} \rangle_{L_x^2}.$$

Here, the purely time-dependent part  $\vartheta^{\varepsilon, \delta}(t)$ , could in principle be absorbed into the definition of  $\varphi^{\varepsilon, \delta}$  via a gauge transformation, i.e.,

$$(2.6) \quad \varphi^{\varepsilon, \delta}(x, t) \mapsto \tilde{\varphi}^{\varepsilon, \delta}(x, t) := \varphi^{\varepsilon, \delta}(x, t) \exp \left( -\frac{i}{\varepsilon} \int_0^t \vartheta^{\varepsilon, \delta}(s) ds \right).$$

For the sake of simplicity, we shall refrain from doing so, but this nevertheless shows that the two coupling terms are in essence of the same form. Also note that this gauge transform leaves any  $H^s(\mathbb{R}^d)$ -norm of  $\varphi^{\varepsilon, \delta}$  invariant (but clearly depends on the solution of the second equation within the TDSCF system).

**Remark 2.3.** For potentials of the form (2.2), one can check that in the case where  $W(x, y) \equiv 0$ , i.e., no coupling term, one can use similar gauge transformations to completely decouple the two equations in (2.3) and obtain two linear Schrödinger equations in  $x$  and  $y$ , respectively.

An important physical quantity is the total mass of the system,

$$(2.7) \quad M^{\varepsilon, \delta}(t) := \|\psi^{\varepsilon, \delta}(\cdot, t)\|_{L_x^2}^2 + \|\varphi^{\varepsilon, \delta}(\cdot, t)\|_{L_y^2}^2 \equiv m_1^{\varepsilon, \delta}(t) + m_2^{\varepsilon, \delta}(t),$$

where  $m_1^{\varepsilon, \delta}$ ,  $m_2^{\varepsilon, \delta}$  denote the masses of the respective subsystem. One can then prove that these are conserved by the time-evolution of (2.3).

**Lemma 2.4.** *Let  $V$  satisfy (A1) and assume that  $\psi^{\varepsilon, \delta} \in C(\mathbb{R}_t; H^1(\mathbb{R}_x^d))$  and  $\varphi^{\varepsilon, \delta} \in C(\mathbb{R}_t; H^1(\mathbb{R}_y^n))$  solve (2.3). Then*

$$m_1^{\varepsilon, \delta}(t) = m_1^{\varepsilon, \delta}(0), \quad m_2^{\varepsilon, \delta}(t) = m_2^{\varepsilon, \delta}(0), \quad \forall t \in \mathbb{R}.$$

*Proof.* Assuming for the moment, that both  $\psi^{\varepsilon, \delta}$  and  $\varphi^{\varepsilon, \delta}$  are sufficiently smooth and decaying, we multiply the first equation in (2.3) with  $\overline{\psi^{\varepsilon, \delta}}$  and formally integrate with respect to  $x \in \mathbb{R}_x^d$ . Taking the real part of the resulting expression and having in mind that  $\Upsilon^{\varepsilon, \delta}(y, t) \in \mathbb{R}$ , yields

$$\frac{d}{dt} m_1^{\varepsilon, \delta}(t) \equiv \frac{d}{dt} \|\psi^{\varepsilon, \delta}(\cdot, t)\|_{L_x^2}^2 = 0,$$

which, after another integration in time, is the desired result for  $m_1^{\varepsilon, \delta}(t)$ . By the same argument one can show the result for  $m_2^{\varepsilon, \delta}(t)$ . Integration in time in combination with a density argument then allows to extend the result to more general solutions in  $H^1$ , respectively.  $\square$

We shall, from now on assume that the initial data is normalized such that  $m_1^{\varepsilon,\delta}(0) = m_2^{\varepsilon,\delta}(0) = 1$ . Using this normalization, the total energy of the system can be written as

$$(2.8) \quad \begin{aligned} E^{\varepsilon,\delta}(t) := & \frac{\delta^2}{2} \|\nabla \psi^{\varepsilon,\delta}(\cdot, t)\|_{L_x^2}^2 + \frac{\varepsilon^2}{2} \|\nabla \varphi^{\varepsilon,\delta}(\cdot, t)\|_{L_y^2}^2 \\ & + \iint_{\mathbb{R}^{d+n}} V(x, y) |\psi^{\varepsilon,\delta}(x, t)|^2 |\varphi^{\varepsilon,\delta}(y, t)|^2 dx dy. \end{aligned}$$

Note that in view of our assumption (A1) on  $V$  this is well-defined and that  $E^{\varepsilon,\delta}(t)$  is, in fact, a sum of three non-negative terms.

**Lemma 2.5.** *Let  $V$  satisfy (A1) and assume that  $\psi^{\varepsilon,\delta} \in C(\mathbb{R}_t; H^1(\mathbb{R}_x^d))$  and  $\varphi^{\varepsilon,\delta} \in C(\mathbb{R}_t; H^1(\mathbb{R}_y^n))$  solve (2.3). Then*

$$E^{\varepsilon,\delta}(t) = E^{\varepsilon,\delta}(0), \quad \forall t \in \mathbb{R}.$$

In other words, we have conservation of the total energy, which in itself implies a bound on the interaction energy (since  $V \geq 0$ ) and on the kinetic energies of the respective subsystems. Note however, that the energies of the respective subsystems are in general not conserved, unless  $W \equiv 0$ , i.e.,  $V(x, y) = V_1(x) + V_2(y)$ .

*Proof.* Assuming, as before that  $\psi^{\varepsilon,\delta}$  and  $\varphi^{\varepsilon,\delta}$  are sufficiently regular (and decaying), the proof is a lengthy but straightforward calculation. More precisely, using the shorthand notation

$$E^{\varepsilon,\delta}(t) = \frac{\delta^2}{2} \|\nabla \psi^{\varepsilon,\delta}(\cdot, t)\|_{L_x^2}^2 + \frac{\varepsilon^2}{2} \|\nabla \varphi^{\varepsilon,\delta}(\cdot, t)\|_{L_y^2}^2 + \langle \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta}, V \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta} \rangle_{L_{x,y}^2},$$

one finds that

$$\frac{d}{dt} E^{\varepsilon,\delta}(t) = \text{(I)} + \text{(II)} + \text{(III)} + \text{(IV)},$$

where we denote

$$\begin{aligned} \text{(I)} &:= \frac{\delta^2}{2} \langle \nabla_x \partial_t \psi^{\varepsilon,\delta}, \nabla_x \psi^{\varepsilon,\delta} \rangle_{L_x^2} + \frac{\delta^2}{2} \langle \nabla_x \psi^{\varepsilon,\delta}, \nabla_x \partial_t \psi^{\varepsilon,\delta} \rangle_{L_x^2}, \\ \text{(II)} &:= \frac{\varepsilon^2}{2} \langle \nabla_y \partial_t \varphi^{\varepsilon,\delta}, \nabla_y \varphi^{\varepsilon,\delta} \rangle_{L_y^2} + \frac{\varepsilon^2}{2} \langle \nabla_y \varphi^{\varepsilon,\delta}, \nabla_y \partial_t \varphi^{\varepsilon,\delta} \rangle_{L_y^2}, \\ \text{(III)} &:= \langle \partial_t \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta}, V \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta} \rangle_{L_{x,y}^2} + \langle \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta}, V \partial_t \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta} \rangle_{L_{x,y}^2}, \\ \text{(IV)} &:= \langle \psi^{\varepsilon,\delta} \partial_t \varphi^{\varepsilon,\delta}, V \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta} \rangle_{L_{x,y}^2} + \langle \psi^{\varepsilon,\delta} \varphi^{\varepsilon,\delta}, V \psi^{\varepsilon,\delta} \partial_t \varphi^{\varepsilon,\delta} \rangle_{L_{x,y}^2}. \end{aligned}$$

We will now show that  $\text{(I)} + \text{(III)} = 0$ . By using (2.3), one gets

$$\begin{aligned} \text{(I)} &= -\frac{\delta}{2i} \left\langle \nabla_x \left( -\frac{\delta^2}{2} \Delta_x + \langle \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_y^2} \right) \psi^{\varepsilon,\delta}, \nabla_x \psi^{\varepsilon,\delta} \right\rangle_{L_x^2} \\ &\quad + \frac{\delta}{2i} \left\langle \nabla_x \psi^{\varepsilon,\delta}, \nabla_x \left( -\frac{\delta^2}{2} \Delta_x + \langle \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_y^2} \right) \psi^{\varepsilon,\delta} \right\rangle_{L_x^2} \\ &= -\frac{\delta}{2i} \langle (\nabla_x \langle \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_y^2}) \psi^{\varepsilon,\delta}, \nabla_x \psi^{\varepsilon,\delta} \rangle_{L_x^2} \\ &\quad + \frac{\delta}{2i} \langle \nabla_x \psi^{\varepsilon,\delta}, (\nabla_x \langle \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_y^2}) \psi^{\varepsilon,\delta} \rangle_{L_x^2} \\ &= \frac{\delta}{2i} \left\langle \psi^{\varepsilon,\delta}, \left[ \langle \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_y^2}, \Delta_x \right] \psi^{\varepsilon,\delta} \right\rangle_{L_x^2}, \end{aligned}$$

where  $[A, B] := AB - BA$  denotes the commutator bracket. Similarly, one finds that

$$\begin{aligned}
(\text{III}) &= -\frac{1}{i\delta} \left\langle \left( -\frac{\delta^2}{2} \Delta_x + \langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_{L_y^2} \right) \psi^{\varepsilon, \delta} \varphi^{\varepsilon, \delta}, V \psi^{\varepsilon, \delta} \varphi^{\varepsilon, \delta} \right\rangle_{L_{x,y}^2} \\
&\quad + \frac{1}{i\delta} \left\langle \psi^{\varepsilon, \delta} \varphi^{\varepsilon, \delta}, V \left( -\frac{\delta^2}{2} \Delta_x + \langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_y \right) \psi^{\varepsilon, \delta} \varphi^{\varepsilon, \delta} \right\rangle_{L_{x,y}^2} \\
&= \frac{\delta}{2i} \langle \Delta_x \psi^{\varepsilon, \delta}, \langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_y \psi^{\varepsilon, \delta} \rangle_{L_x^2} - \frac{\delta}{2i} \langle \psi^{\varepsilon, \delta}, \langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_y \Delta_x \psi^{\varepsilon, \delta} \rangle_{L_x^2} \\
&= \frac{\delta}{2i} \langle \psi^{\varepsilon, \delta}, [\Delta_x, \langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_y] \psi^{\varepsilon, \delta} \rangle_{L_x^2} = -(\text{I}),
\end{aligned}$$

due to the fact that  $[A, B] = -[B, A]$ . Therefore, one concludes  $(\text{I}) + (\text{III}) = 0$ . Analogously, one can show that  $(\text{II}) + (\text{IV}) = 0$  and hence, an integration in time yields  $E^{\varepsilon, \delta}(t) = E^{\varepsilon, \delta}(0)$ . Using a density arguments allows to extend this result to more general solution in  $H^1$ .  $\square$

**2.2. Existence of solutions.** In this subsection, we shall establish global in-time existence of solutions to the TDSCF system (2.3). Since the dependence on  $\varepsilon$  and  $\delta$  does not play a role here, we shall suppress their appearance for the sake of notation.

**Proposition 2.6.** *Let  $V$  satisfy (A1) and  $\psi_{\text{in}} \in H^1(\mathbb{R}_x^d)$ ,  $\varphi_{\text{in}} \in H^1(\mathbb{R}_y^n)$ . Then there exists a global strong solution  $(\psi, \varphi) \in C(\mathbb{R}_t; H^1(\mathbb{R}^{d+n}))$  of (2.3), satisfying the conservation laws for mass and energy, as stated above.*

Clearly, this also yields global existence for the system (2.3) with  $0 < \varepsilon, \delta < 1$  included.

*Proof.* We shall first prove local (in-time) well-posedness of the initial value problem (2.3): To this end, we consider  $\Psi(\cdot, t) = (\psi(\cdot, t), \varphi(\cdot, t)) : \mathbb{R}^{d+n} \rightarrow \mathbb{C}^2$  and define the associated  $L^2(\mathbb{R}^d) \otimes L^2(\mathbb{R}^n) \simeq L^2(\mathbb{R}^{d+n})$  norm by

$$\|\Psi(\cdot, t)\|_{L^2}^2 := \|\psi(\cdot, t)\|_{L_x^2}^2 + \|\varphi(\cdot, t)\|_{L_y^2}^2,$$

and consequently set  $H^1(\mathbb{R}^{d+n}) := \{\Psi \in L^2(\mathbb{R}^{d+n}) : |\nabla \Psi| \in L^2(\mathbb{R}^{d+n})\}$ . Using this notation, the TDSCF system (2.3) can be written as

$$i\partial_t \Psi = \mathbb{H}\Psi + f(\Psi),$$

where

$$\mathbb{H} := \begin{pmatrix} -\frac{1}{2}\Delta_x & 0 \\ 0 & -\frac{1}{2}\Delta_y \end{pmatrix}, \quad f(\Psi) := \begin{pmatrix} \langle \varphi, V \varphi \rangle_{L_y^2} \psi & 0 \\ 0 & \langle \psi, h \psi \rangle_{L_x^2} \varphi \end{pmatrix}.$$

Clearly,  $\mathbb{H}$  is the generator of a strongly continuous unitary Schrödinger group  $U(t) := e^{-it\mathbb{H}}$ , which can be used to rewrite the system using Duhamel's formula as

$$(2.9) \quad \Psi(t, \cdot) = U(t)\Psi_{\text{in}}(\cdot) - i \int_0^t U(t-s)f(\Psi(\cdot, s)) ds.$$

Following classical semi-group arguments, cf. [8], it suffices to show that  $f(\Psi)$  is locally Lipschitz in  $H^1(\mathbb{R}^{d+n})$  in order to infer the existence of a unique local in-time solution  $\Psi \in C([0, T), H^1(\mathbb{R}^{d+n}))$ . This is not hard to show, since:

$$\begin{aligned}
&\left\| \langle \varphi_1, V \varphi_1 \rangle_{L_y^2} \psi_1 - \langle \varphi_2, V \varphi_2 \rangle_{L_y^2} \psi_2 \right\|_{L_x^2} \\
&\leq \|V\|_{L^\infty} \|\varphi_1\|_{L_y^2}^2 \|\psi_1 - \psi_2\|_{L_x^2} + \left| \langle \varphi_1, V \varphi_1 \rangle_{L_y^2} - \langle \varphi_2, V \varphi_2 \rangle_{L_y^2} \right| \|\psi_2\|_{L_x^2},
\end{aligned}$$

where we have used the fact that  $|\langle \varphi, V\varphi \rangle_{L_y^2}| \leq \|V\|_{L^\infty} \|\varphi\|_{L_y^2}^2 < \infty$ , because  $V \in L^\infty$ , by assumption. Now we can estimate

$$\begin{aligned} \left| \langle \varphi_1, V\varphi_1 \rangle_{L_y^2} - \langle \varphi_2, V\varphi_2 \rangle_{L_y^2} \right| &\leq \left| \langle \varphi_1 - \varphi_2, V\varphi_1 \rangle_{L_y^2} \right| + \left| \langle \varphi_2, V(\varphi_1 - \varphi_2) \rangle_{L_y^2} \right| \\ &\leq \|V\|_{L^\infty} (\|\varphi_1\|_{L_y^2} + \|\varphi_2\|_{L_y^2}) \|\varphi_1 - \varphi_2\|_{L_y^2}. \end{aligned}$$

Together this implies

$$\left\| \langle \varphi_1, V\varphi_1 \rangle_{L_y^2} \psi_1 - \langle \varphi_2, V\varphi_2 \rangle_{L_y^2} \psi_2 \right\|_{L_x^2} \leq 2\|V\|_{L^\infty} (\|\Psi_1\|_{L^2}^2 + \|\Psi_2\|_{L^2}^2) \|\Psi_1 - \Psi_2\|_{L^2}.$$

An analogous argument can be done for the second part of  $f(\Psi)$ , since

$$|\langle \psi, h\psi \rangle_{L_x^2}| \leq \frac{1}{2} \|\nabla \psi\|_{L_x^2}^2 + \|V\|_{L^\infty} \|\psi\|_{L_x^2}^2 \leq C(\|V\|_{L^\infty}) \|\Psi\|_{H^1}^2.$$

Combining all these estimates, we conclude

$$\|f(\Psi_1) - f(\Psi_2)\|_{L^2} \leq C\|V\|_{L^\infty} (\|\Psi_1\|_{H^1}^2 + \|\Psi_2\|_{H^1}^2) \|\Psi_1 - \Psi_2\|_{H^1}.$$

The same reasoning can then be applied to  $\|\nabla f(\Psi)\|_{L^2}$ , by noticing  $\nabla \langle \varphi, V\varphi \rangle_{L_y^2} = \langle \varphi, \nabla_x V\varphi \rangle_{L_y^2}$  and

$$\nabla \langle \psi, h\psi \rangle_{L_x^2} \varphi = \vartheta(t) \nabla \varphi + \langle \psi, V\psi \rangle_{L_x^2} \nabla \varphi + \langle \psi, \nabla_y V\psi \rangle_{L_x^2} \varphi,$$

in view of (2.5). Since  $V$  satisfies (A1), these expressions are all well-defined. In summary, one gets that there exists a  $C = C(\|V\|_{L^\infty}, \|\Psi_1\|_{H^1}, \|\Psi_2\|_{H^1}) > 0$  such that

$$\|f(\Psi_1) - f(\Psi_2)\|_{H^1} \leq C\|\Psi_1 - \Psi_2\|_{H^1}.$$

Using this, [8, Theorem 3.3.9] implies the existence of a  $T = T(\|\Psi\|_{H^1}) > 0$  and a unique solution  $\Psi \in C([0, T], H^1(\mathbb{R}^{d+n}))$  of (2.9). It is then also clear, that this solution satisfies the conservation of mass and energy for all  $t \in [0, T)$ . Moreover, the quoted theorem also implies that if  $T < +\infty$ , then

$$(2.10) \quad \lim_{t \rightarrow T_-} \|\Psi(\cdot, t)\|_{H^1} = \infty.$$

However, having in mind the conservation laws for mass and energy stated in Lemmas 2.4 and 2.5 together with the fact that we assume w.l.o.g.  $V(x, y) \geq 0$ , we immediately infer that  $\|\Psi(\cdot, t)\|_{H^1} \leq C$  for all  $t \in \mathbb{R}$  and hence, the blow-up alternative (2.10) implies global in-time existence of the obtained solution.  $\square$

**Remark 2.7.** Note that this existence result rests on the fact that the term  $\Lambda^{\varepsilon, \delta}(y, t) := \langle \psi^{\varepsilon, \delta}, h^\delta \psi^{\varepsilon, \delta} \rangle_{L_x^2}$  is interpreted in a weak sense, see (2.5). In order to interpret it in a strong sense, one would need to require higher regularity, in particular  $\psi^{\varepsilon, \delta} \in H^2(\mathbb{R}_x^d)$ .

### 3. REVIEW OF WIGNER TRANSFORMS AND WIGNER MEASURES

The use of Wigner transformation and Wigner measures in the analysis of (semi)-classical asymptotic is, by now, very well established. We shall in the following, briefly recall the main results developed in [23, 12] (see also [11, 25, 28] for further applications and discussions of Wigner measures):

Denote by  $\{f^\varepsilon\}_{0 < \varepsilon \leq 1}$  a family of functions  $f^\varepsilon \in L^2(\mathbb{R}_x^d)$ , depending continuously on a small parameter  $\varepsilon > 0$ , and by

$$(\mathcal{F}_x f^\varepsilon)(\xi) \equiv \widehat{f^\varepsilon}(\xi) := \int_{\mathbb{R}^d} f^\varepsilon(x) e^{-ix \cdot \xi} dx.$$

the corresponding Fourier transform. The associated  $\varepsilon$ -scaled Wigner transform is then given by [31]:

$$(3.1) \quad w^\varepsilon[f^\varepsilon](x, \xi) := \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} f^\varepsilon\left(x - \frac{\varepsilon}{2}z\right) \overline{f^\varepsilon}\left(x + \frac{\varepsilon}{2}z\right) e^{iz \cdot \xi} dz.$$

Clearly, one has

$$(\mathcal{F}_\xi w)(x, z) = \int_{\mathbb{R}^d} w(x, \xi) e^{-iz \cdot \xi} d\xi = f^\varepsilon\left(x - \frac{\varepsilon}{2}z\right) \overline{f^\varepsilon}\left(x + \frac{\varepsilon}{2}z\right),$$

and thus Plancherel's theorem together with a simple change of variables yields

$$\|w^\varepsilon\|_{L^2(\mathbb{R}^{2d})} = \varepsilon^{-d} (2\pi)^{-d/2} \|f^\varepsilon\|_{L^2(\mathbb{R}^d)}^2.$$

The real-valued function  $w^\varepsilon(x, \xi)$  acts as a quantum mechanical analogue for classical phase-space distributions. However,  $w^\varepsilon(x, \xi) \not\geq 0$  in general. A straightforward computation shows that the position density associated to  $f^\varepsilon$  can be computed via

$$|f^\varepsilon(x)|^2 = \int_{\mathbb{R}^d} w^\varepsilon(x, \xi) d\xi.$$

Moreover, by taking higher order moments in  $\xi$  one (formally) finds

$$\varepsilon \operatorname{Im}(\overline{f^\varepsilon}(x) \nabla f^\varepsilon(x)) = \int_{\mathbb{R}^d} \xi w^\varepsilon(x, \xi) d\xi,$$

and

$$\varepsilon^2 |\nabla f^\varepsilon(x)|^2 = \int_{\mathbb{R}^d} |\xi|^2 w^\varepsilon(x, \xi) d\xi.$$

In order to make these computations rigorous, the integrals on the r.h.s. have to be understood in an appropriate sense, since  $w^\varepsilon \notin L^1(\mathbb{R}_x^m \times \mathbb{R}_\xi^m)$  in general, cf. [12, 23] for more details.

It has been proved in [23, Proposition III.1], that if  $f^\varepsilon$  is uniformly bounded in  $L^2(\mathbb{R}^d)$  as  $\varepsilon \rightarrow 0_+$ , i.e., if

$$\sup_{0 < \varepsilon \leq 1} \|f^\varepsilon\|_{L_x^2} \leq C,$$

where  $C \geq 0$  is an  $\varepsilon$ -independent constant, then the set of Wigner functions  $\{w^\varepsilon\}_{0 < \varepsilon \leq 1}$  is uniformly bounded in  $\mathcal{A}'$ . The latter is the dual of the following Banach space

$$\mathcal{A}(\mathbb{R}_x^d \times \mathbb{R}_\xi^d) := \{\chi \in C_0(\mathbb{R}_x^d \times \mathbb{R}_\xi^d) : (\mathcal{F}_\xi \chi)(x, z) \in L^1(\mathbb{R}_z^d; C_0(\mathbb{R}_x^d))\}$$

where  $C_0(\mathbb{R}^d)$  denotes the space of continuous functions vanishing at infinity. More precisely, one finds that for any test function  $\chi \in \mathcal{A}(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)$ ,

$$(3.2) \quad \begin{aligned} \langle w^\varepsilon, \chi \rangle &\equiv \iint_{\mathbb{R}^{2d}} w^\varepsilon(x, \xi) \chi(x, \xi) dx d\xi \\ &= \frac{1}{(2\pi)^d} \iint_{\mathbb{R}^{2d}} (\mathcal{F}_\xi \chi)(x, z) f^\varepsilon\left(x - \frac{\varepsilon}{2}z\right) \overline{f^\varepsilon}\left(x + \frac{\varepsilon}{2}z\right) dx dz. \end{aligned}$$

Denoting,

$$\|\chi\|_{\mathcal{A}} = \int_{\mathbb{R}^d} \sup_x |\mathcal{F}_\xi \chi|(x, z) dz,$$

we therefore obtain

$$|\langle w^\varepsilon, \chi \rangle| \leq \frac{1}{(2\pi)^d} \|\chi\|_{\mathcal{A}} \|f^\varepsilon\|_{L^2}^2 \leq \text{const.},$$

uniformly in  $\varepsilon$ . Thus, up to extraction of sub-sequences  $\{\varepsilon_n\}_{n \in \mathbb{N}}$ , with  $\varepsilon_n \rightarrow 0_+$  as  $n \rightarrow \infty$ , there exists a limiting object  $w^0 \equiv w \in \mathcal{A}'(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)$  such that

$$w^\varepsilon[f^\varepsilon] \xrightarrow{\varepsilon \rightarrow 0_+} \mu \quad \text{in } \mathcal{A}'(\mathbb{R}_x^d \times \mathbb{R}_\xi^d) \text{ w} - *.$$



It turns out that the limit is in fact a non-negative, bounded Borel measure on phase-space  $\mu \in \mathcal{M}^+(\mathbb{R}_x^d \times \mathbb{R}_p^d)$ , called the Wigner measure (or, semi-classical defect measure) of  $f^\varepsilon$ , cf. [23, Theorem III.1].

**Remark 3.1.** One easily checks that the Schwartz space  $\mathcal{S}$  is in fact dense in  $\mathcal{A}$ . Thus, it would also be possible to state all the convergence results above in terms of convergence in  $\mathcal{S}'(\mathbb{R}_x^d \times \mathbb{R}_y^n)$ . This is the framework used in [12].

If, in addition it also holds that  $f^\varepsilon$  is  $\varepsilon$ -oscillatory, i.e.,

$$\sup_{0 < \varepsilon \leq 1} \|\varepsilon \nabla f^\varepsilon\|_{L_x^2} \leq C,$$

then one also gets (up to extraction of sub-sequences)

$$|f^\varepsilon|^2 \xrightarrow{\varepsilon \rightarrow 0^+} \int_{\mathbb{R}^d} \mu(\cdot, d\xi), \quad \text{in } \mathcal{M}^+(\mathbb{R}_x^m)_{\text{w}} - *,$$

i.e., for any test function  $\sigma \in C_0(\mathbb{R}_x^d)$ :

$$\int_{\mathbb{R}_x^d} \sigma(x) |f^\varepsilon(x)|^2 dx \xrightarrow{\varepsilon \rightarrow 0^+} \iint_{\mathbb{R}^{2d}} \sigma(x) \mu(dx, d\xi).$$

Indeed, the Wigner measure  $\mu$  is known to encode the classical limit of all physical observables. More precisely, for the expectation value of any Weyl-quantized operator  $\text{Op}^\varepsilon(a)$ , corresponding to a sufficiently “nice” classical symbol, say  $a(x, \xi) \in \mathcal{S}(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)$ , one finds [12]

$$\langle f^\varepsilon, \text{Op}^\varepsilon(a) f^\varepsilon \rangle_{L_x^2} = \iint_{\mathbb{R}^{2d}} a(x, \xi) w^\varepsilon[f^\varepsilon](x, \xi) dx d\xi,$$

and hence

$$(3.3) \quad \lim_{\varepsilon \rightarrow 0^+} \langle f^\varepsilon, \text{Op}^\varepsilon(a) f^\varepsilon \rangle_{L_x^2} = \iint_{\mathbb{R}^{2d}} a(x, \xi) \mu(dx, d\xi),$$

where the right hand side resembles the usual formula from classical statistical mechanics.

In order to describe the dynamics of Wigner measures, we first recall that if  $f^\varepsilon \in C_b(\mathbb{R}_t; L^2(\mathbb{R}^d))$  solves a semi-classically scaled Schrödinger equation of the form

$$i\varepsilon \partial_t f^\varepsilon = -\frac{\varepsilon^2}{2} \Delta f^\varepsilon + U(x) f^\varepsilon, \quad f^\varepsilon|_{t=0} = f_{\text{in}}^\varepsilon(x),$$

then the associated Wigner transformed equation for  $w^\varepsilon \equiv w^\varepsilon[f^\varepsilon]$  reads

$$(3.4) \quad \partial_t w^\varepsilon + \xi \cdot \nabla_x w^\varepsilon + \Theta^\varepsilon[U] w^\varepsilon = 0, \quad w^\varepsilon|_{t=0} = w_{\text{in}}^\varepsilon(x, \xi),$$

where  $w_{\text{in}}^\varepsilon \equiv w^\varepsilon[\psi_{\text{in}}^\varepsilon]$  and  $\Theta^\varepsilon[U]$  is a pseudo-differential operator describing the influence of a potential  $U(x) \in \mathbb{R}$ . Explicitly,  $\Theta^\varepsilon[U]$  is given by [23]:

$$(\Theta^\varepsilon[U] w^\varepsilon)(x, \xi, t) := -\frac{i}{(2\pi)^d} \iint_{\mathbb{R}^{2d}} \delta U^\varepsilon(x, y) w^\varepsilon(x, \zeta, t) e^{iy \cdot (\xi - \zeta)} dy d\zeta.$$

Here, the symbol  $\delta U^\varepsilon$  is found to be

$$\delta U^\varepsilon(x, y) = \frac{1}{\varepsilon} \left( U\left(x + \frac{\varepsilon}{2} y\right) - U\left(x - \frac{\varepsilon}{2} y\right) \right),$$

and, thus, under sufficient regularity assumptions on  $V$ , one consequently obtains

$$\delta U^\varepsilon \xrightarrow{\varepsilon \rightarrow 0^+} y \cdot \nabla_x U(x).$$

It consequently follows that the measure  $\mu(x, \xi, t)$  solves *Liouville's equation* on phase space, i.e.

$$(3.5) \quad \partial_t \mu + \text{div}_x(\xi \mu) - \text{div}_\xi(\nabla_x U(x) \mu) = 0, \quad \mu|_{t=0} = \mu_{\text{in}}(x, \xi),$$

in the sense of distributions. Here,  $\mu_{\text{in}}$  is the weak\* limit of  $w_{\text{in}}^\varepsilon$  in  $\mathcal{A}'$ , along sub-sequences of  $(\varepsilon_n)_{n \in \mathbb{N}}$  (which, in principle, could all yield different limits).

**Remark 3.2.** In fact, a more general formula for the asymptotic, or semi-classical expansion (in powers of  $\varepsilon$ ) of any Wigner transformed Schrödinger-type equation is available in [12, Proposition 1.8]. This formula will be used in the numerical algorithm described below.

Finally, we note that for sufficiently regular potential  $V$ , one can improve the convergence statements and show that, indeed,  $\mu \in C_b(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_x^d \times \mathbb{R}_\xi^d))$  satisfying, for any test function  $\chi \in C_0(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)$ ,

$$\iint_{\mathbb{R}^{2d}} \chi(x, \xi) \mu(x, \xi, t) dx d\xi = \iint_{\mathbb{R}^{2d}} \chi(\Phi_t(x, \xi)) \mu_{\text{in}}(dx, d\xi),$$

where  $\Phi_t : \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}$  is the Hamiltonian flow associated to (3.5):

$$(3.6) \quad \begin{cases} \dot{x}(t) = \xi(t), & x(0) = x_0 \in \mathbb{R}^d, \\ \dot{\xi}(t) = -\nabla_x U(x(t)), & \xi(0) = \xi_0 \in \mathbb{R}^d. \end{cases}$$

This allows to prove uniqueness of the weak solution of (3.5), provided the initial measure  $\mu_{\text{in}}$  is the same for all sub-sequences  $\{\varepsilon_n\}_{n \in \mathbb{N}}$  of  $w^\varepsilon[\varphi_{\text{in}}^\varepsilon]$ , see [23, Theorem IV.1].

#### 4. THE MIXED QUANTUM-CLASSICAL LIMIT

In this section we will investigate the semi-classical limit of the TDSCF system (2.3), which corresponds to the case  $\varepsilon \rightarrow 0_+$  and  $\delta = O(1)$  fixed. In other words, we want to pass to the classical limit in the equation for  $\varphi^{\varepsilon, \delta}$  only, while retaining the full quantum mechanical dynamics for  $\psi^{\varepsilon, \delta}$ .

The *standing assumption* from now on, until the end of this work will be that the initial data  $\varphi_{\text{in}}^\varepsilon \in H^1(\mathbb{R}_y^n)$  and  $\psi_{\text{in}}^\delta \in H^1(\mathbb{R}_x^d)$  are such that

$$(A2) \quad \sup_{0 < \varepsilon, \delta \leq 1} (M^{\varepsilon, \delta}(0) + E^{\varepsilon, \delta}(0)) \leq \text{const.} < \infty.$$

In other words, the initial data are assumed to be such that the initial mass and the initial energy are *uniformly bounded* with respect to both  $\varepsilon$  and  $\delta$ . In view of Lemma 2.4 and Lemma 2.5 this property consequently holds true for all times  $t \geq 0$ , and hence, neither the mass, nor the energy can become infinite in the classical limit. In addition, we will assume, for simplicity, that the individual masses of the two sub-systems are initially normalized such that

$$m_1^{\varepsilon, \delta}(0) = m_2^{\varepsilon, \delta}(0) = 1.$$

This normalization is henceforth preserved by the time-evolution of (2.3).

Next, we introduce the  $\varepsilon$ -scaled Wigner transformation of  $\varphi^{\varepsilon, \delta}$  in the form

$$w^\varepsilon[\varphi^{\varepsilon, \delta}](y, \eta, t) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \varphi^{\varepsilon, \delta}\left(y - \frac{\varepsilon}{2}z, t\right) \overline{\varphi^{\varepsilon, \delta}\left(y + \frac{\varepsilon}{2}z, t\right)} e^{iz \cdot \eta} dz.$$

(In this subsection, we thus could, in principle, suppress the dependence on  $\delta$  completely, since it is fixed, but given that we will consider the subsequent  $\delta \rightarrow 0_+$  limit in Section 5, we shall keep its appearance within the superscript.) The assumption (A2), together with the a-priori estimates established in Lemma 2.4 and Lemma 2.5 then implies the uniform bound, for any  $t \in \mathbb{R}$ ,

$$\|\varphi^{\varepsilon, \delta}(\cdot, t)\|_{L_y^2} + \|\varepsilon \nabla \varphi^{\varepsilon, \delta}(\cdot, t)\|_{L_y^2} \leq 1 + 2E^{\varepsilon, \delta}(0) \leq C < \infty,$$

where  $C \geq 0$  is a constant independent of  $\varepsilon$  and  $\delta$ . In other words,  $\varphi^{\varepsilon, \delta}(\cdot, t)$  is  $\varepsilon$ -oscillatory for all times and we consequently infer the existence of a limiting Wigner

measure  $\mu^{0,\delta}(y, \eta, t) \equiv \mu^\delta(y, \eta, t)$  such that (up to extraction of sub-sequences) for all  $t \in [0, T]$  it holds

$$w^\varepsilon[\varphi^{\varepsilon,\delta}](\cdot, \cdot, t) \xrightarrow{\varepsilon \rightarrow 0^+} \mu^\delta(\cdot, \cdot, t) \quad \text{in } \mathcal{A}'(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{w} - *},$$

together with

$$(4.1) \quad |\varphi^{\varepsilon,\delta}(\cdot, t)|^2 \xrightarrow{\varepsilon \rightarrow 0^+} \int_{\mathbb{R}_\eta^n} \mu^\delta(\cdot, d\eta, t) \quad \text{in } \mathcal{M}^+(\mathbb{R}_y^n)_{\text{w} - *}.$$

The measure  $\mu^\delta$  encodes the classical limit of the subsystem described by the  $y$ -variables only.

In order to proceed, we will need to strengthen our convergence results with respect to the  $t$ -variable. To this end, we recall that since  $\varphi^{\varepsilon,\delta}$  solves the second equation in the TDSCF system (2.3),  $w^{\varepsilon,\delta} \equiv w^\varepsilon[\varphi^{\varepsilon,\delta}]$  solves the corresponding Wigner transformed equation

$$(4.2) \quad \partial_t w^{\varepsilon,\delta} + \eta \cdot \nabla_y w^{\varepsilon,\delta} + \Theta[\Lambda^{\varepsilon,\delta}] w^{\varepsilon,\delta} = 0,$$

where  $\Theta[\Lambda^{\varepsilon,\delta}]$  is explicitly given by

$$\Theta[\Lambda^{\varepsilon,\delta}] w^{\varepsilon,\delta}(y, \eta, t) = -\frac{i}{(2\pi)^n} \iint_{\mathbb{R}^{2n}} \delta \Lambda^{\varepsilon,\delta}(y, z, t) w^{\varepsilon,\delta}(y, \zeta, t) e^{iz \cdot (\eta - \zeta)} dz d\zeta.$$

The associated symbol  $\delta \Lambda^{\varepsilon,\delta}$  reads

$$\begin{aligned} \delta \Lambda^{\varepsilon,\delta}(y, z, t) &= \frac{1}{\varepsilon} \left( \Lambda^{\varepsilon,\delta}\left(y + \frac{\varepsilon}{2}z, t\right) - \Lambda^{\varepsilon,\delta}\left(y - \frac{\varepsilon}{2}z, t\right) \right) \\ &= \frac{1}{\varepsilon} \left( \langle \psi^{\varepsilon,\delta}, V \psi^{\varepsilon,\delta} \rangle_{L_x^2}\left(y + \frac{\varepsilon}{2}z, t\right) - \langle \psi^{\varepsilon,\delta}, V \psi^{\varepsilon,\delta} \rangle_{L_x^2}\left(y - \frac{\varepsilon}{2}z, t\right) \right), \end{aligned}$$

in view of the definition of  $\Lambda^{\varepsilon,\delta}$ , given in (2.5). Introducing the short hand notation

$$(4.3) \quad \mathcal{V}^{\varepsilon,\delta}(y, t) := \langle \psi^{\varepsilon,\delta}(\cdot, t), V(\cdot, y) \psi^{\varepsilon,\delta}(\cdot, t) \rangle_{L_x^2},$$

one can rewrite

$$\delta \Lambda^{\varepsilon,\delta}(y, z, t) = \frac{1}{\varepsilon} \left( \mathcal{V}^{\varepsilon,\delta}\left(y + \frac{\varepsilon}{2}z, t\right) - \mathcal{V}^{\varepsilon,\delta}\left(y - \frac{\varepsilon}{2}z, t\right) \right),$$

and thus  $\Theta[\Lambda^{\varepsilon,\delta}] \equiv \Theta[\mathcal{V}^{\varepsilon,\delta}]$ . In particular, this shows that the purely time-dependent term  $\vartheta^{\varepsilon,\delta}(t)$  appearing in (2.5) does *not* contribute to the symbol of the pseudo-differential operator  $\Theta$ .

**Remark 4.1.** The fact that  $\vartheta^{\varepsilon,\delta}(t)$  does not enter in the Wigner equation (4.2) can also be seen by using the time-dependent gauge transformation (2.6) from the beginning.

We can now prove the following lemma.

**Lemma 4.2.** *Let Assumptions (A1) and (A2) hold. Then  $w^{\varepsilon,\delta}$  is equi-continuous in time and hence, up to extraction of sub-sequences, we have*

$$w^{\varepsilon,\delta} \xrightarrow{\varepsilon \rightarrow 0^+} \mu^\delta \quad \text{in } L^\infty([0, T], \mathcal{A}'(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{w} - *}),$$

i.e., for any test function  $\chi \in \mathcal{A}(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)$  it holds

$$\lim_{\varepsilon \rightarrow 0^+} \iint_{\mathbb{R}^{2d}} w^{\varepsilon,\delta}(y, \eta, t) \chi(y, \eta) dy d\eta = \iint_{\mathbb{R}^{2d}} \chi(y, \eta) \mu(dy, d\eta, t),$$

uniformly on compact time intervals  $[0, T] \subset \mathbb{R}_t$ .

*Proof.* The proof follows along the lines of [23, 12]. In order to infer the assertion of the Lemma it is sufficient to show that  $\partial_t w^{\varepsilon, \delta} \in L^\infty((0, T); \mathcal{A}'(\mathbb{R}_y^n \times \mathbb{R}_\eta^n))$ . The latter implies time-equicontinuity of  $w^{\varepsilon, \delta}$  and hence, the Arzela-Ascoli Theorem guarantees that there exists a subsequence  $\{\varepsilon_n\}_{n \in \mathbb{N}}$ , with  $\varepsilon_n \rightarrow 0_+$  as  $n \rightarrow \infty$ , such that  $w^{\varepsilon_n, \delta}$  converges uniformly on compact subsets of  $\mathbb{R}_t$ .

In order to prove the uniform bound on  $\partial_t w^{\varepsilon, \delta}$  we consider the weak formulation of (4.2), i.e.

$$(4.4) \quad -\langle \partial_t w^{\varepsilon, \delta}, \chi \rangle = \langle \eta \cdot \nabla_y w^{\varepsilon, \delta}, \chi \rangle + \langle \Theta[\Lambda^{\varepsilon, \delta}] w^{\varepsilon, \delta}, \chi \rangle,$$

for any test function  $\chi \in \mathcal{A}(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)$ . We shall only show how to bound the term  $\langle \Theta[\Lambda^{\varepsilon, \delta}] w^{\varepsilon, \delta}, \chi \rangle$ , since the other term on the right hand of (4.4) can be treated similarly.

To this end, let  $\chi \in \mathcal{A}(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)$  be a smooth test function with the property that its Fourier transform with respect to  $\eta$ , i.e.

$$(\mathcal{F}_\eta \chi)(y, z) \equiv \tilde{\chi}(y, z) = \int_{\mathbb{R}^n} \chi(y, \eta) e^{-i\eta \cdot z} d\eta,$$

has compact support with respect to both  $y$  and  $z$ . This kind of test functions are dense in  $\mathcal{A}$  and hence it suffices to show the assertion for these type of  $\chi$  only. A straightforward calculation (cf. the proof of [23, Theorem IV.1]) shows that

$$\langle \Theta[\Lambda^{\varepsilon, \delta}] w^{\varepsilon, \delta}, \chi \rangle = \frac{i}{(2\pi)^n} \langle w^{\varepsilon, \delta}, \Xi^{\varepsilon, \delta} \rangle,$$

where

$$\begin{aligned} \Xi^{\varepsilon, \delta}(y, \eta, t) &= \int_{\mathbb{R}^n} \tilde{\chi}(y, z) e^{iz \cdot \eta} \frac{1}{\varepsilon} \left( \mathcal{V}^{\varepsilon, \delta}(y + \frac{\varepsilon}{2} z, t) - \mathcal{V}^{\varepsilon, \delta}(y - \frac{\varepsilon}{2} z, t) \right) dz \\ &= \int_{\mathbb{R}^n} \tilde{\chi}(y, z) e^{iz \cdot \eta} \left( \int_{-1/2}^{1/2} z \cdot \nabla_y \mathcal{V}^{\varepsilon, \delta}(t, y + \varepsilon s z) ds \right) dz. \end{aligned}$$

Next, we note that  $\mathcal{V}^{\varepsilon, \delta}$  defined in (4.3) is uniformly bounded, since

$$(4.5) \quad |\mathcal{V}^{\varepsilon, \delta}(y, t)| \leq \sup_{x, y} |V(x, y)| \|\psi^{\varepsilon, \delta}(\cdot, t)\|_{L_x^2}^2 \leq \|V\|_{L^\infty} < \infty,$$

having in mind that  $V \in L^\infty$ , by assumption, and that  $\|\psi^{\varepsilon, \delta}(\cdot, t)\|_{L_x^2} = 1$ ,  $\forall t \in \mathbb{R}$ , due to mass conservation. Since  $V$  satisfies (A1), the same argument also applies to  $\nabla_y \mathcal{V}^{\varepsilon, \delta}$ , which by dominated convergence, is simply given by

$$\nabla_y \mathcal{V}^{\varepsilon, \delta} = \langle \psi^{\varepsilon, \delta}(\cdot, t), \nabla_y V(\cdot, y) \psi^{\varepsilon, \delta}(\cdot, t) \rangle_{L_x^2}.$$

Having in mind the computation (3.2), we know that

$$\langle w^{\varepsilon, \delta}, \Xi^{\varepsilon, \delta} \rangle = \frac{1}{(2\pi)^d} \iint_{\mathbb{R}^{2d}} (\mathcal{F}_\eta \Xi^{\varepsilon, \delta})(y, z, t) \varphi^{\varepsilon, \delta} \left( x - \frac{\varepsilon}{2} z \right) \overline{\varphi^{\varepsilon, \delta} \left( x + \frac{\varepsilon}{2} z \right)} dx dz,$$

where

$$(\mathcal{F}_\eta \Xi^{\varepsilon, \delta})(y, z, t) = (2\pi)^d \tilde{\chi}(y, z) \left( \int_{-1/2}^{1/2} z \cdot \nabla_y \mathcal{V}^{\varepsilon, \delta}(t, y + \varepsilon s z) ds \right).$$

We thus conclude that

$$|\langle \Theta[\Lambda^{\varepsilon, \delta}] w^{\varepsilon, \delta}, \chi \rangle| = \left| \frac{1}{(2\pi)^n} \langle w^{\varepsilon, \delta}, \Xi^{\varepsilon, \delta} \rangle \right| \leq C \|\Xi^{\varepsilon, \delta}\|_{\mathcal{A}} \|\varphi^{\varepsilon, \delta}\|_{L^2}^2 \leq \text{const.} < \infty,$$

uniformly in  $\varepsilon$  and  $t$ , since

$$\|\Xi^{\varepsilon, \delta}\|_{\mathcal{A}} = \int_{\mathbb{R}^d} \sup_y |\mathcal{F}_\eta \Xi^{\varepsilon, \delta}|(y, z, t) dz \leq (2\pi)^n \|\nabla V\|_{L^\infty} \int_{\mathbb{R}^d} \sup_y |z| |\tilde{\chi}(y, z)| dz < \infty,$$

due to the compact support of  $\tilde{\chi}$ .

A similar argument can be done to obtain a uniform bound on  $|\langle \eta \cdot \nabla_y w^{\varepsilon, \delta}, \chi \rangle|$ , and thus (4.4) implies that

$$|\langle \partial_t w^{\varepsilon, \delta}, \chi \rangle| \leq \text{const.}$$

uniformly in  $\varepsilon$  and  $t$ , and we are done.  $\square$

Next, we look at the nonlinear coupling term appearing in the first equation of our TDSCF system (2.3):

$$\Upsilon^{\varepsilon, \delta}(x, t) := \langle \varphi^{\varepsilon, \delta}, V \varphi^{\varepsilon, \delta} \rangle_{L_y^2} = \int_{\mathbb{R}_y^n} V(x, y) |\varphi^{\varepsilon, \delta}(y, t)|^2 dy.$$

We first note that, as before,

$$(4.6) \quad |\Upsilon^{\varepsilon, \delta}(x, t)| \leq \sup_{x, y} |V(x, y)| \|\varphi^{\varepsilon, \delta}(\cdot, t)\|_{L_y^2}^2 \leq \|V\|_{L^\infty},$$

since,  $\|\varphi^{\varepsilon, \delta}(\cdot, t)\|_{L_y^2} = 1$ , for all  $t \in \mathbb{R}$ . Moreover, since  $V$  satisfies (A1), we infer that  $\Upsilon^{\varepsilon, \delta} \in C(\mathbb{R}_t; C_0^2(\mathbb{R}_x^d))$  and an analogous bound for  $\partial_x^\alpha \Upsilon^{\varepsilon, \delta}$ ,  $|\alpha| \leq 2$ . In addition, we have that  $\Upsilon^{\varepsilon, \delta}$  is continuous in time, since by triangle inequality

$$|\Upsilon^{\varepsilon, \delta}(x, t) - \Upsilon^{\varepsilon, \delta}(x, s)| \leq 2\|V\|_{L^\infty} \|\varphi^{\varepsilon, \delta}(\cdot, t) - \varphi^{\varepsilon, \delta}(\cdot, s)\|_{L_y^2}^2.$$

where we have again used the fact that  $\|\varphi^{\varepsilon, \delta}(\cdot, t)\|_{L_y^2} = 1$ , for all  $t \in \mathbb{R}$ . In view of our existence result stated in Proposition 2.6, the right hand side is continuous in time, and thus  $\Upsilon^{\varepsilon, \delta}(x, \cdot)$  is too.

**Lemma 4.3.** *We have that  $\Upsilon^{\varepsilon, \delta}(x, t) \xrightarrow{\varepsilon \rightarrow 0^+} \Upsilon^\delta(x, t)$  point-wise in  $(x, t)$  and uniformly on compact time-intervals, where  $\Upsilon^\delta \in C(\mathbb{R}_t; C_0^2(\mathbb{R}_x^d))$  is given by*

$$\Upsilon^\delta(x, t) = \iint_{\mathbb{R}_{y, \eta}^{2n}} V(x, y) \mu^\delta(dy, d\eta, t).$$

*Proof.* Having in mind that test functions of the form  $V(x, y) = \gamma(x)\sigma(y)$  are dense in  $C_0^2(\mathbb{R}_x^d \times \mathbb{R}_y^n)$ , the weak measure convergence (4.1) implies that for all  $(x, t)$  it holds

$$\int_{\mathbb{R}_y^n} V(x, y) |\varphi^{\varepsilon, \delta}(y, t)|^2 dy \xrightarrow{\varepsilon \rightarrow 0^+} \iint_{\mathbb{R}_{y, \eta}^{2n}} V(x, y) \mu^\delta(dy, d\eta, t) = \Upsilon^\delta(x, t),$$

point-wise for all  $(x, t) \in \mathbb{R}^{d+1}$ . In addition, the foregoing Lemma shows that the point-wise convergence, in fact, also holds uniformly on compact time-intervals. Using that  $\mu^\delta \geq 0$ , we find

$$|\Upsilon^\delta(x, t)| \leq \|V\|_{L^\infty} \iint_{\mathbb{R}_{y, \eta}^{2n}} \mu^\delta(dy, d\eta, t) \leq \|V\|_{L^\infty} \liminf_{\varepsilon \rightarrow 0^+} \int_{\mathbb{R}_y^n} |\varphi^{\varepsilon, \delta}(y, t)|^2 dy \leq \|V\|_{L^\infty},$$

since  $\|\varphi^{\varepsilon, \delta}(\cdot, t)\|_{L^2} = 1$ , for all  $t \in \mathbb{R}$ . (Here we have used [23, Theorem III.1] in the second inequality.) An analogous bound also holds for  $\partial_x^\alpha \Upsilon^\delta$  and  $|\alpha| \leq 2$ , since  $V$  satisfies (A1). By applying the push-forward formula (4.8) with  $\chi(x, y) = V(x, y)$ , it is easy to see that  $\Upsilon^\delta(t, \cdot)$  is continuous in time, yielding  $\Upsilon^\delta \in C_b(\mathbb{R}_t; C_0^2(\mathbb{R}_x^d))$ .  $\square$

The following Proposition then shows that the solution of the first equation within the TDSCF system (2.3) stays close to the one where the potential  $\Upsilon^{\varepsilon, \delta}$  is replaced by its classical limit  $\Upsilon^\delta$ .

**Proposition 4.4.** *Let  $V$  satisfy (A1) and  $\psi^{\varepsilon, \delta}, \psi^\delta \in C(\mathbb{R}_t; H^1(\mathbb{R}_x^d))$  solve, respectively*

$$i\partial_t \psi^{\varepsilon, \delta} = \left( -\frac{\delta^2}{2} \Delta_x + \Upsilon^{\varepsilon, \delta}(x, t) \right) \psi^{\varepsilon, \delta}, \quad \psi^{\varepsilon, \delta}|_{t=0} = \psi_{\text{in}}^\delta(x),$$

and

$$i\delta\partial_t\psi^\delta = \left(-\frac{\delta^2}{2}\Delta_x + \Upsilon^\delta(x, t)\right)\psi^\delta, \quad \psi^\delta|_{t=0} = \psi_{\text{in}}^\delta(x),$$

then, for any  $T > 0$

$$\sup_{t \in [0, T]} \|\psi^{\varepsilon, \delta}(\cdot, t) - \psi^\delta(\cdot, t)\|_{L_x^2} \xrightarrow{\varepsilon \rightarrow 0} 0.$$

*Proof.* Denote the Hamiltonian operators corresponding to the above equations by

$$H_1^{\varepsilon, \delta} = -\frac{\delta^2}{2}\Delta_x + \Upsilon^{\varepsilon, \delta}(x, t), \quad H_2^\delta = -\frac{\delta^2}{2}\Delta_x + \Upsilon^\delta(x, t).$$

In view of our assumptions on the potential  $V$  and the existence result given in Proposition 2.6, we infer that  $H_1$  and  $H_2$  are essentially self-adjoint on  $L^2(\mathbb{R}_x^d)$  and hence they generate unitary propagators  $\mathcal{U}_1^{\varepsilon, \delta}(t, s)$  and  $\mathcal{U}_2^\delta(t, s)$ , such that

$$\mathcal{U}_1^{\varepsilon, \delta}(t, s)\psi^{\varepsilon, \delta}(x, s) = \psi^{\varepsilon, \delta}(x, t), \quad \mathcal{U}_2^\delta(t, s)\psi^\delta(x, s) = \psi^\delta(x, t).$$

Therefore, one obtains

$$\begin{aligned} \|\psi^{\varepsilon, \delta}(\cdot, t) - \psi^\delta(\cdot, t)\|_{L_x^2} &= \|\mathcal{U}_1^{\varepsilon, \delta}(t, 0)\psi_{\text{in}}^{\varepsilon, \delta}(\cdot) - \psi^\delta(\cdot, t)\|_{L_x^2} \\ &= \|\psi_{\text{in}}^{\varepsilon, \delta}(\cdot) - \mathcal{U}_1^{\varepsilon, \delta}(0, t)\psi^\delta(\cdot, t)\|_{L_x^2} \\ &= \left\| \int_0^t \frac{d}{ds} \left( \mathcal{U}_1^{\varepsilon, \delta}(0, s)\psi^\delta(\cdot, s) \right) ds \right\|_{L_x^2}, \end{aligned}$$

using  $(\mathcal{U}_1^{\varepsilon, \delta})^{-1}(t, s) = \mathcal{U}_1^{\varepsilon, \delta}(s, t)$ . Computing further, one gets

$$\begin{aligned} \|\psi^{\varepsilon, \delta}(\cdot, t) - \psi^\delta(\cdot, t)\|_{L_x^2} &= \left\| \int_0^t \left( \frac{d}{ds} \mathcal{U}_1^{\varepsilon, \delta}(0, s) \right) \psi^\delta(\cdot, s) + \mathcal{U}_1^{\varepsilon, \delta}(0, s) \frac{d}{ds} \psi^\delta(\cdot, s) ds \right\|_{L_x^2} \\ &= \left\| \int_0^t \mathcal{U}_1^{\varepsilon, \delta}(0, s) \left( H_1^{\varepsilon, \delta} \psi^\delta(\cdot, s) - H_2^\delta \psi^\delta(\cdot, s) \right) ds \right\|_{L_x^2} \\ &= \left\| \int_0^t \mathcal{U}_1^{\varepsilon, \delta}(0, s) \left( \Upsilon^{\varepsilon, \delta}(\cdot, s) - \Upsilon^\delta(\cdot, s) \right) \psi^\delta(\cdot, s) ds \right\|_{L_x^2}. \end{aligned}$$

By Minkowski's inequality, one therefore has

$$\|\psi^{\varepsilon, \delta}(\cdot, t) - \psi^\delta(\cdot, t)\|_{L_x^2} \leq \int_0^t \|(\Upsilon^{\varepsilon, \delta}(\cdot, s) - \Upsilon^\delta(\cdot, s)) \psi^\delta(\cdot, s)\|_{L_x^2} ds,$$

which, firstly, implies continuity of the difference in  $L^2$  norm w.r.t. to  $t \in \mathbb{R}$  and, secondly, we also have

$$\sup_{t \in [0, T]} \|\psi^{\varepsilon, \delta}(\cdot, t) - \psi^\delta(\cdot, t)\|_{L_x^2} \leq C_T \sup_{t \in [0, T]} \|(\Upsilon^{\varepsilon, \delta}(\cdot, t) - \Upsilon^\delta(\cdot, t)) \psi^\delta(\cdot, t)\|_{L_x^2}.$$

Now, since  $\Upsilon^{\varepsilon, \delta}$  is bounded in  $L^\infty(\mathbb{R}_t \times \mathbb{R}_x^n)$  uniformly in  $\varepsilon$ , cf. (4.6), and since

$$(\Upsilon^{\varepsilon, \delta}(x, t) - \Upsilon^\delta(x, t)) \xrightarrow{\varepsilon \rightarrow 0_+} 0,$$

point-wise in  $x$  and uniformly on compact time-intervals, Lebesgue's dominated convergence theorem is sufficient to conclude the desired result.  $\square$

In order to identify the limiting measure  $\mu^\delta$  we shall derive the corresponding evolutionary system, by passing to the limit  $\varepsilon \rightarrow 0_+$  in (4.2). The main difference to the case of a given potential  $V$  (as studied in, e.g., [12]) is that here  $\mathcal{V}^{\varepsilon, \delta}$  itself depends on  $\varepsilon$  and is computed self-consistently from the solution of  $\psi^{\varepsilon, \delta}$ . We nevertheless shall prove in the following proposition that the limit of  $\Theta[\mathcal{V}^{\varepsilon, \delta}]$  as  $\varepsilon \rightarrow 0_+$  is indeed what one would formally expect it to be.

**Proposition 4.5.** *Let Assumptions (A1) and (A2) hold. Then, up to selection of another sub-sequence*

$$\Theta[\Lambda^{\varepsilon,\delta}]w^{\varepsilon,\delta} \xrightarrow{\varepsilon \rightarrow 0^+} F^\delta(y, t) \cdot \nabla_\eta \mu^\delta \quad \text{in } L^\infty(\mathbb{R}_t; \mathcal{A}'(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{w}} - *),$$

where the semi-classical force  $F \in C_b(\mathbb{R}_t; C_0^1(\mathbb{R}_y^n))$  is defined by

$$F^\delta(y, t) := -\nabla \mathcal{V}^\delta(y, t) = - \int_{\mathbb{R}^d} \nabla_y V(x, y) |\psi^\delta(x, t)|^2 dx.$$

*Proof.* Denote  $\mathcal{V}^\delta(y, t) = \langle \psi^\delta(\cdot, t), V(\cdot, y) \psi^\delta(\cdot, t) \rangle_{L_x^2}$ . Then, we can estimate

$$\begin{aligned} |\mathcal{V}^{\varepsilon,\delta}(y, t) - \mathcal{V}^\delta(y, t)| &\leq \|V\|_{L^\infty} \int_{\mathbb{R}^d} ||\psi^{\varepsilon,\delta}(x, t)|^2 - |\psi^\delta(x, t)|^2| dx \\ &\leq 2 \|V\|_{L^\infty} \|\psi^{\varepsilon,\delta}(\cdot, t) - \psi^\delta(\cdot, t)\|_{L_x^2}, \end{aligned}$$

where in the second inequality we have used the Cauchy-Schwarz inequality together with the fact that  $||a|^2 - |b|^2| \leq |a - b|(|a| + |b|)$  for any  $a, b \in \mathbb{C}$ . The strong  $L^2$ -convergence of  $\psi^{\varepsilon,\delta}$  stated in Proposition 4.4 therefore implies

$$\mathcal{V}^{\varepsilon,\delta}(y, t) \xrightarrow{\varepsilon \rightarrow 0^+} \mathcal{V}^{0,\delta}(y, t) \equiv \langle \psi^\delta, V \psi^\delta \rangle_{L_x^2}(y, t),$$

pointwise in  $y$  and uniformly on compact time-intervals. Analogously we infer

$$\nabla_y \mathcal{V}^{\varepsilon,\delta}(y, t) \xrightarrow{\varepsilon \rightarrow 0^+} \nabla_y \mathcal{V}^\delta \equiv \langle \psi^\delta, \nabla_y V \psi^\delta \rangle_{L_x^2}(y, t).$$

Next, we recall from (4.5) that  $\mathcal{V}^{\varepsilon,\delta}$  and  $\nabla_y \mathcal{V}^{\varepsilon,\delta}$  are uniformly bounded in  $\varepsilon$ . Moreover, by using the Mean-Value Theorem, we can estimate

$$|\nabla_y \mathcal{V}^{\varepsilon,\delta}(y_1, t) - \nabla_y \mathcal{V}^{\varepsilon,\delta}(y_2, t)| \leq |y_1 - y_2| \sup_{x,y} |D^2 V| \|\psi^{\varepsilon,\delta}(\cdot, t)\|_{L_x^2} \leq C|y_1 - y_2|.$$

This shows that  $F^{\varepsilon,\delta} := -\nabla_y \mathcal{V}^{\varepsilon,\delta}$  is equicontinuous in  $y$ , and hence the Arzela-Ascoli Theorem guarantees that there exists a subsequence, such that  $F^{\varepsilon,\delta}$  converges, as  $\varepsilon \rightarrow 0_+$ , uniformly on compact sets in  $y, t$ . Recalling from before that for any  $\chi \in \mathcal{A}(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)$

$$\langle \Theta[\Lambda^{\varepsilon,\delta}]w^{\varepsilon,\delta}, \chi \rangle = \frac{i}{(2\pi)^n} \langle w^{\varepsilon,\delta}, \Xi^{\varepsilon,\delta} \rangle,$$

where

$$\Xi^{\varepsilon,\delta}(y, \eta) = \int_{\mathbb{R}^n} \tilde{\chi}(y, z) e^{iz \cdot \eta} \frac{1}{\varepsilon} \left( \mathcal{V}^{\varepsilon,\delta}\left(y + \frac{\varepsilon}{2}z, t\right) - \mathcal{V}^{\varepsilon,\delta}\left(y - \frac{\varepsilon}{2}z, t\right) \right) dz.$$

For  $\tilde{\chi}$  having compact support the uniform convergence of  $F^{\varepsilon,\delta}$  then allows us to conclude

$$\Xi^{\varepsilon,\delta} \xrightarrow{\varepsilon \rightarrow 0^+} i \nabla_y \mathcal{V}^\delta(y, t) \cdot \mathcal{F}_{z \rightarrow \eta}^{-1}(z \tilde{\chi}(y, z))(y, \eta) = F^\delta(y, t) \cdot \nabla_\eta \chi(y, \eta),$$

and since these  $\chi$  are dense in  $\mathcal{A}$  the result follows.  $\square$

**Remark 4.6.** One should note that, even though  $\Lambda^{\varepsilon,\delta}$  is a self-consistent potential, depending nonlinearly upon the solution  $\psi^{\varepsilon,\delta}$ , the convergence proof given above is very similar to the case [23, Theorem IV.2], which treats the classical limit of nonlinear Hartree-type models with smooth convolution kernels. In particular, we do not require to pass to the mixed state formulation which is needed to establish the classical limit in other self-consistent quantum dynamical models such as [25].

In summary, this leads to the first main result of our work, which shows that the solution to (2.3), as  $\varepsilon \rightarrow 0_+$  (and with  $\delta = O(1)$  fixed) converges to a mixed quantum-classical system, consisting of a Schrödinger equation for the  $x$ -variables and a classical Liouville equation for the  $y$ -variables.

**Theorem 4.7.** *Let Assumptions (A1) and (A2) hold. Then, for any  $T > 0$ , it holds that the solutions of the TDSCF system (2.3) satisfy*

$$\psi^{\varepsilon, \delta} \xrightarrow{\varepsilon \rightarrow 0^+} \psi^\delta \quad \text{in } L^\infty([0, T]; L_y^2(\mathbb{R}^n)),$$

and

$$w^\varepsilon[\varphi^{\varepsilon, \delta}] \xrightarrow{\varepsilon \rightarrow 0^+} \mu^\delta \quad \text{in } L^\infty([0, T]; \mathcal{A}'(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{W} - *}),$$

where  $\psi^\delta \in C_b(\mathbb{R}_t; L^2(\mathbb{R}^d))$  and  $\mu^\delta \in C_b(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n))$  solve the following mixed quantum-classical system

$$(4.7) \quad \begin{cases} i\delta \partial_t \psi^\delta = \left( -\frac{\delta^2}{2} \Delta_x + \Upsilon^\delta(x, t) \right) \psi^\delta, & \psi^\delta|_{t=0} = \psi_{\text{in}}^\delta(x), \\ \partial_t \mu^\delta + \text{div}_y(\eta \mu^\delta) + \text{div}_\eta(F^\delta(y, t) \mu^\delta) = 0, & \mu^\delta|_{t=0} = \mu_{\text{in}}(y, \eta). \end{cases}$$

Here  $\mu_{\text{in}}$  is the initial Wigner measure obtained as the weak\* limit of  $w^\varepsilon[\varphi_{\text{in}}^\varepsilon]$  and

$$\Upsilon^\delta(x, t) = \iint_{\mathbb{R}^{2n}} V(x, y) \mu^\delta(dy, d\eta, t), \quad F^\delta(y, t) = - \int_{\mathbb{R}^d} \nabla_y V(x, y) |\psi^\delta(x, t)|^2 dx.$$

*Proof.* The result follows from Proposition 4.4 and Proposition 4.5.  $\square$

**4.1. Connection to the Ehrenfest method.** Let us introduce the characteristic flow associated to the second equation in (4.7), via

$$\begin{cases} \dot{y}(t) = \eta(t), & y(0) = y_0 \in \mathbb{R}^n, \\ \dot{\eta}(t) = F^\delta(y(t), t), & \eta(0) = \eta_0 \in \mathbb{R}^n. \end{cases}$$

These equations are non-autonomous, but one should keep in mind that they are coupled to the Schrödinger equation in (4.7) with initial data at  $t = 0$ . We shall therefore suppress the second time variable in the associated two parameter semi-group and define  $\Phi_t^\delta : \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}$  by  $(y(t), \eta(t)) = \Phi_t^\delta(y_0, \eta_0)$ . Then, for any test function  $\chi \in C_0(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)$ , we have the push-forward formula

$$(4.8) \quad \iint_{\mathbb{R}^{2n}} \chi(y, \eta) \mu^\delta(dy, d\eta, t) = \iint_{\mathbb{R}^{2n}} \chi(\Phi_t^\delta(y, \eta)) \mu_{\text{in}}(dy, d\eta).$$

In particular, if initially  $\mu_0(y, \eta) = \delta(y - y_0, \eta - \eta_0)$ , i.e. a delta distribution centered at  $(y_0, \eta_0) \in \mathbb{R}^{2n}$ , this yields  $\mu^\delta(y, \eta, t) = \delta(y - y(t), \eta - \eta(t))$ , for all times  $t \in \mathbb{R}$ . Such kind of Wigner measures can be obtained as the classical limit of a particular type of wave functions, called semi-classical wave packets, or coherent states, see [23]. In this case, we also find

$$\Upsilon^\delta(x, t) = \iint_{\mathbb{R}^{2n}} V(x, y) \mu^\delta(dy, d\eta, t) = V(x, y(t)),$$

and the mixed quantum-classical system becomes

$$(4.9) \quad \begin{cases} i\delta \partial_t \psi^\delta = \left( -\frac{\delta^2}{2} \Delta_x + V(x, y(t)) \right) \psi^\delta, & \psi^\delta|_{t=0} = \psi_{\text{in}}^\delta(x), \\ \ddot{y}(t) = - \int_{\mathbb{R}^d} \nabla_y V(x, y(t)) |\psi^\delta(x, t)|^2 dx, & y|_{t=0} = y_0, \dot{y}|_{t=0} = \eta_0, \end{cases}$$

with  $y_0, \eta_0 \in \mathbb{R}^n$ . This is a well-known model in the physics and quantum chemistry literature, usually referred to as *Ehrenfest method*. It has been studied in, e.g. [6, 7] in the context of quantum molecular dynamics.

**Remark 4.8.** A closely related scaling-limit is obtained in the case where the time-derivatives in both equations of (2.3) are scaled by the same factor  $\varepsilon$ . At least formally, this leads to an Ehrenfest-type model similar to (4.9), but with a stationary instead of a time-dependent Schrödinger equation, cf. [7, 10]. In this case, connections to the Born-Oppenheimer approximation of quantum molecular



dynamics become apparent, see, e.g., [27]. From the mathematical point of view this scaling regime combines the classical limit for the subsystem described by the  $y$ -variables with a *time-adiabatic limit* for the subsystem described in  $x$ . However, due to the nonlinear coupling within the TDSCF system (2.3) this scaling limit is highly nontrivial and will be the main focus of a future work.

## 5. THE FULLY CLASSICAL LIMIT

In order to get a better understanding (in particular for the expected numerical treatment of our model), we will now turn to the question of how to obtain a completely classical approximation for the system (2.3). There are at least two possible ways to do so. One is to consider the limit  $\delta \rightarrow 0_+$  in the obtained mixed quantum-classical system (4.7), which in itself corresponds to the iterated limit  $\varepsilon \rightarrow 0_+$  and then  $\delta \rightarrow 0_+$  of (2.3), cf. Section 5.1. Another possibility is to take  $\varepsilon = \delta \rightarrow 0_+$  in (2.3), which corresponds to a kind of “diagonal limit” in the  $\varepsilon, \delta$  parameter space, cf. Section 5.2.

**5.1. The classical limit of the mixed quantum-classical system.** In this section we shall perform the limit  $\delta \rightarrow 0_+$  of the obtained mixed quantum-classical system (4.7). To this end, we first introduce the  $\delta$ -scaled Wigner transform of  $\psi^\delta$ :

$$W^\delta[\psi^\delta](x, \xi, t) := \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \psi^\delta \left( x - \frac{\delta}{2} z, t \right) \overline{\psi^\delta} \left( x + \frac{\delta}{2} z, t \right) e^{iz \cdot \xi} dz.$$

Assumption (A2) and the results of Lemma 2.4 and Lemma 2.5 imply that  $\psi^\delta$  is a family of  $\delta$ -oscillatory functions, i.e.,

$$(5.1) \quad \sup_{0 < \delta \leq 1} (\|\psi^\delta(t, \cdot)\|_{L_y^2} + \|\delta \nabla \psi^\delta(t, \cdot)\|_{L_y^2}) \leq C(t)$$

and thus there exists a limiting measure  $\nu \in \mathcal{M}^+(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)$ , such that for all  $t \in [0, T]$ :

$$W^\delta[\psi^\delta](\cdot, \cdot, t) \xrightarrow{\delta \rightarrow 0_+} \nu(\cdot, \cdot, t) \quad \text{in } \mathcal{A}'(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)_{\text{w} - *}.$$

In addition, we also have

$$(5.2) \quad |\psi^\delta(\cdot, t)|^2 \xrightarrow{\delta \rightarrow 0_+} \int_{\mathbb{R}_\xi^d} \nu(\cdot, d\xi, t) \quad \text{in } \mathcal{M}^+(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)_{\text{w} - *}.$$

By Wigner transforming the first equation in the mixed quantum-classical system (4.7), we find that  $W^\delta[\psi^\delta] \equiv W^\delta$  satisfies

$$\partial_t W^\delta + \xi \cdot \nabla_x W^\delta + \Theta[\Upsilon^\delta] W^\delta = 0, \quad W|_{t=0} = W^\delta[\psi_{\text{in}}^\delta](x, \xi).$$

Having in mind that  $\Upsilon^\delta \in C(\mathbb{R}_t; C_0^2(\mathbb{R}_x^d))$ , the same arguments as in Lemma 4.2 then allow us to obtain a uniform bound on  $\partial_t W$ , and hence time-equicontinuity of  $W^\delta$ , which yields

$$W^\delta[\psi^\delta] \xrightarrow{\delta \rightarrow 0_+} \nu \quad \text{in } L^\infty(\mathbb{R}_t; \mathcal{A}'(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)_{\text{w} - *}).$$

Furthermore, our assumptions one  $V$  together with the weak measure convergence (5.2) imply

$$\begin{aligned} F^\delta(y, t) &= - \int_{\mathbb{R}^d} \nabla_y V(x, y) |\psi^\delta(x, t)|^2 dx = - \iint_{\mathbb{R}_{x, \xi}^{2d}} \nabla_y V(x, y) W^\delta(dx, d\xi, t) \\ &\xrightarrow{\delta \rightarrow 0_+} - \iint_{\mathbb{R}_{x, \xi}^{2d}} \nabla_y V(x, y) \nu(dx, d\xi, t) \equiv F(y, t), \end{aligned}$$

pointwise. By the same arguments as in the proof of Proposition 4.5, we find that this convergence holds uniformly on compact sets in  $y, t$ . With this in hand, we can prove the following result.

**Proposition 5.1.** *Let  $\mu^\delta \in C_b(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n))$  be a distributional solution of*

$$\partial_t \mu^\delta + \operatorname{div}_y(\eta \mu^\delta) + \operatorname{div}_\eta(F^\delta(y, t) \mu^\delta) = 0,$$

*and  $\mu \in C_b(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n))$  be a distributional solution of*

$$\partial_t \mu + \operatorname{div}_y(\eta \mu) + \operatorname{div}_\eta(F(y, t) \mu) = 0,$$

*such that initially  $\mu_{|t=0}^\delta = \mu_{|t=0}$ , then*

$$\mu^\delta \xrightarrow{\delta \rightarrow 0_+} \mu \quad \text{in } L^\infty([0, T]; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{w} - *}).$$

*Proof.* We consider the difference  $e^\delta := \mu^\delta - \mu$ . Then  $e^\delta(y, \eta, t)$  solves (in the sense of distributions) the following inhomogeneous equation:

$$\partial_t e^\delta + \operatorname{div}_y(\eta e^\delta) + \operatorname{div}_\eta(F^\delta(y, t) e^\delta) = \operatorname{div}_\eta((F(y, t) - F^\delta(y, t)) \mu),$$

subject to  $e_{|t=0}^\delta = 0$ . By density, it suffices to prove the result for test functions  $\chi(y, \eta) \in \mathcal{S}(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)$  with compact support. In this case, the inhomogeneity on the right hand side is given by

$$\begin{aligned} \langle \chi, \operatorname{div}_\eta((F(y, t) - F^\delta(y, t)) \mu) \rangle &= \\ &= \iint_{\mathbb{R}^{2n}} \nabla_\eta \chi(y, \eta) \cdot (F^\delta(y, t) - F(y, t)) \mu(dy, d\eta, t), \end{aligned}$$

which goes to zero as  $\delta \rightarrow 0_+$ , in view of the convergence of  $F^\delta$  discussed above. This implies that, as  $\delta \rightarrow 0_+$ , the difference  $e^0(y, \eta, t)$  is a weak solution of

$$\partial_t e^0 + \operatorname{div}_y(\eta e^0) + \operatorname{div}_\eta(F^0(y, t) e^0) = 0, \quad e_{|t=0}^0 = 0.$$

Using the push forward formula for the associated characteristic flow  $\Phi_t^0$ , then yields

$$\iint_{\mathbb{R}^{2n}} \chi(y, \eta) e^0(y, \eta, t) dy d\eta = \iint_{\mathbb{R}^{2n}} \chi(\Phi_t^0(y, \eta)) e^0(dy, d\eta, 0) = 0,$$

for any test function  $\chi$ , and thus  $e^0(y, \eta, t) = 0$ .  $\square$

To obtain the convergence of the term  $\Theta[\Upsilon^\delta]W^\delta$ , we note that with the convergence of the Wigner measure  $\mu^\delta$ , which is obtained in Proposition 5.1, one gets

$$\begin{aligned} \Upsilon^\delta(x, t) &= \iint_{\mathbb{R}^{2n}} V(x, y) \mu^\delta(dy, d\eta, t) \\ &\xrightarrow{\delta \rightarrow 0_+} \iint_{\mathbb{R}^{2n}} V(x, y) \mu(dy, d\eta, t) \equiv \Upsilon(x, t) \end{aligned}$$

point-wise, for all  $x, t$ . Similar to previous cases, one concludes that, up to extraction of possibly another sub-sequence,  $\Upsilon^\delta$  converges, as  $\delta \rightarrow 0_+$ , uniformly on compact sets in  $x, t$ .

With the same techniques as in the proof of Proposition 4.5, one can then derive the equation for the associated Wigner measure  $\nu$ . The classical limit of the mixed quantum-classical system can thus be summarized as follows.

**Theorem 5.2.** *Let Assumptions (A1) and (A2) hold. Then, for any  $T > 0$ , it holds that solutions of the mixed quantum-classical system (4.7) satisfy*

$$W^\delta[\psi^\delta] \xrightarrow{\delta \rightarrow 0_+} \nu \quad \text{in } L^\infty([0, T]; \mathcal{A}'(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)_{\text{w} - *}),$$

*and*

$$\mu^\delta \xrightarrow{\delta \rightarrow 0_+} \mu \quad \text{in } L^\infty([0, T]; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{w} - *}).$$

where  $\nu \in C_b(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_x^d \times \mathbb{R}_\xi^d))$  and  $\mu \in C_b(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n))$  solve the following coupled system of Vlasov-type equations in the sense of distributions

$$(5.3) \quad \begin{cases} \partial_t \nu + \operatorname{div}_x(\xi \nu) - \operatorname{div}_\xi(\nabla_x \Upsilon(x, t) \nu) = 0, & \nu|_{t=0} = \nu_{\text{in}}(x, \xi), \\ \partial_t \mu + \operatorname{div}_y(\eta \mu) + \operatorname{div}_\eta(F(y, t) \mu) = 0, & \mu|_{t=0} = \mu_{\text{in}}(y, \eta). \end{cases}$$

Here  $\nu_{\text{in}}$  is the initial Wigner measure obtained as the weak\* limit of  $W^\delta[\psi_{\text{in}}^\delta]$ , and

$$\Upsilon(x, t) = \iint_{\mathbb{R}^{2n}} V(x, y) \mu(dy, d\eta, t), \quad F(y, t) = - \iint_{\mathbb{R}^{2d}} \nabla_y V(x, y) \nu(dx, d\xi, t).$$

**Remark 5.3.** Note that system (5.3) admits a special solution of the form

$$\nu(x, \xi, t) = \delta(x - x(t), \xi - \xi(t)), \quad \mu(y, \eta, t) = \delta(y - y(t), \eta - \eta(t)),$$

where  $x(t), y(t), \xi(t), \eta(t)$  solve the following Hamiltonian system:

$$\begin{cases} \dot{x}(t) = \xi(t), & x(0) = x_0, \\ \dot{\xi}(t) = -\nabla_x V(x(t), y(t)), & \xi(0) = \xi_0, \\ \dot{y}(t) = \eta(t), & y(0) = y_0, \\ \dot{\eta}(t) = -\nabla_y V(x(t), y(t)), & \eta(0) = \eta_0. \end{cases}$$

This describes the case of two classical point particles interacting with each other via  $V(x, y)$ . Obviously, if  $V(x, y) = V_1(x) + V_2(y)$ , the system completely decouples and one obtains the dynamics of two independent point particles under the influence of their respective external forces.

**5.2. The classical limit of the TDSCF system.** In this section we shall set  $\varepsilon = \delta$  and consider the now fully semi-classically scaled TDSCF system where only  $0 < \varepsilon \ll 1$  appears as a small dimensionless parameter:

$$(5.4) \quad \begin{cases} i\varepsilon \partial_t \psi^\varepsilon = \left( -\frac{\varepsilon^2}{2} \Delta_x + \langle \varphi^\varepsilon, V \varphi^\varepsilon \rangle_{L_y^2} \right) \psi^\varepsilon, & \psi|_{t=0} = \psi_{\text{in}}^\varepsilon(x), \\ i\varepsilon \partial_t \varphi^\varepsilon = \left( -\frac{\varepsilon^2}{2} \Delta_y + \langle \psi^\varepsilon, h^\varepsilon \psi^\varepsilon \rangle_{L_x^2} \right) \varphi^\varepsilon, & \varphi|_{t=0} = \varphi_{\text{in}}^\varepsilon(y), \end{cases}$$

where, as in (2.4), we denote

$$h^\varepsilon = -\frac{\varepsilon^2}{2} \Delta_x + V(x, y).$$

We shall introduce the associated  $\varepsilon$ -scaled Wigner transformations  $w^\varepsilon[\varphi^\varepsilon](y, \eta, t)$  and  $W^\varepsilon[\psi^\varepsilon](x, \xi, t)$  defined by (3.1). From the a-priori estimates established in Lemmas 2.4 and 2.5, we infer that both  $\psi^\varepsilon$  and  $\varphi^\varepsilon$  are  $\varepsilon$ -oscillatory and thus we immediately infer the existence of the associated limiting Wigner measures  $\mu, \nu \in \mathcal{M}^+$ , such that

$$w^\varepsilon[\varphi^\varepsilon] \xrightarrow{\varepsilon \rightarrow 0^+} \mu \quad \text{in } L^\infty(\mathbb{R}_t; \mathcal{A}'(\mathbb{R}_y^n \times \mathbb{R}_\eta^n)_{\text{w}} - *),$$

and

$$W^\varepsilon[\psi^\varepsilon] \xrightarrow{\varepsilon \rightarrow 0^+} \nu \quad \text{in } L^\infty(\mathbb{R}_t; \mathcal{A}'(\mathbb{R}_x^d \times \mathbb{R}_\xi^d)_{\text{w}} - *).$$

The associated Wigner transformed system is

$$(5.5) \quad \begin{cases} \partial_t W^\varepsilon + \xi \cdot \nabla_x W^\varepsilon + \Theta[\Upsilon^\varepsilon] W^\varepsilon = 0, & W|_{t=0} = W^\varepsilon[\psi_{\text{in}}^\varepsilon](x, \xi), \\ \partial_t w^\varepsilon + \eta \cdot \nabla_y w^\varepsilon + \Theta[\mathcal{V}^\varepsilon] w^\varepsilon = 0, & w|_{t=0} = w^\varepsilon[\varphi_{\text{in}}^\varepsilon](y, \eta). \end{cases}$$

By following the same arguments as before, we conclude that, up to extraction of sub-sequences,

$$\Upsilon^\varepsilon(x, t) \xrightarrow{\varepsilon \rightarrow 0^+} \iint_{\mathbb{R}^{2n}} V(x, y) \mu(dy, d\eta, t) \equiv \Upsilon(x, t),$$

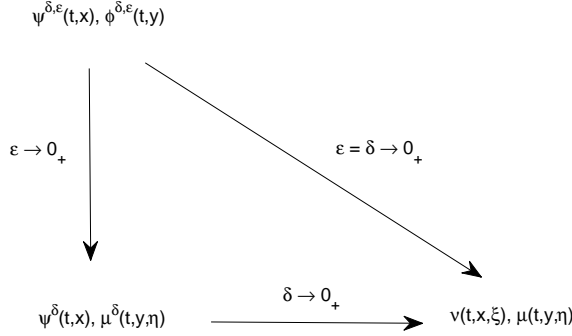


FIGURE 1. The diagram of semi-classical limits: the iterated limit and the classical limit.

and

$$\mathcal{V}^\varepsilon(y, t) \xrightarrow{\varepsilon \rightarrow 0_+} \iint_{\mathbb{R}^{2n}} V(x, y) \nu(dx, d\xi, t) \equiv \mathcal{V}(y, t),$$

on compact sets in  $(x, t)$  and  $(y, t)$  respectively. Consequently, one can show the convergences of the terms  $\Theta[\Upsilon^\varepsilon]W^\varepsilon$  and  $\Theta[\mathcal{V}^\varepsilon]w^\varepsilon$  by the same techniques as in the proof of Proposition 4.5. In summary, we obtain the following result:

**Theorem 5.4.** *Let Assumptions (A1) and (A2) hold. Then, for any  $T > 0$ , we have that  $W^\varepsilon$  and  $w^\varepsilon$  converge as  $\varepsilon \rightarrow 0_+$ , respectively, to  $\mu \in L^\infty(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_y^n \times \mathbb{R}_\eta^n))$  and  $\nu \in L^\infty(\mathbb{R}_t; \mathcal{M}^+(\mathbb{R}_x^d \times \mathbb{R}_\xi^d))$ , which solve the classical system (5.3) in the sense of distributions.*

In other words, we obtain the same classical limiting system for  $\varepsilon = \delta \rightarrow 0_+$ , as in the iterated limit  $\varepsilon \rightarrow 0_+$  and  $\delta \rightarrow 0_+$ . In summary, we have established the diagram of semi-classical limits as is shown in Figure 1. (It is very likely that the missing “upper right corner” within Fig. 1 can also be completed by using arguments similar to those given above.)

## 6. NUMERICAL METHODS BASED ON TIME-SPLITTING SPECTRAL APPROXIMATIONS

In this section, we will develop efficient and accurate numerical methods for the semi-classically scaled TDSCF equations (2.3) and the Ehrenfest equations (4.9). The highly oscillatory nature of these models strongly suggests the use of spectral algorithms, which are the preferred method of choice when dealing with semi-classical models, cf. [18]. In the following, we will design and investigate time-splitting spectral algorithms, for both the TDSCF system and the Ehrenfest model, which will be shown to be second order in time. The latter is not trivial due to the self-consistent coupling within our equations and it will become clear that higher order methods can, in principle, be derived in a similar fashion. Furthermore, we will explore the optimal meshing strategy if only physical observables and not the wave function itself are being sought. In particular, we will show that one can take time steps independent of semi-classical parameters if one only aims to capture correct physical observables.

**6.1. The SSP2 method for the TDSCF equations.** In our numerical context, we will consider the semi-classically scaled TDSCF equations (2.3) in one spatial dimension and subject to periodic boundary conditions, i.e.

$$(6.1) \quad \begin{cases} i\delta\partial_t\psi^{\varepsilon,\delta} = \left(-\frac{\delta^2}{2}\Delta_x + \Upsilon^{\varepsilon,\delta}(x,t)\right)\psi^{\varepsilon,\delta}, & a < x < b, \quad \psi|_{t=0}^{\varepsilon,\delta} = \psi_{\text{in}}^{\delta}(x), \\ i\varepsilon\partial_t\varphi^{\varepsilon,\delta} = \left(-\frac{\varepsilon^2}{2}\Delta_y + \Lambda^{\varepsilon,\delta}(y,t)\right)\varphi^{\varepsilon,\delta}, & a < y < b, \quad \varphi|_{t=0}^{\varepsilon,\delta} = \varphi_{\text{in}}^{\varepsilon}(y), \end{cases}$$

subject to

$$\psi^{\varepsilon}(a, t) = \psi^{\varepsilon}(b, t), \quad \varphi^{\varepsilon}(a, t) = \varphi^{\varepsilon}(b, t), \quad \forall t \in \mathbb{R}.$$

As before, we denote  $\Upsilon^{\varepsilon,\delta} = \langle \varphi^{\varepsilon,\delta}, V\varphi^{\varepsilon,\delta} \rangle_{L_y^2}$  and  $\Lambda^{\varepsilon,\delta} = \langle \psi^{\varepsilon,\delta}, h^{\delta}\psi^{\varepsilon,\delta} \rangle_{L_x^2}$ .

Clearly,  $a, b \in \mathbb{R}$  have to be chosen such that the numerical domain  $[a, b]$  is sufficiently large in order to avoid the possible influence of boundary effects on our numerical solution. The numerical method developed below will work for all  $\varepsilon$  and  $\delta$ , even if  $\varepsilon = o(1)$  or  $\delta = o(1)$ . In addition, we will see that it can be naturally extended to the multi-dimensional case.

**6.1.1. The construction of the numerical method.** We assume, on the computational domain  $[a, b]$ , a uniform spatial grid in  $x$  and  $y$  respectively,  $x_{j_1} = a + j_1\Delta x$ ,  $y_{j_2} = a + j_2\Delta y$ , where  $j_m = 0, \dots, N_m - 1$ ,  $N_m = 2^{n_m}$ ,  $n_m$  are some positive integers for  $m = 1, 2$ , and  $\Delta x = \frac{b-a}{N_1}$ ,  $\Delta y = \frac{b-a}{N_2}$ . We also assume discrete time  $t^k = k\Delta t$ ,  $k = 0, \dots, K$  with a uniform time step  $\Delta t$ .

The construction of our numerical method for (6.1) is based on the following operator splitting technique. For every time step  $t \in [t^n, t^{n+1}]$ , we solve the kinetic step

$$(6.2) \quad \begin{cases} i\delta\partial_t\psi^{\varepsilon,\delta} = -\frac{\delta^2}{2}\Delta_x\psi^{\varepsilon,\delta}, \\ i\varepsilon\partial_t\varphi^{\varepsilon,\delta} = -\frac{\varepsilon^2}{2}\Delta_y\varphi^{\varepsilon,\delta}; \end{cases}$$

and the potential step

$$(6.3) \quad \begin{cases} i\delta\partial_t\psi^{\varepsilon,\delta} = \Upsilon^{\varepsilon,\delta}(x,t)\psi^{\varepsilon,\delta}, \\ i\varepsilon\partial_t\varphi^{\varepsilon,\delta} = \Lambda^{\varepsilon,\delta}(y,t)\varphi^{\varepsilon,\delta}; \end{cases}$$

possibly for some fractional time steps in a specific order. For example, if Strang's splitting is applied, then the operator splitting error is clearly second order in time (for any fixed value of  $\varepsilon$ ). However, in the semi-classical regime  $\varepsilon \rightarrow 0_+$ , a careful calculation shows that the operator splitting error is actually  $O(\Delta t^2/\varepsilon)$ , cf. [3, 19].

Next, let  $U_{j_1}^n$  be the numerical approximation of the wave functions  $\psi^{\varepsilon,\delta}$  at  $x = x_{j_1}$  and  $t = t_n$ . Then, the kinetic step for  $\psi^{\varepsilon,\delta}$  can be solved *exactly* in Fourier space via:

$$U_{j_1}^* = \frac{1}{N_1} \sum_{l_1=-N_1/2}^{N_1/2-1} e^{-i\delta\Delta t\mu_{l_1}^2/2} \hat{U}_{l_1}^n e^{i\mu_{l_1}(x_{j_1}-a)},$$

where  $\hat{U}_{l_1}^n$  are the Fourier coefficients of  $U_{j_1}^n$ , defined by

$$\hat{U}_{l_1}^n = \sum_{j_1=0}^{N_1-1} U_{j_1}^n e^{-i\mu_{l_1}(x_{j_1}-a)}, \quad \mu_{l_1} = \frac{2\pi l_1}{b-a}, \quad l_1 = -\frac{N_1}{2}, \dots, \frac{N_1}{2} - 1.$$

Similarly, the kinetic step for  $\varphi^{\varepsilon,\delta}$  can also be solved exactly in the Fourier space.

On the other hand, for the potential step (6.3) with  $t_1 < t < t_2$ , we formally find

$$(6.4) \quad \psi^{\varepsilon,\delta}(x, t_2) = \exp\left(-\frac{i}{\delta} \int_{t_1}^{t_2} \Upsilon^{\varepsilon,\delta}(x, s) ds\right) \psi^{\varepsilon,\delta}(x, t_1),$$

$$(6.5) \quad \varphi^{\varepsilon,\delta}(y, t_2) = \exp\left(-\frac{i}{\varepsilon} \int_{t_1}^{t_2} \Lambda^{\varepsilon,\delta}(y, s) ds\right) \varphi^{\varepsilon,\delta}(y, t_1),$$

where  $0 < t_2 - t_1 \leq \Delta t$ . The main problem here is, of course, that the mean field potentials  $\Upsilon^{\varepsilon,\delta}$  and  $\Lambda^{\varepsilon,\delta}$  depend on the solution  $\psi^{\varepsilon,\delta}, \varphi^{\varepsilon,\delta}$  themselves. The key observation is, however, that within each potential step, the mean field potential  $\Upsilon^{\varepsilon,\delta}$  is in fact *time-independent* (at least if we impose the assumption that the external potential  $V = V(x, y)$  does not explicitly depend on time). Indeed, a simple calculation shows

$$\begin{aligned} \partial_t \Upsilon^{\varepsilon,\delta} &\equiv \partial_t \langle \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_y^2} = \langle \partial_t \varphi^{\varepsilon,\delta}, V \varphi^{\varepsilon,\delta} \rangle_{L_x^2} + \langle \varphi^{\varepsilon,\delta}, V \partial_t \varphi^{\varepsilon,\delta} \rangle_{L_y^2} \\ &= \frac{1}{i\varepsilon} \langle \varphi^{\varepsilon,\delta}, (V \Lambda^{\varepsilon,\delta} - \Lambda^{\varepsilon,\delta} V) \varphi^{\varepsilon,\delta} \rangle_{L_y^2} = 0. \end{aligned}$$

In other words, (6.4) simplifies to

$$(6.6) \quad \psi^{\varepsilon,\delta}(x, t_2) = \exp\left(-\frac{i(t_1 - t_2)}{\delta} \Upsilon^{\varepsilon,\delta}(x, t_1)\right) \psi^{\varepsilon,\delta}(x, t_1),$$

which is an *exact* solution formula for  $\psi^{\varepsilon,\delta}$  at  $t = t_2$ .

The same argument does not work for the other self-consistent potential  $\Lambda^{\varepsilon,\delta}$ , since formally

$$\begin{aligned} \partial_t \Lambda^{\varepsilon,\delta} &\equiv \partial_t \langle \psi^{\varepsilon,\delta}, h^\delta \psi^{\varepsilon,\delta} \rangle_{L_x^2} = \langle \partial_t \psi^{\varepsilon,\delta}, h^\delta \psi^{\varepsilon,\delta} \rangle_x + \langle \psi^{\varepsilon,\delta}, h^\delta \partial_t \psi^{\varepsilon,\delta} \rangle_{L_x^2} \\ &= \frac{1}{i\delta} \langle \psi^{\varepsilon,\delta}, (h^\delta \Upsilon^{\varepsilon,\delta} - \Upsilon^{\varepsilon,\delta} h^\delta) \psi^{\varepsilon,\delta} \rangle_{L_x^2} \\ &= \frac{1}{i\delta} \left\langle \psi^{\varepsilon,\delta}, -\frac{\delta^2}{2} \nabla_x \Upsilon^{\varepsilon,\delta} \cdot \nabla_x \psi^{\varepsilon,\delta} \right\rangle_{L_x^2} + \frac{1}{i\delta} \left\langle \psi^{\varepsilon,\delta}, -\frac{\delta^2}{2} \Delta_x \Upsilon^{\varepsilon,\delta} \psi^{\varepsilon,\delta} \right\rangle_{L_x^2} \\ &= \frac{1}{2} \langle \psi^\varepsilon, \nabla_x \Upsilon^\varepsilon \cdot (i\delta \nabla_x) \psi^\varepsilon \rangle_{L_x^2} + \frac{i\delta}{2} \langle \psi^\varepsilon, \Delta_x \Upsilon^\varepsilon \psi^\varepsilon \rangle_{L_x^2}. \end{aligned}$$

However, since  $\Lambda^{\varepsilon,\delta}(y, t) = \langle \psi^{\varepsilon,\delta}, h^\delta \psi^{\varepsilon,\delta} \rangle_{L_x^2}$ , the formula (6.6) for  $\psi^{\varepsilon,\delta}$  allows to evaluate  $\Lambda^{\varepsilon,\delta}(y, t)$  for any  $t_1 < t < t_2$ . Moreover, the above expression for  $\partial_t \Lambda^{\varepsilon,\delta}$ , together with the Cauchy-Schwarz inequality and the energy estimate in Lemma 2.5, directly implies that  $\partial_t \Lambda^{\varepsilon,\delta}$  is  $O(1)$ . Thus, one can use standard numerical integration methods to approximate the time-integral within (6.5). For example, one can use the trapezoidal rule to obtain

$$(6.7) \quad \varphi^{\varepsilon,\delta}(y, t_2) \approx \exp\left(-\frac{i(\Lambda^{\varepsilon,\delta}(y, t_2) + \Lambda^{\varepsilon,\delta}(y, t_1))(t_1 - t_2)}{2\varepsilon}\right) \varphi^{\varepsilon,\delta}(y, t_1).$$

Obviously, this approximation introduces a phase error of order  $O(\Delta t^2/\varepsilon)$ , which is comparable to the operator splitting error. This is the reason why we call the outlined numerical method SSP2, i.e., a second order Strang-splitting spectral method.

**Remark 6.1.** In order to obtain a higher order splitting method to the equations, one just needs to use a higher order quadrature rule to approximate the time-integral within (6.5).

6.1.2. *Meshing strategy.* In this subsection, we will analyze the dependence on the semi-classical parameters of the numerical error by applying the Wigner transformation onto the scheme we proposed above. In particular, this yields an estimate on the approximation error for (the expectation values of) physical observables due to (3.3). Our analysis thereby follows along the same lines as in Refs. [3, 19]. For the sake of simplicity, we shall only consider the differences between their cases and ours.

We denote the Wigner transforms  $W^{\varepsilon,\delta} \equiv W^\delta[\psi^{\varepsilon,\delta}]$  and  $w^{\varepsilon,\delta} = w^\varepsilon[\varphi^{\varepsilon,\delta}]$ , which satisfy the system

$$(6.8) \quad \begin{cases} \partial_t W^{\varepsilon,\delta} + \xi \cdot \nabla_x W^{\varepsilon,\delta} + \Theta[\Upsilon^{\varepsilon,\delta}] W^{\varepsilon,\delta} = 0, & W^{\varepsilon,\delta}_{|t=0} = W^\delta[\psi_{\text{in}}^\delta](x, \xi), \\ \partial_t w^{\varepsilon,\delta} + \eta \cdot \nabla_y w^{\varepsilon,\delta} + \Theta[\mathcal{V}^{\varepsilon,\delta}] w^{\varepsilon,\delta} = 0, & w^{\varepsilon,\delta}_{|t=0} = w^\varepsilon[\varphi_{\text{in}}^\varepsilon](y, \eta). \end{cases}$$

Clearly, the time splitting for the Schrödinger equation induces an analogous time-splitting of the associated Wigner equations (6.8). Having in mind the properties of the SSP2 method, we only need to worry about the use of the trapezoidal rule in approximating  $\varphi^{\varepsilon,\delta}$  within the potential step. We shall consequently analyze the error induced by this approximation in the computation of the Wigner transform. To this end, we are interested in analyzing two special cases:  $\delta = O(1)$ , and  $\varepsilon \ll 1$ , or  $\delta = \varepsilon \ll 1$ . These correspond to the semi-classical limits we showed in Theorem 4.7 and Theorem 5.4.

We first consider the case  $\delta = \varepsilon \ll 1$ , where Wigner transformed TDSCF system reduces to (5.5). For convenience, we suppress the parameter  $\delta$ , and write the potential step for  $\varphi^\varepsilon$  as,

$$i\varepsilon \partial_t \varphi^\varepsilon = \Lambda^\varepsilon(y) \varphi^\varepsilon, \quad t_1 < t < t_2,$$

and the associated Wigner transform  $w^\varepsilon$  in the potential step satisfies,

$$\partial_t w^\varepsilon + \Theta[\mathcal{V}^\varepsilon] w^\varepsilon = 0, \quad t_1 < t < t_2.$$

In view of (6.7), if we denote the approximation on the right hand side by  $\tilde{\varphi}^\varepsilon$ , then  $\tilde{\varphi}^\varepsilon$  is the exact solution to the following equation

$$i\varepsilon \partial_t \tilde{\varphi}^\varepsilon = G^\varepsilon(y) \tilde{\varphi}^\varepsilon, \quad t_1 < t < t_2,$$

where

$$G^\varepsilon(y) = \frac{1}{2}(\Lambda^\varepsilon(y, t_1) + \Lambda^\varepsilon(y, t_2)).$$

If one denotes the Wigner transform of  $\tilde{\varphi}^\varepsilon(y, t)$  by  $\tilde{w}^\varepsilon(y, \eta, t)$ , then, by using the well known “Wignerization lemma”, see [12, Proposition 1.8], one can show that  $\tilde{w}^\varepsilon$  satisfies

$$(6.9) \quad \partial_t \tilde{w}^\varepsilon - \nabla_y G^\varepsilon \cdot \nabla_\eta \tilde{w}^\varepsilon + O(\varepsilon) = 0, \quad t_1 < t < t_2.$$

In order to compare  $w^\varepsilon(y, \eta, t_2)$  and  $\tilde{w}^\varepsilon(y, \eta, t_2)$ , we now consider the following set of equations

$$\begin{aligned} \partial_t w_1 - \nabla_y \mathcal{V}^\varepsilon(y, t) \cdot \nabla_\eta w_1 &= 0, & t_1 < t < t_2, \\ \partial_t w_2 - \nabla_y G^\varepsilon(y) \cdot \nabla_\eta w_2 &= 0, & t_1 < t < t_2, \end{aligned}$$

subject to the same initial condition at  $t = t_1$ :

$$w_1(y, \eta, t_1) = w_2(y, \eta, t_1) = w_0(y, \eta).$$

By the trapezoidal rule,

$$\int_{t_1}^{t_2} \nabla_y \mathcal{V}^\varepsilon(y, s) ds \approx (t_2 - t_1) \nabla_y G^\varepsilon(y),$$

since  $\nabla_y \Lambda^\varepsilon(y, t) \equiv \nabla_y \mathcal{V}^\varepsilon(y, t)$ . Thus, by the method of characteristics, it is straightforward to measure the discrepancy between  $w_1$  and  $w_2$  at  $t = t_2$  and one easily obtains

$$w_1 - w_2 = O(\Delta t^3).$$

Furthermore, equation (6.9) implies that, in leading order,  $\tilde{w}^\varepsilon$  and  $w_2$  share the same characteristics, i.e.,

$$\dot{\eta}(t) = -\nabla_y G^\varepsilon(y(t)), \quad \dot{y}(t) = 0.$$

Along these characteristics, we obtain

$$\frac{d}{dt} \tilde{w}^\varepsilon(y(t), \eta(t), t) = O(\varepsilon), \quad \frac{d}{dt} w_2(y(t), \eta(t), t) = 0.$$

Hence, we conclude that at  $t = t_2$ , it holds  $\tilde{w}^\varepsilon - w_2 = O(\varepsilon \Delta t)$ , since  $0 < t_2 - t \leq \Delta t$ . Similarly, we obtain  $w^\varepsilon - w_1 = O(\varepsilon \Delta t)$ .

In summary, we conclude that for the SSP2 method, the approximation within the potential step results in an one-step error which is bounded by  $O(\varepsilon \Delta t + \Delta t^3)$ . Thus, for fixed  $\Delta t$ , and as  $\varepsilon \rightarrow 0_+$ , this one-step error in computing the physical observables is dominated by  $O(\Delta t^3)$  and we consequently can take  $\varepsilon$ -independent time steps for accurately computing semi-classical behavior of physical observables. By standard numerical analysis arguments, cf. [3, 19], one consequently finds, that the SSP2 method introduces an  $O(\Delta t^2)$  error in computing the physical observables for  $\varepsilon \ll 1$  within an  $O(1)$  time interval. Similarly, one can obtain the same results when  $\delta$  is fixed while  $\varepsilon \ll 1$ .

We remark that, if a higher order operator splitting is applied to the TDSCF equations, and if a higher order quadrature rule is applied to approximate formula (6.5), one obviously can expect higher order convergence in the physical observables.

**6.2. The SVSP2 method for the Ehrenfest equations.** In this section, we consider the one-dimensional Ehrenfest model obtained in Section 4.1. More precisely, we consider a (semi-classical) Schrödinger equation coupled with Hamilton's equations for a classical point particle, i.e

$$(6.10) \quad \begin{cases} i\delta \partial_t \psi^\delta = \left( -\frac{\delta^2}{2} \Delta_x + V(x, y(t)) \right) \psi^\delta, & a < x < b, \\ \dot{y}(t) = \eta(t), \quad \dot{\eta}(t) = - \int_{\mathbb{R}^d} \nabla_y V(x, y(t)) |\psi^\delta(x, t)|^2 dx, \end{cases}$$

with initial conditions

$$\psi|_{t=0}^\varepsilon = \psi_{\text{in}}^\varepsilon(x), \quad y|_{t=0} = y_0, \quad \eta|_{t=0} = \eta_0,$$

and subject to periodic boundary conditions. Inspired by the SSP2 method, we shall present a numerical method to solve (6.10), which is second order in time and works for all  $0 < \delta \leq 1$ .

As before, we assume a uniform spatial grid  $x_j = a + j\Delta x$ , where  $N = 2^{n_0}$ ,  $n_0$  is an positive integer and  $\Delta x = \frac{b-a}{N}$ . We also assume uniform time steps  $t^k = k\Delta t$ ,  $k = 0, \dots, K$  for both the Schrödinger equation and Hamilton's ODEs. For every time step  $t \in [t^n, t^{n+1}]$ , we split the system (6.10) into a kinetic step

$$(6.11) \quad \begin{cases} i\delta \partial_t \psi^\delta(x, t) = -\frac{\delta^2}{2} \Delta_x \psi^\delta(x, t), \\ \dot{y} = \eta, \quad \dot{\eta} = 0; \end{cases}$$

and a potential step

$$(6.12) \quad \begin{cases} i\delta \partial_t \psi^\delta(x, t) = V(x, y(t)) \psi^\delta(x, t), \\ \dot{y} = 0, \quad \dot{\eta} = - \int_{\mathbb{R}^d} \nabla_y V(x, y(t)) |\psi^\delta(x, t)|^2 dx. \end{cases}$$



We remark that, the operator splitting method for the Hamilton's equations may be one of the symplectic integrators. The readers may refer to [15] for a systematic discussion.

As before, the kinetic step (6.11) can be solved analytically. On the other hand, within the potential step (6.12), we see that

$$(6.13) \quad \partial_t V(x, y(t)) = \nabla_y V \cdot \dot{y}(t) = 0,$$

i.e.,  $V(x, y(t))$  is indeed time-independent. Moreover

$$\begin{aligned} & \partial_t \left( \int_{\mathbb{R}^d} \nabla_y V(x, y(t)) |\psi^\delta(x, t)|^2 dx \right) \\ &= \langle \partial_t \psi^\delta, \nabla_y V(x, y(t)) \psi^\delta \rangle_{L_x^2} + \langle \psi^\delta, \nabla_y V(x, y(t)) \partial_t \psi^\delta \rangle_{L_x^2} \\ &+ \langle \psi^\delta, \partial_t \nabla_y V(x, y(t)) \psi^\delta \rangle_{L_x^2}. \end{aligned}$$

Now, we can use the first equation in (6.12) and the fact that  $V(x, y(t)) \in \mathbb{R}$  to infer that the first two terms on the right hand side of this time-derivate cancel each other. We thus have

$$\partial_t \left( \int_{\mathbb{R}^d} \nabla_y V(x, y(t)) |\psi^\delta(x, t)|^2 dx \right) = \langle \psi^\delta, \nabla_y^2 V(x, y(t)) \cdot \dot{y}(t) \psi^\delta \rangle_{L_x^2} = 0,$$

in view of (6.13). In other words, also the semi-classical force is time-independent within each potential step. In summary, we find that for  $t \in [t_1, t_2]$ , the potential step admits the following exact solutions

$$\psi^\delta(x, t_2) = \exp \left( \frac{i}{\delta} (t_1 - t_2) V(x, y(t_1)) \right) \psi^\delta(x, t_1),$$

as well as

$$y(t_2) = y(t_1), \quad \eta(t_2) = \eta(t_1) - (t_2 - t_1) \int_{\mathbb{R}^d} \nabla_y V(x, y(t_1)) |\psi^\delta(x, t_1)|^2 dx.$$

This implies, that for this type of splitting method, there is *no numerical error in time* within the kinetic or the potential steps and thus, we only pick up an error of order  $O(\Delta t^2/\delta)$  in the wave function and an error of order  $O(\Delta t^2)$  in the classical coordinates induced by the operator splitting. Standard arguments, cf. [3, 19], then imply that one can use  $\delta$ -independent time steps to correctly capture the expectation values of physical observables. We call this proposed method SVSP2, i.e., a second order Strang-Verlet splitting spectral method. It is second order in time but can easily be improved by using higher order operator splitting methods for the Schrödinger equation and for Hamilton's equations.

## 7. NUMERICAL TESTS

In this section, we test the SSP2 method for the TDSCF equations and the SVSP2 method for the Ehrenfest system. In particular, we want to test the methods after the formation of caustics, which generically appear in the WKB approximation of the Schrödinger wave functions, cf [28]. We also test the convergence properties in time and with respect to the spatial grids for the wave functions and the following physical observable densities

$$\rho^\varepsilon(x, t) = |\psi^\varepsilon(x, t)|^2, \quad J^\varepsilon(x, t) = \varepsilon \operatorname{Im}(\overline{\psi^\varepsilon}(x, t) \nabla \psi^\varepsilon(x, t)),$$

i.e., the particle density and current densities associated to  $\psi^\varepsilon$  (and analogously for  $\varphi^\varepsilon$ ).

**7.1. SSP2 method for the TDSCF equations.** We first study the behavior of the proposed SSP2 method. In Example 1, we fix  $\delta$  and test the SSP2 method for various  $\varepsilon$ . In Example 2 and Example 3, we take  $\delta = \varepsilon$  and assume the same spatial grids in  $x$  and  $y$ .

**Example 1.** In this example, we fix  $\delta = 1$ , and test the SSP2 method for various  $\varepsilon = o(1)$ . We want to test the convergence in spatial grids and time, and whether  $\varepsilon$ -independent time steps can be taken to calculate accurate physical observables.

Assume  $x, y \in [-\pi, \pi]$  and let  $V(x, y) = \frac{1}{2}(x + y)^2$ . The initial conditions are of the WKB form,

$$\psi_{\text{in}}^\delta(x) = e^{-2(x+0.1)^2} e^{i \frac{\sin x}{\delta}}, \quad \varphi_{\text{in}}^\varepsilon(y) = e^{-5(y-0.1)^2} e^{i \frac{\cos y}{\varepsilon}}.$$

In the following all our numerical tests are computed till the stopping time  $T = 0.4$ .

We first test the convergence of the SSP2 method in  $\Delta x$  and  $\Delta y$ , respectively. By the energy estimate in Lemma 2.4, one expects the meshing strategy  $\Delta x = O(\delta)$  and  $\Delta y = O(\varepsilon)$  to obtain spectral accuracy. We take  $\delta = 1$  and  $\varepsilon = \frac{1}{1024}$ . The reference solution is computed with sufficiently fine spatial grids and time steps:  $\Delta x = \Delta y = \frac{2\pi}{32768}$  and  $\Delta t = \frac{0.4}{4096}$ . We repeated the tests with the same  $\Delta y$  and  $\Delta t$  but different  $\Delta x$ , or with the same  $\Delta x$  and  $\Delta t$  but different  $\Delta y$ . The errors in the wave functions and the position densities are calculated and plotted in Figure 2, from which we observe clearly that  $\Delta x = O(1)$  and  $\Delta y = O(\varepsilon)$  are sufficient to obtain spectral accuracy. Due to the time discretization error, the numerical error cannot be reduced further once  $\Delta x$  and  $\Delta y$  become sufficiently small.

Next, to test the convergence in time, we take  $\delta = 1$ ,  $\varepsilon = \frac{1}{1024}$ , and compare to a reference solution which is computed through a well resolved mesh with  $\Delta x = \frac{2\pi}{512}$ ,  $\Delta y = \frac{2\pi}{16384}$  and  $\Delta t = \frac{0.4}{4096}$ . Then, we compute with the same spatial grids, but with different time steps. The results are illustrated in Figure 3. We observe that the method is stable even if  $\Delta t \gg \varepsilon$ . Moreover, we get second order convergence in the wave functions as well as in the physical observable densities. Note that in this test, the numerical solutions of  $\psi$  and  $\varphi$  behave qualitatively similarly because the spatial grids are well resolved, but quantitatively, the numerical error in  $\varphi$  is larger because  $\varphi$  is highly oscillatory (in the scale of  $O(\varepsilon)$ ) while  $\psi$  is not when  $\delta = O(1)$ .

At last, we test whether  $\varepsilon$ -independent  $\Delta t$  can be taken to capture the correct physical observables. We solve the TDSCF equations with resolved spatial grids. The numerical solutions with  $\Delta t = O(\varepsilon)$  are used as the reference solutions. For  $\varepsilon = \frac{1}{64}, \frac{1}{128}, \frac{1}{256}, \frac{1}{512}, \frac{1}{1024}, \frac{1}{2048}$  and  $\frac{1}{4096}$ , we fix  $\Delta t = \frac{0.4}{8}$ . The errors in the wave functions and position densities are calculated. We see in Figure 4 that, the error in the wave functions increases as  $\varepsilon \rightarrow 0_+$ , but the error in physical observables does not change notably. Note that, in this test, only the numerical error in  $\varphi$  increases significantly with  $\Delta t$  fixed and  $\varepsilon \rightarrow 0_+$ , because  $\varphi$  is highly oscillatory (in the scale of  $O(\varepsilon)$ ) while  $\psi$  is not when  $\delta = O(1)$ .

We remark that, although the potential  $V = \frac{1}{2}(x + y)^2$  does not satisfy Assumption (A1), our numerical experiments suggest that the numerical method has the same asymptotic behavior as those which do satisfy (A1). Intuitively, the numerical solutions in this test can be considered as essentially compactly supported, and hence the fact that  $V$  is unbounded does not sabotage the properties of our numerical methods. A rigorous analysis on such potentials is in principle possible, but it remains open.

**Example 2.** We want to numerically verify the behavior of the TDSCF system as  $\varepsilon = \delta \rightarrow 0_+$  compared to the classical limit. To this end, let  $x, y \in [0, 1]$ , and

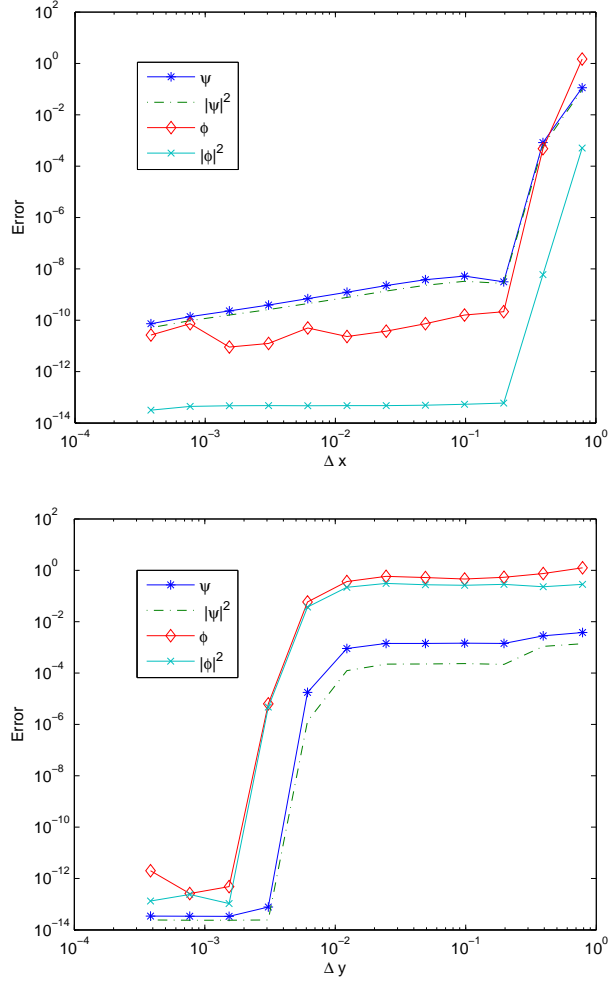


FIGURE 2. Reference solution:  $\Delta x = \Delta y = \frac{2\pi}{32768}$  and  $\Delta t = \frac{0.4}{4096}$ . Upper picture: fix  $\Delta y = \frac{2\pi}{32768}$  and  $\Delta t = \frac{0.4}{4096}$ , take  $\Delta x = \frac{2\pi}{8192}, \frac{2\pi}{4096}, \frac{2\pi}{2048}, \frac{2\pi}{1024}, \frac{2\pi}{512}, \frac{2\pi}{256}, \frac{2\pi}{128}, \frac{2\pi}{64}, \frac{2\pi}{32}, \frac{2\pi}{16}, \frac{2\pi}{8}$ . Lower Picture: fix  $\Delta x = \frac{2\pi}{32768}$  and  $\Delta t = \frac{0.4}{4096}$ , take  $\Delta y = \frac{2\pi}{16384}, \frac{2\pi}{8192}, \frac{2\pi}{4096}, \frac{2\pi}{2048}, \frac{2\pi}{1024}, \frac{2\pi}{512}, \frac{2\pi}{256}, \frac{2\pi}{128}, \frac{2\pi}{64}, \frac{2\pi}{32}, \frac{2\pi}{16}, \frac{2\pi}{8}$ . These results show that, when  $\delta = O(1)$  and  $\varepsilon \ll 1$ , the meshing strategy  $\Delta x = O(\delta)$  and  $\Delta y = O(\varepsilon)$  is sufficient for obtaining spectral accuracy.

assume periodic boundary conditions for both equations. Assume  $V(x, y) = 1$ , and choose initial conditions of WKB form

$$\begin{aligned}\psi_{\text{in}}^\varepsilon(x) &= e^{-25(x-0.58)^2} e^{-i \frac{\ln(2 \cosh 5(x-0.6))}{5\varepsilon}}, \\ \varphi_{\text{in}}^\varepsilon(y) &= e^{-25(y-0.5)^2} e^{-i \frac{\ln(2 \cosh 5(y-0.5))}{5\varepsilon}}.\end{aligned}$$

The tests are done for  $\varepsilon = \frac{1}{512}$  and  $\varepsilon = \frac{1}{2048}$ , respectively. Note that, the potential  $V$  is chosen in this simple form so that the semi-classical limit can be computed analytically. Indeed, the classical limit yields a decoupled system of two independent Liouville equations, similar to the examples in [3, 19, 26]. The formation of caustics was previously analyzed in [17, 26] and it is known that the caustic is formed around  $t = 0.54$ .

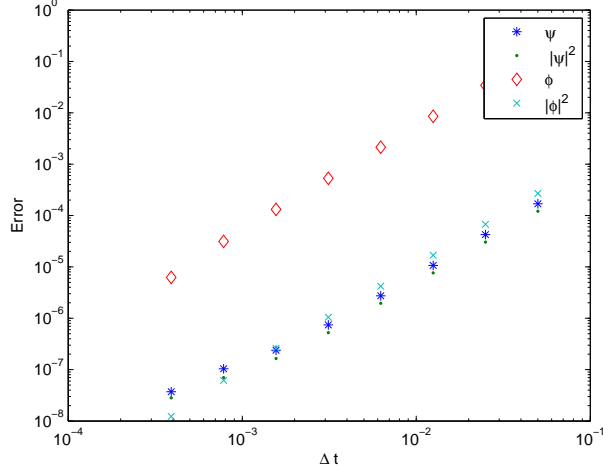


FIGURE 3. Reference solution:  $\Delta x = \frac{2\pi}{512}$ ,  $\Delta y = \frac{2\pi}{16348}$  and  $\Delta t = \frac{0.4}{4096}$ . SSP2: fix  $\Delta x = \frac{2\pi}{512}$ ,  $\Delta y = \frac{2\pi}{16348}$ , take  $\Delta t = \frac{0.4}{1024}, \frac{2\pi}{512}, \frac{2\pi}{256}, \frac{2\pi}{128}, \frac{2\pi}{64}, \frac{2\pi}{32}, \frac{2\pi}{16}, \frac{2\pi}{8}$ . These results show that, when  $\delta = O(1)$  and  $\varepsilon \ll 1$ , the SSP2 method is unconditionally stable and is second order accurate in time.

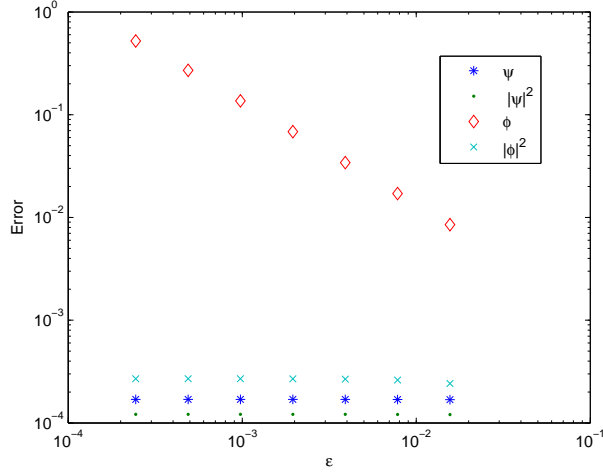


FIGURE 4. Fix  $\Delta t = 0.05$ . For  $\varepsilon = 1/64, 1/128, 1/256, 1/512, 1/1024, 1/2048$  and  $1/4096$ ,  $\Delta x = 2\pi\varepsilon/16$ , respectively. The reference solution is computed with the same  $\Delta x$ , but  $\Delta t = \varepsilon/10$ . These results show that,  $\varepsilon$ -independent time steps can be taken to obtain accurate physical observables, but not accurate wave functions.

We solve the TDSCF equations by the SSP2 method until  $T = 0.54$  with two different meshing strategies

$$\Delta x = O(\varepsilon), \quad \Delta t = O(\varepsilon);$$

and

$$\Delta x = O(\varepsilon), \quad \Delta t = o(1).$$

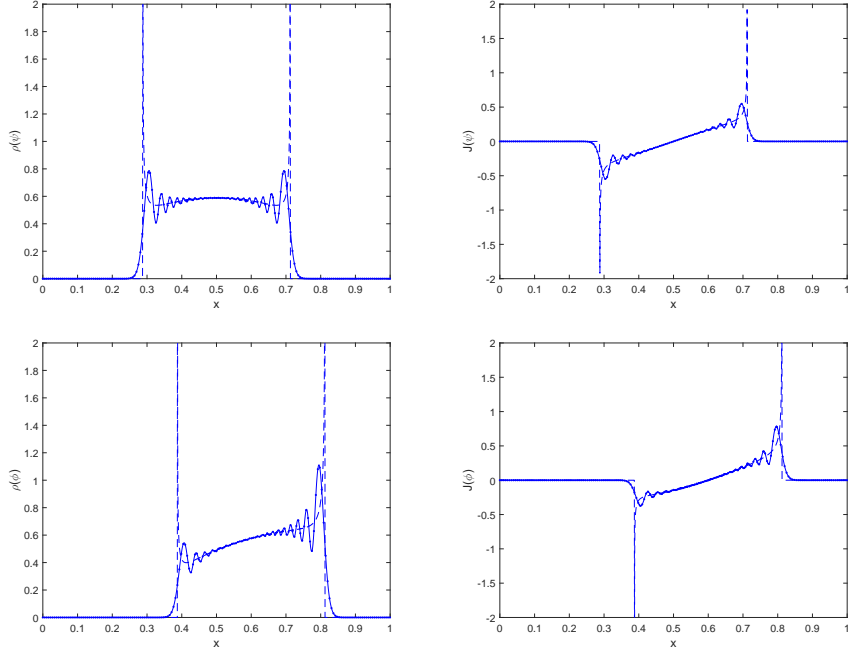


FIGURE 5.  $\varepsilon = \frac{1}{512}$ . First row: position density and current density of  $\varphi^\varepsilon$ ; second row: position density and current density of  $\psi^\varepsilon$ .

The numerical solutions are then compared with the semi-classical limits: in Figure 5 and Figure 6, the dashed line represents the semi-classical limits (5.3), the dotted line represents the numerical solution with  $\varepsilon$ -independent  $\Delta t$ , and the solid line represents the numerical solution with  $\varepsilon$ -dependent  $\Delta t$ . From these figures, we observe the numerical convergence (in the weak sense) to the limit solutions after caustics formation, and that the numerical scheme can capture the physical observables with  $\varepsilon$ -independent  $\Delta t$ .

Next, we come back to take the harmonic coupling potential  $V(x, y) = \frac{1}{2}(x+y)^2$ , which ensures in a nontrivial coupling between the two sub-systems. We again want to test whether  $\varepsilon$ -independent  $\Delta t$  can be taken to correctly capture the behavior of physical observables. We solve the TDSCF equations with resolved spatial grids, which means  $\Delta x = O(\varepsilon)$ . The numerical solutions with  $\Delta t = O(\varepsilon)$  are used as the reference solutions. For  $\varepsilon = \frac{1}{256}, \frac{1}{512}, \frac{1}{1024}, \frac{1}{2048}, \frac{1}{4096}$ , we fix  $\Delta t = 0.005$ , and compute till  $T = 0.54$ . The  $l^2$  norm of the error for the wave functions and the error for the position densities is calculated. We see in Figure 7 that the former increases as  $\varepsilon \rightarrow 0_+$ , but the error in the physical observables does not change noticeably.

**Example 3.** In this example, we want to test the convergence in the spatial grid  $\Delta x$  and in the time step  $\Delta t$  as  $\varepsilon = \delta \rightarrow 0_+$ . According to the previous analysis, the spatial oscillations of wavelength  $O(\varepsilon)$  need to be resolved. On the other hand, if the time oscillation with frequency  $O(1/\varepsilon)$  is resolved, one gets accurate approximation even for the wave functions itself (not only quadratic quantities of it). Unresolved time steps of order  $O(1)$  can still give correct physical observable densities. More specifically, one expects second order convergence with respect to time in both

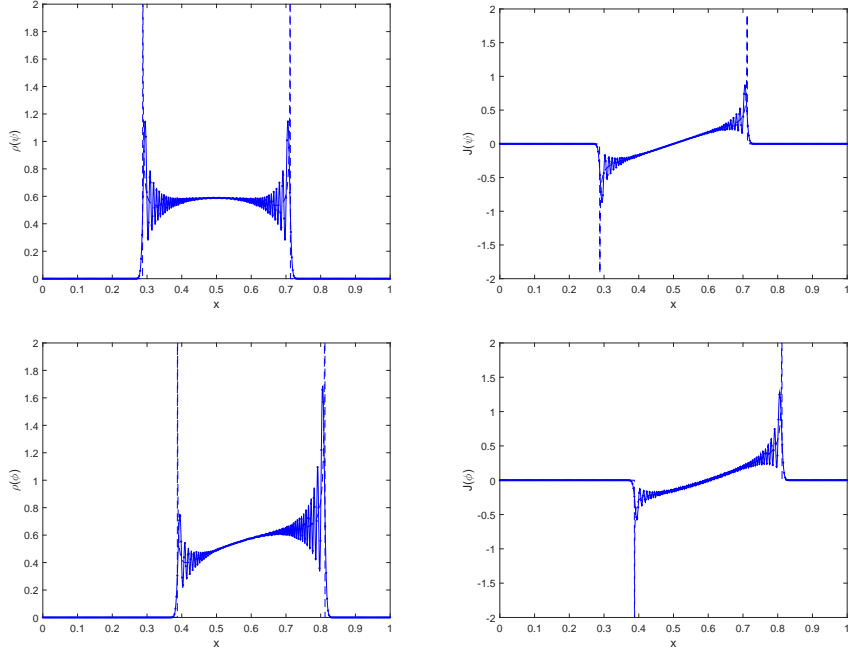


FIGURE 6.  $\varepsilon = \frac{1}{2048}$ . First row: position density and flux density of  $\varphi^\varepsilon$ ; second row: position density and current density of  $\psi^\varepsilon$ .

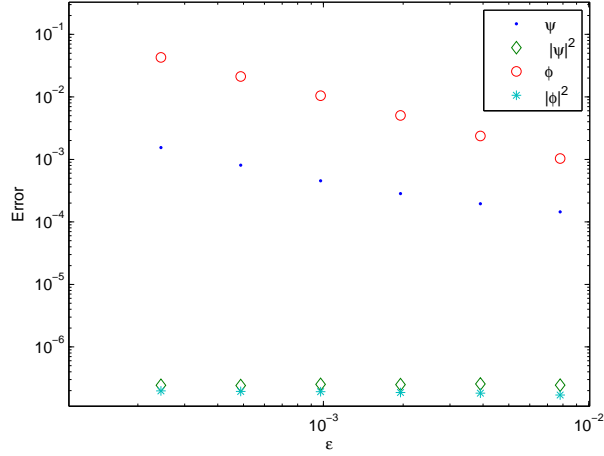


FIGURE 7. Fix  $\Delta t = 0.005$ . For  $\varepsilon = \frac{1}{256}, \frac{1}{512}, \frac{1}{1024}, \frac{1}{2048}, \frac{1}{4096}$ ,  $\Delta x = \frac{\varepsilon}{8}$ , respectively. The reference solution is computed with the same  $\Delta x$ , but  $\Delta t = \frac{0.54\varepsilon}{4}$ . These results show that,  $\varepsilon$ -independent time steps can be taken to obtain accurate physical observables, but not accurate wave functions.

wave functions (and in the physical observables), and spectral convergence in the respective spatial variable.

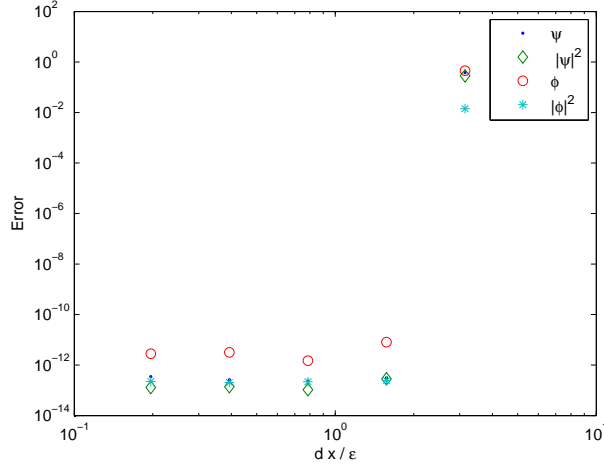


FIGURE 8. Fix  $\varepsilon = \frac{1}{256}$  and  $\Delta t = \frac{0.4\varepsilon}{16}$ . Take  $\Delta x = \frac{2\pi\varepsilon}{32}, \frac{2\pi\varepsilon}{16}, \frac{2\pi\varepsilon}{8}, \frac{2\pi\varepsilon}{4}, \frac{2\pi\varepsilon}{2}$  and  $\frac{2\pi\varepsilon}{1}$  respectively. The reference solution is computed with the same  $\Delta t$ , but  $\Delta x = \frac{2\pi\varepsilon}{64}$ . These results show that, when  $\delta = \varepsilon \ll 1$ , the meshing strategy  $\Delta x = O(\varepsilon)$  and  $\Delta y = O(\varepsilon)$  is sufficient for obtaining spectral accuracy.

Assume  $x, y \in [-\pi, \pi]$  and let  $V(x, y) = \frac{1}{2}(x + y)^2$ . The initial conditions are of the WKB form,

$$\psi_{\text{in}}^\varepsilon(x) = e^{-5(x+0.1)^2} e^{i \frac{\sin x}{\varepsilon}}, \quad \varphi_{\text{in}}^\varepsilon(y) = e^{-5(y-0.1)^2} e^{i \frac{\cos y}{\varepsilon}}.$$

To test the spatial convergence, we take  $\varepsilon = \frac{1}{256}$ , and the reference solution is computed by well resolved mesh  $\Delta x = \frac{2\pi\varepsilon}{64}, \Delta t = \frac{0.4\varepsilon}{16}$  until  $T = 0.4$ . Then, we compute with the same time step, but with different spatial grids. The results are illustrated in Figure 8. We observe that, when  $\Delta x = O(\varepsilon)$ , the error decays quickly to be negligibly small as  $\Delta x$  decreases. However, when the spatial grids do not well resolve the  $\varepsilon$ -scale, the method would actually give solutions with  $O(1)$  error.

At last, to test the convergence in time, we take  $\varepsilon = \frac{1}{1024}$ , and the reference solution is computed through a well resolved mesh with  $\Delta x = \frac{2\pi\varepsilon}{16}, \Delta t = \frac{0.4}{8192}$  till  $T = 0.4$ . Then, we compute with the same spatial grids, but with different time steps. The results are illustrated in Figure 9. We observe that the method is stable even if  $\Delta t \gg \varepsilon$ . Moreover, we get second order convergence in the wave functions as well as in the physical observable densities.

**7.2. SVSP2 method for the Ehrenfest equations.** Now we solve the Ehrenfest equations (6.10) by the SVSP2 method. Assume  $x \in [-\pi, \pi]$ , and assume periodic boundary conditions for the electronic wave equation.

**Example 4.** In this example, we want to test if  $\delta$ -independent time steps can be taken to capture correct physical observables and the convergence in the time step which is expected to be of the second order. The potential is again  $V(x, y) = \frac{1}{2}(x + y)^2$  and the initial conditions are chosen to be

$$\psi^\delta(x, 0) = e^{-5(x+0.1)^2} e^{i \frac{\sin x}{\delta}}, \quad y(0) = 0, \quad \eta(0) = 0.1.$$

First, we test whether  $\delta$ -independent  $\Delta t$  can be taken to capture the correct physical observables. We solve the equations with resolved spatial grids, which

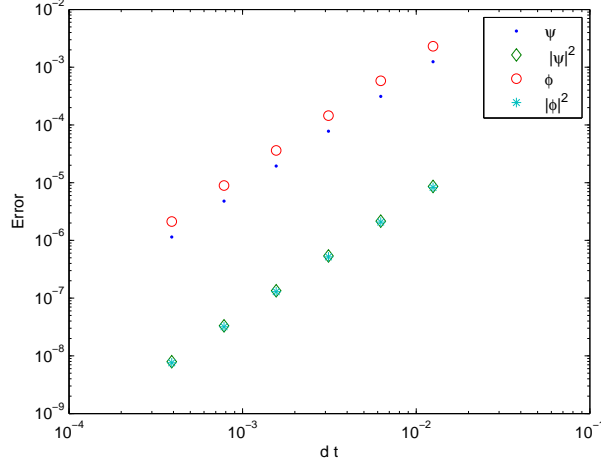


FIGURE 9. Fix  $\varepsilon = \frac{1}{1024}$  and  $\Delta x = \frac{2\pi}{16}$ . Take  $\Delta t = \frac{0.4}{32}, \frac{0.4}{64}, \frac{0.4}{128}, \frac{0.4}{256}, \frac{0.4}{512}$  and  $\frac{0.4}{1024}$ , respectively. The reference solution is computed with the same  $\Delta x$ , but  $\Delta t = \frac{0.4}{8192}$ . These results show that, when  $\delta = \varepsilon \ll 1$ , the SSP2 method is unconditionally stable and is second order accurate in time.

means  $\Delta x = O(\delta)$ . The numerical solutions with  $\Delta t = O(\delta)$  are used as the reference solutions. For  $\delta = 1/256, 1/512, 1/1024, 1/2048, 1/4096$ , we fix  $\Delta t = \frac{0.4}{64}$ , and compute until  $T = 0.4$ . The  $l^2$  norm of the error in wave functions, the error in position densities, and the error in the coordinates of the nucleus are calculated. We see in Figure 10 that the error in the wave functions increases as  $\delta \rightarrow 0_+$ , but the errors in physical observables and in the classical coordinates do not change notably.

Next, we test the convergences with respect to the time step in the wave function, the physical observables and the classical coordinates. We take  $\delta = \frac{1}{1024}$ , and the reference solution is computed by well resolved mesh  $\Delta x = \frac{2\pi\varepsilon}{16}$ ,  $\Delta t = \frac{0.4}{8192}$  till  $T = 0.4$ . Then, we compute with the same spatial grids, but with difference time steps. The results are illustrated in Figure 11. We observe that, the method is stable even if  $\Delta t \gg \varepsilon$ , and clearly, we get second order convergence in the wave functions, the physical observable densities and the classical coordinates.

## REFERENCES

- [1] G. Aki, P. Markowich, and C. Sparber, *Classical limit for semi-relativistic Hartree systems*, J. Math. Phys. **49** (2008), no.10, 102110.
- [2] W. Bao, S. Jin, and P. A. Markowich, *Numerical study of time-splitting spectral discretizations of nonlinear Schrödinger equations in the semiclassical regimes*, SIAM J. Sci. Comput. **25** (2003), no.1, 27–64.
- [3] W. Bao, S. Jin, and P. A. Markowich, *On Time-Splitting Spectral Approximations for the Schrödinger Equation in the Semi-classical Regime*, J. Comput. Phys. **175** (2002), no.2, 487–524.
- [4] C. Bayer, H. Hoel, A. Kadir, P. Plechac, M. Sandberg, and A. Szepessy, *Computational error estimates for Born-Oppenheimer molecular dynamics with nearly crossing potential surfaces*, arXiv preprint, arXiv:1305.3330.
- [5] R. H. Bisseling, R. Kosloff, R. B. Gerber, M. A. Ratner, L. Gibson, and C. Cerjan, *Exact time-dependent quantum mechanical dissociation dynamics of  $I_2He$ : Comparison of exact*



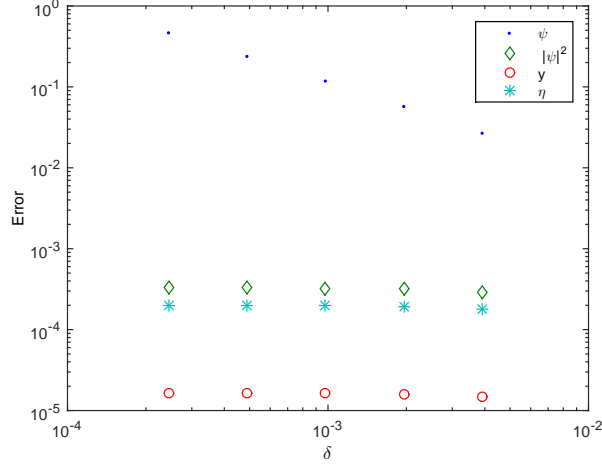


FIGURE 10. Fix  $\Delta t = \frac{0.4}{64}$ . For  $\delta = \frac{1}{256}, \frac{1}{512}, \frac{1}{1024}, \frac{1}{2048}, \frac{1}{4096}$ ,  $\Delta x = 2\pi\epsilon/16$ , respectively. The reference solution is computed with the same  $\Delta x$ , but  $\Delta t = \frac{\delta}{10}$ . These results show that,  $\delta$ -independent time steps can be taken to obtain accurate physical observables and classical coordinates, but not accurate wave functions.

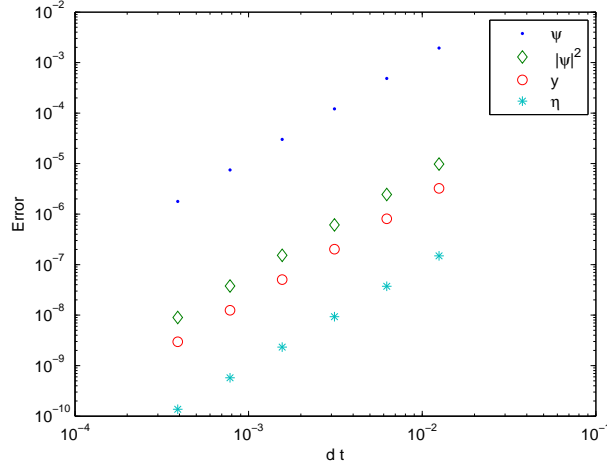


FIGURE 11. Fix  $\delta = \frac{1}{1024}$  and  $\Delta x = \frac{2\pi}{16}$ . Take  $\Delta t = \frac{0.4}{32}, \frac{0.4}{64}, \frac{0.4}{128}, \frac{0.4}{256}, \frac{0.4}{512}$  and  $\frac{0.4}{1024}$ , respectively. The reference solution is computed with the same  $\Delta x$ , but  $\Delta t = \frac{0.4}{8192}$ . These results show that, the SVSP2 method is unconditionally stable and is second order accurate in time.

*time-dependent quantum calculation with the quantum time-dependent self-consistent field (TDSCF) approximation*, J. Chem. Phys., **87** (1987), no.5, 2760–2765.

- [6] F. Bornemann and C. Schütte, *On the Singular Limit of the Quantum-Classical Molecular Dynamics Model*, SIAM J. Appl. Math. **59** (1999), 1208–1224.

- [7] F. Bornemann, P. Nettesheim, and C. Schütte, *Quantum-classical Molecular Dynamics as an Approximation to Full Quantum Dynamics*, J. Chem. Phys. **105** (1996), 1074–1083.

- [8] T. Cazenave, *Semilinear Schrödinger equations*, Courant Lecture Notes in Mathematics vo. 10, New York University, 2003.
- [9] R. Carles, On Fourier time-splitting methods for nonlinear Schrödinger equations in the semi-classical limit, *SIAM J. Numer. Anal.*, **51** (2013), 3232–3258.
- [10] K. Drukker, *Basics of Surface Hopping in Mixed Quantum/Classical Simulations*, *J. Comput. Phys.* **153** (1999), 225–272.
- [11] I. Gasser and P. A. Markowich, *Quantum hydrodynamics, Wigner transforms and the classical limit*, *Asymptotic Analysis* **14** (1997), no. 2, 97–116.
- [12] P. Gérard, P. Markowich, N. Mauser, and F. Poupaud, *Homogenization Limits and Wigner transforms*, *Comm. Pure Appl. Math.* **50** (1997), 323–379.
- [13] R. B. Gerber, V. Buch, and M. A. Ratner, *Time-dependent self-consistent field approximation for intramolecular energy transfer. I. Formulation and application to dissociation of van der Waals molecules*, *J. Chem. Phys.* **77** (1982), 3022–3030.
- [14] R. B. Gerber and M. A. Ratner, *Mean-field models for molecular states and dynamics New developments*, *J. Phys. Chem.* **92** (1988), 3252–3260.
- [15] E. Hairer, C. Lubich, and G. Wanner, *Geometric numerical integration: structure-preserving algorithms for ordinary differential equations*, vo. 31, Springer, 2006.
- [16] J. Hinze, *MC-SCF. I. The multi-configurational self-consistent-field method*, *J. Chem. Phys.* **59** (1973), 6424–6432.
- [17] S. Jin, C. D. Levermore, and D. W. McLaughlin, *The behavior of solutions of the NLS equation in the semi-classical limit, in Singular Limits of Dispersive Waves*, in *Singular limits of dispersive waves*, Springer US (1994), 235–255.
- [18] S. Jin, P. Markowich, and C. Sparber, *Mathematical and computational methods for semi-classical Schrödinger equations*, *Acta Num.* **20** (2011), 211–289.
- [19] S. Jin and Z. Zhou, *A semi-Lagrangian time splitting method for the Schrödinger equation with vector potentials*, *Comm. Inf. Syst.* **13** (2013), no. 3, 247–289.
- [20] C. Klein, *Fourth order time-stepping for low dispersion Korteweg-de Vries and nonlinear Schrödinger equations*. *Electronic Trans. Num. Anal.* **29** (2008), 116–135.
- [21] Z. Kotler, E. Neria, and A. Nitzan, *Multiconfiguration time-dependent self-consistent field approximations in the numerical-solution of quantum dynamic problems*, *Comput. Phys. Comm.* **63** (1991), 243–258.
- [22] Z. Kotler, A. Nitzan, and R. Kosloff. *Multiconfiguration time-dependent self-consistent field approximation for curve crossing in presence of a bath. A Fast Fourier Transform study*. *Chem. Phys. Lett.* **153** (1988), no.6, 483–489.
- [23] P. L. Lions and T. Paul, *Sur les mesures de Wigner*, *Rev. Math. Iberoamericana* **9** (1993), 553–618.
- [24] N. Makri, and W. H. Miller, *Time-dependent self-consistent field (TDSCF) approximation for a reaction coordinate coupled to a harmonic bath: Single and multiple configuration treatments*, *J. Chem. Phys.* **87** (1987), no.10, 5781–5787.
- [25] P. Markowich and N. Mauser, *The classical limit of a self-consistent quantum-Vlasov equation in 3-D*, *Math. Models Methods Appl. Sci.* **3** (1993), no. 1, 109–124.
- [26] P. A. Markowich, P. Pietra, and C. Pohl, *Numerical approximation of quadratic observables of Schrödinger type equations in the semi-classical limit*, *Numer. Math.* **81**, (1999), 595–630.
- [27] H. Spohn and S. Teufel, *Adiabatic Decoupling and Time-Dependent Born Oppenheimer Theory*, *Comm. Math. Phys.* **224** (2001), issue 1, 113–132.
- [28] C. Sparber, P. A. Markowich, and N. J. Mauser, *Wigner functions versus WKB-methods in multivalued geometrical optics*, *Asymptotic Analysis* **33** (2003), no. 2, 153–187.
- [29] A. Szepessy, *Langevin molecular dynamics derived from Ehrenfest dynamics*, *Math. Models Methods Appl. Sci.* **21** (2011), no. 11, 2289–2334.
- [30] X. Sun and W. H. Miller, *Mixed semi-classical classical approaches to the dynamics of complex molecular systems*, *J. Chem. Phys.* **106** (1997), no.3, 916–927.
- [31] E. Wigner, *On the Quantum Correction for the Thermodynamic Equilibrium*, *Phys. Rev.* **40** (1932), 749–759.

(S. Jin) DEPARTMENT OF MATHEMATICS, INSTITUTE OF NATURAL SCIENCES AND MOE KEY LAB IN SCIENTIFIC AND ENGINEERING COMPUTING, SHANGHAI JIAO TONG UNIVERSITY, 800 DONG CHUAN ROAD, SHANGHAI 200240, CHINA & DEPARTMENT OF MATHEMATICS, UNIVERSITY OF WISCONSIN-MADISON, 480 LINCOLN DRIVE, MADISON, WI 53706, USA.

E-mail address: jin@math.wisc.edu

(Z. Zhou) DEPARTMENT OF MATHEMATICS, UNIVERSITY OF WISCONSIN-MADISON, 480 LINCOLN DRIVE, MADISON, WI 53706, USA.

*E-mail address:* `zhou@math.wisc.edu`

(C. Sparber) DEPARTMENT OF MATHEMATICS, STATISTICS, AND COMPUTER SCIENCE, M/C 249, UNIVERSITY OF ILLINOIS AT CHICAGO, 851 S. MORGAN STREET, CHICAGO, IL 60607, USA.

*E-mail address:* `sparber@math.uic.edu`